

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b>		<b>LEAVE BLANK (NARA Use Only)</b>	
TO <b>NATIONAL ARCHIVES AND RECORDS ADMINISTRATION WASHINGTON, DC 20408</b>		JOB NUMBER <i>NI-431-08-5</i>	
1 FROM (Agency or establishment) <b>U S Nuclear Regulatory Commission</b>		DATE RECEIVED <i>5/2/08</i>	
2 MAJOR SUBDIVISION or PROGRAM <b>Office of Nuclear Reactor Regulation</b>		<b>NOTIFICATION TO AGENCY</b> In accordance with the provisions of 44 U S C 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3 MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER  Deborah H Armentrout, CRM <i>DHA</i>	5 TELEPHONE  301-415-7228	DATE <i>5/8/12</i>	ARCHIVIST OF THE UNITED STATES <b>WITHDRAWN</b>

6 **AGENCY CERTIFICATION**  
 I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required,       is attached, or       has been requested

DATE <i>4/21/08</i>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Margaret A. Janney</i> Margaret A Janney, CRM/NS	TITLE NRC Records Officer
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7 Item No	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS or Superseded Job Citation	10 Action Taken (NARA Use Only)
	<p><b>Title Industry Trends Program</b></p> <p>(See Attached Schedule)</p> <p><i>Richard W. Mitchell</i>      <i>4/14/08</i> NRR PMDA Director      Date</p> <p><i>Tracy B. ...</i>      <i>4/28/08</i> Office of General Counsel      Date</p>	<p>NC1-431-81-5, Item 4 1 2</p> <p>NC1-431-81-5, Item 4 4 7</p> <p>NC1-431-81-5, Item 1 2 15 a,</p> <p>N1-431-00-6, Item 3 a (4)</p> <p>N1-431-00-17, Item 9 a</p> <p>NC1-431-81-5, Item 4 4 8</p> <p>N1-431-008, Item 8</p>	<p><b>WITHDRAWN</b></p> <p><i>5/8/12</i></p>

**U. S. Nuclear Regulatory Commission  
INDUSTRY TRENDS PROGRAM (ITP)**

**INDUSTRY TRENDS PROGRAM (ITP)**

The Industry Trends Program is a process which evaluates selected power reactor licensee submittals for cause and severity. The evaluation is performed under a NRC contract to guidelines promulgated by the NRC Inspection Manual, Chapter 0313. The ITP evaluations result in an annual assessment of the operating reactor safety performance and performance trends. No electronic information system has been developed for the ITP program.

The official NRC electronic records are contained in ADAMS or other currently approved record keeping system.

**1) Inputs/Source Documents**

Reports prepared and submitted by the power reactor licensees that describe an operating reactor event. These reports are available to the public, NRC staff and contractors on the NRC web pages. Copies of these reports used to provide input to ITP are collected by the contractor. These reports may include:

- a) **Licensee Event Report (LER)** – Licensee developed reports of events with potential risk to the public required by 10 CFR 50.72, and the associated case file.

**Disposition: PERMANENT** Cut off and transfer to NARA at the end of the fiscal year. Transfer electronic copy to the National Archives for pre-accessioning one year after cut off. Transfer to the legal custody of the National Archives 20 years after cut off in accordance with NARA Bulletin 2004-02 and NARA regulations at 36 CFR 1228.270 and destroy the NRC copy of the files. [Supersedes NC1-431-81-5, Item 4.1.2, [NUREG 0910 Part 19, Item 3, “Case Study Reports”]]

- b) **Event Notifications** – Early notices of a significant event of possible safety or public interest prepared by headquarters or regional staff based on telephone or other informal communications.

**Disposition: TEMPORARY** Cut off and destroy when 5 years old. [Supersedes NC1-431-81-5, Item 4.4.7, [Not in NUREG 0910, Rev 4] “Preliminary Notification of Event or Unusual Occurrence”]]

**c) Institute of Nuclear Power Operations (INPO) Reports**

- 1. **Monthly Operating Reports** – Monthly reports are submitted by the power plant licensees to INPO through the Consolidated Data Entry (CDE) system, summarizing operating experience for the month. INPO provides this information to the NRC staff quarterly. Including five specific categories, (1) safety limits, limiting safety system settings, and limiting control settings, (2) limiting conditions for operation (LCOs), (3) surveillance requirements, (4) design features, and (5) administrative.

**Disposition: TEMPORARY**. Cut off at the end of the Fiscal year after evaluation is completed and verified. Destroy or delete the reports 5 years after cut off or when no longer required for business purposes.

2. **Operational Radiation Exposure Report** - Monthly exposure summary reports are submitted by the power plant licensees to INPO, summarizing radiation exposures for the month INPO provides this information to the NRC staff quarterly for trending purposes

**NOTE** Radiation exposure data for *individuals* are maintained in REIRS as Permanent records (NC1-431-89-6, Item 1 c) Licensee submitted personnel monitoring reports and personnel overexposure reports are retained for 2 years after entry into REIRS (NC1-431-89-6, Item 1 a and N1-431-00-19, Item 9 a), or Permanently if not placed into REIRS (NC1-431-89-6, Item 1 b and N1-431-00-19, Item 9 b) [Reference NUREG 0910 rev 4, Part 19, Items 14 and 16 ]

**Disposition: TEMPORARY.** Cut off at the end of the Fiscal year after evaluation is completed and verified Destroy or delete the reports 5 years after cut off or when no longer required for business purposes

2) **Master File**

No electronic information system master file is prepared

3) **Outputs**

Outputs from the Industry Trends program include the following reports The record copies of the reports are maintained in ADAMS, or other currently approved record keeping system

a. **Source reporting evaluation and analysis reports from contractor**

1. **Quarterly Submittals** -- Consists of a summary of the findings of the reviews of Licensee Event Reports (LERs) and other operational data and information submitted by the contractor to NRC The data is reported as statistical charts and graphs for the previous quarter

**Disposition: TEMPORARY.** Cut off at end of the Fiscal year Destroy when the Annual Report is received

2. **Annual Report** -- Consists of a summary of the findings of the reviews of Licensee Event Reports (LERs) and other operational data and information submitted by the contractor to NRC The data is reported as statistical charts and graphs for the Fiscal year

**Disposition: TEMPORARY** Cut off at end of the Fiscal year Destroy when 20 years old

b. **EDO Report to NRC Commissioners – Annual report uses the input from the source report.**

**Disposition: PERMANENT.** Cut off at close of fiscal year Transfer electronic copy to the National Archives for pre-accessioning one year after cut off Transfer to the legal custody of the National Archives 10 years after cut off in accordance with NARA Bulletin 2004-02 and NARA regulations at 36 CFR 1228.270 and destroy the NRC copy of the files [Supersedes NC1-431-81-5, Item 1.2.15 a, and N1-431-00-6, Item 3 a (4), [NUREG 0910, Part 11, Item 3 a, “General Program Correspondence Files (at the Office Director Level)”]]

c. **NUREG -1542 Series, “NRC Performance and Accountability Report”**-- Annual report to Congress that includes summary information on industry performance derived from the EDO Report

**Disposition: PERMANENT** Cut off at close of fiscal year Transfer electronic copy to the National Archives for pre-accessioning one year after cut off Transfer to the legal custody of the National Archives 10 years after cut off in accordance with NARA Bulletin 2004-02 and NARA

regulations at 36 CFR 1228 270 and destroy the NRC copy of the files [Supersedes N1-431-00-17, Item 9 a]

4) **ITP System Documentation**

NRC Inspection Manual 0313, "Industry Trends Program" provides guidance for the preparation of the evaluations and the annual reports

**Disposition: PERMANENT.** Cut off when superseded or cancelled. Transfer electronic copy to the National Archives for pre-accessioning one year after cut off. Transfer to the legal custody of the National Archives 10 years after cut off in accordance with NARA Bulletin 2004-02 and NARA regulations at 36 CFR 1228 270 and destroy the NRC copy of the files. [Supersedes NC1-431-81-5, Item 4 4 8 and N1-431-008, Item 8, [NUREG 0910, Part 18, Item 10, "NRC Inspection Manual"]]

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