Automating Conflict-Of-Interest Reporting



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Presented at

"How Will Journalism Survive the Internet Age"
March 10, 2010

U.S. Federal Trade Commission
Washington, DC



Overview



- Introduction: The promise of semantic web technologies
 - Technology overview
 - Implications for journalism
 - Implementation timeframe
- Three ontology case studies
 - 1) Product reviews, 2) Financial reporting, 3) Legislation tracking
 - Lessons learned
- Overview of bias ontology
 - What it can do
 - How it works
 - Implementation requirements
- Conclusion

The Promise of Semantic Web Technologies



- The basic technical idea is simple
- The potential efficiency gains for open government are great
- Unfortunately, the implementation may be hard
- But the payoff makes it worth it



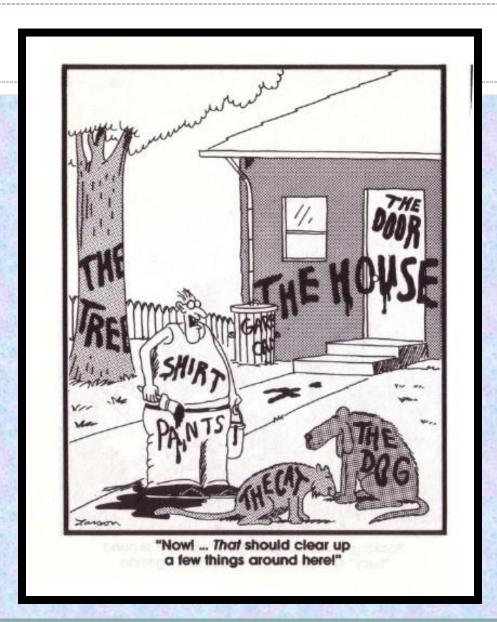
Human Vs. Machine-Readable Web

Metadata

The Tree, The House,. The Door, Shirt, Pants, The Cat, The Dog

Ontology

The Door is a part of The House





Human Vs. Machine-Readable Web

Metadata

FTC (Organization)
600 Penn. Ave. (Street)
Washington (City)
DC (State)
20580 (Zip Code)

Address Ontology

Organization
Street
City
State
Zip Code



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Your complaint, comment, or inquiry may help us spot a pattern of law violations requiring law enforcement action. It also may help us recognize and tell people about bigger trends affecting consumers. We do not resolve individual consumer disputes.

About a Company, an Organization, or a Business Practice

- Online: Use our secure complaint form.
- Phone: Call our toll-free helpline: 1-877-FTC-HELP (1-877-382-4357);
 TTY: 1-866-653-4261
- Mail: Write to: Federal Trade Commission Consumer Response Center 600 Pennsylvania Avenue, NW Washington, DC 20580

Structured Text

About Identity Theft

- Online: Use our secure complaint form.
- Phone: Call our toll-free Identity Theft helpline: 1-877-ID-THEFT (1-877-438-4338); TTY: 1-866-653-4261
- Mail: Write to: Federal Trade Commission Consumer Response Center 600 Pennsylvania Avenue, NW Washington, DC 20580

About Spam & Phishing

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 Forward unsolicited commercial email (spam), including phishing messages, directly to the FTC at spam@uce.gov. These messages will be stored in a database law enforcement agencies use in their investigations.

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600 Pennsylvania Avenue, NW Washington, DC 20580 (202) 326-2222 www.ftc.gov

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Visit our Jobs section for general information.

To ask about a specific, current job posting or if you're having trouble submitting your application:

Email:

HRMOEmployment@ftc.gov Please include the vacancy announcement number in the subject line.

- Phone: 202-326-2021
- TTY: 202-326-3422

Report Website Issues

To report technical problems with

The Hierarchy

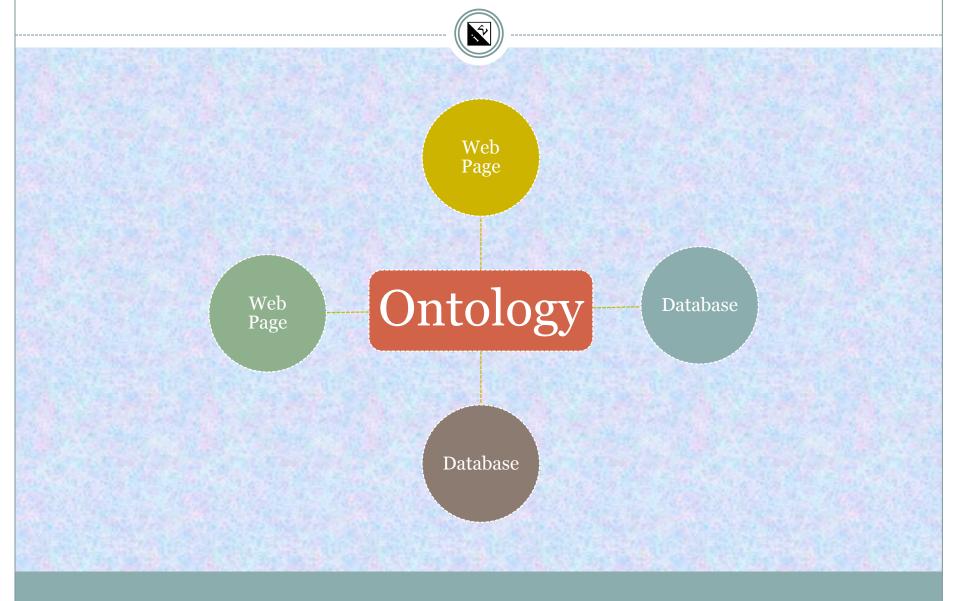


Ontology

Metadata

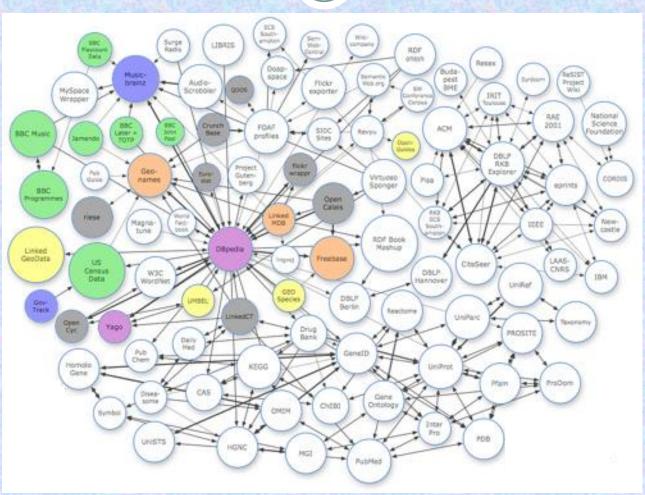
Data

Ontologies Integrate Dispersed Data



Web of Semantic Data





Source: Leigh Dodds, presentation at the International Semantic Web Conference, October 25-9, 2009.

Focus: Implications for Journalism



- Lower cost
- Better journalism
- Examples
 - Covering Business
 - Covering Politics

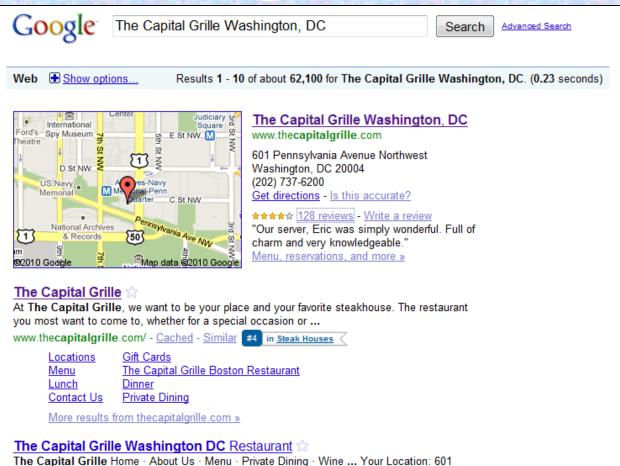
Reasons for a Long Implementation Time Frame



- Technological
- Economic
- Political

Google Rich Snippets: Product Reviews





Pennsylvania Ave, NW Washington, DC 20004. Driving Directions ...

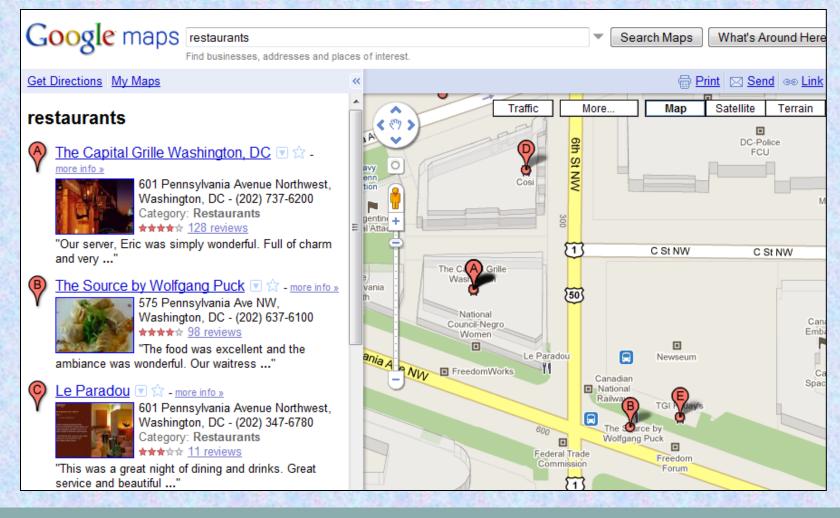
www.thecapitalgrille.com/.../WashingtonDC/MapMain-FS.asp?... - Cached - Similar

New Snippet Format

Old Snippet Format

Product Reviews with Map Functionality





Product Review Ontology (it's simple)



Property	Description		
itemReviewed (item)	The item being reviewed		
name (fn)	The name of the item being reviewed.		
rating	A numerical quality rating for the item (default: 1) or best (default: 5)		
reviewer	The author of the review.		
dtreviewed	The date that the item was reviewed		
description	The body of the review.		

The Code (again, it's simple)



HTML Markup

```
<h1>Drooling Dog Bar B Q</h1>
...
<img class="stars_4" src="stars_map.png" alt="4 star rating" />
<em>based on 15 reviews</em>
...
<strong>Frice Range:</strong> $$
```

Microformats Markup

RDFa Markup

Financial Ontology (XBRL)





XBRL: From Idea to Implementation



- 1998: W3C publishes guidelines for XML, which makes it possible to attach "tags" to each piece of information in a document; Charles Hoffman comes up with the idea for XBRL.
- 1999: American Institute of Certified Public Accountants (AICPA) endorses developing XBRL.
- 2000: AICPA releases first draft of XBRL.
- 2002: FDIC endorses XBRL.
- 2004: Chinese stock exchanges adopt XBRL.
- 2005: FDIC adopts XBRL; SEC endorses XBRL.
- 2008: U.S. GAAP published in XBRL.
- 2009: SEC adopts XBRL for largest companies, beginning four year rollout.

Source: Karen Kernan, "The Story of Our New Language," AICPA, 2009.

Legislation Ontology







Transforming Bills into Structured Data



LDO NO. 77 0412/1

First Regular Session

HOUSE BILL NO.

1050

Fifty-first General Assembly

JUDICIARY

STATE OF COLORADO

BY REPRESENTATIVE Burns

A BILL FOR AN ACT

CONCERNING RELEASE OF DEEDS OF TRUST.

Bill Summary

(NOTE: This summary applies to this bill as introduced does not necessarily reflect any amendments which may subsequently adopted.)

Provides that a deed of trust in favor of the federal government may be released without a cancelled note under certain circumstances indicating the indebtedness has been paid or the purposes of the deed of trust have been otherwise satisfied.

- Be it enacted by the General Assembly of the State of Colorado:
 - SECTION 1. 38-37-123 (1), Colorado Revised Statutes 1973,
- as amended, is amended BY THE ADDITION OF A NEW PARAGRAPH to
- 38-37-123. When trust deeds shall be released. (1) (c) An
- affidavit executed by any lending agency of the federal
- government stating the reasons the original note or deed of trust
- cannot be produced and that:
- (I) The indebtedness secured by the deed of trust has been
- paid; or
- (II) The purposes of said deed of trust have been fully or
- partially satisfied.

Capital letters indicate new material to be added to existing statute. Dashes through the words indicate deletions from existing statute.

Summary

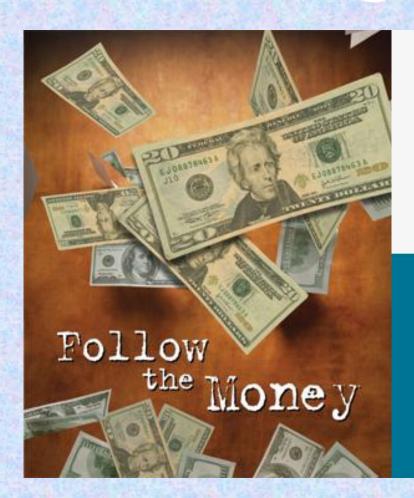


	Product Review Ontology	Financial Ontology	Legislation Ontology
Complexity	Simple	Complex	Medium
Private vs. Public Standard Setter	Private	Private- Government Partnership	Government
Government Incentive	N.A.	High	Low*
Private Incentive	High	High	N.A.
Extensible	No	Yes	N.A.

^{*} See Snider, J.H., "The Dismal Politics of Legislative Transparency," *Journal of Information Technology & Politics*, Volume 6, Issue 2, 2009.

Bias Ontology





ADB/OECD Anti-Corruption Initiative for Asia and the Pacific

MANAGING CONFLICT OF INTEREST

FRAMEWORKS, TOOLS, AND INSTRUMENTS FOR PREVENTING, DETECTING, AND MANAGING CONFLICT OF INTEREST

ADB



Why a Bias Ontology is Important



- Progress, the division of labor, and the growth of principal-agent relationships.
- Why conflict of interest disclosure has become increasingly important in economics and politics.
- The benefits of using a conflict of interest ontology.

Structure of Bias Ontology (it's elegant!)



Key Elements

- 1) Author of the Agency Claim
 - a. Government
 - b. Agent
 - c. Third Party (e.g., watchdog)
- 2) The Five Major Components of an Agency Claim
 - a. Principal
 - b. Agent
 - c. Agent's Covered Interests
 - d. Agent's Covered Actions
 - e. Default Settings Linking Covered Interests with Actions

Simple Example: Earmarks



For U.S. Senator Richard Shelby, the largest earmark recipient for fiscal year 2009

Description Amount Indivs **PACs** Lobbying Agent University of Alabama \$30.000.000 Commerce, Justice & \$4,000 \$0 \$360,000 University of Alabama for an interdisciplinary science Science and engineering teaching and research corridor Covered Action Tuscaloosa, AL \$25,000,000 Tuscaloosa Federal Building, Alabama Financial Services \$0 Tuscaloosa, AL Tennessee-Tombigbee Waterway, AL & MS Energy & Water \$20,884,000 \$0 \$0 \$0 AL; MS Buck Warrior and Tombigbee Rivers, AL \$0 Energy & Water \$20.598.000 \$0 Alabama River Lakes, AL Energy & Water \$17,000,000 \$0 \$0 \$0 System Software Engineering Annex, Ph 3 Military Construction \$16,500,000 \$0 \$0 \$0 Redstone Arsenal, AL University of Alabama Commerce, Justice & \$10.550.000 \$4,000 \$360,000 University of Alabama for weather research in the Gulf Science of Mexico Tuscaloosa, AL University of Alabama, Tuscaloosa, AL, for Labor-HHS-Education \$9.515.000 \$0 \$0 \$0 construction, renovation and equipment Tuscaloosa, AL Extremely Large, Domestic Expendable and Reusable Defense \$8,000,000 \$0 \$0 \$0 Structures Manufacturing Center (ELDERS) luka, MS \$0 Tuscaloosa, AL Energy & Water \$7,500,000 \$0 \$0

Linkage of Covered Interests and Covered Actions

Covered Interests

Source: Center for Responsive Politics, downloaded February 23, 2010

Tuscaloosa, AL

Benefits



- Economies of scale in application markets
- More efficient data entry and integration
- More efficient semantic search
- More efficient and effective economic and political markets
 - More efficient and effective information intermediaries (citizen and professional journalists).
 - More efficient and effective direct consumers of information.

Critique of Current Linkage Mechanisms



- Highly labor intensive; low degree of automation
- Limited to relatively high value, high profile, and simple cases (such as earmarks)
- Inflexible, poorly integrated data analysis

Sophisticated Example: Budgets



- For a state or large city, 100 million percent gain in efficiency over manual covered-interest/coveredaction linking methods.
- Ability to see new types of relationships never before practical to investigate.

More Efficient Semantic Search



- One simple query can substitute for thousands of queries over space and time
- Boolean Search Example

SourceOfAgencyClaim(State of Arkansas) and

AgencyClaim(Arkansas) and

CoveredAction(Budget) and

CoveredActionDates(July 1, 2009 to July 1, 2010) and

CoveredInterest(All) and

CoveredInterestDates(January 1, 2006 to Present)

Example: Budgets (no bias ontology)



Expenditures for the State of Arkansas across all state agencies, fiscal year 2010

Agency	Agency Name	Total	%
HCA	AHCCCS	\$5,816,206,667.46	29.28
EDA	EDUCATION, DEPT OF	\$3,756,599,563.72	18.91
DEA	ECONOMIC SECURITY, DEPT OF	\$2,038,378,027.04	10.26
DTA	TRANSPORTATION, DEPT OF	\$1,708,224,738.85	8.60
HSA	HEALTH SERVICES, DEPT OF	\$1,320,024,718.88	6.64
AAA	GENERAL ACCOUNTING OFFICE	\$875,218,192.09	4.41
DCA	CORRECTIONS, DEPT OF	\$612,474,437.16	3.08
ADA	ADMINISTRATION, DEPT OF	\$568,513,921.11	2.86
ASA	ARIZONA STATE UNIVERSITY	\$497,907,405.00	2.51
UAA	UNIVERSITY OF ARIZONA (U OF A)	\$378,766,073.00	1.91
PSA	PUBLIC SAFETY, DEPT OF	\$320,520,366.61	1.61
SFA	SCHOOL FACILITIES BOARD	\$224,212,829.40	1.13
WFA	WATER INFRASTRUCTURE FINANCE AUTHORITY	\$198,070,956.51	1.00
NAA	NORTHERN ARIZONA UNIVERSITY	\$133,794,731.98	0.67
RTA	RETIREMENT SYSTEM	\$127,201,670.99	0.64
LOA	LOTTERY COMMISSION, ARIZONA STATE	\$126,338,284.19	0.64
SPA	SUPREME COURT	\$121,175,528.99	0.61
EPA	COMMERCE, DEPT OF	\$79,132,402.83	0.40
EVA	ENVIRONMENTAL QUALITY, DEPT OF	\$77,987,175.42	0.39
HDA	HOUSING, ARIZONA DEPARTMENT OF	\$75,271,744.47	0.38
AGA	ATTORNEY GENERALS OFFICE	\$60,141,250.38	0.30
RVA	REVENUE, DEPT OF	\$58,041,690.67	0.29
PRA	PARKS BOARD, ARIZONA STATE	\$54,617,409.72	0.27
GFA	GAME AND FISH, DEPT OF	\$52,293,572.60	0.26
DJA	JUVENILE CORRECTIONS, DEPT OF	\$47,897,650.03	0.24
BRA	REGENTS, BOARD OF	\$46,196,643.89	0.23
CDA	EARLY CHILDHOOD DEVELOP AND HEALTH BOARD	\$37,318,515.86	0.19
WCA	WATER RESOURCES, DEPT OF	\$36,608,340.43	0.18
MAA	EMERGENCY & MILITARY AFFAIRS, DEPT OF	\$35,989,270.17	0.18
SDA	SCHOOLS FOR THE DEAF & BLIND, ARIZONA STATE	\$30,269,416.28	0.15
RCA	RACING, DEPT OF	\$25,831,324.67	0.13
JCA	ARIZONA CRIMINAL JUSTICE COMMISSION	\$24,852,622.83	0.13
CCA	CORPORATION COMMISSION	\$20,805,657.69	0.10
VSA	VETERANS SERVICES, DEPT OF	\$16,289,457.62	0.08
FOA	STATE FORESTER, OFFICE OF THE	\$16,267,499.04	0.08
LDA	LAND DEPARTMENT	\$14,733,169.75	0.07

Example: Budgets (with bias ontology)



Expenditures for the State of Arkansas across all state agencies, fiscal year 2010

Drill Down

Agency **Agency Name** Contributions Total AHCCCS **7** \$5,816,206,667.46 29.28 **HCA** FDΑ EDUCATION, DEPT OF 18.91 \$3,756,599,563.72 \$2,038,378,027.04 ECONOMIC SECURITY, DEPT OF 10.26 \$87,300 DTA TRANSPORTATION, DEPT OF \$1,708,224,738.85 8.60 **HSA** HEALTH SERVICES, DEPT OF \$1,320,024,718.88 6.64 AAA GENERAL ACCOUNTING OFFICE \$875,218,192.09 4.41 DCA CORRECTIONS, DEPT OF \$612,474,437.16 3.08 ADA ADMINISTRATION, DEPT OF Covered Covered \$568,513,921.11 2.86 ASA ARIZONA STATE UNIVERSITY \$497,907,405.00 2.51 Actions Interests UAA UNIVERSITY OF ARIZONA (U OF A) \$378,766,073.00 1.91 PSA PUBLIC SAFETY, DEPT OF \$320,520,366.61 SFA SCHOOL FACILITIES BOARD \$224,212,829.40 1.13 WFA WATER INFRASTRUCTURE FINANCE AUTHORITY \$198,070,956.51 1.00 NAA NORTHERN ARIZONA UNIVERSITY \$133,794,731.98 0.67 RTA RETIREMENT SYSTEM \$127,201,670.99 0.64 \$126,338,284.19 LOA LOTTERY COMMISSION, ARIZONA STATE 0.64 SPA SUPREME COURT \$121,175,528.99 0.61 COMMERCE, DEPT OF \$79,132,402.83 0.40 ENVIRONMENTAL QUALITY, DEPT OF \$77,987,175.42 0.39 \$75,271,744.47 HOUSING, ARIZONA DEPARTMENT OF 0.38 AGA ATTORNEY GENERALS OFFICE \$60,141,250.38 0.30 **RVA** REVENUE, DEPT OF \$58,041,690.67 0.29 PRA PARKS BOARD, ARIZONA STATE \$54,617,409.72 0.27 **GFA** GAME AND FISH, DEPT OF \$52,293,572.60 0.26 DJA JUVENILE CORRECTIONS, DEPT OF \$47,897,650.03 0.24 BRA REGENTS, BOARD OF \$46,196,643.89 0.23 CDA EARLY CHILDHOOD DEVELOP AND HEALTH BOARD \$37,318,515.86 0.19 WCA WATER RESOURCES, DEPT OF \$36,608,340.43 0.18 EMERGENCY & MILITARY AFFAIRS, DEPT OF \$35,989,270.17 0.18SCHOOLS FOR THE DEAF & BLIND, ARIZONA STATE \$30,269,416.28 0.15 0.13 **RCA** RACING, DEPT OF \$25,831,324.67 JCA 0.13 ARIZONA CRIMINAL JUSTICE COMMISSION \$24,852,622.83 CCA CORPORATION COMMISSION \$20,805,657.69 0.10 VSA VETERANS SERVICES, DEPT OF \$16,289,457.62 0.08 STATE FORESTER, OFFICE OF THE FOA \$16,267,499.04 0.08 LDA LAND DEPARTMENT \$14,733,169.75 0.07

Covered Interests Alert

Example: Budgets (drill down view)



DTA – Transportation, Department of, fiscal year 2010

Object Code	Title	C	contributions	Amount	% (Total)
				\$59,379.28	0.00%
6000	Personal Services			\$112,214,260.90	6.57%
6100	Employee Related Expenditures			\$48,263,709.78	2.83%
6200	Professional & Outside Services			\$104,994,833.80	6.15%
6500	Travel In-State			\$704,917.44	0.04%
6600	Travel Out-of-State			\$63,815.11	0.00%
6800	Aid to Organizations & Individuals			\$347,230,199.39	20.33%
7000	Other Operating Expenditures			\$90,730,768.71	5.31%
8100	Capital Outlay		\$87,300	\$656,105,107.24	38.41%
8400	Capital Equipment			\$1,810,631.45	0.11%
8500	Non-Capital Equipment			\$340,810.72	0.02%
8600	Debt Service			\$71,542,816.47	4.19%
9100	Transfers-Out			\$274,163,488.56	16.05%
		Totals:	\$87,300	\$1,708,224,738.85	

Drill Down

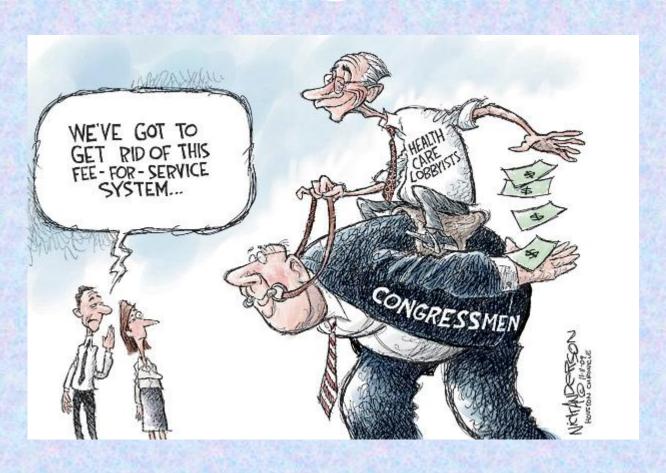
Types of Budget Views



- Sort by Covered Action
 - By Program
 - By Object
 - By Location (e.g., on a map of the political district)
- Sort by Covered Interest
 - By Industry
 - By Individual
 - By Type of Contribution (e.g., by gift or campaign contribution, income or asset)

Elected Officials and Voters





Doctors and Patients





"Try this-I just bought a hundred shares."



stock in the company whose drug I'm prescribing."

Publishers and Authors



The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

DECEMBER 4, 2008

VOL. 359 NO. 23

ORIGINAL ARTICLE

Volume 359:2417-2428 December 4, 2008 Number 23

Benazepril plus Amlodipine or Hydrochlorothiazide for Hypertension in High-Risk Patients

Kenneth Jamerson, M.D., Michael A. Weber, M.D., George L. Bakris, M.D., Björn Dahlöf, M.D., Bertram Pitt, M.D., Victor Shi, M.D., Allen Hester, Ph.D., Jitendra Gupte, M.S., Marjorie Gatlin, M.D., Eric J. Velazquez, M.D., for the ACCOMPLISH Trial Investigators

ABSTRACT

Background The optimal combination drug therapy for hypertension is not established, although current U.S. guidelines recommend inclusion of a diuretic. We hypothesized that treatment with the combination of an angiotensin-converting-enzyme (ACE) inhibitor and a dihydropyridine calciumchannel blocker would be more effective in reducing the rate of cardiovascular events than treatment with an ACE inhibitor plus a thiazide diuretic.

Methods In a randomized, double-blind trial, we assigned 11,506 patients with hypertension who were at high risk for cardiovascular events to receive treatment with either benazepril plus amlodipine or benazepril plus hydrochlorothiazide. The primary end point was the composite of death from cardiovascular causes, nonfatal myocardial infarction, nonfatal stroke, hospitalization for angina, resuscitation after sudden cardiac arrest, and coronary revascularization.

Results The baseline characteristics of the two groups were similar. The trial was terminated early after a mean follow-up of 36 months, when the boundary of the prespecified stopping rule was exceeded. Mean blood pressures after dose adjustment were 131.6/73.3 mm Hg in the benazeprilamlodioine group and 132.5/74.4 mm Hg in the benazepril-hydrochlorothiazide group. There were 552 primary-outcome events in the benazeprilamlodipine group (9.6%) and 679 in the benazepril-hydrochlorothiazide group (11.8%), representing an absolute risk reduction with benazeprilamlodipine therapy of 2.2% and a relative risk reduction of 19.6% (hazard ratio, 0.80, 95% confidence interval [CI], 0.72 to 0.90; P<0.001). For the secondary end point of death from cardiovascular causes, nonfatal myocardial infarction, and nonfatal stroke, the hazard ratio was 0.79 (95% CI, 0.67 to 0.92; P=0.002). Rates of adverse events were consistent with those observed from clinical experience with the study drugs.

Conclusions The benazepril-amlodipine combination was superior to the benazepril-hydrochlorothiazide combination in reducing cardiovascular events in patients with hypertension who were at high risk for such events. (ClinicalTrials.gov number, NCT00170950 [ClinicalTrials.gov].)

NEJM's E-Mail Table of Contents SIGN UP NOW FREE

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- Full Text
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- CME Exam

COMMENTARY

Editorial by Chobanian, A. V.

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Brokers and Clients





Opposing Teams. Same Locker Room???

Disc Jockeys and Listeners (payola)





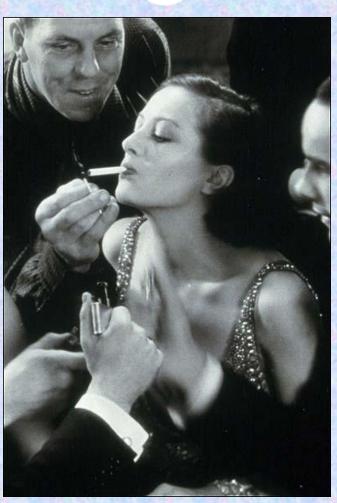
TV News and Viewers (product placement)





Movies and Viewers (product placement)





Bloggers and Readers





FTC rules. I have to wear it whenever I'm blogging.





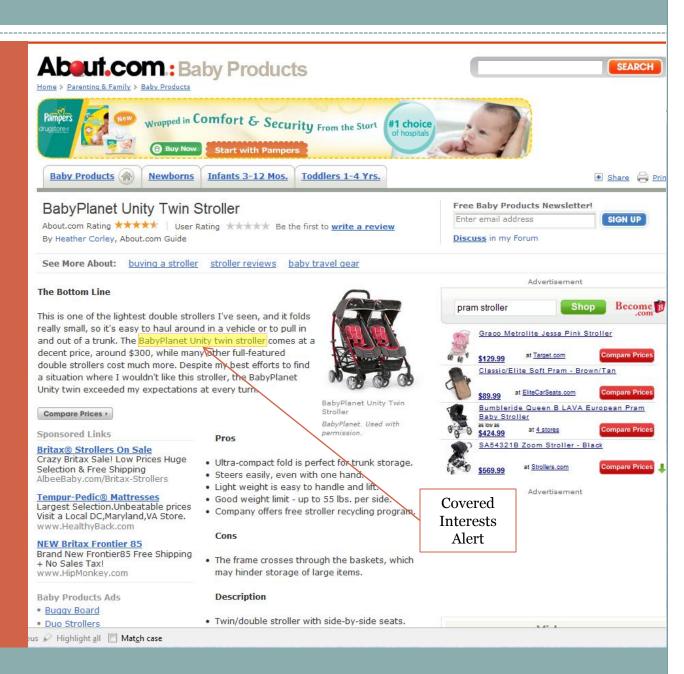


Highlights

Conflict of interest displayed within the review

Reader chooses how the conflict of interest is displayed, e.g., with a highlight, footnote mark, underline, or box

Reader chooses what is a material conflict of interest for display.



Summary



	Product Review Ontology	Financial Ontology	Legislation Ontology	Proposed Bias Ontology
Complexity	Simple	Complex	Medium	Medium
Private vs. Government Standard Setter	Private	Private- Government Partnership	Government	Private- Government Partnership
Government Incentive	N.A.	High	Low	Varies by Application
Private Incentive	High	High	N.A.	Varies by Application
Extensible	No	Yes	N.A.	Yes

Problems with the Market Solution



The Public Goods Problem

- Standards development is expensive and participants have free riding incentives.
- Ontology use has significant network effects/positive externalities.

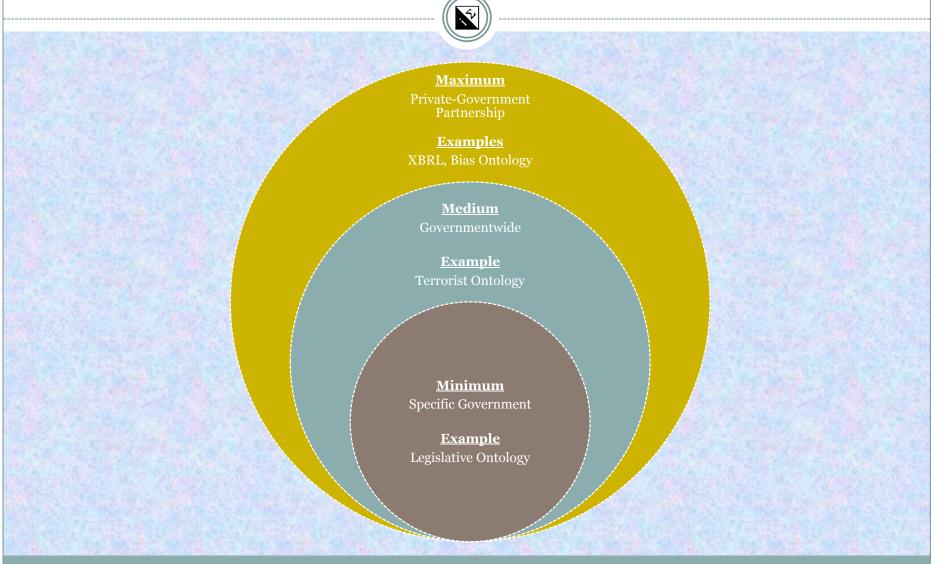
The Google Problem

- o Google cannot develop and endorse every potentially useful ontology.
- Most industries don't have a single competitor with 70%+ market share.

The Conflict of Interest Problem

- Private entities may not want to endorse ontologies that reduce their market power.
- Private entities may lack the means to enforce the use of ontologies.

Major Public Policy Issue: Degree of Ontology Database Integration



Conclusion



- Caveats
- Implementation timeframe
- Implications for open government and media reform



For more information, go to www.isolon.org

If you are interested in joining a standards group to develop a bias ontology, please email contact@isolon.org.







Democracy for the Information Age





Democracy for the Information Age



