

RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

February 3, 1999

0210  
Dr. Ron Backer  
Universal Toxicology Laboratories, LLC  
10210 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

The enclosed critique was developed from the inspection reports of the inspectors who conducted the second maintenance inspection of your laboratory under the National Laboratory Certification Program (NLCP). Based upon our review of these reports, the laboratory's performance in this inspection is highly acceptable. However, the following issues were raised:

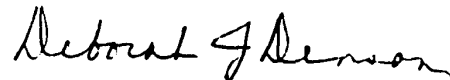


Dr. Backer  
February 3, 1999  
Page 2 of 2

RTI is recommending to the Department of Health and Human Services (HHS) that the laboratory's certification be continued based upon its acceptable performance in the inspection phase of the program. The laboratory must take steps to correct the issues cited above. The laboratory must also review the enclosed critique and take all necessary corrective actions. All corrective actions must be implemented within 30 days of receipt of this correspondence. It is *not necessary* for the laboratory to submit a written response to RTI. All corrective actions will be reviewed during the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-7265 or Dr. Michael Baylor at (919) 541-7043.

Sincerely,



Deborah J. Denson  
NLCP Inspection Analyst

Enclosure

cc: Project Files/M2

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## NATIONAL LABORATORY CERTIFICATION PROGRAM

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### Document Review and Critique

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Laboratory I.D. Number: 0210  
Document No. Final

Laboratory: Universal Toxicology Laboratories, LLC

Location: Midland, TX

Document Reviewed:     Application Form  
                           Inspection Report #M2                    Date: 3 December 1998  
                           Other \_\_\_\_\_

Status:             Highly Acceptable             Acceptable  
                       Unacceptable                 Failure

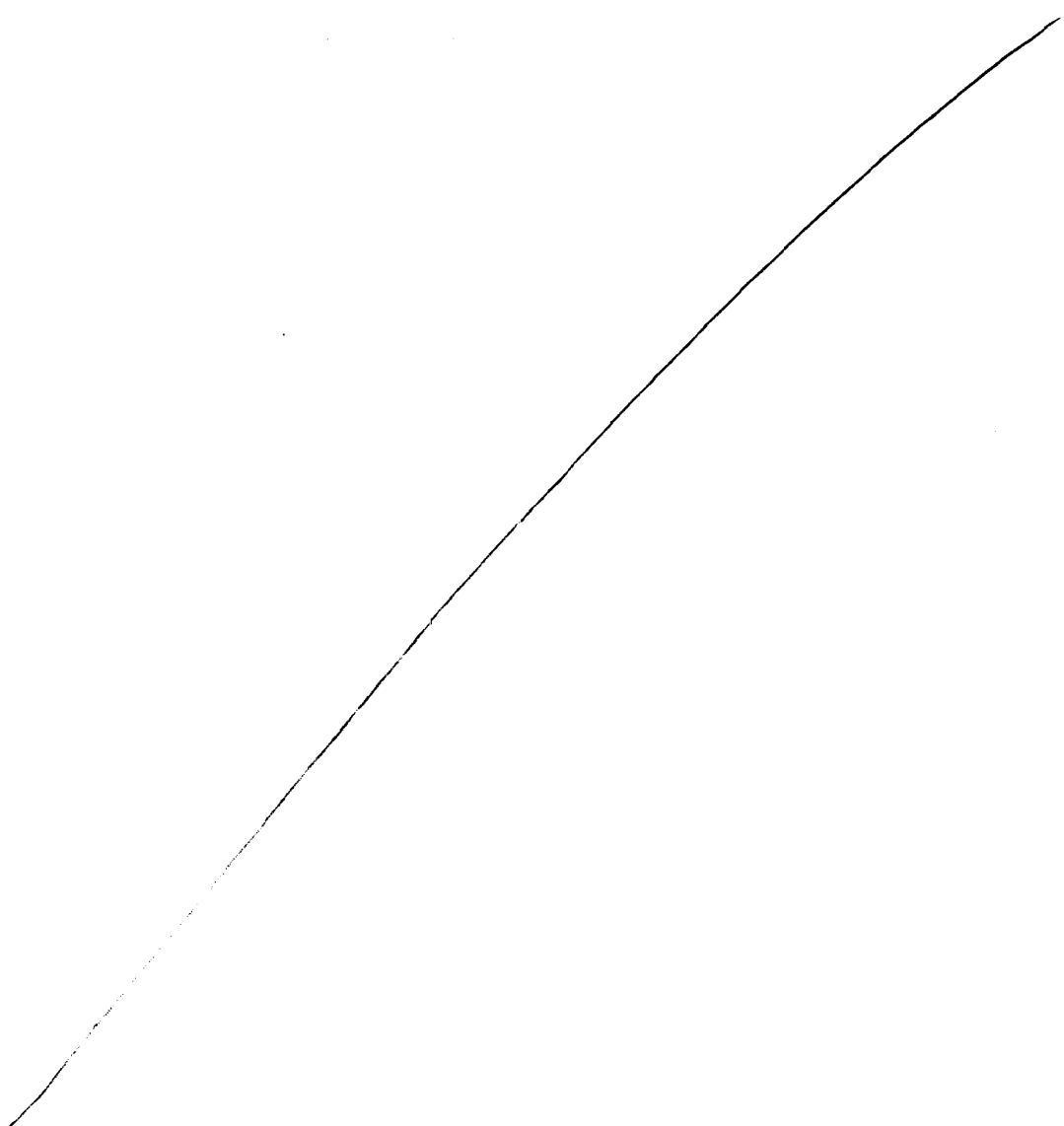
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A review of the National Laboratory Certification Program (NLCP) inspection reports has been completed. It is the judgment of the reviewers that the laboratory has met the standards required for the inspection phase of the Program.

There were a number of comments and observations generated as a result of the inspection that are detailed on the following pages for the attention of the laboratory before its next inspection.

The following comments were noted, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

Section E. Standard Operating Procedures - Procedures Manual



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**Section F. Chain-of-Custody, Accessioning, and Security**

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**Section G. Quality Control**

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**Section H. Initial Tests**

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**Section I. Confirmatory Tests**

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**Section J. Records Audit**

Section K. Reporting

Section L. Computers, Software, and LIMS

Section M. Equipment and Maintenance

Section N. Personnel

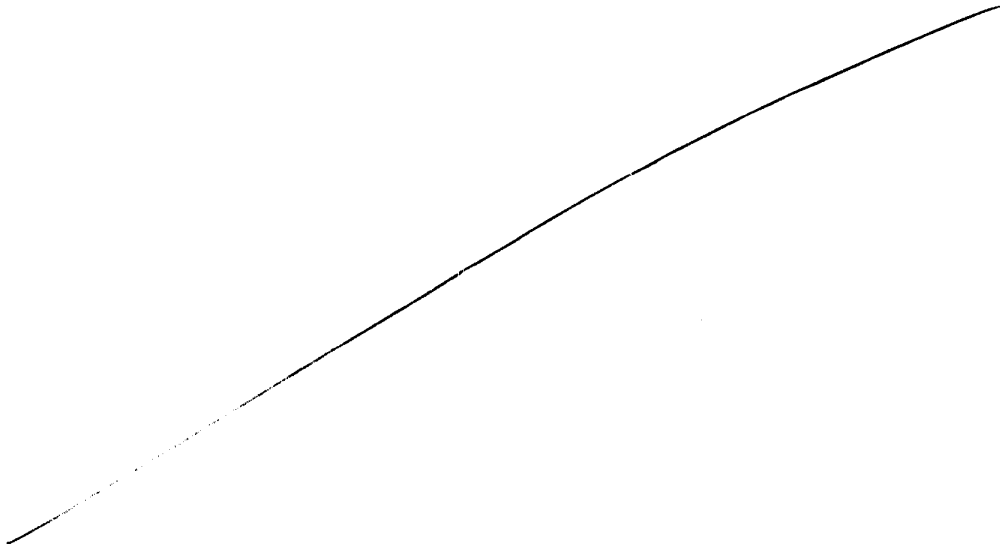


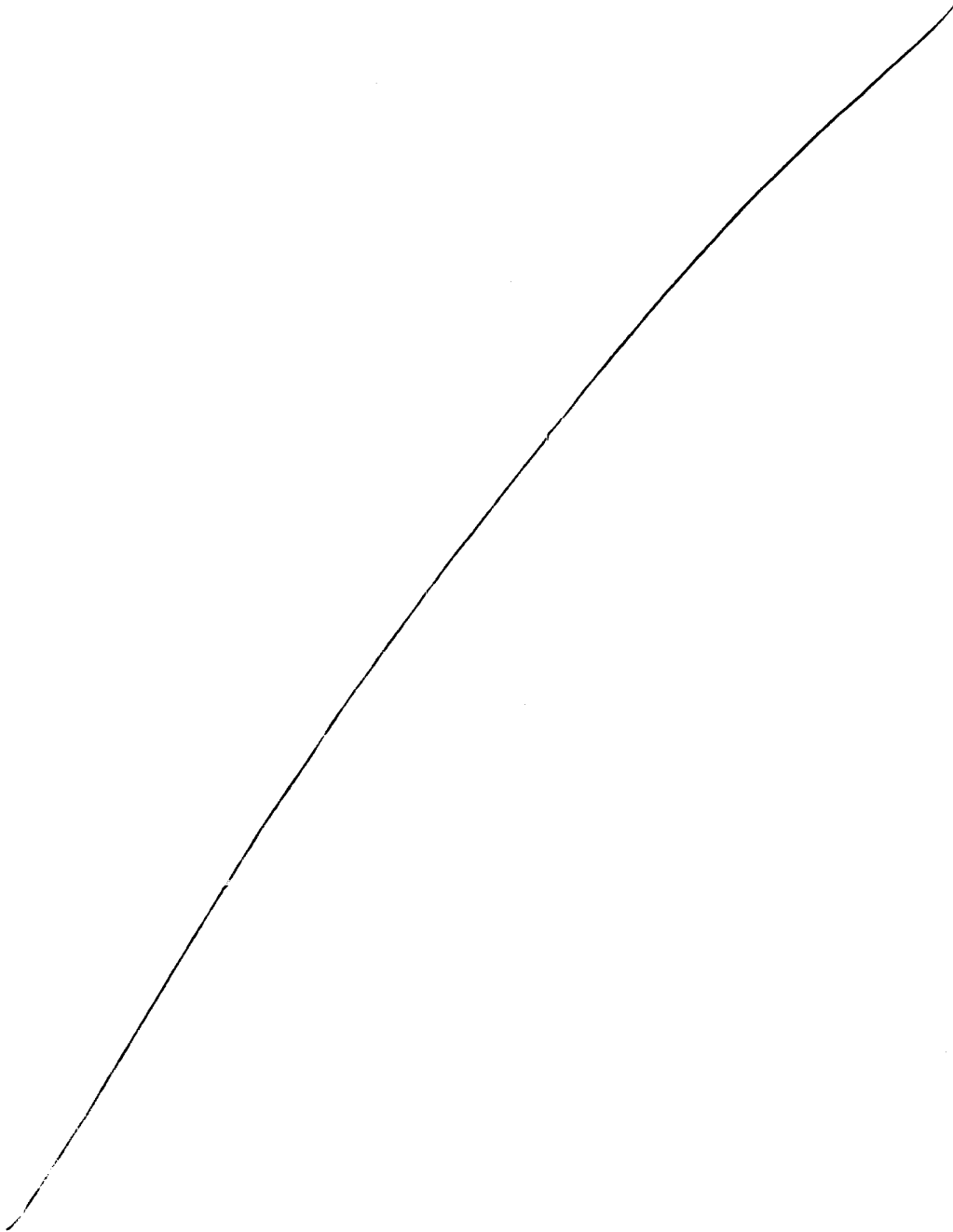
July 22, 1999

0210  
Dr. Ron Backer  
Universal Toxicology Laboratories, LLC  
10210 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

The enclosed critique was developed from the inspection reports of the inspectors who conducted the third maintenance inspection of your laboratory under the National Laboratory Certification Program (NLCP). Based upon our review of these reports, the laboratory's performance in this inspection is highly acceptable. However, the laboratory must correct/clarify the following issues raised:





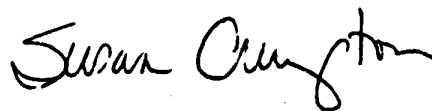


Dr. Backer  
Page 3 of 3  
07/22/99

The laboratory must submit, within 30 calendar days of receipt of this letter, documentation to demonstrate that corrective actions have been implemented to address the issues raised. In responding to these issues, please organize the material in your document in accordance with the sections and item numbers as listed in this correspondence. Once these issues have been successfully addressed, RTI will recommend to the Department of Health and Human Services (HHS) that the laboratory's certification be continued. The laboratory must also review the enclosed critique and take all necessary corrective actions. All corrective actions must be implemented within 30 days receipt of this correspondence and will be reviewed at the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael Baylor at (919) 541-7043.

Sincerely,



Susan Crumpton  
NLCP Inspection Analyst

Enclosure

cc: Project Files/M3



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## NATIONAL LABORATORY CERTIFICATION PROGRAM

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### Document Review and Critique

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Laboratory I.D. Number: 0211  
Document No. Final

Laboratory: Universal Toxicology Laboratories, LLC

Location: Midland, TX

Document Reviewed:     Application Form  
                                   Inspection Report #M3                    Date: 10 June 1999  
                                   Other \_\_\_\_\_

Status:             Highly Acceptable             Acceptable  
                           Unacceptable                     Failure

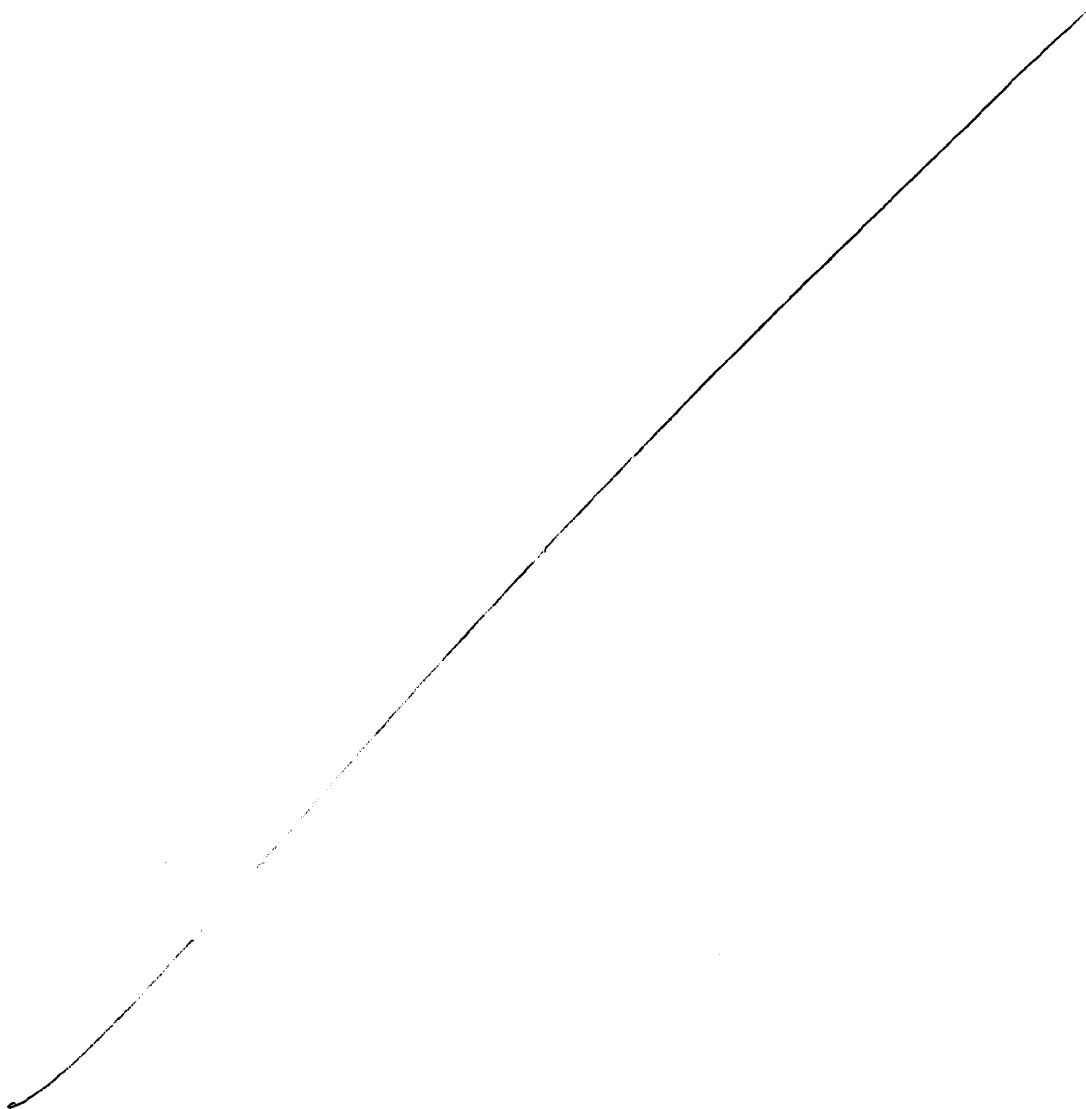
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A review of the National Laboratory Certification Program (NLCP) inspection reports has been completed. It is the judgment of the reviewers that the laboratory has met the standards required for the inspection phase of the Program.

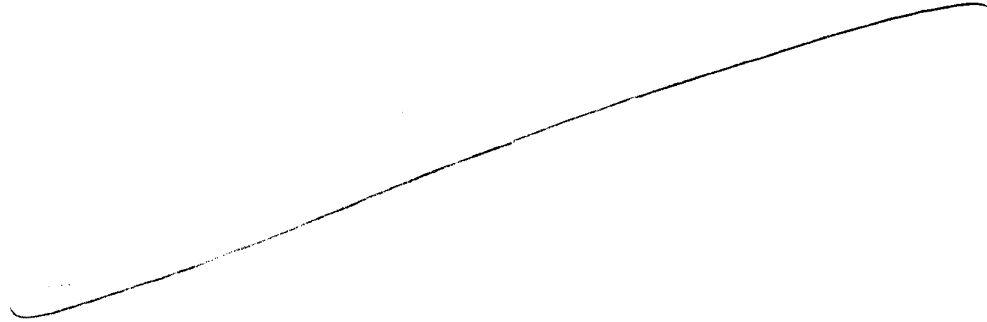
There were a number of comments and observations generated as a result of the inspection that are detailed on the following pages for the attention of the laboratory before its next inspection.

The following comments were noted, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

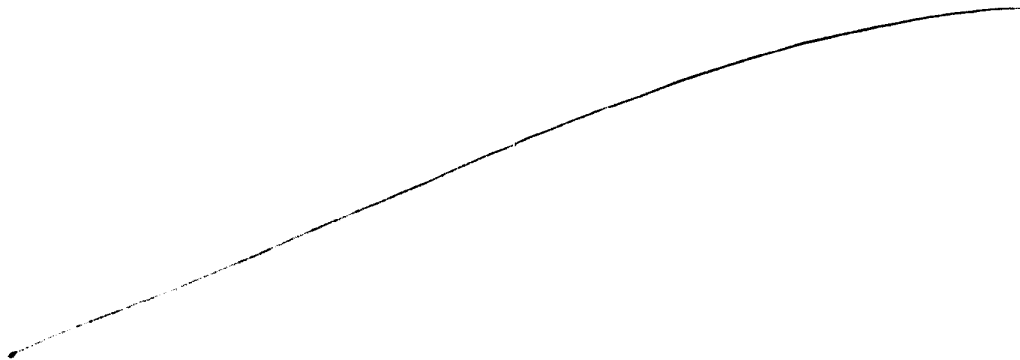
Section E. Standard Operating Procedures - Procedures Manual



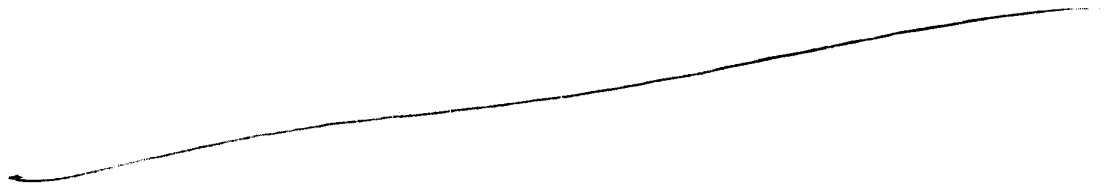
Section F. Chain-of-Custody, Accessioning, and Security



Section G. Quality Control



Section H. Initial Tests



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**Section I. Confirmatory Tests**

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**Section J. Records Audit**

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**Section K. Reporting**

Section L. Computers, Software, and LIMS

Section M. Equipment and Maintenance

Section N. Personnel

received  
8/17/99 SDC

**UNIVERSAL**  
DRUG TESTING



**TOXICOLOGY**  
FORENSIC ANALYSIS

**LABORATORIES, LLC**

August 16, 1999

Susan Crumpton  
NLCP Inspection Analyst  
RTI  
3040 Cornwallis Rd/ Building 3  
Research Triangle Park, NC 27709

Dear Ms. Crumpton:

In response to the critique developed for UTL's third maintenance inspection under the NLCP, I submit the following corrections and additions:

915-561-UTL1    888-953-UTL1    FAX 915-561-5364    10210 WEST HIGHWAY 80    MIDLAND, TEXAS 79701  
915-592-0095    888-953-UTL2    FAX 915-592-1200    9215 MONTANA    EL PASO, TEXAS 79901

If you have any questions feel free to give me a call at  
1-888-953-8851.

Sincerely,

*Ronald C. Backer, Ph.D.*

Ronald C. Backer, Ph.D.  
Responsible Person





RESEARCH TRIANGLE INSTITUTE

National Laboratory Certification Program

August 23, 1999

0210  
Dr. Ronald Backer  
Universal Toxicology Laboratories, LLC  
10210 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

We have reviewed the material provided in your correspondence of August 16, 1999, submitted in response to issues raised during the third maintenance inspection of your laboratory as outlined in our correspondence of July 22, 1999. The information submitted by the laboratory appears to demonstrate that appropriate corrective actions have been completed to address most issues raised. However, the laboratory must take steps to address the following remaining issue:

Based upon our review of the material submitted, RTI is recommending to the Department of Health and Human Services (HHS) that your laboratory continue to be certified under the National Laboratory Certification Program. All corrective actions must be implemented within 30 days of the receipt of this correspondence and will be reviewed during the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Michael Baylor, Ph.D. at (919) 541-7043.

Sincerely,

  
Susan Crumpton  
NLCP Inspection Analyst

cc: \_\_\_\_\_  
Project Files/M3



RESEARCH TRIANGLE INSTITUTE

National Laboratory Certification Program

January 12, 2000

0210  
Dr. Ron Backer  
Universal Toxicology Laboratories, LLC  
10210 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

The enclosed critique was developed from the inspection reports of the inspectors who conducted the fourth maintenance inspection of your laboratory under the National Laboratory Certification Program (NLCP). Based upon our review of these reports, the laboratory's performance in this inspection is highly acceptable. The inspection team had some areas of concern which are detailed in the attached critique.

RTI is recommending to the Department of Health and Human Services (HHS) that the laboratory's certification be continued based upon its acceptable performance in the inspection phase of the program. The laboratory must review the enclosed critique and take all necessary corrective actions. All corrective actions must be implemented within 30 days of receipt of this correspondence. It is *not necessary* for the laboratory to submit a written response to RTI. All corrective actions will be reviewed during the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-7265 or Dr. Michael Baylor at (919) 541-7043.

Sincerely,

Deborah J. Denson  
NLCP Inspection Analyst

Enclosure

cc: Project Files/M4



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## NATIONAL LABORATORY CERTIFICATION PROGRAM

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### Document Review and Critique

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Laboratory I.D. Number: 0210  
Document No. Final

Laboratory: Universal Toxicology Laboratories, Inc.

Location: Midland, TX

Document Reviewed:     Application Form  
                               Inspection Report #M4                      Date: 2 December 1999  
                               Other \_\_\_\_\_

Status:            Highly Acceptable            Acceptable  
                       Unacceptable                Failure

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A review of the National Laboratory Certification Program (NLCP) inspection reports has been completed. It is the judgment of the reviewers that the laboratory has met the standards required for the inspection phase of the Program.

There were a number of comments and observations generated as a result of the inspection that are detailed on the following pages for the attention of the laboratory before its next inspection.

The following comments were noted, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

Section E. Standard Operating Procedures - Procedures Manual

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Section F. Chain-of-Custody, Accessioning, and Security

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Section G. Quality Control

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Section H. Initial Tests

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Section I. Confirmatory Tests

---

Section J. Records Audit

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Section K. Reporting

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Section L. Computers, Software, and LIMS

Section M. Equipment and Maintenance

Section N. Personnel

RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

July 12, 2000

0210  
Dr. Ronald Backer  
Universal Toxicology Laboratories, LLC  
10210 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

The enclosed critique was developed from the inspection reports of the inspectors who conducted the fifth maintenance inspection of your laboratory under the National Laboratory Certification Program (NLCP). Based upon our review of these reports, the laboratory's performance in this inspection is highly acceptable. The inspection team had some areas of concern, which are detailed in this cover letter and attached critique.



Dr. Backer  
Page 2 of 2  
07/12/00

RTI is recommending to the Department of Health and Human Services (HHS) that the laboratory's certification be continued based upon its acceptable performance in the inspection phase of the program. The laboratory must take steps to correct the issues cited above. The laboratory must also review the enclosed critique and take all necessary corrective actions. All corrective actions must be implemented within 30 days of receipt of this correspondence. It is *not necessary* for the laboratory to submit a written response to RTI. All corrective actions will be reviewed during the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-6176 or Dr. Michael Baylor at (919) 541-7043.

Sincerely,



Susan Crumpton  
NLCP Technical Analyst

Enclosure

cc: Project Files/M5



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## NATIONAL LABORATORY CERTIFICATION PROGRAM

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### Document Review and Critique

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Laboratory I.D. Number: 0210  
Document No. Final

Laboratory: Universal Toxicology Laboratories, LLC

Location: Midland, TX

Document Reviewed:     Application Form  
                               Inspection Report #M5                      Date: 1 June 2000  
                               Other \_\_\_\_\_

Status:                    Highly Acceptable            Acceptable  
                               Unacceptable                Failure

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A review of the National Laboratory Certification Program (NLCP) inspection reports has been completed. It is the judgment of the reviewers that the laboratory has met the standards required for the inspection phase of the Program.

There were a number of comments and observations generated as a result of the inspection that are detailed on the following pages for the attention of the laboratory before its next inspection.



The following comments were noted, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

Section E. Standard Operating Procedures - Procedures Manual

Section F. Chain-of-Custody, Accessioning, and Security

Section G. Quality Control

Section H. Initial Tests

Section I. Confirmatory Tests

Section J. Records Audit

Section K. Reporting

Section L. Computers, Software, and LIMS

Section M. Equipment and Maintenance

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Section N. Personnel

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# RESEARCH TRIANGLE INSTITUTE



National Laboratory Certification Program

January 29, 2001

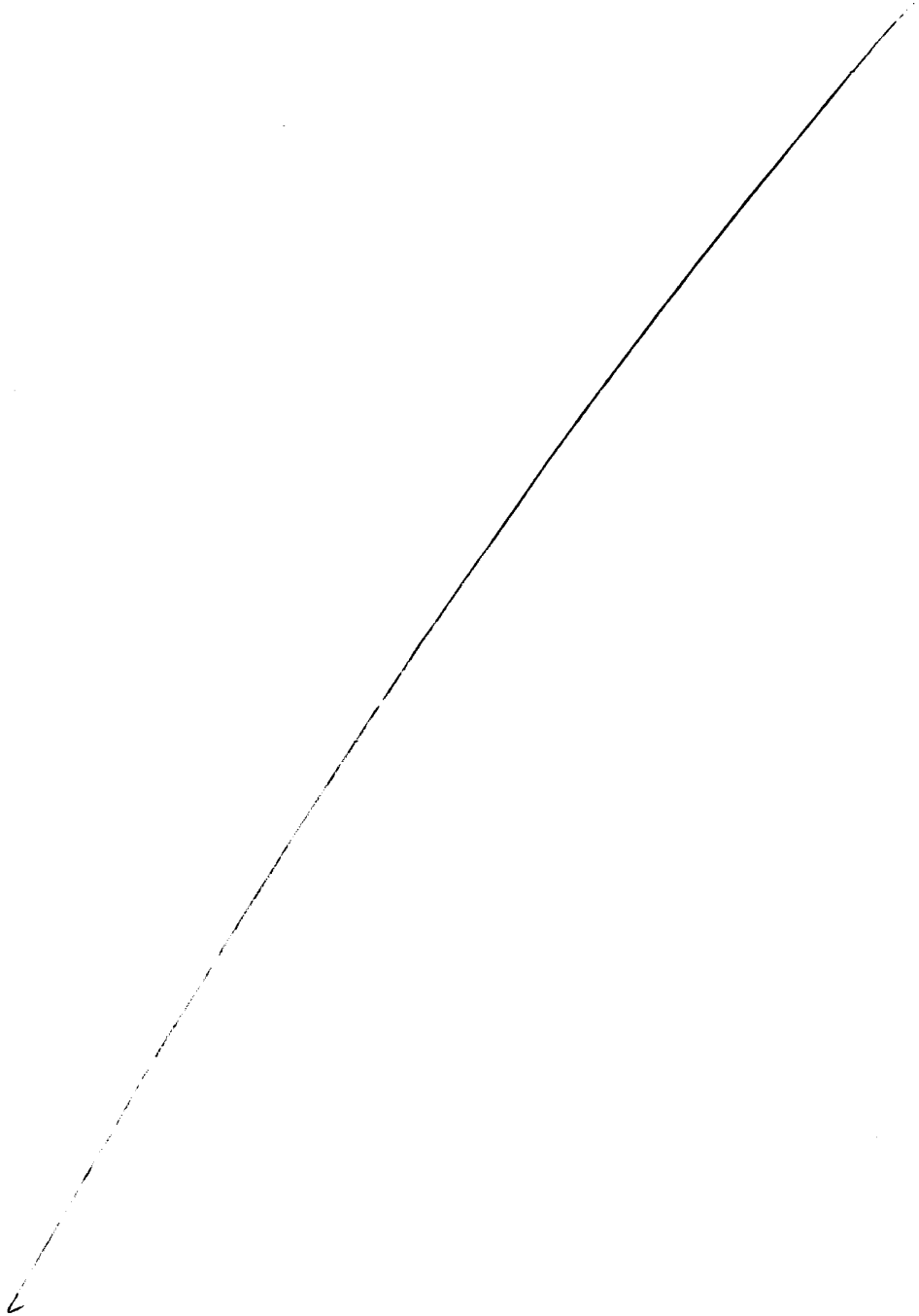
0210  
Dr. Ron Backer  
Universal Toxicology Laboratories, LLC  
9930 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

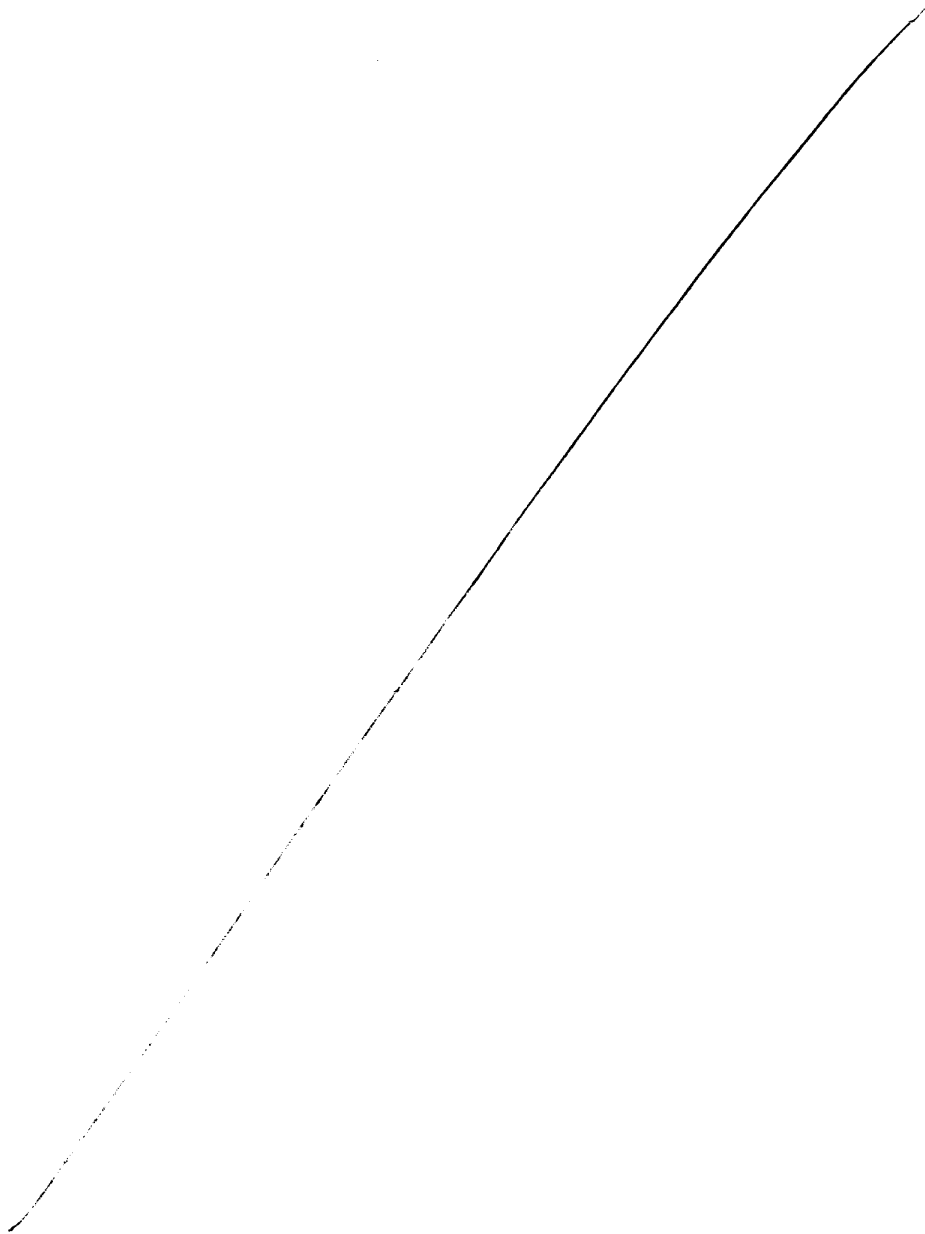
The enclosed critique was developed from the inspection reports of the inspectors who conducted the sixth maintenance inspection of your laboratory under the National Laboratory Certification Program (NLCP). Based upon our review of these reports, the laboratory appeared to meet most of the minimum technical criteria. The inspection team had some areas of concern, which are detailed in this cover letter and attached critique.



Dr. Backer  
January 29, 2001  
Page 2 of 4



Dr. Backer  
January 29, 2001  
Page 3 of 4



Dr. Backer  
January 29, 2001  
Page 4 of 4

The laboratory must submit, within 30 calendar days of receipt of this letter, documentation to demonstrate that corrective actions have been implemented to address the issues raised. In responding to these issues, please organize the material in your document in accordance with the sections and item numbers as listed in this correspondence. Once these issues have been successfully addressed, RTI will recommend to the Department of Health and Human Services (HHS) that the laboratory's certification be continued. The laboratory must also review the enclosed critique and take all necessary corrective actions. All corrective actions must be implemented within 30 days receipt of this correspondence and will be reviewed at the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-7265 or Dr. Michael R. Baylor at (919) 541-7043.

Sincerely,



Deborah J. Denson  
NLCP Technical Analyst

Enclosure  
cc: Project Files/M6



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**NATIONAL LABORATORY CERTIFICATION PROGRAM**

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**Document Review and Critique**

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Laboratory I.D. Number: 0210  
Document No. Final

Laboratory: Universal Toxicology Laboratories, LLC

Location: Midland, TX

Document Reviewed:      Application Form  
                                   Inspection Report # M6             Date: 30 November 2000  
                                   Other \_\_\_\_\_

Status:            Appeared to meet most of the minimum technical criteria  
                           Appeared to meet a number of the minimum technical criteria  
                           Failed to meet a number of the minimum technical criteria  
                           Failed to meet a significant number of the minimum technical criteria

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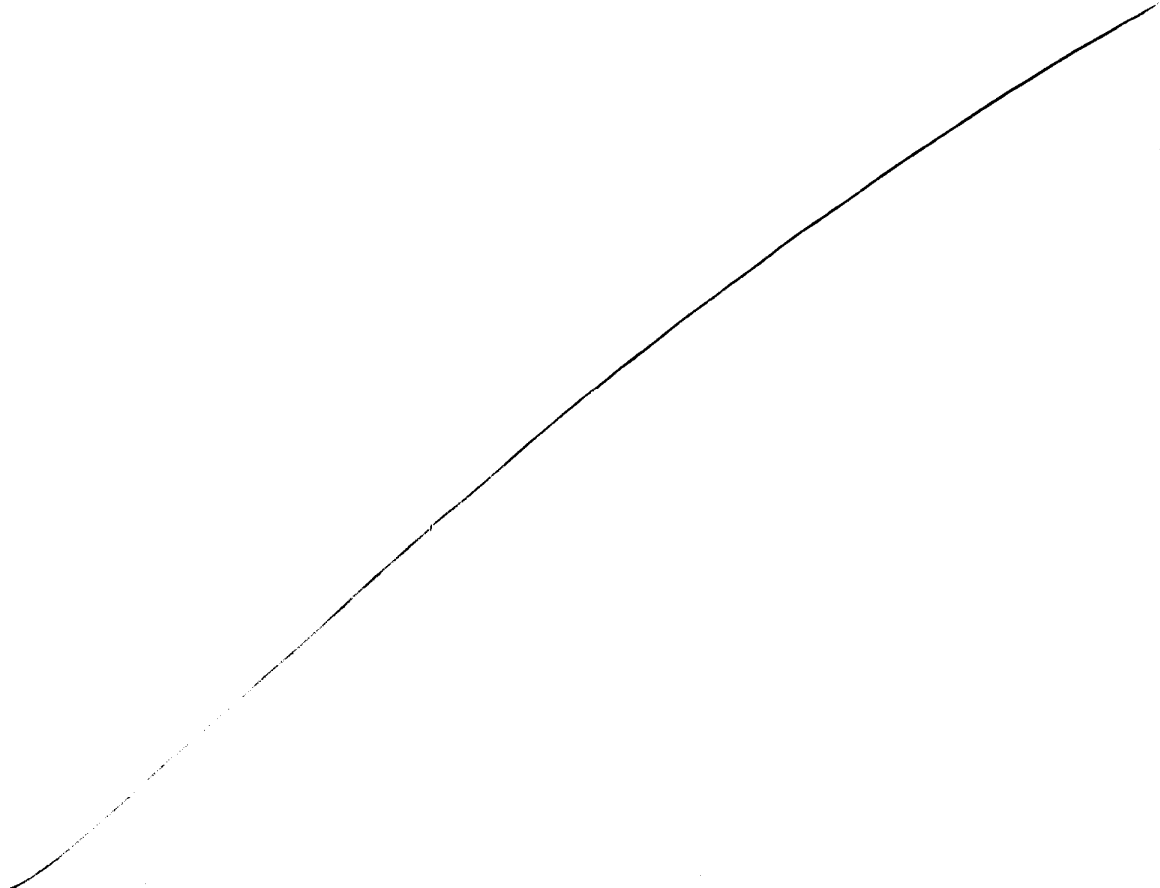
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A review of the National Laboratory Certification Program (NLCP) inspection reports has been completed. The laboratory has appeared to meet most of the minimum technical criteria required for the inspection phase of the Program.

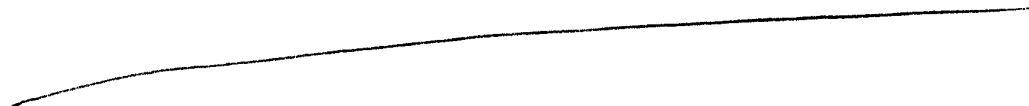
Deficiencies identified as a result of the inspection are detailed on the following pages. The laboratory is required to correct the deficiencies before its next inspection.

The following deficiencies were identified, and appear in the same order as the corresponding questions in the Laboratory Inspection Report:

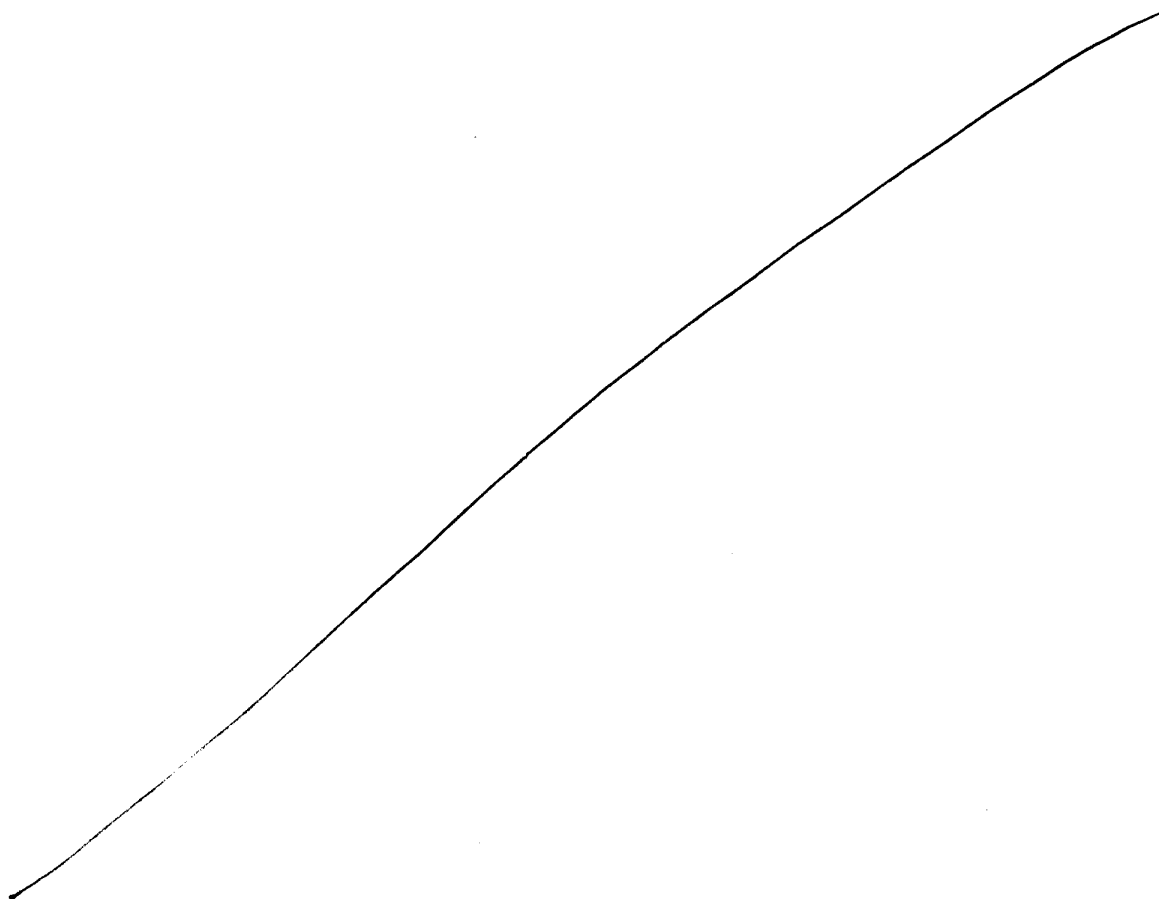
Section E. Standard Operating Procedures - Procedures Manual



Section F. Chain-of-Custody, Accessioning, and Security







Section G. Quality Control and Quality Assurance





Section H. Initial Tests



Section I. Specimen Validity Tests



Section J. Confirmatory Tests



Section K. Records Audit



Section L. Certification and Reporting

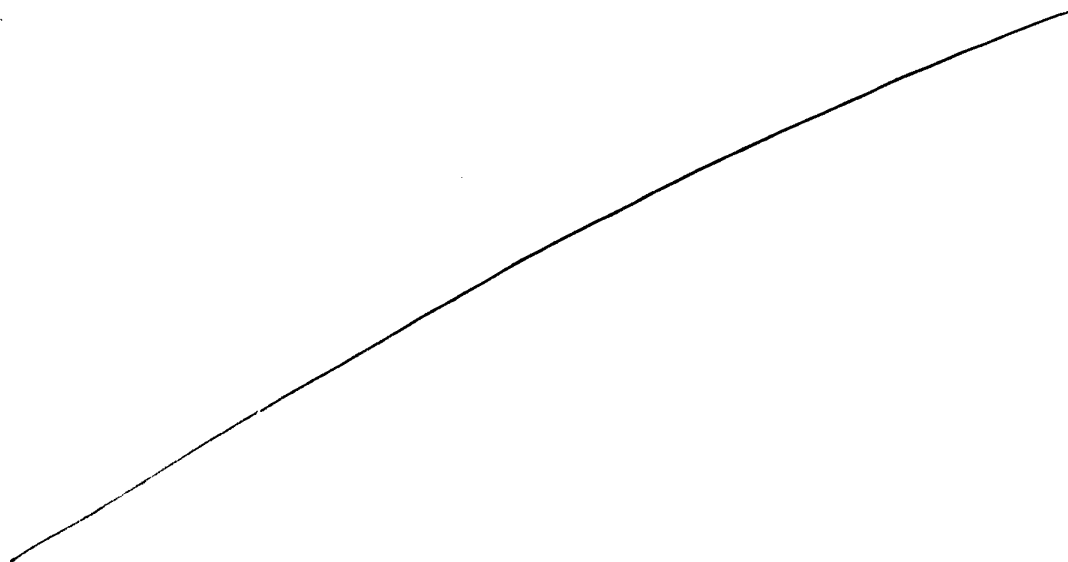
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Section M. Laboratory Information Management System (LIMS)

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Section N. Personnel

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**Universal Toxicology Laboratories, LLC**  
SAMHSA & CLIA Certified

Drug Testing/Forensic Analysis  
www.utlonline.com

9930 West Highway 80  
Midland, Texas 79706  
915.561.8851  
888.953.8851  
Fax: 915.561.5364

9215 Montana  
El Paso, Texas 79925  
915.592.0095  
888.953.8852  
Fax: 915.592.1200



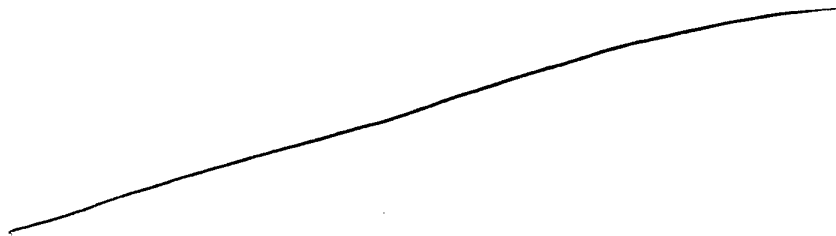
February 27, 2001

**received**  
2/28/01 DA

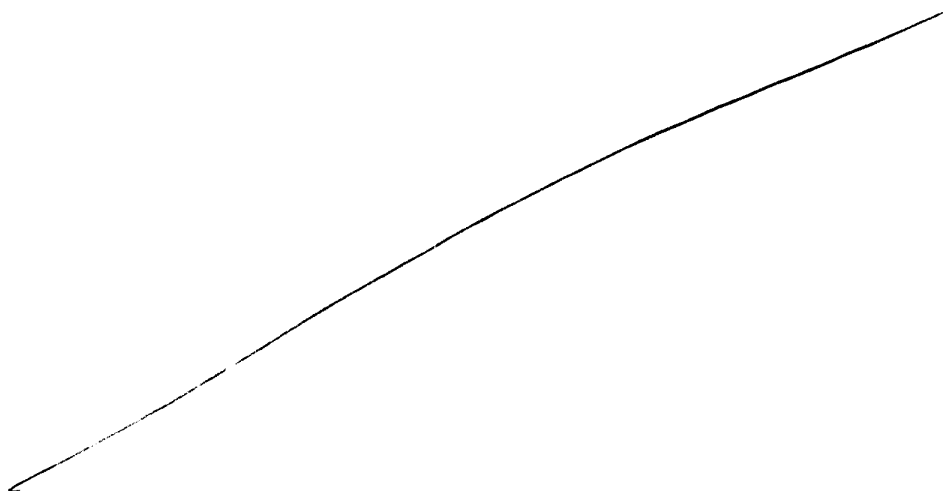
Deborah J. Denson  
NLCP Technical Analysts  
Research Triangle Institute  
3040 Cornwallis Rd  
Research Triangle Park, NC 27709

Dear Ms. Denson:

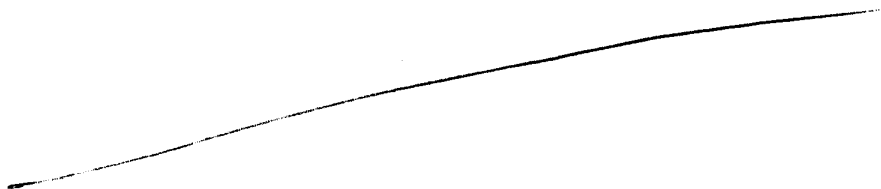
Enclosed is documentation of the corrective actions that have been implemented in response to the issues raised in UTL's Sixth maintenance inspection.



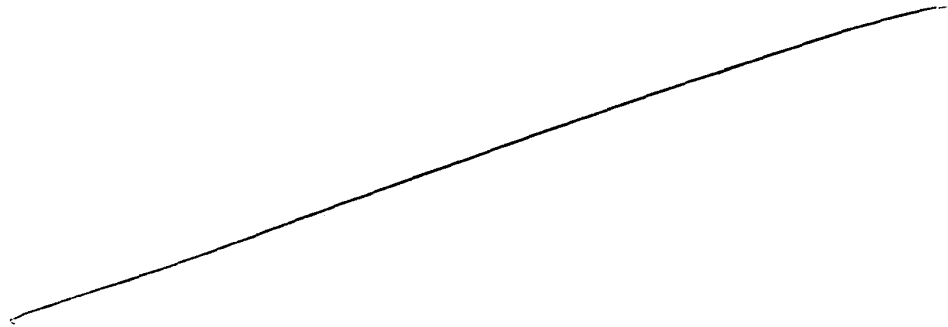
Section F. Chain of Custody, Accessioning and Security



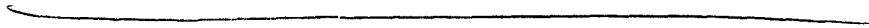
Section G. Quality Control and Quality Assurance



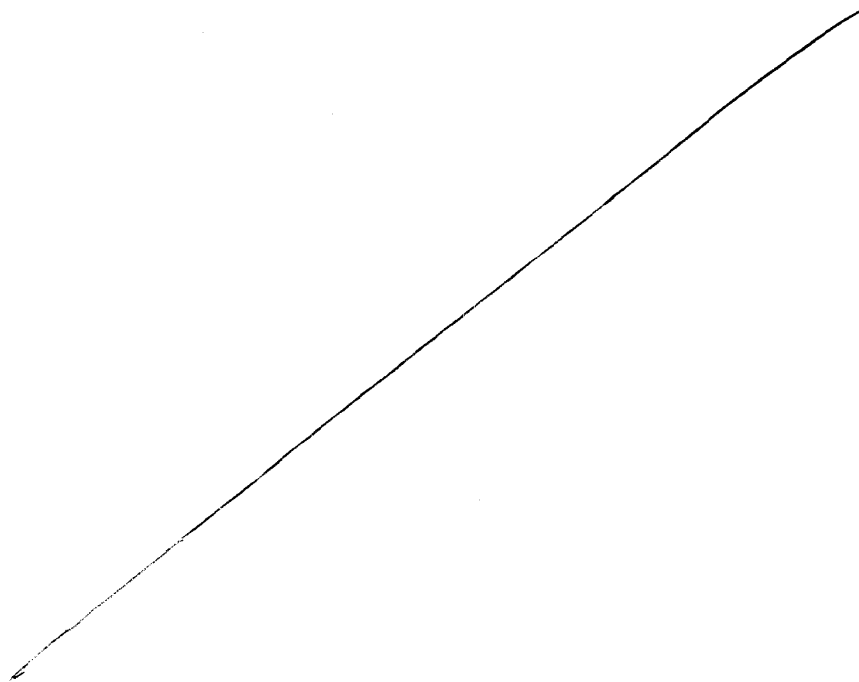
Section I. Specimen Validity Section



J. Confirmatory Tests



K. Records Audit



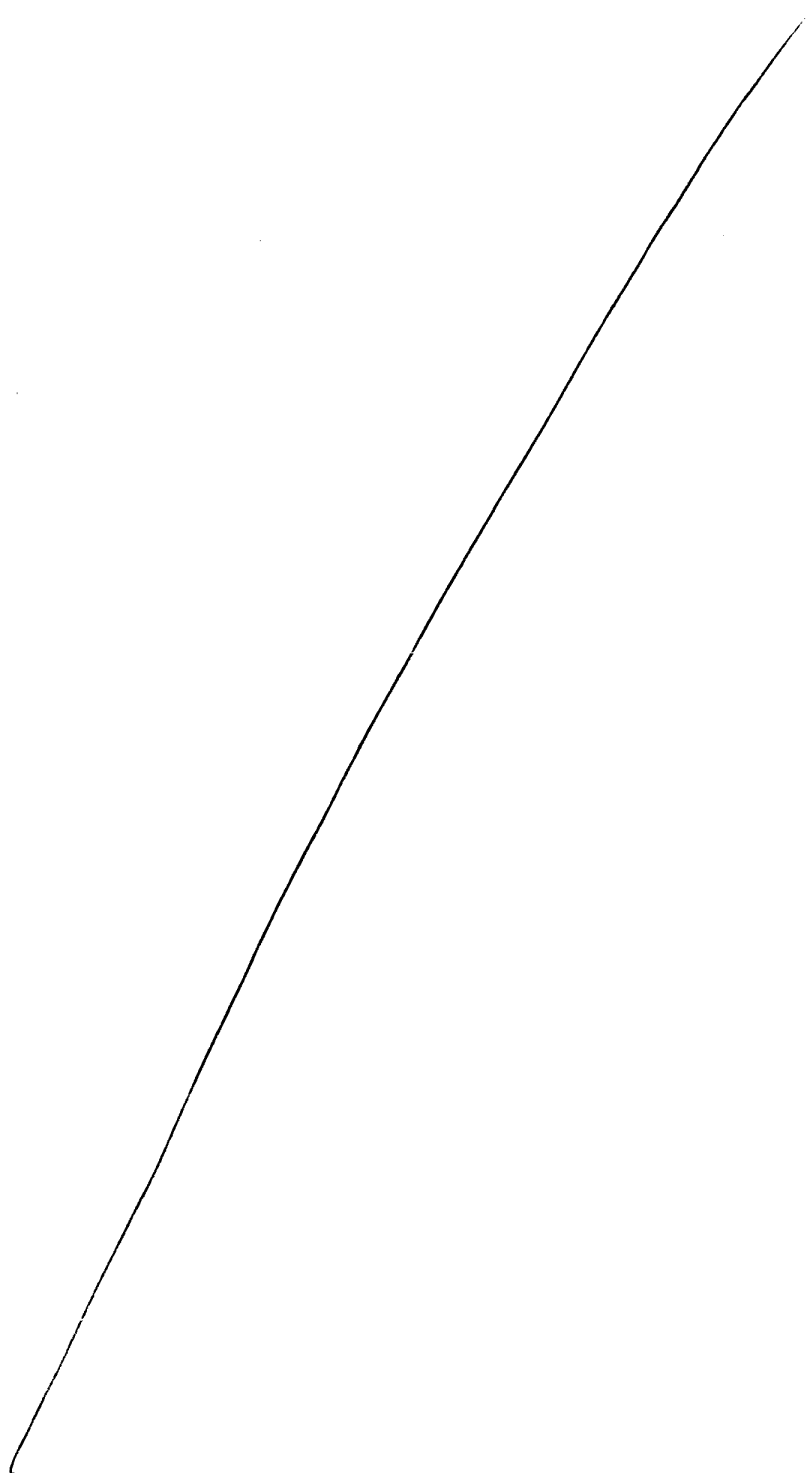
1

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3

N. Personnel Section







Page 5 (Corrective action Sixth Maintenance Inspection)

If you have any questions don't hesitate to call me at 888-953-8851.

Sincerely,

*Ronald C. Backer, Ph.D.*

Ronald C. Backer, Ph.D.  
Responsible Person  
Laboratory 0210



# RESEARCH TRIANGLE INSTITUTE

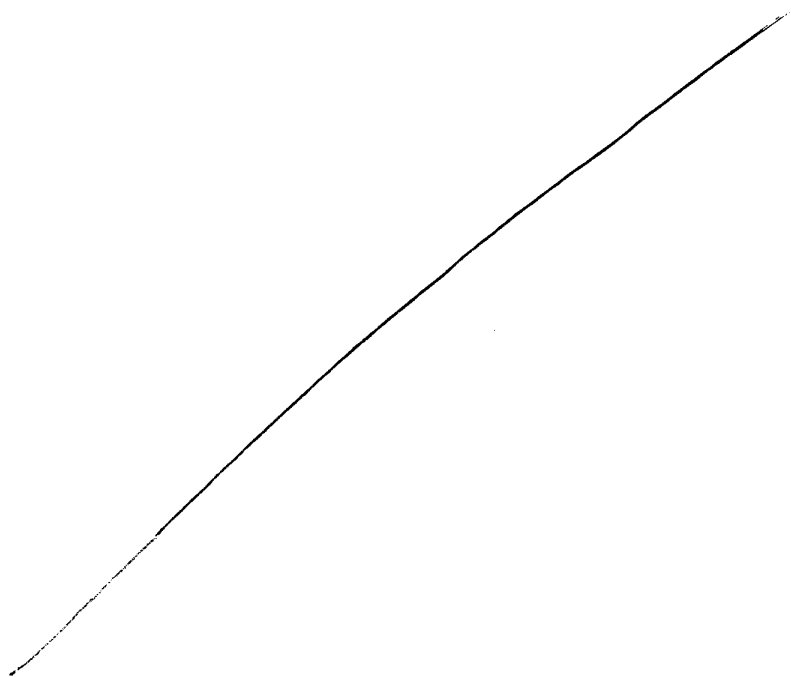
National Laboratory Certification Program

March 05, 2001

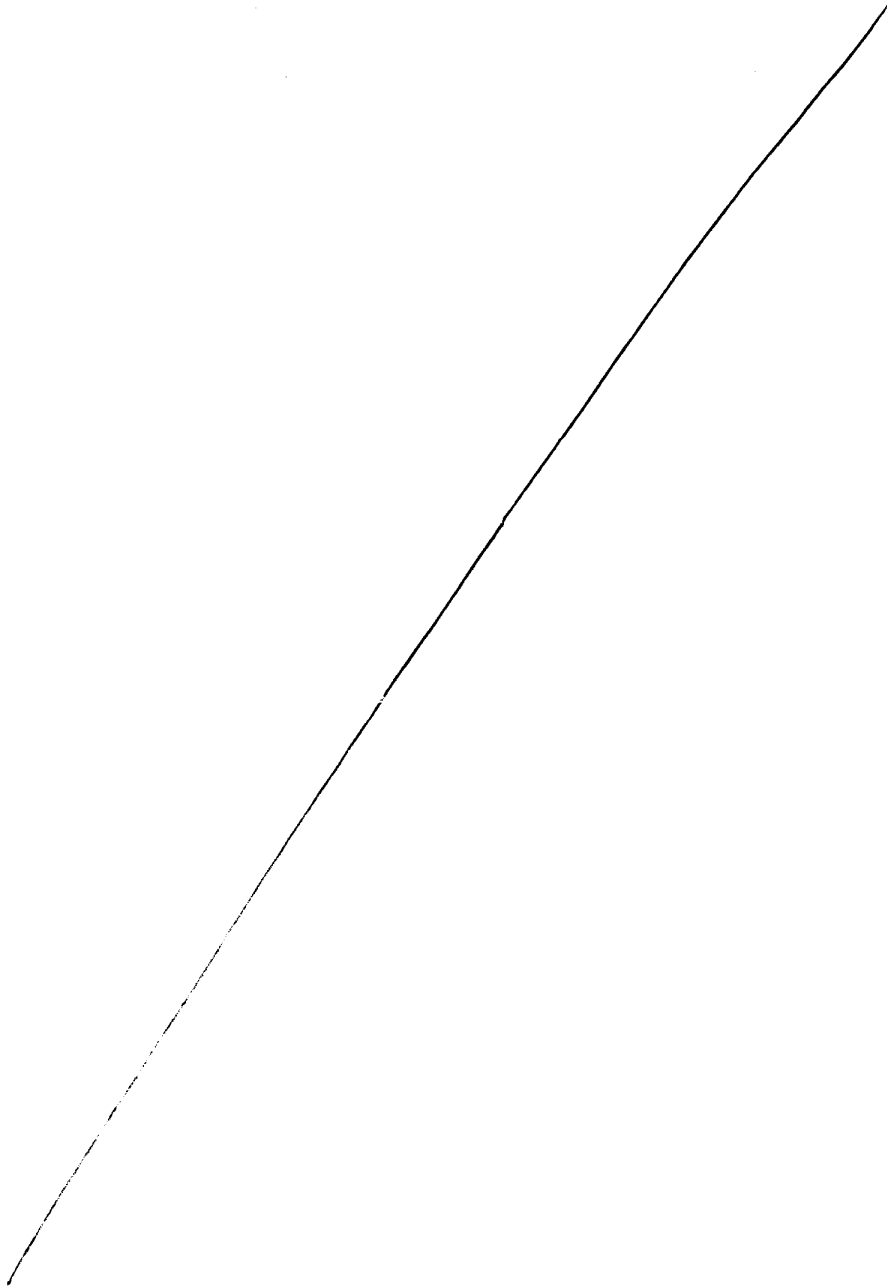
0210  
Dr. Ron Backer  
Universal Toxicology Laboratories, LLC  
9930 West Highway 80  
Midland, TX 79706

Dear Dr. Backer:

We have reviewed the material provided in your correspondence of February 27, 2001 submitted in response to issues raised cited during the sixth inspection of your laboratory as outlined in our correspondence of January 29, 2001. The information submitted by the laboratory appears to demonstrate that appropriate corrective actions have been completed to address the issues raised. The following is a review of the material submitted:



Dr. Backer  
March 5, 2001  
Page 2 of 2




Dr. Backer  
March 5, 2001  
Page 3 of 3

Based upon our review of the material submitted, RTI is recommending to the Department of Health and Human Services (HHS) that your laboratory continue to be certified under the National Laboratory Certification Program. All corrective actions must be implemented within 30 days of the receipt of this correspondence and will be reviewed during the next inspection.

If you have any questions or if we can be of further assistance, please call me at (919) 541-7265 or Dr. Michael R. Baylor at (919) 541-7043.

Sincerely,



Deborah J. Denson  
NLCP Technical Analyst

cc: Project Files/M6