

**APPLICATION FOR REGISTRATION TO PRACTICE BEFORE THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. This collection of information is required by 37 CFR Sections 11.5 through 11.11. This information is used by the public to register to practice before the United States Patent and Trademark Office (USPTO) and by the USPTO to determine the eligibility of the applicant to register to practice before the USPTO. The information on this form will be treated confidentially to the extent allowed under the Privacy Act and the Freedom of Information Act (FOIA). Response to this information collection is voluntary; however, if you do not provide the requested information, the USPTO may not admit you to the registration examination or register you to practice before the USPTO. This form is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the information on the application to the USPTO. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, United States Patent and Trademark Office. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Mail Stop OED, United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450**

<b>1a) Legal Name</b>	First Name	Middle Name	Last Name		<b>Reasonable Accommodations Request Attached</b>
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**1b) Name shown on valid Government ID**

**1c) ADDRESS:** Employer, corporation, law firm, U. S. Government agency. Indicate if student or unemployed.

**1c) ADDRESS (street, building, suite, etc.)**

<b>1d) CITY</b>	<b>1e) STATE</b>	<b>1f) ZIP CODE</b>	<b>1g) DAYTIME Area Code &amp; Phone Number</b>
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<b>2. CITIZENSHIP (country)</b>	<b>3. DATE OF BIRTH (month, day, year)</b>	<b>4. PLACE OF BIRTH (City, State, Country)</b>
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<b>FOR ALIENS ONLY</b> →	<b>5. VISA CLASSIFICATION</b>	<b>6. PERMANENT RESIDENT OF THE UNITED STATES</b> <input type="checkbox"/> YES <b>Attach copy of both sides of documentation of permanent residence</b> <input type="checkbox"/> NO	<b>7. ALIEN REGISTRATION NUMBER</b>
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**You are required to update the foregoing information promptly upon any change.** Select and check **all** the following that apply. Applicants should carefully review the General Requirements Bulletin for detailed instructions on completing this application.

- 8a. Application Fee: (Please refer to the General Requirements Bulletin and 37 CFR § 11.7)**  
 Enclosed is the non-refundable \$40.00 application fee set forth in 37 CFR § 1.21(a)(1)(i).  
 OR  
 Enclosed is the \$1,600.00 fee set forth in 37 CFR § 1.21(a)(10).
- 8b. Registration Examination Fee:**  
 I will utilize a commercial test administration service. Enclosed is the Government registration examination fee of \$200.00 for test administration by a commercial entity. [37 CFR § 1.21 (a)(1)(ii)(A)]  
 I request USPTO test administration. Enclosed is the Government registration examination fee of \$450.00 for test administration by the USPTO. [37 CFR § 1.21(a)(1)(ii)(B)]
- 9. Waiver of Examination:** I am a former USPTO employee and satisfy the requirements of:  
 37 CFR 11.7(d)(1). Enclosed is the applicable fee under either 37 CFR § 1.21(a)(1)(i) **OR** 37 CFR § 1.21(a)(10)  
 37 CFR 11.7(d)(2). Enclosed is the applicable fee under either 37 CFR § 1.21(a)(1)(i) **OR** 37 CFR § 1.21(a)(10)  
 37 CFR 11.7(d)(3). Enclosed is the applicable fee under either 37 CFR § 1.21(a)(1)(i) **OR** 37 CFR § 1.21(a)(10)
- 10. Passed Examination:** I passed an examination on \_\_\_\_\_. Enclosed is the required registration fee of \$100.00 [37 CFR § 1.21(a)(2)] and a completed data sheet.
- 11. Reinstatement:** I am applying for reinstatement, or as a former government employee, for a change from inactive to active status. Enclosed is any required fee under 37 CFR § 1.21.
- 12.**  I previously applied for admission to the registration examination or requested the Office of Enrollment and Discipline to evaluate my scientific and technical qualifications.  
 Date of Previous Application: \_\_\_\_\_ Name on Application, if different: \_\_\_\_\_
- 13.**  I was previously registered to practice in patent cases before the United States Patent and Trademark Office as an attorney or agent. If "YES", my Registration No. is \_\_\_\_\_
- 14. BAR MEMBERSHIP.** I am a member in good standing of the bar of the highest court of a State or Territory of the United States. A list of all said courts and corresponding bar membership number(s) follows: \_\_\_\_\_

**BACKGROUND INFORMATION:** Candor and truthfulness are significant elements of fitness relevant to practice before the United States Patent and Trademark Office. You should, therefore, provide the Office of Enrollment and Discipline with all available information, however unfavorable, even if its relevance is in doubt, with regard to the questions asked below. For each question answered "YES," provide a detailed statement setting forth all relevant facts and dates along with verified copies of relevant documents. **Your responses must be updated as necessary, prior to your registration.** Any documents, evidence or proofs previously filed in a prior application need not be resubmitted unless your response to a question must be changed. **Failure to disclose the requested information may result in denial of registration or in disciplinary proceedings under 37 CFR § 10.22 should you become registered.**

- YES  NO **15.** Have any charges ever been preferred against you in connection with your practice before any Federal or State court, or municipal bureau, commission, office or agency of any kind or character?
- YES  NO **16.** Have you ever been arrested, charged, or held by Federal, State or other law enforcement authorities for any violation of any Federal or State law, or any county or municipal law, regulation or ordinance? (Do not include any misdemeanor before your 16th birthday or traffic violations for which the fine was \$100 or less.)
- YES  NO **17.** Have you ever been disciplined, reprimanded, suspended, expelled or asked to resign or withdraw from any educational institution, or have you resigned or withdrawn from any such institution in time to avoid discipline, reprimand, suspension, expulsion or request to resign for conduct involving dishonesty, fraud, misrepresentation, or deceit?
- YES  NO **18.** Have you ever been disciplined, reprimanded, or suspended in any job for conduct involving dishonesty, fraud, misrepresentation, deceit, or any violation of Federal or State laws or regulations?
- YES  NO **19.** Have you ever been fired or discharged from any job, or have been asked to resign or quit for conduct involving dishonesty, fraud, misrepresentation, deceit, or any violation of Federal or State laws or regulations?
- YES  NO **20.** Have you ever resigned or quit a job when you were under investigation or inquiry for conduct which could have been considered as involving dishonesty, fraud, misrepresentation, deceit, or violation of Federal or State laws or regulations, or after receiving notice or been advised of possible investigation, inquiry, or disciplinary action for such conduct?
- YES  NO **21.** Have you ever been discharged from military service under conditions "other than honorable," or by reason of the sentence of a Court Martial or being dropped from the rolls?
- YES  NO **22.** Are you delinquent on any State or Federal debt? (Include delinquencies arising from Federal or State taxes, loans, overpayment of benefits, and other debts to the U.S. Government and defaults on Federally guaranteed or insured loans such as student and home mortgage loans.)

**23. EDUCATION:** List all degrees conferred in the blocks below. Attach to this application any required documentation to complete your application for registration. Refer to the General Requirements Bulletin. If you applied previously for an exam, please see the General Requirements Bulletin section titled "REAPPLYING TO TAKE THE EXAMINATION" for what you must submit.

Degree Received as indicated on Transcript	College	Date Received	Major Subject as indicated on Transcript

Upon the basis of the foregoing information and any attached documents, I hereby apply for registration to practice in patent cases before the United States Patent and Trademark Office. I certify that each and every statement or representation in this application is true and correct. *(A willfully false statement or certification is a criminal offense and is punishable by law [18 U.S.C. § 1001].)*

**24.**

Signature of Applicant

Date