



Behavioral Health is Essential To Health



Prevention Works



Treatment is Effective



People Recover



Fiscal Year 2012 Offender Reentry Program Request for Applications


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Presentation Outline

- Overview of SAMHSA/CSAT 2012 Offender Reentry Program (ORP) Request for Applications (RFA) – No. TI-12-003
- Questions and Answers



Overview of 2012 Offender Reentry Program Request for Applications

- Purpose: To expand and/or enhance substance abuse treatment and related recovery and reentry services to sentenced adult offenders returning to the community from incarceration for criminal offenses.
- Due Date: May 1, 2012
- Available Funding: Up to \$4.595 million
- Estimated Number of Awards: Up to 12
- Estimated Award Amount: Up to \$400,000
- Length of Project Period: Up to 3 years (pg. 4)

Access and Review of Solicitation

- The Offender Reentry Program 2012 Competitive Grant Announcement can be accessed on SAMHSA's website at: <http://www.samhsa.gov/grants/>
- You must respond to the requirements in the RFA in preparing your application.
- You must use the forms in the application package to complete your application.
- Note: Additional materials are available to assist you in completing your application on this posting.

Review of RFA Eligibility

Eligible applicants are domestic public and private nonprofit entities. For example:

- State and local governments
- Federally recognized American Indian/Alaska Native (AI/AN) Tribes and tribal organizations
- Urban Indian organizations
- Public or private universities and colleges
- Community- and faith-based organizations (pg 15)

Review of RFA Eligibility

Those **not** eligible:

- Federal Bureau of Prisons institutions and its various correctional/community corrections institutions and programs.
- Applicants that propose to serve offenders who are currently in the Federal Bureau of Prisons or its various correctional/community corrections institutions and programs. (pg. 16)



Review of RFA

Target Population

Applicants must propose to serve:

- Adult offenders/ex-offenders 18 years of age and older (or as defined by your State law) under the jurisdiction of the criminal justice system who have been sentenced to incarceration as adults. Must document if state defines different age range for adult offenders. (pg 6)

Review of RFA Expectations

Adult offenders must meet the following criteria to receive services funded under this grant program:

- Be assessed as substance-using/abusing or diagnosed as having a substance use and/or co-occurring mental disorder;
- Must have been sentenced to and serving at least six months in a correctional institution (jail/prison/detention center); (pg. 6)

Review of RFA Expectations

Adult offenders must meet the following criteria to receive services funded under this grant program:

- Be within four months of scheduled release to the community in order to receive services in the correctional/detention setting (See Section I-2.3 - Allowable Activities in Institutional Correctional Settings); and
- Upon immediate release from the correctional facility to the community be referred to community-based treatment. (pages 6 & 7)

Review of RFA Expectations

Grantees **must** provide a coordinated approach designed to combine transition planning (screening and assessment of substance use and/or co-occurring mental disorders and coordination of continued care from institution to community) in the correctional institution with effective community-based treatment, recovery and reentry-related services. (pg. 6)



Review of RFA Expectations

- Grantees are expected to begin allowable activities in institutional correctional settings and start transitional planning as soon as possible, and provide community-based treatment services within four months of grant award. (pg. 6)
- **Applicants must identify and provide services only to offenders within four months of scheduled release to the community from State and local correctional facilities or your application will not be reviewed and will not be considered for an award.**
(pg 9)

Review of RFA Expectations

- Electronic Health Record Technology (EHR)
 - A certified EHR is an electronic health record system that has been tested and certified by an approved Office of National Coordinator's (ONC) certifying body.
- All CSAT grantees are encouraged to demonstrate ongoing clinical use of a certified EHR system in each year of their SAMHSA grant.
- For more information and resources on EHRs, see Appendix K.
- This activity is considered infrastructure development (See Section I- 2.7, Infrastructure Development); not more than 15% of the total grant award may be used for infrastructure development activities. (pg. 7)

Review of RFA Expectations

- In Section F: Electronic Health Record Technology (EHR), of the Project Narrative, applicants are asked to either:
 - Identify the certified EHR system that you have adopted, to manage client-level clinical information (include a copy of your signed, executed EHR vendor contract in Attachment 6 of your application); *or*
 - Describe your plan to acquire an EHR system. This plan should include staffing, training, budget requirements and a timeline for implementation. (pg. 7)

Review of RFA

Required Activities and Services

Applicants **must** propose activities that improve the behavioral health of the targeted clients by providing comprehensive substance abuse treatment and recovery support services, and by providing ancillary services designed for:

- improving family functioning;
- helping clients develop job skills and find jobs;
- reducing the likelihood the client will be re-arrested;
- and
- reducing the crime rate and the number of victims.

(Pages 7 & 8)

Review of RFA

Required Activities and Services

Applicants **must** screen and assess clients for the presence of co-occurring mental and substance use disorders and use the information obtained from the screening and assessment to develop appropriate treatment approaches for the persons identified as having such co-occurring disorders. (pg. 8)

Review of RFA

Allowable Activities in Institutional Correctional Settings

- The expectation is that most proposed treatment and related reentry services will be provided in the community.
- Grant funds may not be used to provide substance abuse treatment services within the correctional facilities.
- Effective offender reentry requires assessment and release planning while the offender is incarcerated.
- Not more than 15% of the total grant award may be used for certain activities inside adult institutional correctional settings. (pg. 10)

Review of RFA

Allowable Activities in Institutional Correctional Settings

Allowable activities:

- Systems coordination planning and developmental activities that bring together all the key stakeholder agencies/ organizations to form partnerships.
- The development of systems linkages and referral processes in both institutional and communities settings.
- Purchase and/or administration of brief diagnostic and screening tools for identification of substance abuse issues.
- Purchase and/or administration of substance abuse instruments for the targeted offender population.

(pages 10 – 11)

Review of RFA

Allowable Activities in Institutional Correctional Settings

Allowable activities: (continued)

- Intake and/or case management staff with substance abuse treatment expertise to administer assessment instruments and to assist correctional staff in developing the individual offender transition plans.
- Community-based organizations, including faith-based groups, to go inside the correctional institution to begin wrap around transition planning activities such as, but not limited to, jobs skills planning, building connections to social support structures or educational program planning for community follow-up upon release.

(pg. 11)

Review of RFA

Data Collection and Performance Measurement

- All SAMHSA grantees are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results Modernization Act of 2010 (GPRA).
- You **must** document your ability to collect and report the required data in “[Section E: Performance Assessment and Data](#)” of your application.

(pg. 12)



Review of RFA

Data Collection and Performance Measurement

- This information will be gathered using the Discretionary Services Client Level GPRA tool, which can be found at <http://www.samhsa-gpra.samhsa.gov>, along with instructions for completing it. (Click on 'Data Collection Tools/Instructions', then on 'Services')
- No more than 20% of the total grant award may be used for data collection, performance measurement, and performance assessment, e.g., activities required in Sections I-[2.5](#) and [2.6](#) above.

(pages 12 & 14)

Review of RFA

Data Collection and Performance Measurement

Grantees will be required to report performance on the following performance measures:

- Client's substance use
- Family and living condition
- Employment status
- Social connectedness
- Access to treatment
- Retention in treatment, and
- Criminal justice status.

(pg. 12)

Review of RFA

Data Collection and Performance Measurement

- Grantees are expected to:
 - Obtain a 6-month follow-up rate of 80%.
 - Submit all data via the Services Accountability Improvement System (SAIS), CSAT's online data-entry and reporting repository.
- Grantees will be provided extensive training on the SAIS system and its requirements post-award.

(Pg. 12)

Review of RFA Performance Assessment

- Grantees will be required to report on progress achieved, barriers encountered, and efforts to overcome these barriers in a performance assessment report to be submitted at least annually.
- At a minimum, the performance assessment should include the required performance measures identified in Sections I.2.5 and I.2.6.(Pg. 12)



Application Review Information

Evaluation Criteria:

- The Project Narrative describes what you intend to do with your project and includes the Evaluation Criteria in Sections A-F (Pages 24-29).
- Your application will be reviewed and scored according to the quality of your response to the requirements in Sections A-F.

Submission Dates and Times

- Applications are due by **May 1, 2012**.
- Two options for submission of grant applications:
 - 1) electronic submission, **or**
 - 2) paper submission.
 - Hard copy applications are due by **5:00 PM** (Eastern Time).
 - Electronic applications are due by **11:59 PM** (Eastern Time).

Applications may be shipped using only Federal Express (FedEx), United Parcel Service (UPS), or the United States Postal Service (USPS). You will be notified by postal mail that your application has been received.
- **Note: If you use the USPS, you must use Express Mail.**

(Pg. 22)

Submission of Paper Applications

Send applications to the address below:

- **For United States Postal Service:**
- Diane Abbate, Director of Grant Review
Office of Financial Resources
Substance Abuse and Mental Health Services Administration
Room 3-1044
1 Choke Cherry Road
Rockville, MD **20857**
- Change the zip code to **20850** if you are using FedEx or UPS.
(pg. 22)

Final Points

- Read the RFA
- Understand the RFA
- Reread the RFA very carefully and respond to each requirement directly and fully.
- Use appropriate forms as outlined in the RFA.

Questions and Answers Session

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Additional Questions

- For additional questions about program issues contact:

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- For additional questions on grants management and budget issues contact:

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