

**Validity Testing Information Part I**

Laboratory Name: KROLL LABORATORY SPECIALISTS, INC.

Address: 1111 NEWTON ST., GRETNA, LA 70053

Responsible Person: PAT PIZZO (Printed Name)

I certify that the answers and information provided are true and correct as of this date. Any false, fictitious, or fraudulent answers or information provided may violate Federal Law and could subject me to prosecution, monetary penalties, or both (Sec 18 U.S.C. 1001; 31 U.S.C. 3801-812).

  
\_\_\_\_\_  
Signature, Responsible Person

OCTOBER 4, 2000  
Date

PAT PIZZO  
\_\_\_\_\_  
Printed Name, Responsible Person



**Kroll Laboratory  
Specialists**

WORLD LEADER IN SUBSTANCE ABUSE TESTING

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Gretna, LA 70053

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Fax: (504) 361-1530

Toll Free: (800) 433-3823

[www.kroll-labs.com](http://www.kroll-labs.com)

October 16, 2000

John Mitchell, Ph.D.  
National Laboratory Certification Program  
Research Triangle Institute  
3040 Cornwallis Road  
Research Triangle Park, NC 27709

RE: Review of Data from October 3, 1998 to Present

Dear Dr. Mitchell:

If you have any questions on the data, please contact me immediately.

Sincerely,

Pat Pizzo, B.S., BCFE  
V.P. Production/Director of Toxicology

PP/bb

## Validity Testing Information Part II

Conduct an audit of all DOT regulated specimens from the date your laboratory started validity testing. Summarize your findings in an Excel spread sheet in both hard copy and electronic format. Provide the following information in a separate column of the spreadsheet/audit for *each* DOT regulated specimen that was reported either adulterated or substituted:

- Specimen ID number
- Laboratory Accession Number
- Date of receipt
- Date reported
- Reported result (i.e., adulterated or substituted)
- Quantitative test result (e.g., actual creatinine concentration and specific gravity reading; actual pH reading; adulterant identity and its concentration if applicable)

*Note: Retain a copy of this information to ensure that you would be able to retrieve additional data.*

I certify that the answers and information provided are true and correct as of this date. Any false, fictitious, or fraudulent answers or information provided may violate Federal Law and could subject me to prosecution, monetary penalties, or both (Sec 18 U.S.C. 1001; 31 U.S.C. 3801-812).

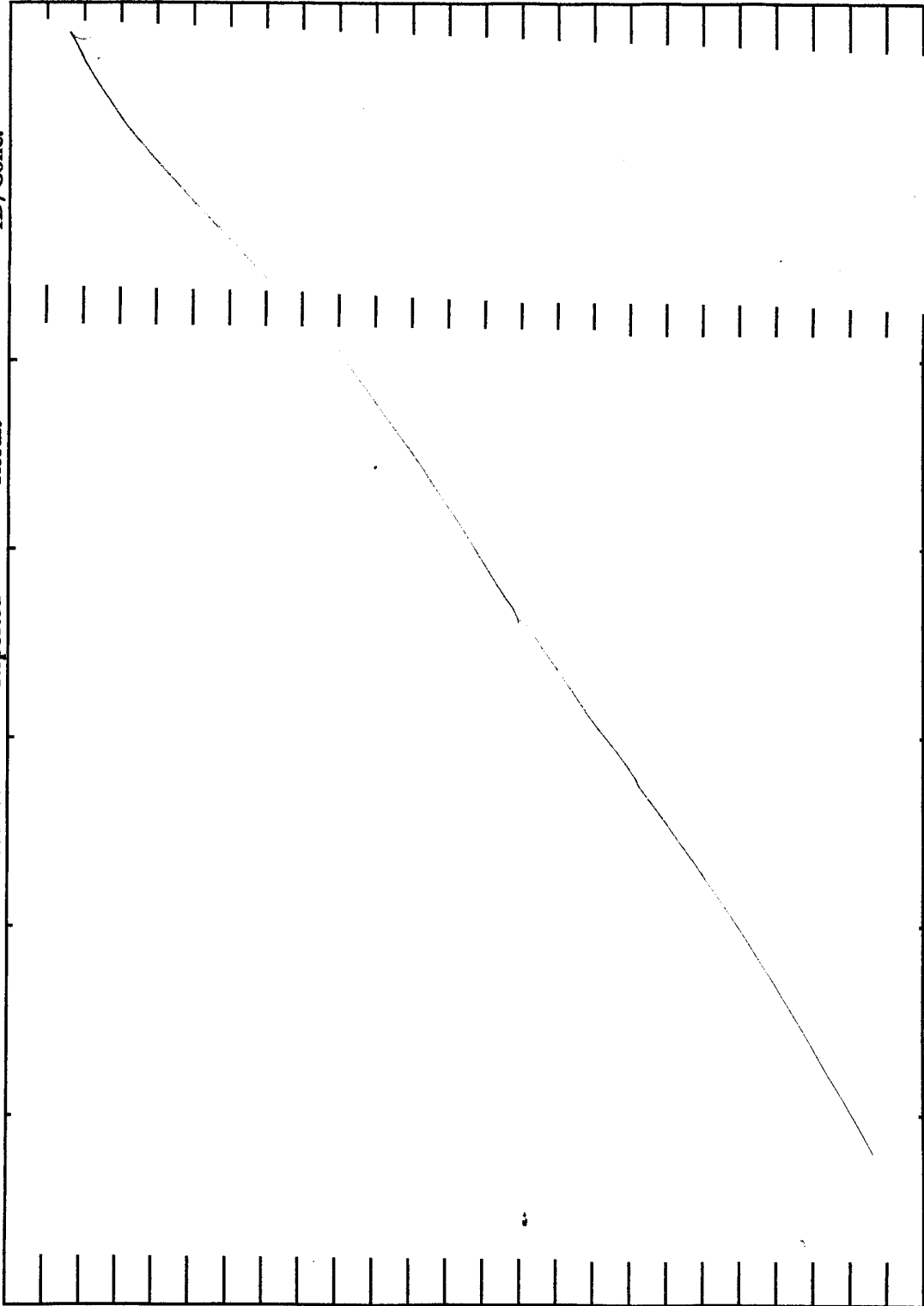
  
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Signature, Responsible Person

10/16/02  
\_\_\_\_\_  
Date

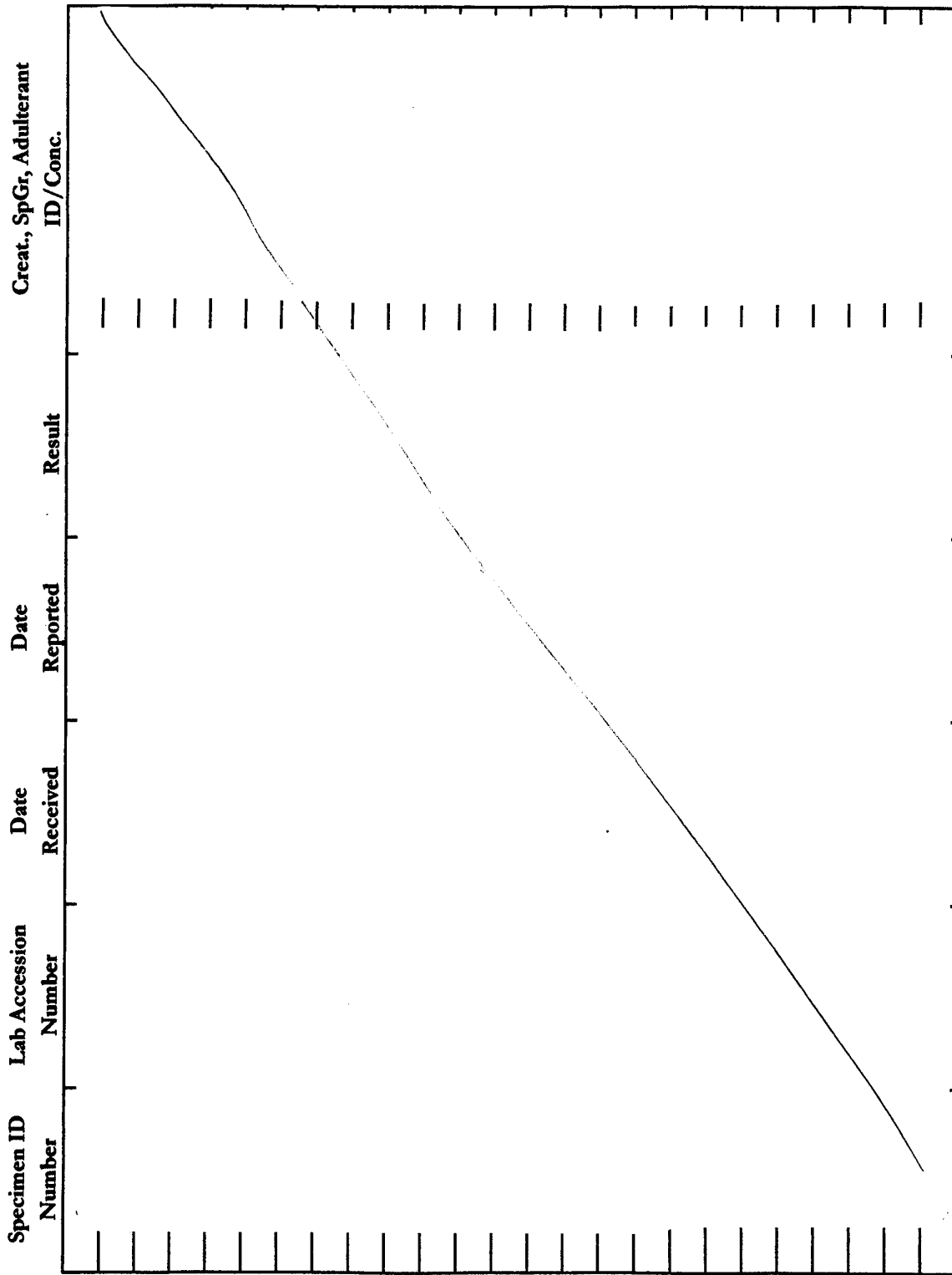
PATRICIA  
\_\_\_\_\_  
Printed Name, Responsible Person

Kroll Laboratory Specialists, Inc.

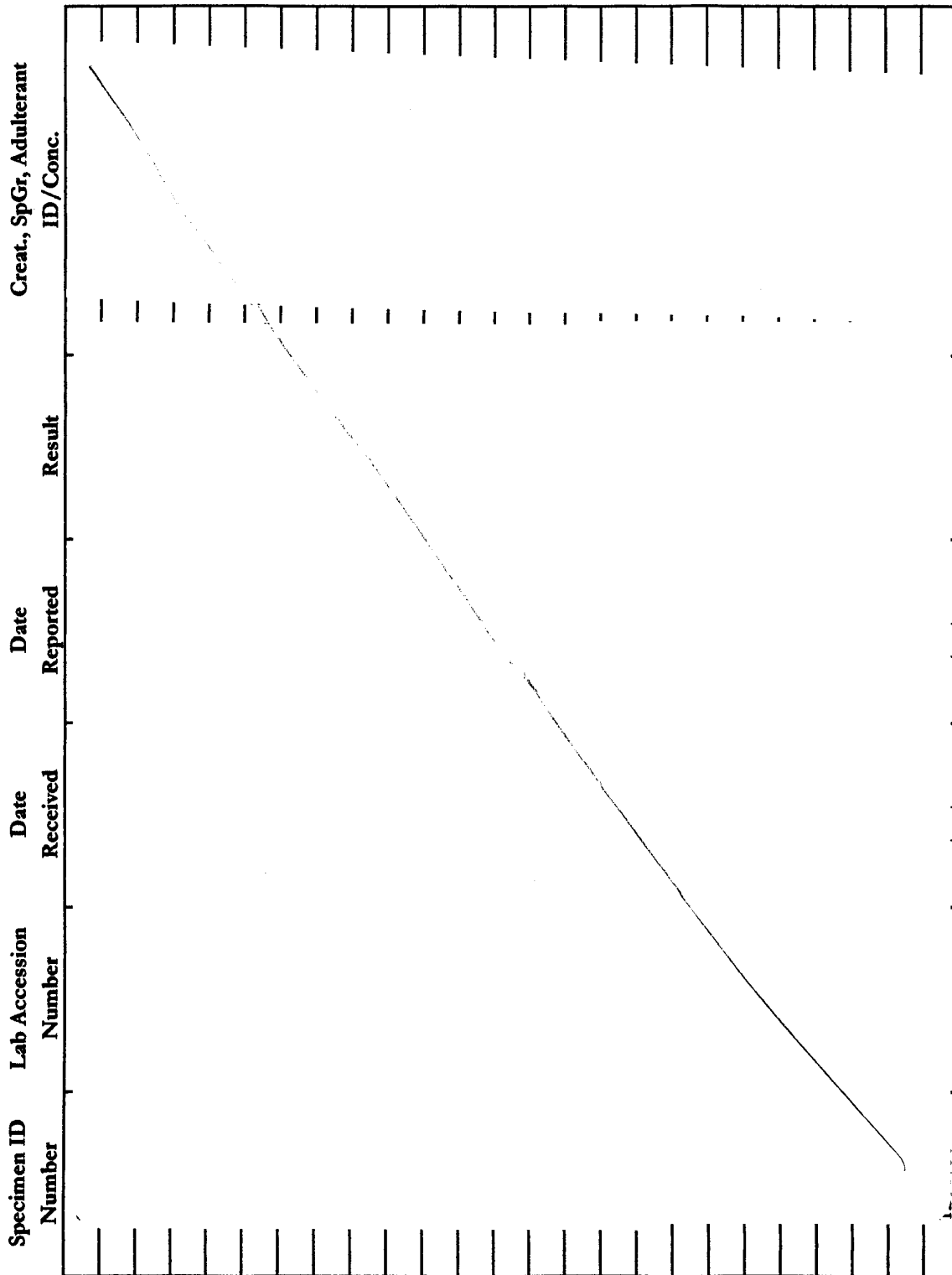
Specimen ID    Lab Accession    Date    Date    Result    Creat., SpGr, Adulterant  
Number            Number    Received    Reported       ID/Conc.



Kroll Laboratory Specialists, Inc.



Kroll Laboratory Specialists, Inc.



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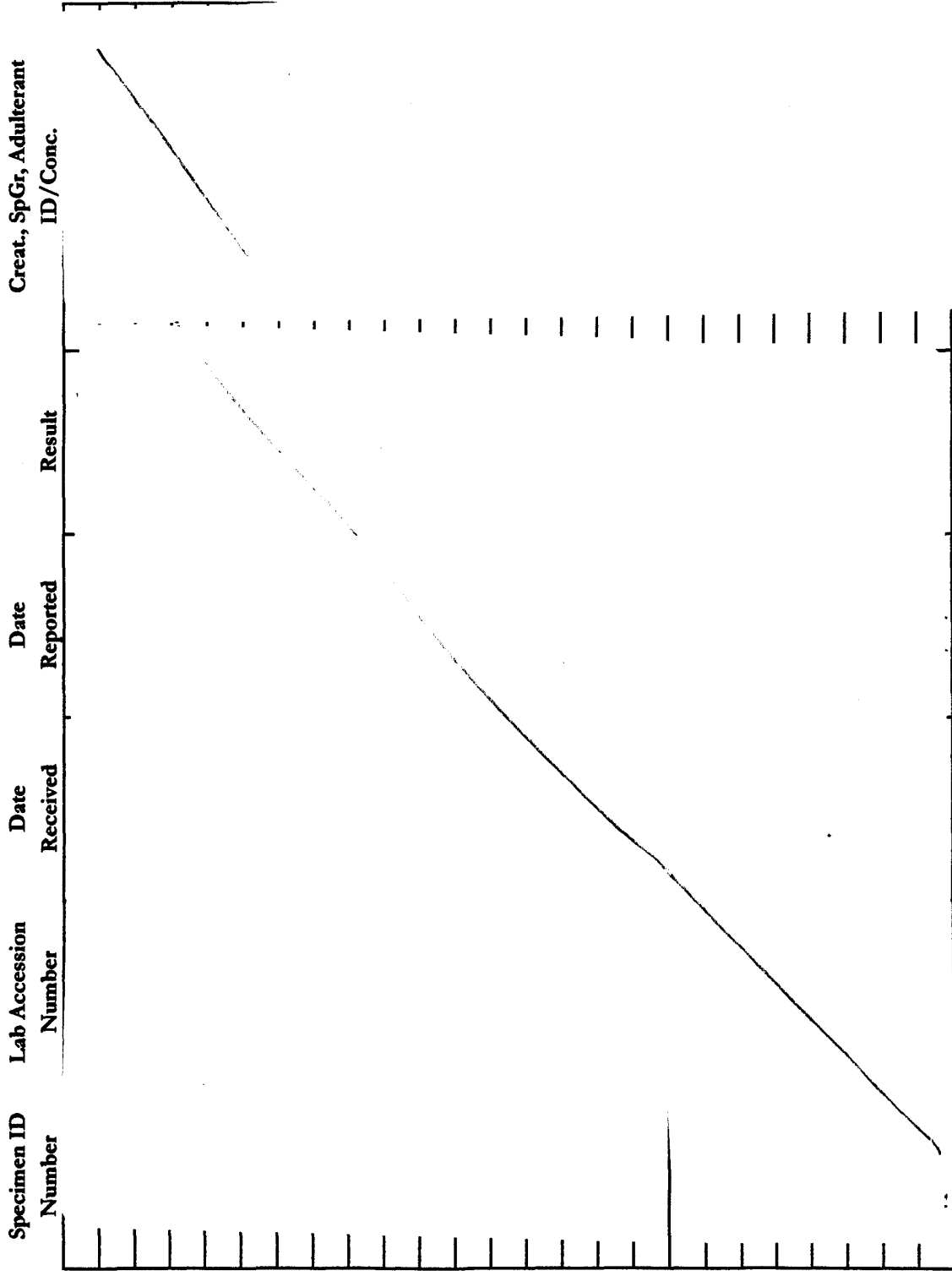
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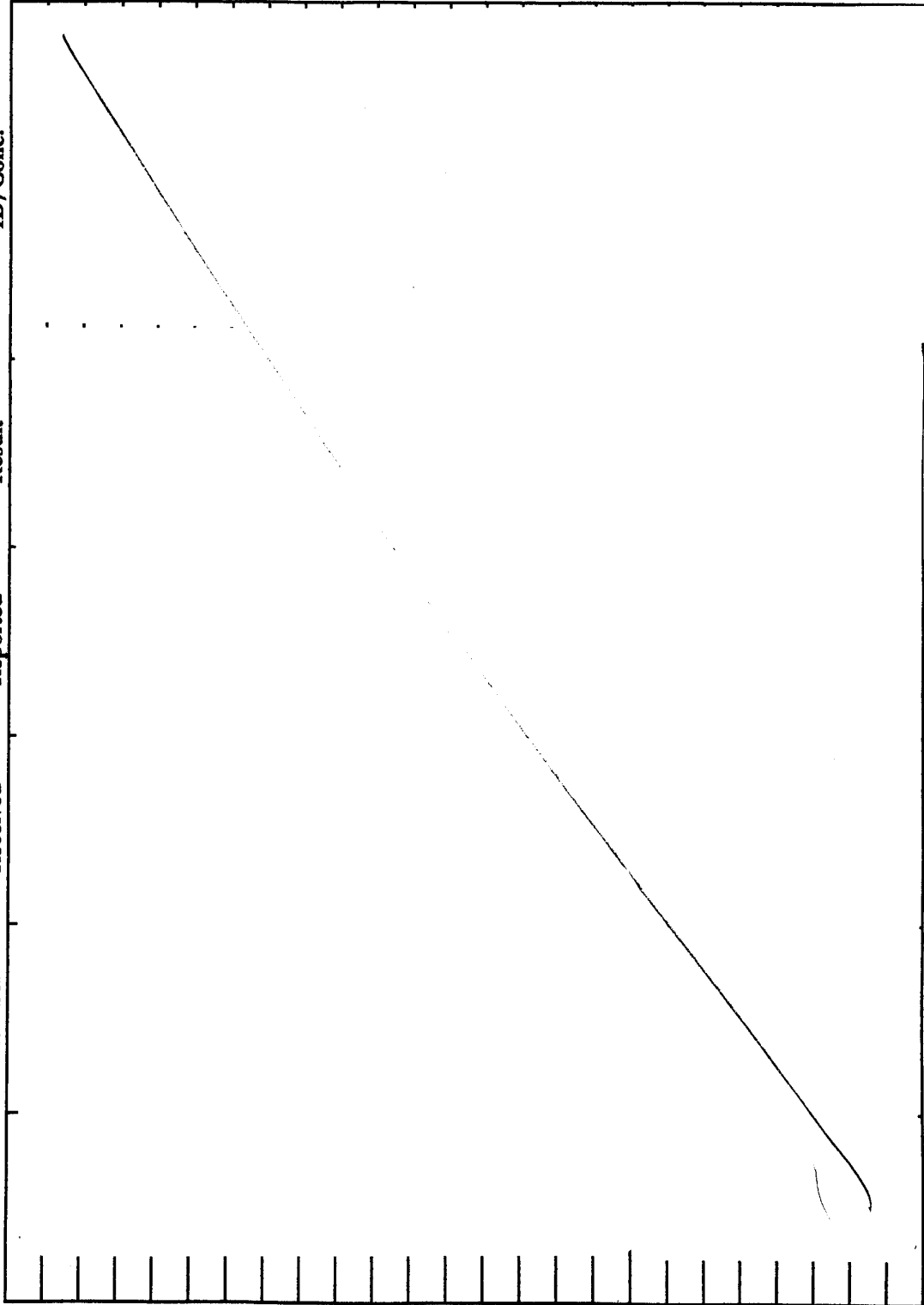


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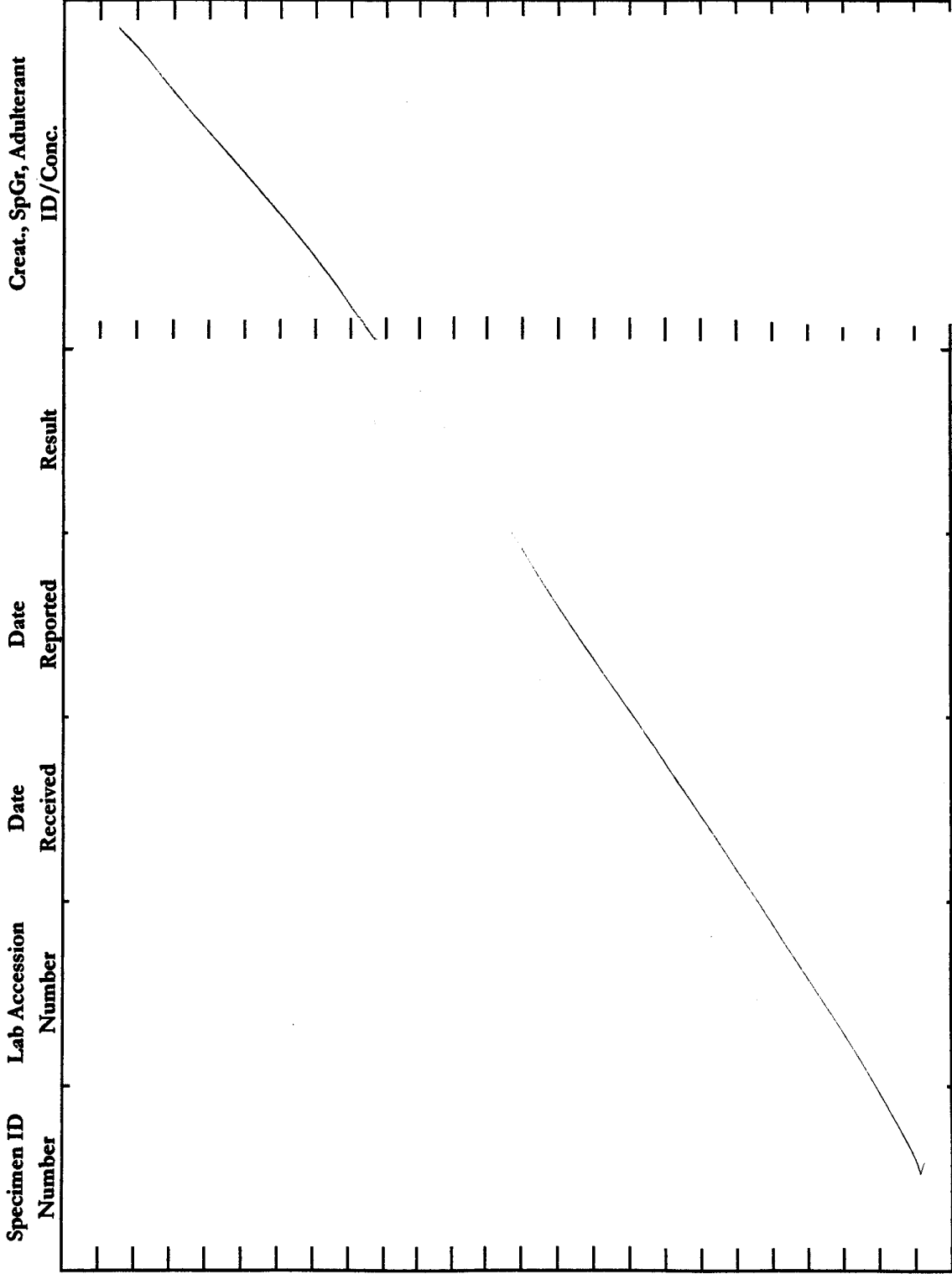


Kroll Laboratory Specialists, Inc.

Specimen ID    Lab Accession    Date    Date    Creat., SpGr, Adulterant  
Number        Number        Received    Reported    ID/Conc.

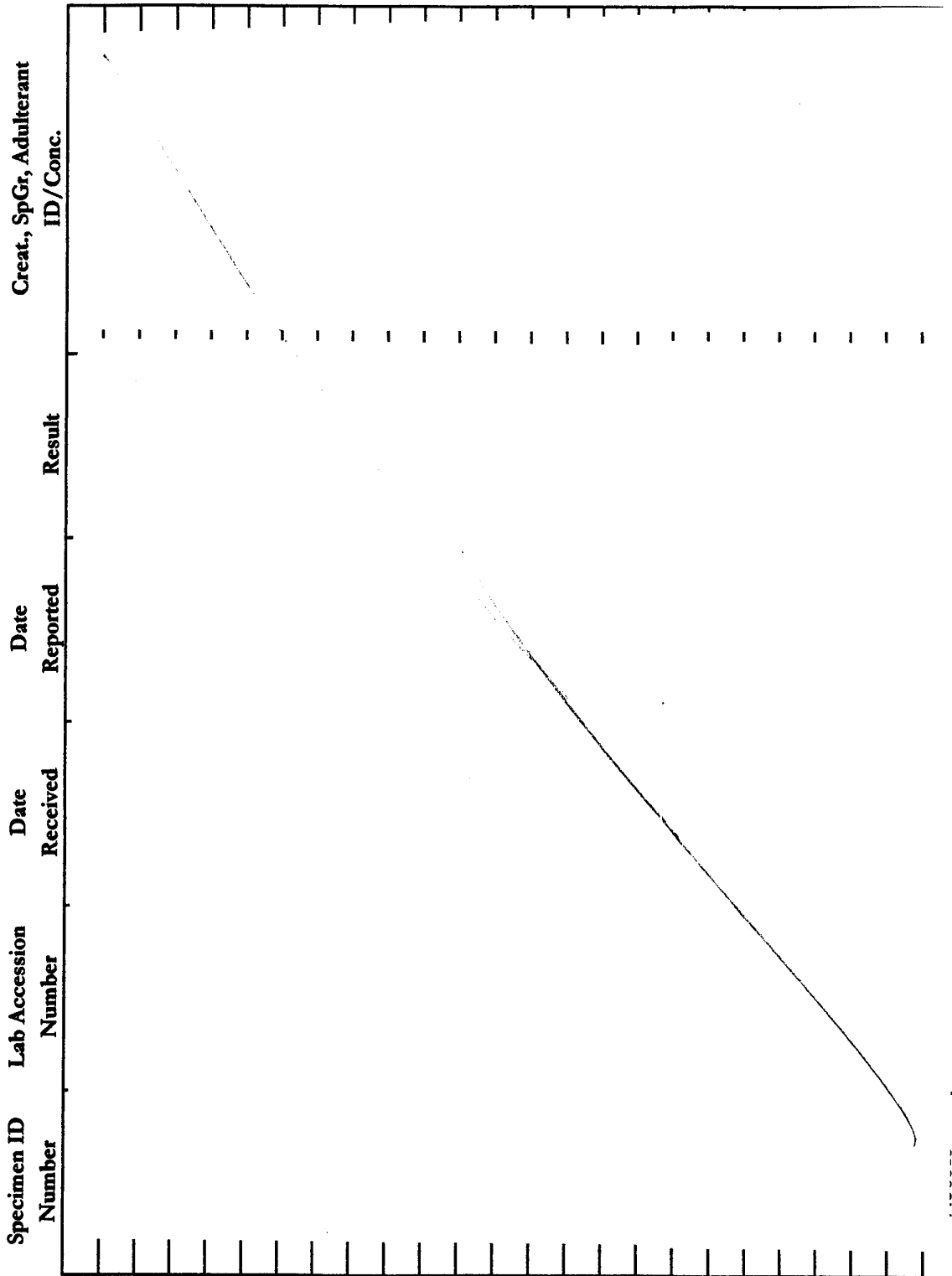


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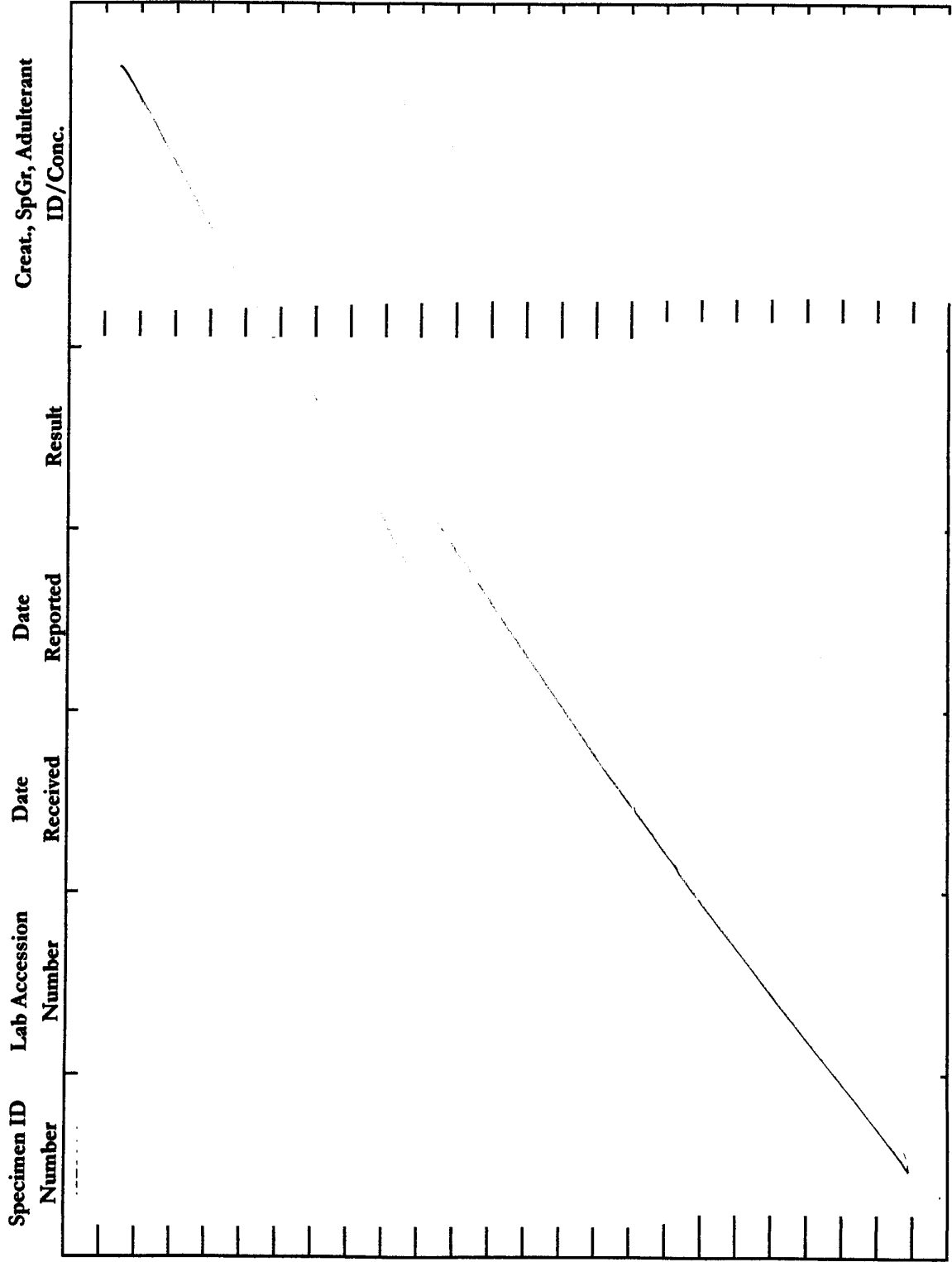


Specimen ID Number      Lab Accession Number      Date Received      Date Reported      Result      Creat., SpGr, Adulterant ID/Conc.

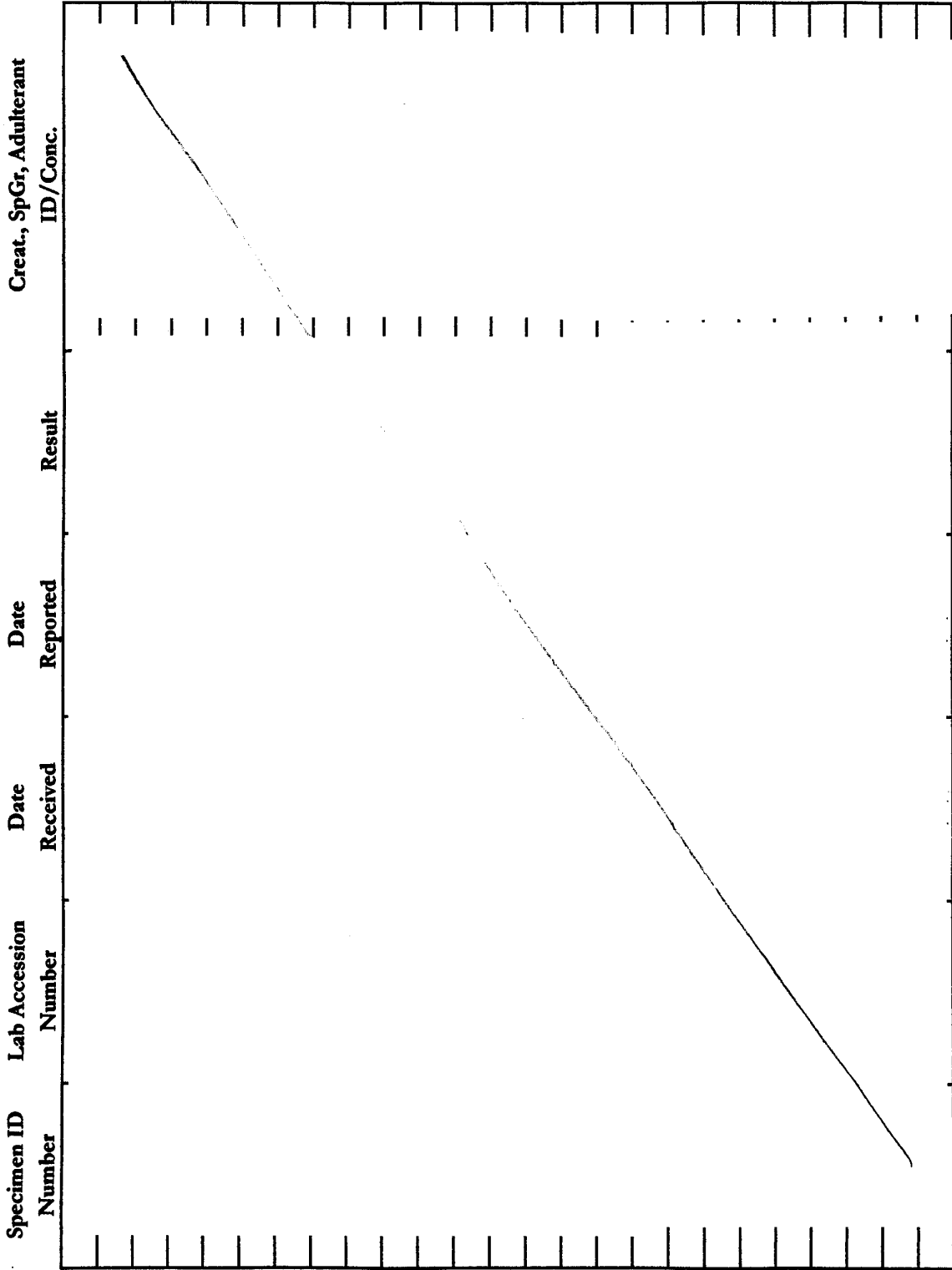
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Creat., SpGr, Adulterant  
ID/Conc.

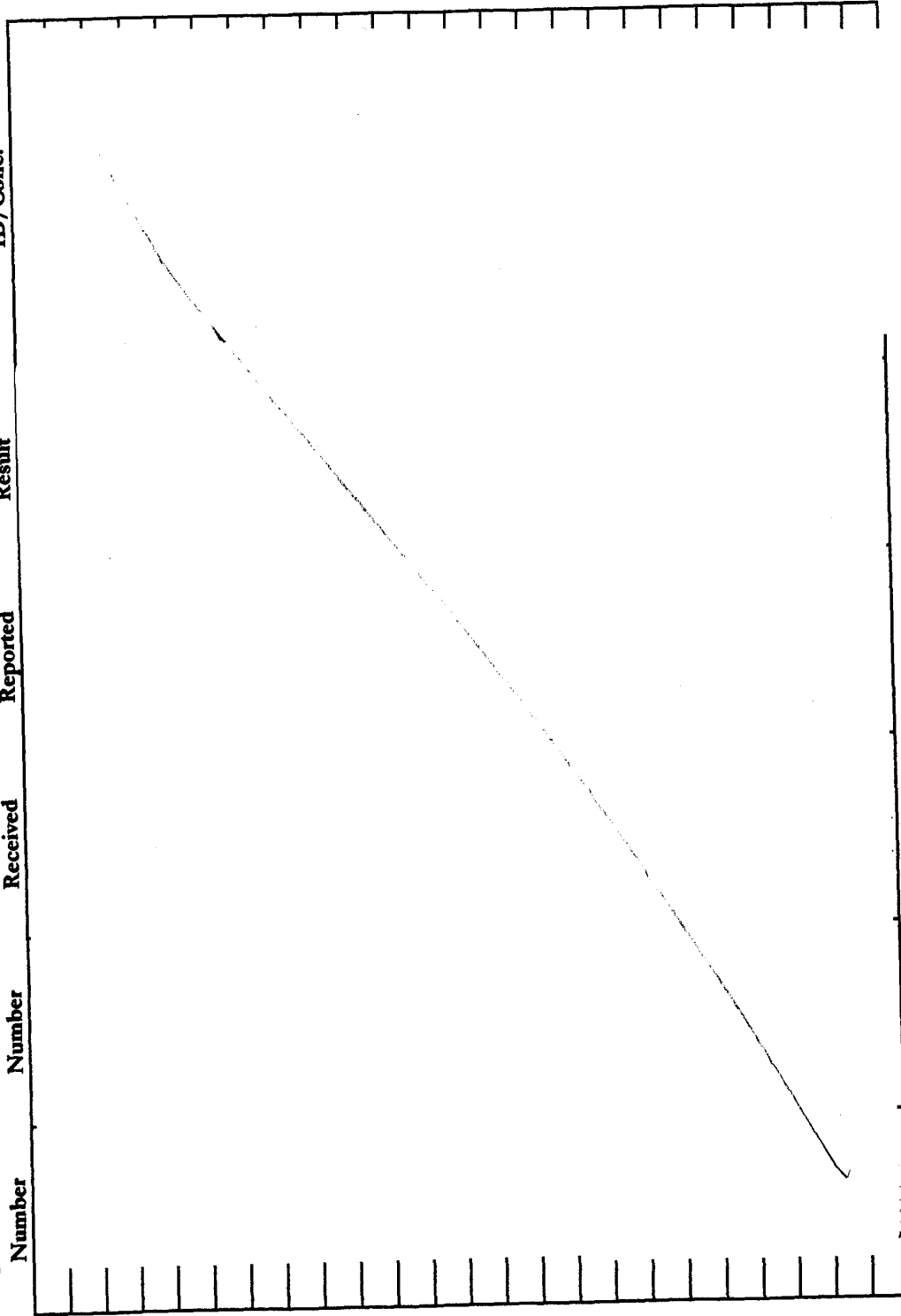
Result

Date  
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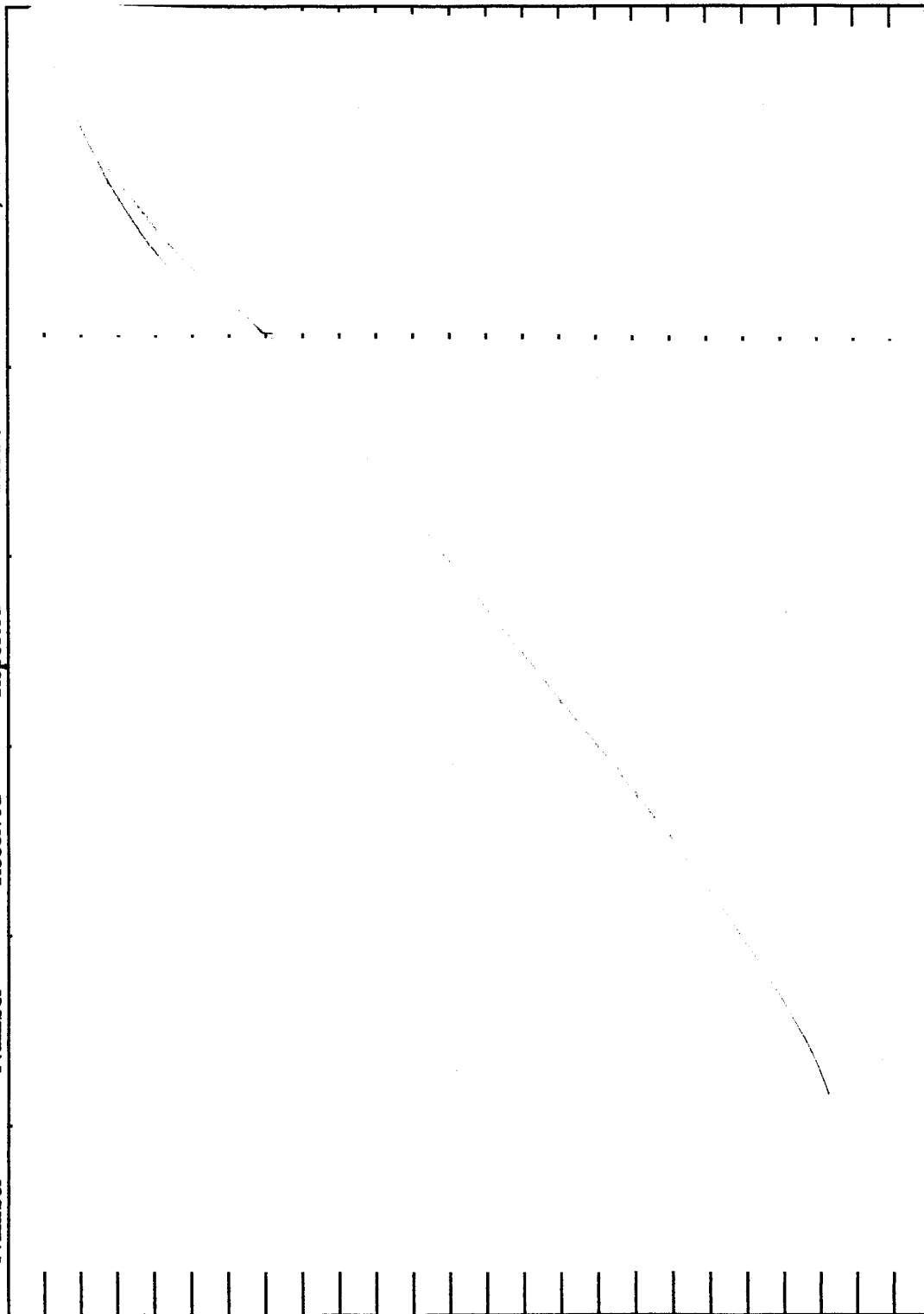
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Number

Specimen ID  
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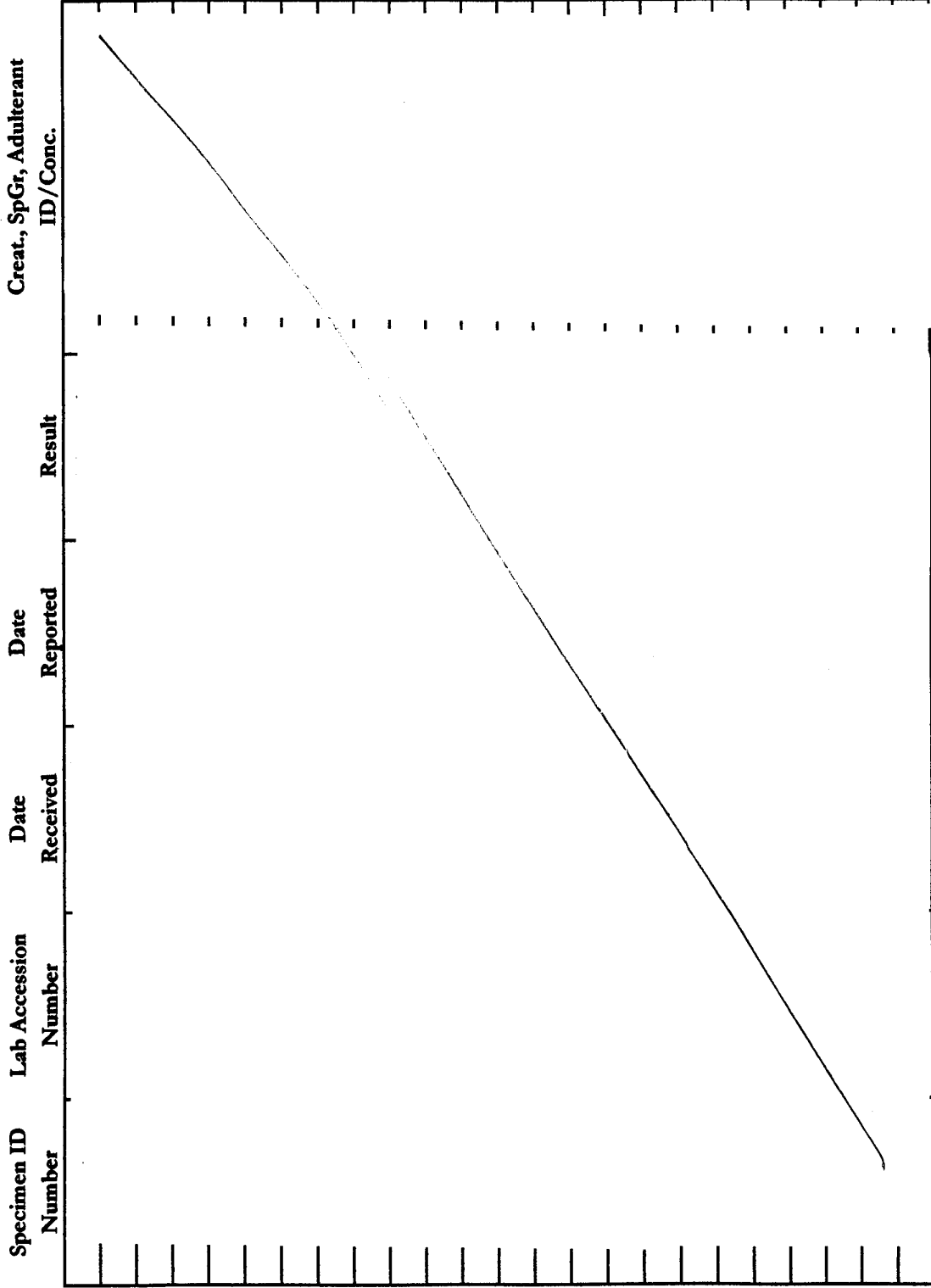


Kroll Laboratory Specialists, Inc.

Specimen ID    Lab Accession    Date    Date    Creat., SpGr, Adulterant  
Number        Number        Received    Reported    ID/Conc.



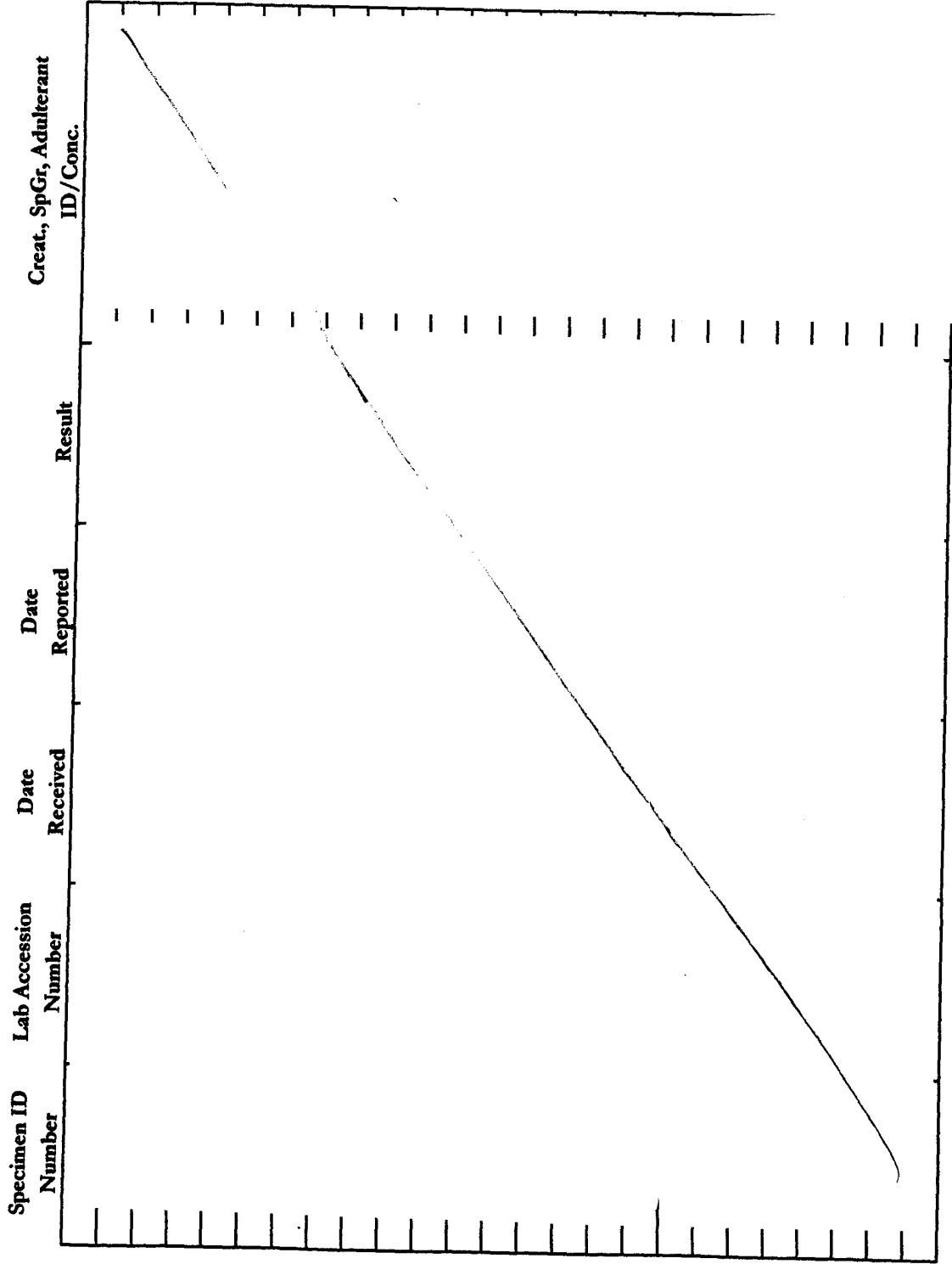
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Specimen ID  
Number

Lab Accession  
Number

Date  
Received

Date  
Reported

Result

Creat., SpGr, Adulterant  
ID/Conc.





RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

December 4, 2000

0083  
Ms. Pat Pizzo  
Dr. Leon Glass  
Kroll Laboratory Specialists, Inc.  
1111 Newton Street  
Gretna, LA 70053

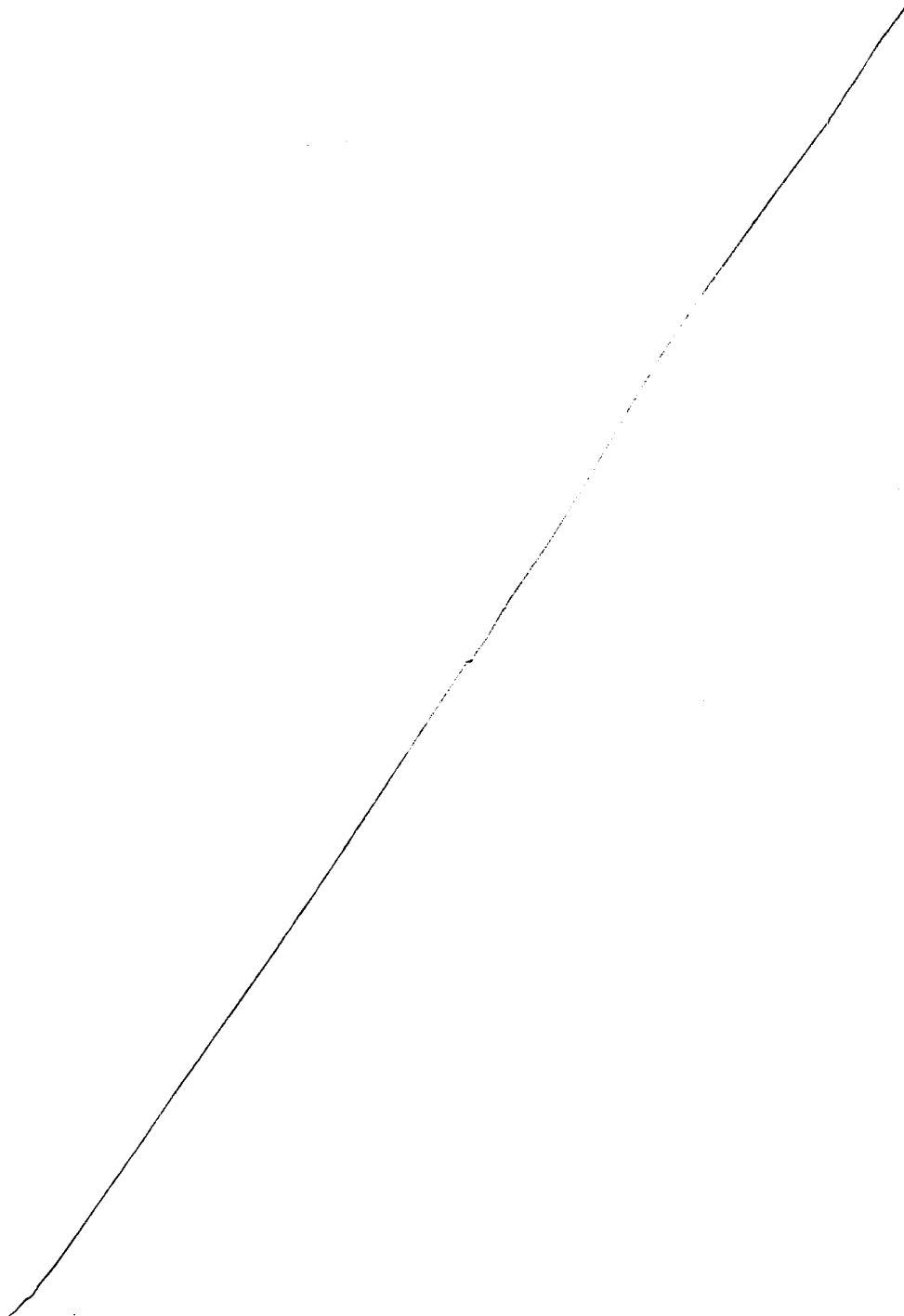
Dear Ms. Pizzo and Dr. Glass:

The enclosed critique was developed from the inspection report associated with the November 1-3, 2000, specimen validity testing inspection of your laboratory under the National Laboratory Certification Program (NLCP).

The laboratory must address the following issues raised in the November 1-3, 2000, inspection:



Ms. Pizzo  
Dr. Glass  
Page 2 of 3  
12/04/00



Ms. Pizzo  
Dr. Glass  
Page 3 of 3  
12/04/00

The laboratory must take corrective action to address the issues outlined above and provide documentation to RTI or the inspectors at the next specimen validity testing inspection as described. Please organize the material for the inspectors in accordance with the sections and item numbers as listed in this correspondence. The laboratory must also review the enclosed critique and take all necessary corrective actions. ***Failure to comply may result in the laboratory's suspension to perform specimen validity testing on federally regulated specimens.***

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael Baylor at (919) 541-7043.

Sincerely,



Susan Crumpton  
NLCP Technical Analyst

Enclosure

cc: Project Files/svt083



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## NATIONAL LABORATORY CERTIFICATION PROGRAM

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### Document Review and Critique

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Laboratory I.D. Number: 0083  
Document No. Final

Laboratory: Kroll Laboratory Specialists, Inc.

Location: Gretna, LA

Document Reviewed:  Specimen Validity Testing Inspection Report

Date: 1 November 2000

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A review of the National Laboratory Certification Program (NLCP) consensus inspection report has been completed. Issues identified during the inspection are described on the following pages. Evidence that appropriate remedial action has been taken is required for continued certification.

The following comments were noted, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

Section E. Standard Operating Procedures - Procedures Manual

Section F. Chain-of-Custody, Accessioning, and Security

Section G. Quality Control and Quality Assurance

Section I. Specimen Validity Tests

Section K. Records Audit

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Section L. Certification and Reporting

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**received**  
12/13/00 SD

December 13, 2000

Susan Crumpton  
NLCP Technical Analyst  
National Laboratory Certification Program  
Research Triangle Institute  
3040 Cornwallis Road  
Research Triangle Park, NC 27709

RE: Special Validity Testing (SVT) Inspection November 2000

Dear Ms. Crumpton:

Attached is Kroll Laboratory Specialists, Inc.'s response to the critique of the SVT inspection. If you require additional information, please contact us.

Sincerely,

Pat Pizzo, B.S., BCFE  
V.P. Production/Director of Toxicology

PP/bb

Attachment

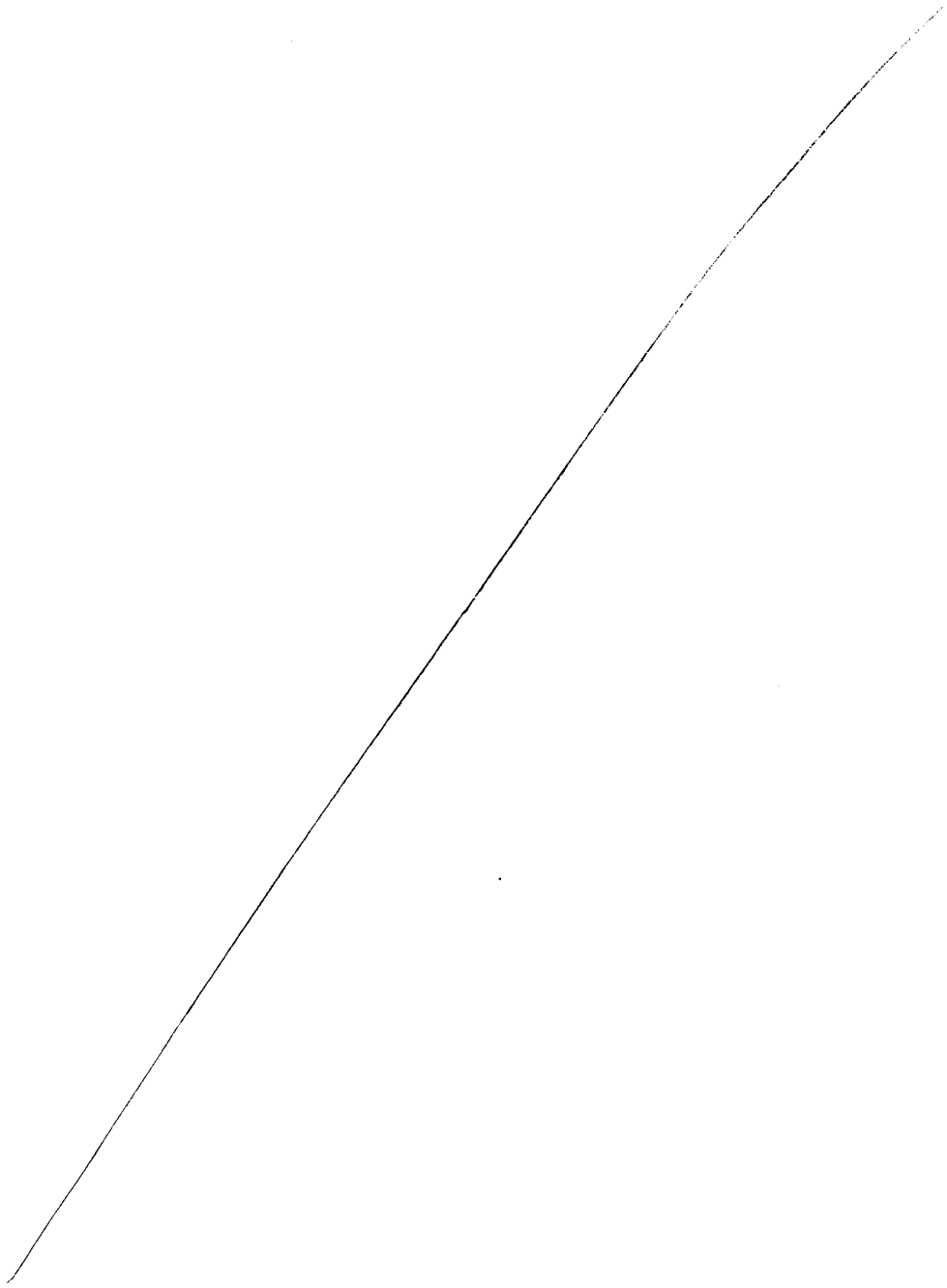


RESPONSE TO CRITIQUE OF  
VALIDITY TESTING INSPECTION  
NOVEMBER 2000

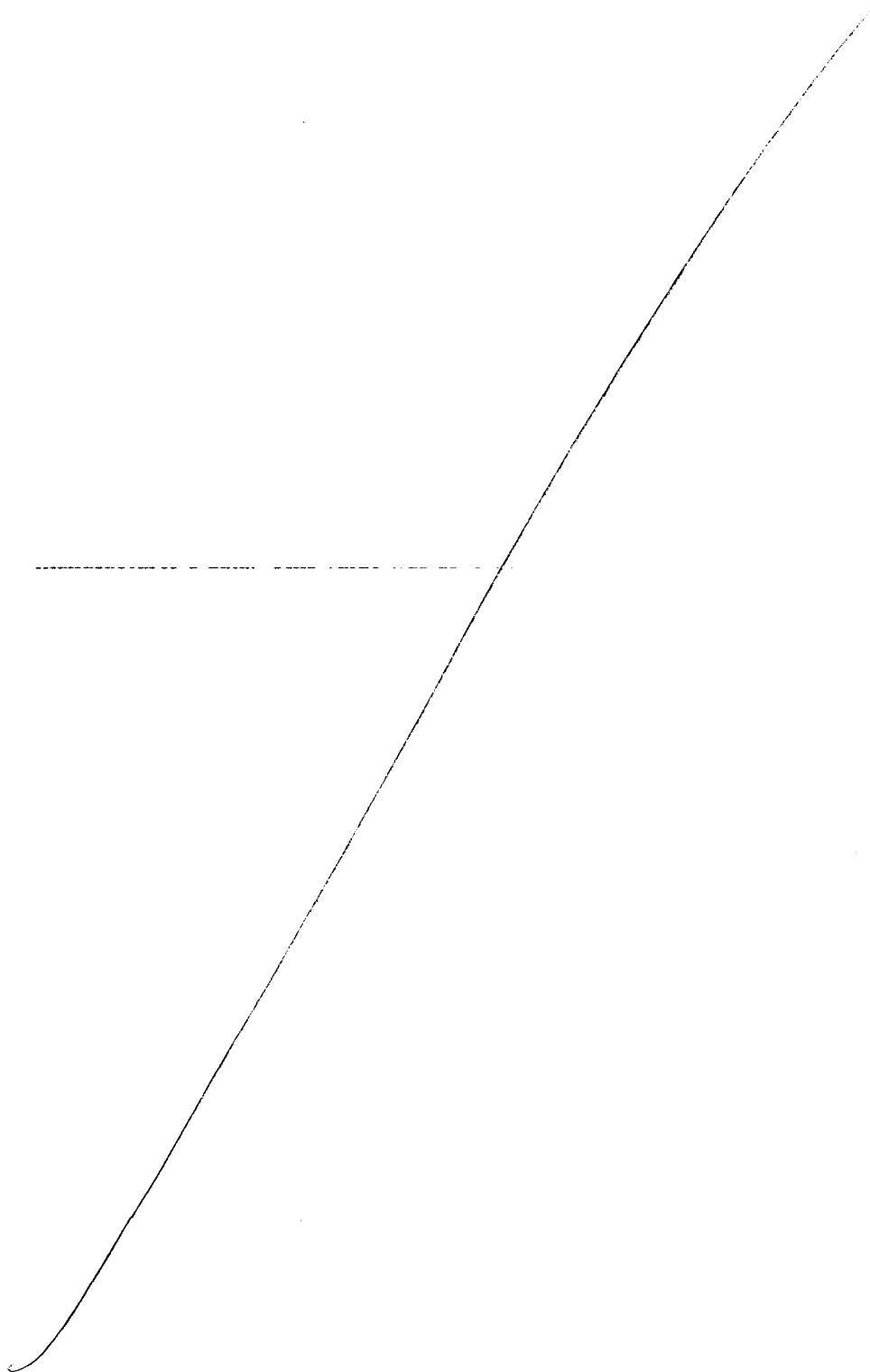
Section E: Standard Operating Procedures – Procedures Manual

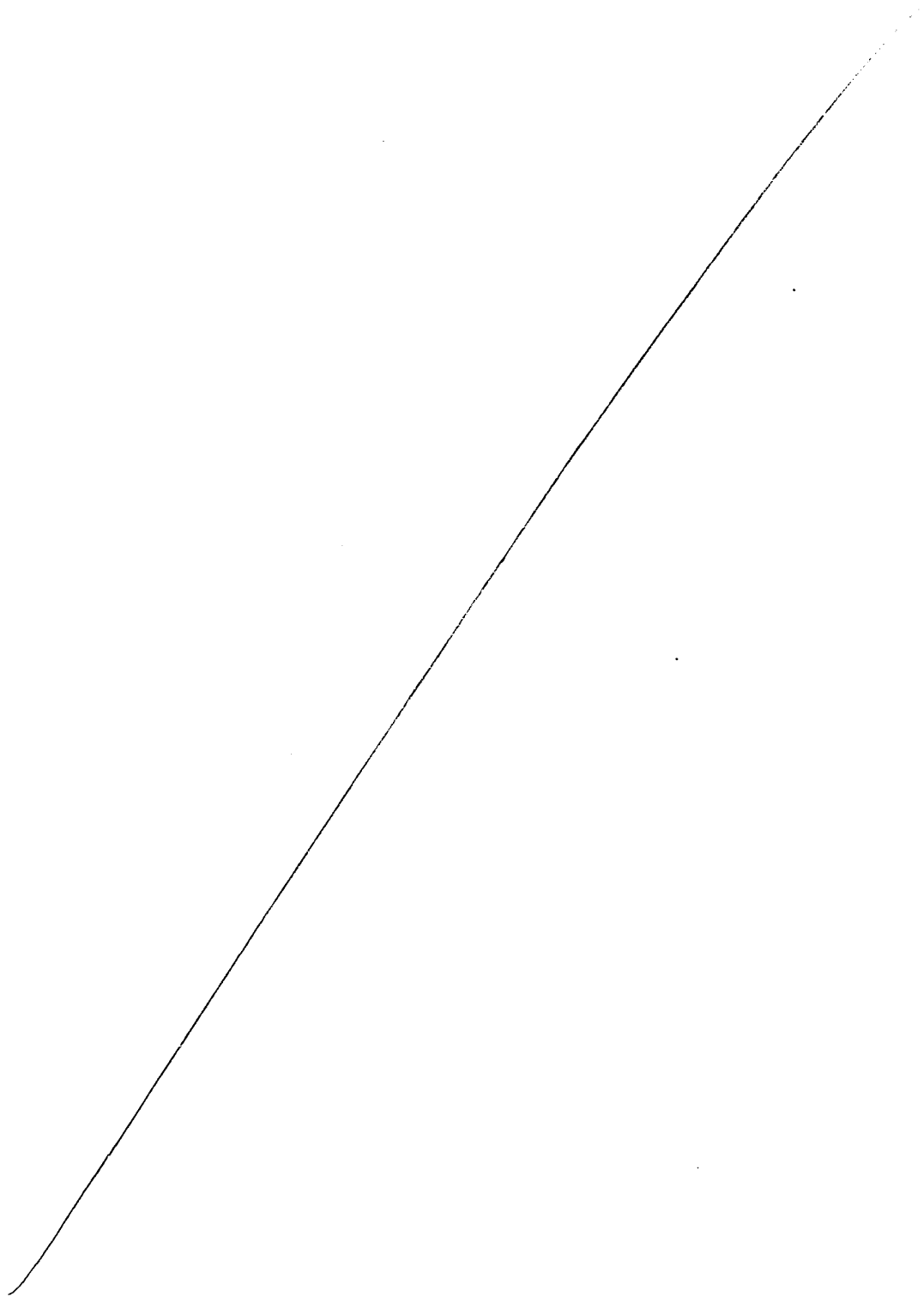
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Section I. Specimen Validity Tests



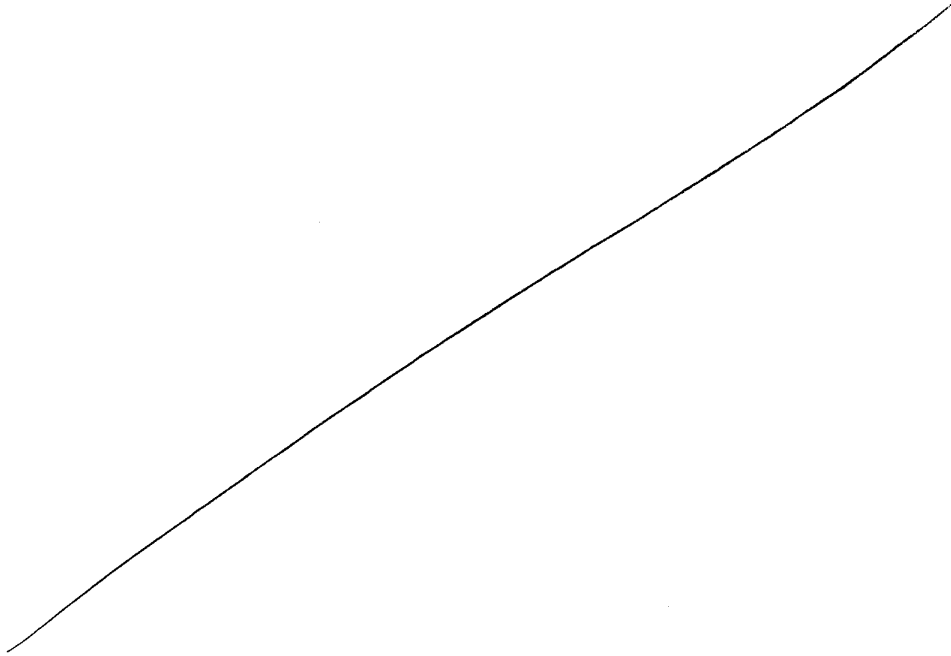






Section K. Records Audit

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RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

December 21, 2000

0083  
Ms. Pat Pizzo  
Dr. Leon Glass  
Kroll Laboratory Specialists, Inc.  
1111 Newton Street  
Gretna, LA 70053

Dear Ms. Pizzo and Dr. Glass:

We have reviewed the material provided in your correspondence of December 13, 2000, submitted in response to issues raised during the November 1-3, 2000, specimen validity testing inspection of your laboratory as outlined in our correspondence of December 4, 2000. It appears that the laboratory has taken steps to address some issues raised.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael R. Baylor at (919) 541-7043.

Sincerely,

A handwritten signature in cursive script that reads "Susan Crumpton".

Susan Crumpton  
NLCP Technical Analyst

cc: Project Files/SVT083





RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

February 6, 2001

0083  
Ms. Pat Pizzo  
Dr. Leon Glass  
Kroll Laboratory Specialists, Inc.  
1111 Newton Street  
Gretna, LA 70053

Dear Ms. Pizzo and Dr. Glass:

We have reviewed the inspector's report from the January 17-18, 2001, specimen validity testing inspection of your laboratory. The information submitted by the inspector appears to demonstrate that appropriate corrective actions have been completed to address the issues raised in the laboratory's November 1, 2000, specimen validity testing inspection. The laboratory must take steps to address the following issues raised:



Ms. Pizzo  
Dr. Glass  
Page 2 of 3  
02/06/01

Ms. Pizzo  
Dr. Glass  
Page 3 of 3  
02/06/01

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If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael R. Baylor at (919) 541-7043.

Sincerely,



Susan Crumpton  
NLCP Technical Analyst

cc: Project Files/SVT0083



**Kroll Laboratory  
Specialists**  
WORLD LEADER IN SUBSTANCE ABUSE TESTING

**received**  
2/14/01 SDC

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February 13, 2001

Susan Crumpton  
NLCP Technical Analyst  
National Laboratory Certification Program  
Research Triangle Institute  
3040 Cornwallis Road  
Research Triangle Park, NC 27709

RE: Special Validity Testing (SVT) Inspection January 2001

Dear Ms. Crumpton:

Attached is Kroll Laboratory Specialists, Inc.'s response to the critique of the second SVT inspection. If you require additional information, please contact us.

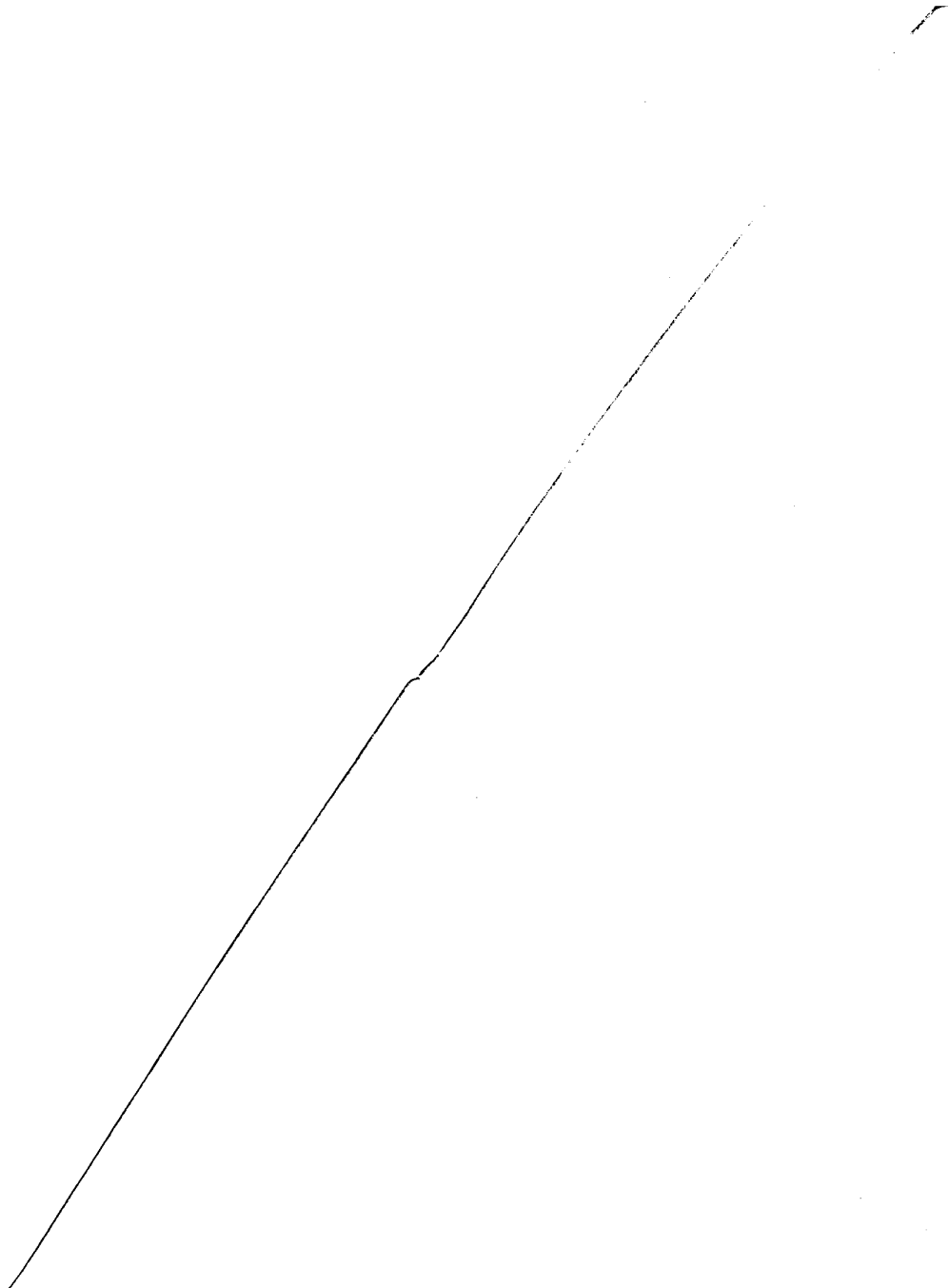
Sincerely,

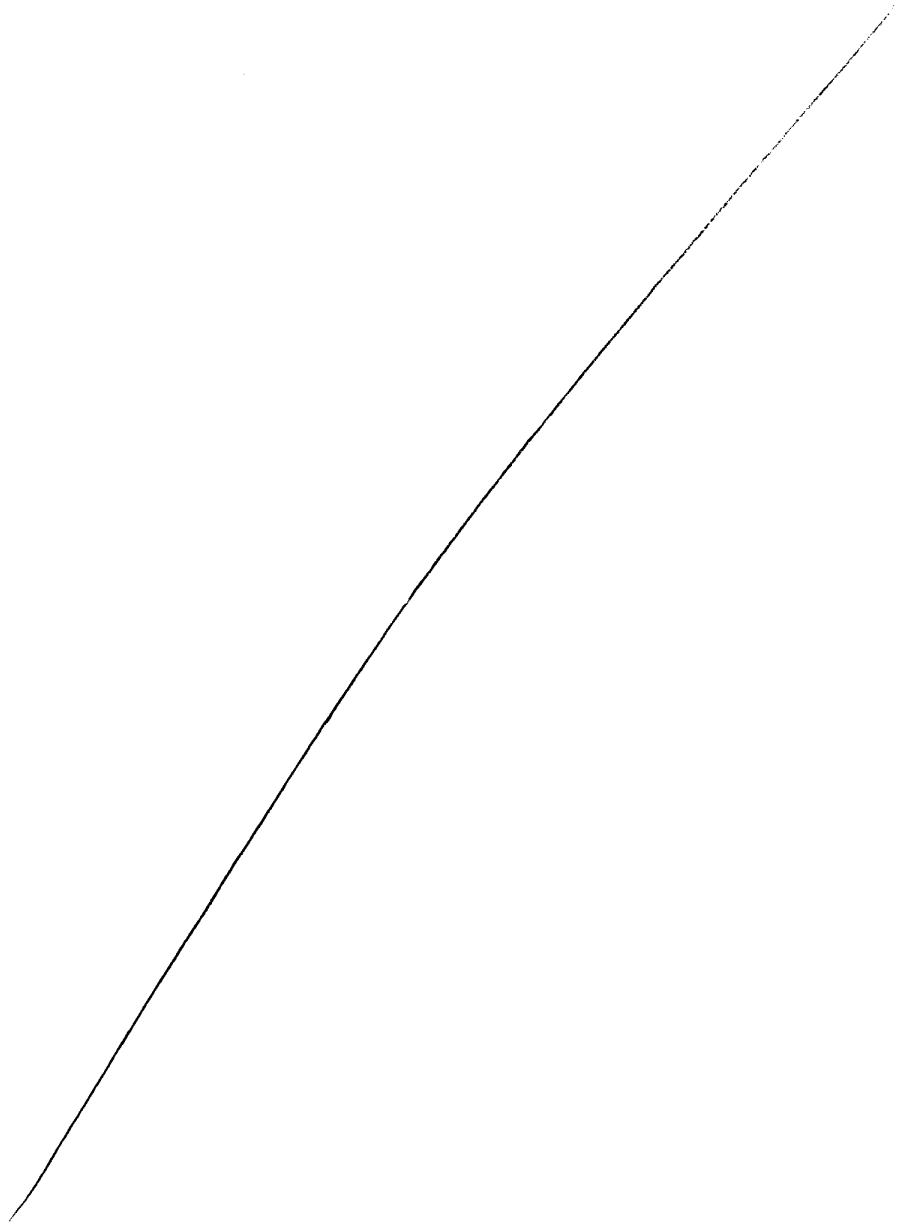
Pat Pizzo, B.S., BCFE  
V.P. Production/Director of Toxicology

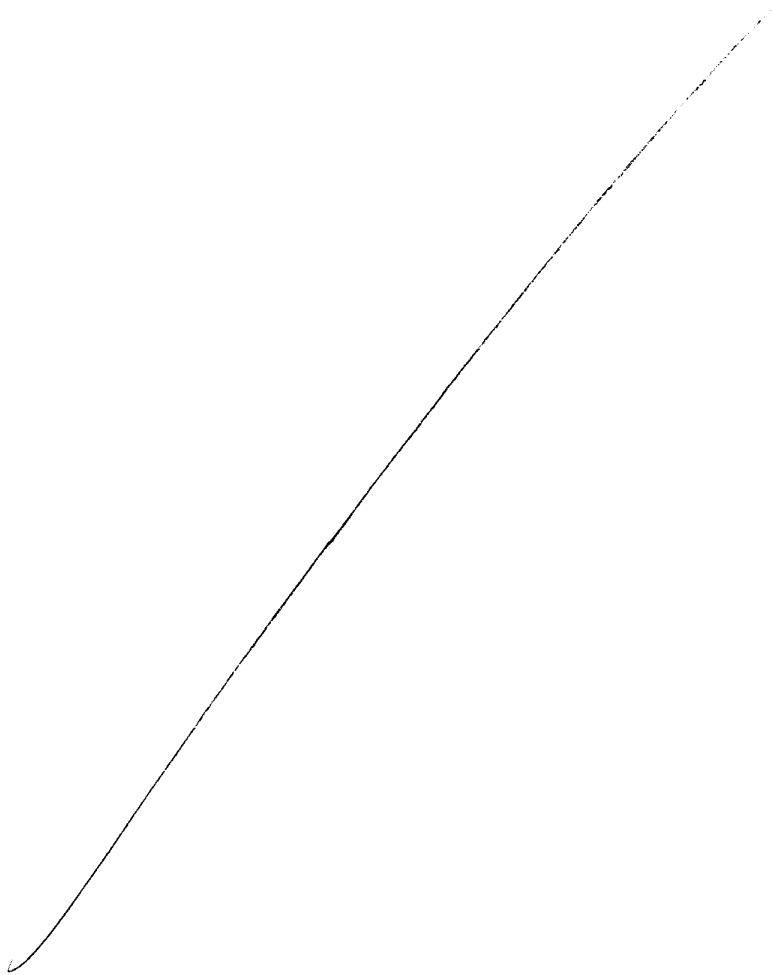
PP/bb

Attachment

RESPONSE TO CRITIQUE OF  
VALIDITY TESTING INSPECTION  
JANUARY 2001







RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

February 19, 2001

0083  
Ms. Pat Pizzo  
Dr. Leon Glass  
Kroll Laboratory Specialists, Inc.  
1111 Newton Street  
Gretna, LA 70053

Dear Ms. Pizzo and Dr. Glass:

We have reviewed the material provided in your correspondence of February 13, 2001, submitted in response to issues raised during the January 17-18, 2001, specimen validity testing inspection of your laboratory as outlined in our correspondence of February 6, 2001. The information submitted by the laboratory appears to demonstrate that appropriate corrective actions have been completed to address the issues raised.

Based upon our review of the material submitted, it appears that the laboratory's specimen validity testing procedures are in compliance with program guidance. All corrective actions will be reviewed during the next inspection. Failure to comply may result in the laboratory's suspension to perform specimen validity testing on federally regulated specimens or referral to the Department of Transportation for Public Interest Exclusion action.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael R. Baylor at (919) 541-7043.

Sincerely,

  
Susan Crumpton  
NLCP Technical Analyst

cc: Project Files/SVT2\_083

