

OTHER AGENCY OVERSIGHT

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SECTION

6

INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations have met quarterly to exchange details about current and planned audits, to identify opportunities for collaboration, and to minimize redundancies.

In light of the continuing scale-down of efforts in Iraq, the IIGC is transitioning its work under the umbrella of the Department of Defense Office of Inspector General (DoD OIG) Southwest Asia Joint Planning Group. As such, the quarterly IIGC meetings will no longer take place. SIGIR plans to continue close cooperation with the entire oversight community engaged in Iraq oversight and anticipates the creation of a sub-group within the Joint Planning Group that will ensure continued focus on the Iraq mission. In May 2011, the Joint Planning Group held its seventeenth meeting. Its planned August meeting was postponed until October 2011 to provide member agencies the opportunity to further coordinate and develop their FY 2012 oversight plans.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (P. L. 110-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts,

subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Southwest Asia Joint Planning Group, which has facilitated the production of the Comprehensive Oversight Plan for Southwest Asia. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region.

Each quarter, SIGIR requests updates from member organizations on their completed, ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by the following organizations:

- DoD OIG
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- U.S. Army Audit Agency (USAAA)
- U.S. Agency for International Development Office of Inspector General (USAID OIG)

For Defense Contract Audit Agency (DCAA) updates, see Appendix F.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2011. SIGIR no longer reports on these agencies in this section. ♦

OTHER AGENCY OVERSIGHT REPORTS

This quarter, other oversight agencies issued 20 reports related to Iraq reconstruction, bringing the cumulative total to 652 reports issued since 2003. This section updates the audits that former IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 6.1.
- For ongoing oversight report activity of other U.S. agencies during this reporting period, see Table 6.2.
- For more information on other agency oversight reports, including report summaries, see Appendix F.
- For a complete historical list of oversight reports and reviews on Iraq reconstruction by all entities, see Appendix G. ♦

TABLE 6.1

RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 9/30/2011

| AGENCY | REPORT NUMBER | REPORT DATE | REPORT TITLE |
|-----------|-----------------|-------------|--|
| DoD OIG | SPO-2011-009 | 9/28/2011 | Exposure to Sodium Dichromate at Qarmat Ali Iraq in 2003: Part II—Evaluation of Army and Contractor Actions Related to Hazardous Industrial Exposure |
| DoD OIG | D-2011-105 | 9/19/2011 | Competition for Interrogation Arm Contracts Needs Improvement |
| DoD OIG | D-2011-104 | 9/8/2011 | Pricing and Escalation Issues Weaken the Effectiveness of the Army Contract With Sikorsky to Support the Corpus Christi Army Depot |
| DoD OIG | D-2011-103 | 8/17/2011 | Special Operations Forces Plans for the Drawdown and Reset of Property in Iraq |
| DoD OIG | D-2011-101 | 8/17/2011 | Controls over Army Deployable Disbursing System Payments Need Improvement |
| DoD OIG | D-2011-088 | 7/27/2011 | Ballistic Testing for Interceptor Body Armor Inserts Needs Improvement |
| DoD OIG | D-2011-090 | 7/22/2011 | Cost of War Data for Marine Corps Contingency Operations Were Not Reliable |
| DoD OIG | D-2011-081 | 7/11/2011 | Contract Management of Joint Logistics Integrator Services in Support of Mine Resistant Ambush Protected Vehicles Needs Improvement |
| DoS OIG | AUD/CG-11-47 | 9/1/2011 | Audit of Overtime Pay for Locally Employed Staff Assigned to Embassy Baghdad |
| GAO | GAO-11-891 | 9/27/2011 | Contingency Contracting: Improved Planning and Management Oversight Needed to Address Challenges with Closing Contracts |
| GAO | GAO-11-774 | 9/16/2011 | Iraq Drawdown: Opportunities Exist to Improve Equipment Visibility, Contractor Demobilization, and Clarity of Post-2011 DoD Role |
| GAO | GAO-11-886 | 9/15/2011 | Iraq and Afghanistan: DoD, State, and USAID Cannot Fully Account for Contracts, Assistance Instruments, and Associated Personnel |
| GAO | GAO-11-523 | 8/4/2011 | Defense Logistics: Actions Needed to Improve the Marine Corps' Equipment Reset Strategies and the Reporting of Total Reset Costs |
| GAO | GAO-11-760 | 8/2/2011 | Iraq and Afghanistan: Actions Needed to Enhance the Ability of Army Brigades to Support the Advising Mission |
| GAO | GAO-11-715 | 7/29/2011 | DoD Task Force For Business and Stability Operations: Actions Needed to Establish Project Management Guidelines and Enhance Information Sharing |
| USAAA | A-2011-0211-FFF | 9/22/2011 | Improvised Explosive Device Defeat (IED-D) Home Station Lane Training |
| USAAA | A-2011-0159-ALL | 7/18/2011 | Disposal of Army Material Into Dumpsites by Units in Iraq |
| USAAA | A-2011-0142-ALM | 7/6/2011 | Follow-up Audit of Automatic Reset Induction |
| USAAA | A-2011-0120-ALC | 7/6/2011 | Army's Human Capital Plans—Contracting |
| USAID OIG | E-267-11-003-P | 8/4/2011 | Audit of USAID/Iraq's Microfinance Activities Under its Provincial Economic Growth Program |

OTHER AGENCY OVERSIGHT

TABLE 6.2
ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 9/30/2011

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|---------|-----------------------|----------------|---|
| DoD OIG | D2011-D000JA-0281.000 | 7/11/2011 | DoD Management of the Redistribution Property Assistance Team Operations in Kuwait |
| DoD OIG | D2011-D000JA-0212.000 | 4/25/2011 | Theater-Provided Equipment Refurbishment Program for Tactical Wheeled Vehicles |
| DoD OIG | D2011-D005PO-0203.000 | 3/25/2011 | Assessment of the DoD Establishment of the Office of Security Cooperation-Iraq |
| DoD OIG | D2011-D000AB-0156.000 | 3/11/2011 | DoD Countermine and Improvised Explosive Device Defeat Systems Contracts-Vehicle Optics Sensor System |
| DoD OIG | D2011-D000JB-0098.000 | 12/8/2010 | Contracting for Force Protection for U.S. Installations in Iraq |
| DoD OIG | D2011-D000LF-0041.000 | 11/2/2010 | Follow-up of Health Care Provided by Military Treatment Facilities to Contractors in Southwest Asia |
| DoD OIG | D2011-D000CH-0032.000 | 10/19/2010 | Contractor Logistics Support Contract for Stryker Vehicles with General Dynamics Land Systems |
| DoD OIG | D2010-D000LD-0264.000 | 8/30/2010 | Controls and Processes Pertaining to the Defense Logistics Agency's Procurement Automated Contract Evaluation System |
| DoD OIG | D2010-D005PO-0209.000 | 4/16/2010 | Assessment of DoD Wounded Warrior Matters |
| DoD OIG | D2010-D000CH-0077.001 | 11/18/2009 | Material Purchases Made Through the Partnership Agreement with Sikorsky Aircraft Corporation at Corpus Christi Army Depot |
| DoD OIG | D2009-D000JB-0307.000 | 9/16/2009 | Controls Over the Accountability and Disposition of Government Furnished Property in Iraq |
| DoD OIG | D2009-D005PO-0287.000 | 8/11/2009 | U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority |
| DoD OIG | D2009-D000AS-0266.000 | 7/31/2009 | Contracts Supporting Base Operations in Kuwait |
| DoD OIG | D2009-D000FB-0112.000 | 1/5/2009 | Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan |
| DoS OIG | MERO 3014 | 5/17/2011 | Evaluation of Emergency Action Plan for Embassy Baghdad |
| DoS OIG | MERO 3010 | 1/10/2011 | Antiterrorism Assistance Program in Iraq |
| DoS OIG | MERO 3012 | 11/23/2010 | Evaluation of PAE Operations and Maintenance Support of Embassy Baghdad |
| GAO | 351617 | Not reported | Biometrics, Last Tactical Mile |
| GAO | 351603 | Not reported | Intelligence, Surveillance, and Reconnaissance Collection and Tasking Management |
| GAO | 320843 | Not reported | Transition to a Predominantly Civilian Presence in Iraq |
| GAO | 120976 | Not reported | State Contracting for Conflict Countries |
| GAO | 351550 | 10/8/2010 | Army's Strategies to Reset Non-Standard Equipment Returning from Iraq |
| GAO | 351431 | 1/7/2010 | Army's Equipping Strategies to Reset Equipment Returning from Iraq |
| USAAA | A-2011-ALL-0525.000 | 4Q/FY 2011 | Commander's Emergency Response Fund and I-CERP Closeout-Iraq |
| USAAA | A-2011-0094.000 | 4Q/FY 2011 | Army Prepositioned Stock (APS V) Requirements Determination Processes-Kuwait |
| USAAA | A-2012-ALL-0018.000 | 4Q/FY 2011 | Followup Audit of Management and Visibility of Government Property Provided on the Base Operations Support Contract in Kuwait |
| USAAA | A-2011-ALL-0539.000 | 4Q/FY 2011 | Contractor Payments in Afghanistan |
| USAAA | A-2011-ALL-0534.000 | 4Q/FY 2011 | Financial Transparency in the Afghanistan Transportation Network Contract, Regional Command-South |
| USAAA | A-2011-ALL-0490.000 | 4Q/FY 2011 | Contract Management-Atmospherics Program-Afghanistan |
| USAAA | A-2011-ALL-0330.000 | 4Q/FY 2011 | Bulk Fuel Accountability in Afghanistan-Phase II |
| USAAA | A-2011-ALL-0414.000 | 3Q/FY 2011 | Property Accountability of Organizational and Theater-Provided Equipment in Iraq |
| USAAA | A-2011-ALL-0346.000 | 2Q/FY 2011 | Property Accountability -Over Unit Equipment Shipped to Afghanistan-CONUS |

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OTHER AGENCY OVERSIGHT REPORTS

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|-----------|---------------------|----------------|---|
| USAAA | A-2011-ALL-0344.000 | 2Q/FY 2011 | Property Accountability –Over Unit Equipment Shipped to Afghanistan-Afghanistan |
| USAAA | A-2011-ALL-0342.000 | 2Q/FY 2011 | Commander’s Emergency Response Program-Afghanistan |
| USAAA | A-2011-ALL-0092.000 | 2Q/FY 2011 | Foreign Excess Personal Property (FEPP) Program–Phase II |
| USAAA | A-2011-ALL-0135.000 | 1Q/FY 2011 | Micro-Purchases of Field Ordering Officers–Afghanistan |
| USAAA | A-2011-ALL-0107.000 | 1Q/FY 2011 | The U.S. Equipment Transfer to Iraq (USETTI) Program–Phase II |
| USAAA | A-2011-ALL-0098.000 | 1Q/FY 2011 | Bulk Fuel Operations in Afghanistan |
| USAAA | A-2011-ALL-0087.001 | 1Q/FY 2011 | Management Controls Over Payments for Overseas Contingency Operations Transportation–ARCENT |
| USAAA | A-2011-ALL-0087.000 | 1Q/FY 2011 | Management Controls over Department of Defense Activity Address Codes (DODAAC), U.S. Army Central Command |
| USAAA | A-2010-ALL-0541.000 | 4Q/FY 2010 | Bulk Fuel Operations in Iraq |
| USAAA | A-2010-ALL-0421.000 | 2Q/FY 2010 | LOGCAP IV Contract Requirements Determination–Afghanistan |
| USAAA | A-2009-ALL-0118.000 | 2Q/FY 2009 | Controls over Vendor Payments–Southwest Asia (Phase II) |
| USAID OIG | Not reported | 3Q/FY 2011 | Audit of Audit of the Sustainability of Selected USAID/Iraq-Funded Information Technology Systems |
| USAID OIG | Not reported | 2Q/FY 2011 | Audit of USAID/Iraq’s Elections Support Program |
| USAID OIG | Not reported | 4Q/FY 2010 | Information Survey of USAID/Iraq’s Active Awards and Subawards During Fiscal Year 2010 |
| USAID OIG | Not reported | 2Q/FY 2010 | Audit of USAID/Iraq’s Community Action Program III Activities Implemented by International Relief and Development |

OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 6.3. ♦

TABLE 6.3
STATUS OF INVESTIGATIVE ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 9/30/2011

| AGENCY | INVESTIGATORS IN IRAQ | INVESTIGATORS IN KUWAIT | OPEN/ONGOING CASES* |
|--|-----------------------|-------------------------|---------------------|
| U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit | 1 | 2 | 104 |
| Defense Criminal Investigative Service | 0 | 2 | 154 |
| DoS OIG | 2 | 0 | 20 |
| FBI | 2 | 1 | 76 |
| Naval Criminal Investigative Service | 0 | 1 | 3 |
| U.S. Air Force, Office of Special Investigations | 0 | 0 | 6 |
| USAID | 1 | 0 | 13 |
| Total | 6 | 6 | 376 |

* Numbers include pending cases worked with other agencies within the Joint Operations Center.