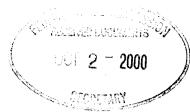
#### UNITED STATES OF AMERICA BEFORE FEDERAL TRADE COMMISSION

In the Matter of





and

#### ANDRX CORPORATION, a corporation.

DOCKET NO. 9293

# RESPONDENT ANDRX CORPORATION'S APPLICATION FOR IN CAMERA PROTECTION OF CERTAIN OF ITS CONFIDENTIAL MATERIALS

Pursuant to Section 3.45 of the Federal Trade Commission's Rules of Practice,
Respondent Andrx Corporation (Andrx) hereby makes application for an Order (1) granting *in*camera protection of certain of its confidential materials; and (2) granting such other and further relief as the court deems just and proper.

The bases of this application are set forth in the accompanying memorandum of law and the accompanying Declaration of Herschel E. Sparks, Jr., executed on September 29, 2000.

Dated: New York, New York September 29, 2000

Respectfully Submitted,

SOLOMON, ZAUDERER, ELLENHORN, FRISCHER & SHARP

By

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Counsel for Respondent Andrx Corporation

## UNITED STATES OF AMERICA BEFORE FEDERAL TRADE COMMISSION

In the Matter of

HOECHST MARION ROUSSEL, INC., a corporation, CARDERM CAPITAL L.P., a limited partnership,

and

ANDRX CORPORATION, a corporation.

Docket No. 9293

# RESPONDENT ANDRX CORPORATION'S APPLICATION FOR IN CAMERA PROTECTION OF CERTAIN OF ITS CONFIDENTIAL MATERIALS

Respondent Andrx Corporation (Andrx) submits this memorandum, pursuant to Section 3.45 of the Federal Trade Commission's Rules of Practice, 16 C.F.R. § 3.45, in support of its application seeking *in camera* treatment of certain of its confidential materials.

#### PRELIMINARY STATEMENT

On September 8, 2000, Complaint Counsel provided Andrx with a list of documents that they assert they may use in a pleading, motion, exhibit, or other paper to be filed in this proceeding. The volume of documents identified by Complaint Counsel is massive, almost 5000 pages<sup>1</sup>. Andrx reviewed each of the documents, and has compiled a list of the documents it believes deserve *in camera* protection<sup>2</sup>.

<sup>&</sup>lt;sup>1</sup> Over 4200 pages were contained on Complaint Counsel's list to Andrx. In addition over 500 pages of documents consisting of Andrx' confidential information were also contained on a similar list provided to Respondent Hoechst Marion Roussel, Inc. (HMR).

<sup>&</sup>lt;sup>2</sup> See accompanying declaration of Herschel E. Sparks, Jr., executed September 29, 2000 (the "Sparks Decl.").

To undertake at this time to address each document that deserves in camera treatment individually is impracticable, and indeed likely will prove to be unnecessary, given the complete uncertainty as to which documents Complaint Counsel may use in a pleading, motion, exhibit or other paper to be filed in this proceeding, if at all. In light of the excessively broad designation of material, Andrx respectfully suggests that the appropriate course is to defer consideration of in camera treatment for the documents until Complaint Counsel narrows the list to documents that it actually will use in submissions.

#### **ARGUMENT**

Andrx requests that the Court defer judgment until Complaint Counsel narrows its list. As this Court has already acknowledged, these lists are "extensive" and "overbroad." Furthermore, as reasoned by this Court in the context of the "Other" documents identified by Complaint Counsel in the September 8, 2000 list (as communicated on September 27, 2000 by Victoria Arthaud), in light of the large number of documents, the actual use of which is speculative, it would be appropriate to address the specific documents that Complaint Counsel will utilize, if such occasion arises.

#### I. Legal Standard for In Camera Treatment

"There is no question that the confidential records of businesses involved in Commission proceedings should be protected insofar as possible." H.P. Hood & Sons, Inc., 58 F.T.C. 1184, 1186 (1961). "An applicant for in camera status is required to show that the information in the documents is 'sufficiently secret' and 'sufficiently material' to its business that disclosure would result in serious competitive injury." In re E.I. DuPont de Nemours & Company, 103 F.T.C. 533, 534 (1984) (quoting Hood, 58 F.T.C. at 1188).

There are six factors considered in determining secrecy and materiality. These are:

(1) the extent to which the information is known outside of [the proponent's] business; (2) the extent to which it is known by employees and other involved in [the proponent's] business; (3) the extent of measures taken by [the proponent] to guard the secrecy of the information; (4) the value of the information to [the proponent] and to [its] competitors; (5) the amount of effort or money expended by [the proponent] in developing the information; [and] (6) the ease or difficulty with which the information could be properly acquired or duplicated by others.

In re Bristol-Myers Company, 90 F.T.C. 455, 456-57 (1977).

In addition, "a showing that the public disclosure of the documentary evidence will result in a clearly defined, serious injury to the person or corporation whose records are involved" is also required. Hood, 58 F.T.C. at 1188. Within the context of this legal backdrop, "the courts have generally attempted to protect confidential business information from unnecessary airing." Id.

Moreover, in the event of uncertainty as to the whether the documents are entitled to *in camera* treatment, there is precedent that such designation may preliminarily be made, subject to change at a later time. As explained in <u>Bristol-Myers</u>,

the general and fundamental policy favoring government decisions based on publicly available facts may warrant different treatment for similar information depending upon the importance of the information to an understanding of the Commission's decision making processes. Taking this into consideration, it may be reasonable in some cases, as Commission Rule 3.45(a) allows, for the law judge to grant in camera treatment for information at the time it is offered into evidence subject to a later determination by the law judge or the Commission that public disclosure is required in the interests of facilitating public understanding of their subsequent decisions.

Bristol-Myers Company, 90 F.T.C. at 457.

#### II. The Documents Referenced in the Application Merit In Camera Treatment

The documents that Andrx believes deserve in camera protection have been divided into the following six categories: (1) Scientific Documents; (2) Internal Business Documents; (3) Documents Relating to the Settlement Agreement between Respondent Hoechst Marion Roussel, Inc. ("HMR") and Andrx; (4) Regulatory Filings or Communications; (5) Agreements and Drafts Concerning the Supply of Other Products or the Resolution of Other Patent Infringement Litigations; and (6) Documents Regarding Settlement Efforts in this (FTC) Proceeding. (A list of these documents identified by bates number, divided into the categories in which they belong, is annexed to the accompanying declaration of Herschel E. Sparks Jr. as Exhibit A. Copies of the documents themselves have been provided directly to chambers in light of their confidential and highly sensitive nature.)

Reasons for why each category deserves in camera protection is addressed in turn below. In addition, reasons pertaining to all the categories are also presented.

#### A. Scientific Documents

These documents include research and development and formulaic data. Many of these documents go to the heart of how Andrx' products (of which all but the Cardizem CD generic have no relation to this proceeding) are launched. Within Andrx, they are not widely circulated. Only people of high level of seniority and/or on a "need to know" basis have access to these documents. The sensitive, non-public status of these documents may be inferred by the nature of the documents themselves. They, being secret formulas, processes, and other secret technical information, are inherently confidential. Sparks Decl. ¶ 5. See Hood, 58 F.T.C. at 1087.

#### B. Internal Business Documents

These documents detail financial information, projections, risk analyses, product strategies and products in development and/or in the pipeline. Extraordinary measures have been taken to guard the secrecy of these documents and the information contained therein. They are treated in a strict confidential manner even within Andrx. To the extent that any of them have been disclosed to third parties, Andrx has first secured promises of confidentiality. The value of the information contained in these documents to Andrx is tremendous and would provide an unfair advantage to its competitors who have not expended the financial, human and temporal resources to produce the information contained in the documents and/or who have no right to the internal business dealings, planning and strategies of Andrx. In addition, the financial and business documents contain detailed data relating to Andrx' costs, suppliers, expenditures, projections, etc., as well as possible future products or products "in the pipeline"—information that would be invaluable to Andrx' competitors. Sparks Decl. ¶ 6.

#### C. Documents Relating to the Settlement Agreement between HMR and Andrx

These documents include drafts of, as well as communications relating to and concerning the performance of, the settlement agreement between HMR and Andrx. The information contained therein is not publicly available. The documents contain financial terms, with respect to, among other things, licensing arrangements. In addition, these documents reveal the negotiating positions and strategies of Andrx. These terms and strategies are commercially sensitive and disclosure would provide an unfair advantage to competitors and potential competitors. Andrx never consented to their being publicly disclosed either in connection to this proceeding or elsewhere. Sparks Decl. ¶ 7.

#### D. Regulatory Filings or Communications

These documents include non-public, confidential correspondence and documents filed with the Food and Drug Association ("FDA"). Great measures have been take to protect the confidentiality of the information contained in these documents, as well as the documents themselves. To the extent that any of them have been disclosed to third parties, they were provided pursuant to a confidentiality agreement and with express assurances that confidentiality would be maintained. Sparks Decl. ¶ 8.

# D. Agreements and Drafts Concerning the Supply of Other Products or the Resolution of Other Patent Infringement Litigations

These documents are not only non-public and confidential, they have nothing to do with this proceeding. Similar to the internal business documents and the documents relating to the settlement stipulation between HMR and Andrx, significant measures have been taken to guard their confidentiality, and they are kept secret, even within Andrx. Sparks Decl. ¶ 9.

#### E. Documents Regarding Settlement Efforts in this (FTC) Proceeding

The information contained in these documents is not publicly available, nor is it readily available to any persons other than high level Andrx executives. Andrx never consented to the disclosure of these documents or information to the public either in connection with this proceeding or any other context. Furthermore, much like the documents relating to the settlement agreement between HMR and Andrx, these documents reflect the negotiating positions and strategies of Andrx, disclosure of which would create an unfair competitive advantage to its outsiders. Sparks Decl. ¶ 10.

#### III. Reasons for In Camera Treatment Relating to All Categories of Documents

Great measures have been taken to guard secrecy of every document for which Andrx seeks in camera treatment. Sparks Decl. ¶ 12. They are not already a matter of public record. They do not appear in public binders of this proceeding, nor have they been publicly disclosed in any other context. Furthermore, to the extent that any of them have been disclosed to third parties, Andrx has done so only upon first procuring assurances of confidentiality, usually by written agreement. Sparks Decl. ¶ 12.

The information is not stale and is still significant today. To the extent that some information concerning Andrx' business plans may be older, it still may be used to extrapolate current business operations, strategies and/or decision-making rationale. Similarly, to the extent some documents relate to products Andrx was developing or considering developing, such information is certainly still sensitive and worthy of protection today. Sparks Decl. ¶ 13. See In re Kaiser Aluminum & Chemical Corporation, 103 F.T.C. 500 (1984) (holding that material that is over five years old is still extremely sensitive and deserving of in camera protections because "a serious injury would be done by release of this information, which they have never made available to the public").

Much of the information consists of significant work product that has literally cost Andrx millions of dollars to develop. In addition to financial cost, the time and effort expended to create the information contained in these documents has been tremendous. The scientific data alone is a result of years of research and investment. Sparks Decl. ¶ 14. The value of the information contained in each of these documents is immeasurable to Andrx, as the documents reflect Andrx' business judgments and strategies on many levels. Sparks Decl. ¶ 15.

It would be extremely difficult and costly for competitors or the public to replicate or develop this information on their own. To the extent the information is financial or numerical it is not available from other sources. Sparks Decl. ¶ 16.

The public interest would not be served by making these confidential documents a matter of public record. Neither the scientific data nor the financial information contained in this documents bear any relationship to consumers or other members of the public at large. The information, however, would be highly valuable to Andrx' competitors in the marketplace and would, as a business matter, significantly prejudice Andrx' legitimate commercial interests.

Sparks Decl. ¶ 17. See Kaiser Aluminum, 103 F.T.C. at 500 (holding that certain documents warranted *in camera* treatment reasoning that a "public understanding of this proceeding does not depend on access to these data submitted").

Irreparable injury to Andrx would ensue if the information contained in these documents were disclosed to the public. As demonstrated above, much of the information contained in these documents would be invaluable to Andrx' competitors and provide them with an improper advantage. The benefits of Andrx' investment and research would be unfairly reaped. Sparks Decl. ¶ 18.

#### IV. Expiration Date for In Camera Protection

The confidential nature of the documents will not decrease over time. Andrx' scientific research, analyses, strategies, long-term plans, internal planning documents and work product reflecting business decisions and the respective rationales therefor will continue to be commercially sensitive and confidential indefinitely. For example, there is no known date, if any, when products that are or may be in development will no longer be relevant. Similarly,

Andrx' history of decision-making and the thought processes relating thereto will always be the kind of information which would provide competitors with an unfair business advantage and which Andrx has a significant interest in protecting. Accordingly, we request indefinite in camera protection be granted to these documents. Sparks Decl. ¶ 19.

#### **CONCLUSION**

For the foregoing reasons, Andrx respectfully requests that this Court grant its application for *in camera* protection of the documents referenced herein.

Dated: New York, New York September 29, 2000

Respectfully Submitted,

SOLOMON, ZAUDERER, ELLENHORN, FRISCHER & SHARP

By:

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Hal S. Shaftel

Colin A. Underwood

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New York, New York 10111

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Counsel for Respondent Andrx Corporation

#### UNITED STATES OF AMERICA BEFORE FEDERAL TRADE COMMISSION

In the Matter of

HOECHST MARION ROUSSEL, INC., a corporation, CARDERM CAPITAL L.P., a limited partnership,

and

ANDRX CORPORATION, a corporation.

Docket No. 9293

# DECLARATION OF HERSCHEL E. SPARKS, JR. IN SUPPORT OF RESPONDENT ANDRX CORPORATION'S APPLICATION FOR IN CAMERA PROTECTION OF CERTAIN OF ITS CONFIDENTIAL-MATERIALS

HERSCHEL E. SPARKS, JR., pursuant to 28 U.S.C. § 1746, declares as follows:

- 1. I am Litigation Counsel to Respondent Andrx Corporation (Andrx).
- 2. I submit this declaration in support of Andrx' application for in camera protection of numerous of its confidential documents that have been identified by Complaint Counsel as material and which they reasonably expect to include in a pleading, motion, exhibit or other paper to be filed in this proceeding. I have reviewed those documents and make this declaration based on personal knowledge.
- 3. The volume of documents identified by Complaint Counsel is massive, amounting almost 5000 pages<sup>1</sup>. To undertake at this time to address each document that deserves in camera treatment individually is impracticable, and, indeed, likely will prove

Over 4200 pages were contained on Complaint Counsel's list to Andrx. In addition over 500 pages of documents consisting of Andrx' confidential information were also contained on a similar list provided to Respondent Hoechst Marion Roussel, Inc. (HMR).

to be unnecessary, given the complete uncertainty as to which documents Complaint
Counsel may use in a pleading, motion, exhibit or other paper to be filed in this
proceeding, if at all. In light of the excessively broad designation of material, we
respectfully believe that the appropriate course is to defer consideration of in camera
treatment for the documents until Complaint Counsel narrows the list to documents that it
actually will use in submissions.

4. Rather than address each document separately, we have divided the documents Andrx believes deserve in camera protection into six categories. Given Andrx' substantial interest in protecting the confidentiality of these documents, which contain trade secrets and other commercially sensitive information, I am available to appear at a hearing to address any questions that the court may have relating to the contents of these documents. The six categories are as follows:

#### Description of Six Categories

#### Scientific Documents

5. These documents include research and development and formulaic data. Many of these documents go to the heart of how Andra' products (of which all but the Cardizem CD generic have no relation to this proceeding) are launched. Within Andra, they are not widely circulated. Only people of high level of seniority and/or on a "need to know" basis have access to these documents. The sensitive, non-public status of these documents may be inferred by the nature of the documents themselves. They, being secret formulas, processes, and other secret technical information, are inherently confidential.

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#### Internal Business Documents

6. These documents detail financial information, projections, risk analyses, product strategies, and products in development and/or in the pipeline. Extraordinary measures have been taken to guard the secrecy of these documents and the information contained therein. They are treated in a strict confidential manner even within Andrx. To the extent that any of them have been disclosed to third parties, Andrx has first secured promises of confidentiality. The value of the information contained in these documents to Andrx is tremendous and would provide an unfair advantage to its competitors who have not expended the financial, human and temporal resources to produce the information contained in the documents and/or who have no right to the internal business dealings, planning and strategies of Andrx. In addition, the financial and business documents contain detailed data relating to Andrx' costs, suppliers, expenditures, projections, etc., as well as possible future products or products "in the pipeline" — information that would be invaluable to Andrx' competitors.

#### Documents Relating to the Settlement Agreement between HMR and Andrx

7. These documents include drafts of, as well as communications relating to and concerning the performance of, the settlement agreement between HMR and Andrx. The information contained therein is not publicly available. The documents contain financial terms, with respect to, among other things, licensing arrangements. In addition, these documents reveal the negotiating positions and strategies of Andrx. These terms and strategies are commercially sensitive and disclosure would provide an unfair

advantage to competitors and potential competitors. Andrx never consented to their being publicly disclosed either in connection with this proceeding or elsewhere.

#### Regulatory Filings or Communications

8. These documents include non-public, confidential correspondence and documents filed with the Food and Drug Association ("FDA"). Great measures have been take to protect the confidentiality of the information contained in these documents, as well as the documents themselves. To the extent that any of them have been disclosed to third parties, they were provided pursuant to a confidentiality agreement and with express assurances that confidentiality would be maintained.

# Agreements and Drafts Concerning the Supply of Other Products or the Resolution of Other Patent Infringement Litigations

9. These documents are not only non-public and confidential, they have nothing to do with this proceeding. Similar to the internal business documents and the documents relating to the settlement stipulation between HMR and Andrx, significant measures have been taken to guard their confidentiality, and they are kept secret, even within Andrx.

#### Documents Regarding Settlement Efforts in this (FTC) Proceeding

10. The information contained in these documents is not publicly available, nor is it readily available to any persons other than high level Andrx executives. Andrx never consented to the disclosure of these documents or information to the public either in connection with this proceeding or any other context. Furthermore, much like the documents relating to the settlement agreement between HMR and Andrx, these documents reflect the negotiating positions and strategies of Andrx, disclosure of which would create an unfair competitive advantage to its outsiders.

11. A list of these documents identified by bates number, divided into the categories in which they belong, is annexed hereto as Exhibit A. I am advised by counsel that copies of the documents themselves will accompany this application.

# The Balance of Considerations Favor In Camera Treatment of the Documents at Issue

- 12. Great measures have been taken to guard secrecy of every document for which we seek in camera treatment. Furthermore, to the extent that any of them have been disclosed to third parties, Andrx has done so only upon first procuring assurances of confidentiality, usually by written agreement.
- 13. The information is not stale and is still significant today. To the extent that some information concerning Andrx' business plans may be older, it still may be used to extrapolate current business operations, strategies and/or decision-making rationale. Similarly, to the extent some documents relate to products Andrx was developing or considering developing, such information is certainly still sensitive and worthy of protection today.
- 14. Much of the information consists of significant work product that has literally cost Andrx millions of dollars to develop. In addition to financial cost, the time and effort expended to create the information contained in these documents has been tremendous. The scientific data alone is a result of years of research and investment.
- 15. The value of the information contained in each of these documents is immeasurable to Andrx, as these documents reflect Andrx' business judgments and strategies on many levels.

- 16. It would be extremely difficult and costly for competitors or the public to replicate or develop this information on their own. To the extent the information is financial or numerical, it is not available from other sources.
- 17. The public interest would not be served by making these confidential documents a marter of public record. Neither the scientific data nor the financial information contained in this documents bear any relationship to consumers or other members of the public at large. The information, however, would be highly valuable to Andrx' competitors in the marketplace and would, as a business marter, significantly prejudice Andrx' legitimate commercial interests.
- 18. Irreparable injury to Andrx would ensue if the information contained in these documents were disclosed to the public. As demonstrated above, much of the information contained in these documents would be invaluable to Andrx' competitors and provide them with an improper advantage. The benefits of Andrx' investment and research would be unfairly reaped.
- Andrx' scientific research, analyses, strategies, long-term plans, internal planning documents and work product reflecting business decisions and the respective rationales therefor will continue to be commercially sensitive and confidential indefinitely. For example, there is no known date, if any, when products that are or may be in development will no longer be relevant. Similarly, Andrx' history of decision-making and the thought processes relating thereto will always be the kind of information which would provide competitors with an unfair business advantage and which Andrx has a

significant interest in protecting. Accordingly, we request indefinite in camera protection be granted to these documents.

#### Conclusion

20. For the reasons set forth above and in the accompanying application, the documents referenced herein should be granted in camera protection.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on September 29, 2000 in Fort Lauderdale, Florida.

Sterschel & Oparto J-HERSCHEL E. SPARKS, JR.

#### CORRECTED EXHIBIT A: LIST OF DOCUMENTS BY CATEGORY

#### (Category 1) Scientific Documents 000440-446 000469-473 000490-559 007608-7612 007613-7619 007775-7777 008434-8435 008437-8450 008451-8483 008520-8523 010499-10513 010884-10892 FTC-ANDX 000071-88 FTC-ANDX 000107-115 FTC-ANDX 000187-189 FTC-ANDX 000564-565 FTC-ANDX 000638-662 FTC-ANDX 000677-681 FTC-ANDX 000994-1005 FTC-ANDX 001142 FTC-ANDX 001259-1268 FTC-ANDX 001492-1494 FTC-ANDX 001497-1499 FTC-ANDX 001730-1732 FTC-ANDX 001867-1869 FTC-ANDX 002078-2080 FTC-ANDX 002244-2274 FTC-ANDX 002281-2282 FTC-ANDX 002370-2371 FTC-ANDX 002372 FTC-ANDX 002662-2663 FTC-ANDX 002920-2931 FTC-ANDX 002934 FTC-ANDX 002947 FTC-ANDX 003239 FTC-ANDX 003595 FTC-ANDX 003702-3710 FTC-ANDX 003819-3833 FTC-ANDX 003963-3964 FTC-ANDX 004077 FTC-ANDX 004204-4208

FTC-ANDX 004244-4245

- FTC-ANDX 004300-4302
- FTC-ANDX 004425-4426
- FTC-ANDX 004483-4484
- FTC-ANDX 004563-4564
- FTC-ANDX 004661-4668
- FTC-ANDX 004703-4741
- FTC-ANDX 004742-4744
- FTC-ANDX 004826-4827
- FTC-ANDX 004831
- FTC-ANDX 004899-4904
- FTC-ANDX 004964
- FTC-ANDX 005004-5009
- FTC-ANDX 005045-5080
- FTC-ANDX 005415-5452
- FTC-ANDX 005453-5454
- FTC-ANDX 005459-5601
- FTC-ANDX 005663-5666
- FTC-ANDX 005729-5730
- FTC-ANDX 005816-5817
- FTC-ANDX 005819-5820
- FTC-ANDX 005913-5914
- FTC-ANDX 005993
- FTC-ANDX 006007-6008
- FTC-ANDX 006118-6119
- FTC-ANDX 006178-6208
- FTC-ANDX 006244-6277
- FTC-ANDX 006356
- FTC-ANDX 006484-6513
- FTC-ANDX 006535-6564
- FTC-ANDX 006565-6595
- FTC-ANDX 006776-6812
- FTC-ANDX 006813
- FTC-ANDX 006814
- FTC-ANDX 007155-7193
- FTC-ANDX 007194-7196
- FTC-ANDX 007270-7283
- FTC-ANDX 007422-7423
- FTC-ANDX 007424
- FTC-ANDX 007425
- FTC-ANDX 007508-7544
- FTC-ANDX 007680-7684
- FTC-ANDX 009824-9871
- FTC-ANDX 009874-9896
- FTC-ANDX 009897-9920
- FTC-ANDX 009955-9988
- FTC-ANDX 010419-10466

FTC-ANDX 010468-10487 FTC-ANDX 010576-10577 FTC-ANDX 010578-10588 FTC-ANDX 014850-14888 FTC-ANDX 014889-14921 FTC-ANDX 015039-15051

#### (Category 1) <u>Scientific Documents</u> and (Category 2) <u>Internal Business Documents</u>

002687-2810 FTC 0002102-2169

#### (Category 2) Internal Business Documents

000225-273

000274-298 & 309-311

000345-387

000388-439

000591-668

000883-889

000905-906

000907-917

000921-982

000986-987

000992

000993

01231-1267

01699-1709

01710-1742

002370-2375

002377-2379

002533-2536

002687-2810

003092-3125

004443-4450 & 004483

004497-4554

004661-4902

004905-4926

004929-4944

005165-5182

006981-6982

007152

007197

007201

007205-7207

007214-7220

007224-7227

007496-7497

007559

007696-7724

007725-7729

008381-8386

008436

008437-8519

009749-9779

009780-9807

010249-10252

010311-10313

010363-10365

010395-10398

FTC-ANDX 015786-15789

FTC-ANDX 016069-16078

FTC 002102-2169

## (Category 3) <u>Documents Relating to the Settlement Agreement</u> between HMR and Andrx

000217-220

000221-224

001385-1395

001396-1397

001398-1409

001410-1421

001422-1436

001437-1449

001450-1462

001463-1475

001476-1486

001487-1498 001499-1500

001501-1511

001512-1523

001524-1538

001539-1541

001542-1546

001547-1560

001561-1583

001584-1600

001601-1608

001609-1623

001624-1632

001633-1648

01662-1671

01672-1675

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01676-1679
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01680-1684

01685-1698

004290-4301

004302-4305

004306-4308

004334

004344-4348

004358-4367

004374-4376

004397-4399

004405-4407

004411-4415

004418-4419

008485-8486

009684-9685

010494-10495

FTC-ANDX 016079-16086

8/10/97 Fax from J. Spears to L. Solomon entitled "Discussion Draft" (no bates)

#### [NOTE: THE FOLLOWING DOCUMENTS IN "Category 3" ARE DERIVED FROM THE LIST COMPLAINT COUNSEL PROVIDED TO HMR]

GADS 030661-680

HMRI 000001-117

HMRI 000134-138

HMRI 000140-142

HMRI 019934

HMRI 026937-26938

HMRI S5 000001-002

HMRI S5 000005-010

HMRI S5 000018

HMRI S5 000030

HMRI S5 00004760

HMRI S5 000063-0100

HMRI S7 000038-0044

HMRI S7 001317-1330

HMRI S7 001350-1360

HMRI S7 001362-1366

HMRI S7 001392-1397

HMRI S7 001495-1628

HMRI S7 001656-1678

HMRI S7 002464-2477

HMRI S7 002803-2815

HMRI S7 002984-3000 HMRI S7 003129-3133

HMRI S7 003139-3143

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HMRI S7 004282-4292
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HMRI S7 006126-6170

HMRI S7 006292-6315

HMRI S8 000014-000023

HMRI SPEC 20 HOSKINS 00001-00005

HMRI SPEC 20 HOSKINS 00273-00305

"Settlement Discussion Agreement: in HMR v. Andrx, Civ. Action 96-06121 (no bates) 6/8/99 letter from L. Solomon to J. Spears (no bates)

#### (Category 4) Regulatory Filings or Communications

000694-699

000704-708

01743-1777

01778-1782

01851-01853

004309-4311

006347-6357

008162

009140-9145

010682-10684

010688-10689

68534-68535

68586-68587

#### (Category 2) Internal Business Documents

#### and (Category 4) Regulatory Filings or Communications

2004-2017

2018-2041

2052-2067

68360-68373

68380

68382-68516

68579-68580

68688-68933

68934-68950

68960-69039

0082718-82729

0084447-84451

0084487-84550

0084551-84604

0086566-86567

0086568-86576

0086577

087999-88007

0085576-85594

0096412-96428

0096473-96488 0096543-96549 0096633-96634 0098308-98310 0103871-1003878 FTC 4507-4613

## (Category 5) <u>Agreements and Drafts Concerning the Supply of Other Products or the Resolution of Other Patent Infringement Litigations</u>

003361 003805-3837 003871 008772-8776 009660-9661 011001-11013

#### (Category 6) Documents Regarding Settlement Efforts in this (FTC) Proceeding

FTC 0002234-2236 FTC 0002266-2270 FTC 0002322-2330