### Validity Testing Information Part I

Laboratory Name: Laboratory Corporation of America Holdings Address: 1904 Alexander Prive RTP NC 27778

Responsible Person: Francis MEsposito (Printed Name)

I certify that the answers and information provided are true and correct as of this date. Any false, fictitious, or fraudulent answers or information provided may violate Federal Law and could subject me to prosecution, monetary penalties, or both (Sec 18 U.S.C. 1001; 31 U.S.C. 3801-812).

Signature, Responsible Person

Date

Printed Name, Responsible Person

#### Validity Testing Information Part II

Conduct an audit of all DOT regulated specimens from the date your laboratory started validity testing. Summarize your findings in an Excel spread sheet in both hard copy and electronic format. Provide the following information in a separate column of the spreadsheet/audit for each DOT regulated specimen that was reported either adulterated or substituted:

- Specimen ID number
- Laboratory Accession Number
- Date of receipt
- Date reported
- Reported result (i.e., adulterated or substituted)
- Quantitative test result (e.g., actual creatinine concentration and specific gravity reading; actual pH reading; adulterant identity and its concentration if applicable)

Note: Retain a copy of this information to ensure that you would be able to retrieve additional data.

I certify that the answers and information provided are true and correct as of this date. Any false, fictitious, or fraudulent answers or information provided may violate Federal Law and could subject me to prosecution, monetary penalties, or both (Sec 18 U.S.C. 1001; 31 U.S.C. 3801-812).

Signature, Responsible Person

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Francis Esposito
Printed Name, Responsible Person

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#### RESEARCH TRIANGLE INSTITUTE

November 3, 2000

National Laboratory Certification Program

0077
Dr. Francis M. Esposito
Mr. Steve Van Nus
Laboratory Corporation of America Holdings
1904 Alexander Drive
P.O. Box 12652
Research Triangle Park, NC 27709

Dear Dr. Esposito and Mr. Van Nus:

The enclosed critique was developed from the inspection report associated with the October 25-27, 2000, specimen validity testing inspection of your laboratory under the National Laboratory Certification Program (NLCP). The laboratory's procedures were not in full compliance with program guidance issued in Program Document 035 (September 28, 1998) and Program Document 037 (July 28, 1999). The laboratory must submit information to address the following issue raised:

The laboratory must submit, within 30 calendar days of receipt of this letter, documentation to demonstrate that corrective actions have been implemented to address this issue. The laboratory must also review the enclosed critique and take all necessary corrective actions. All corrective actions must be implemented within 30 days receipt of this correspondence and will be reviewed at the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael Baylor at (919) 541-7043.

Sincerely,

Susan Crumpton

NLCP Technical Analyst

Dr. Esposito Mr. Van Nus Page 2 of 2 11/03/00

#### Enclosure

cc: Project Files/svt077

### NATIONAL LABORATORY CERTIFICATION PROGRAM

### **Document Review and Critique**

Laboratory I.D. Number: <u>0077</u>

Document No. Final

Laboratory:

**Laboratory Corporation of America Holdings** 

Location:

Research Triangle Park, NC

Document Reviewed:

[XX] Specimen Validity Testing Inspection Report

Date: 25 October 2000

A review of the National Laboratory Certification Program (NLCP) consensus inspection report has been completed. Issues identified during the inspection are described on the following pages. Evidence that appropriate remedial action has been taken is required for continued certification.

The following comments were noted, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

Section E. Standard Operating Procedures - Procedures Manual

Section F. Chain-of-Custody, Accessioning, and Security

Section G. Quality Control and Quality Assurance

Section I. Specimen Validity Tests

Section K. Records Audit

Section L. Certification and Reporting



received

Laboratory Corporation of America® Holdings PO Box 12652 1904 Alexander Drive Research Triangle Park, North Carolina 27709

Telephone: 800-833-3984 919-572-6900

December 6, 2000

Research Triangle Institute
National Laboratory Certification Program
Attn: Susan Crumpton
PO Box 12194
3040 Cornwallis Road
Research Triangle Park, NC 27709

Dear Ms. Crumpton:

Our laboratory has received your letter dated November 3, 2000 Results of this study are enclosed.

If there are any questions, please contact me at 572-7511.

Sincerely,

Francis M. Esposito, Ph.D., DABFT

**Laboratory Director** 





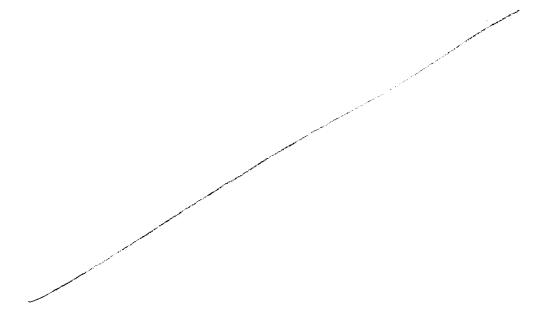
National Laboratory Certification Program

December 11, 2000

0077
Dr. Francis M. Esposito
Mr. Steve Van Nus
Laboratory Corporation of America Holdings
1904 Alexander Drive
P.O. Box 12652
Research Triangle Park, NC 27709

Dear Dr. Esposito and Mr. Van Nus:

We have reviewed the material provided in your correspondence of December 6, 2000, submitted in response to issues raised during the October 25-27, 2000, specimen validity testing inspection of your laboratory as outlined in our correspondence of November 3, 2000. The information submitted by the laboratory appears to demonstrate that appropriate corrective actions have been completed to address the issues raised. However, the following was noted during our review of the laboratory's submission:





Dr. Esposito Mr. Van Nus Page 2 of 2 12/11/00

Based upon our review of the material submitted, it appears that the laboratory's specimen validity testing procedures are in compliance with program guidance. All corrective actions must be implemented within 30 days of the receipt of this correspondence and will be reviewed during the next inspection. Failure to comply may result in the laboratory's suspension to perform specimen validity testing on federally regulated specimens.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael R. Baylor at (919) 541-7043.

Sincerely,

Susan Crumpton

**NLCP Technical Analyst** 

cc: Project Files/SVT077