# Chapter 11 Incident Management & Response

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#### **National Response Framework**

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The National Response Framework presents the guiding principles that enable all response partners to prepare for and provide a unified national response to disasters and emergencies - from the smallest incident to the largest catastrophe. The Framework establishes a comprehensive, national, all-hazards approach to domestic incident response. Information about the National Response Framework can be found at: http://www.fema.gov/emergency/nrf/index.htm

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#### **National Interagency Incident Management System**

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The National Interagency Incident Management System (NIIMS) is sponsored by the National Wildfire Coordinating Group (NWCG). NIIMS is compliant with the National Incident Management System (NIMS), which is a component of the National Response Framework. NIIMS provides a universal set of structures, procedures and standards for agencies to respond to all types of emergencies. NIIMS will be used to complete tasks assigned to the interagency wildland fire community under the National Response Framework.

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### **Incident Management and Coordination Components of NIIMS**

Effective incident management requires:

- Command organizations to manage on-site incident operations.
- Coordination and support organizations to provide direction and supply resources to the on-site organization.

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#### **Incident Command System (ICS)**

The ICS is the on-site management system used in NIIMS/NIMS. The ICS is a standardized emergency management system specifically designed to provide for an integrated organizational structure that reflects the complexity and demands of single or multiple incidents, without being hindered by jurisdictional boundaries. ICS is the combination of facilities, equipment, personnel, communications and procedures operating within a common organizational structure to manage incidents. ICS will be used by the agencies to manage

38 wildland fire operations and all risk incidents.

#### Wildland Fire Complexity

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Wildland fires are typed by complexity, from type 5 (least complex) to type 1
 (most complex). The ICS organizational structure develops in a modular
 fashion based on the complexity of the incident. Complexity is determined by
 completing an Incident Complexity Analysis - (Refer to samples in appendix F

& G). Units may develop their own Incident Complexity Analysis format to replace appendix G.

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#### **Organizational Needs Assessment**

- 5 The National Wildfire Coordinating Group has adopted the Organizational
- 6 Needs Assessment as a replacement for the Type 3, Type 2, and Type 1 Incident
- 7 Complexity Analysis. The Organizational Needs Assessment assists personnel
- 8 with evaluating the situation, objectives, risks, and management considerations
- 9 of a complex incident and determining the appropriate organization necessary to
- manage the incident. The Organizational Needs Assessment will be incorporated
- into the Wildland Fire Decision Support System (WFDSS) as development
- 12 allows. The Organizational Needs Assessment is available at:
- 13 http://www.wfmrda.org/policy.php

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#### **Command Organizations**

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#### **Incident Command**

All fires, regardless of complexity, will have an incident commander (IC). The IC is a single individual responsible to the agency administrator(s) for all incident activities. Incident Commanders are responsible for:

- Obtaining a Delegation of Authority and/or expectations to manage the incident from the agency administrator. For type 3, 4, or 5 incidents, delegations/expectations may be written or oral.
- Ensuring that safety receives priority consideration in all incident activities,
   and that the safety and welfare of all incident personnel and the public is
   maintained.
- 27 Assessing the incident situation, both immediate and potential.
- Maintaining command and control of the incident management
   organization.
- Ensuring transfer of command is communicated to host unit dispatch and to all incident personnel.
- Developing incident objectives, strategies, and tactics.
- Developing the organizational structure necessary to manage the incident.
- Approving and implementing the Incident Action Plan, as needed.
- Ordering, deploying, and releasing resources.
- Ensuring incident financial accountability and expenditures meet agency policy and standards.
- Ensuring incident documentation is complete.

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- 40 For purposes of initial attack, the first IC on scene qualified at any level will
- assume the duties of initial attack IC. The initial attack IC will assume the
- duties and have responsibility for all suppression efforts on the incident up to
- his/her level of qualification until relieved by an IC qualified at a level
- 44 commensurate with incident complexity.

- As an incident escalates, a continuing reassessment of the complexity level should be completed to validate the current command organization or identify the need for a higher level of incident management.
- An IC is expected to establish the appropriate organizational structure for each incident and manage the incident based on his/her qualifications, incident
- 7 complexity, and span of control. If the incident complexity exceeds the
- $\, 8 \,$  qualifications of the current IC, the IC must continue to manage the incident
- 9 within his/her capability and span of control until replaced.

## 11 On-site Command Organizations

12 Command organizations responsible for incident management include:

- Type 5 Incident Command
- Type 4 Incident Command
- Type 3 Incident Command
- 16 Type 2 Incident Command
- 17 Type 1 Incident Command
- Wildland Fire Management Teams
- 19 National Incident Management Organizations (NIMO)
- 20 Area Command

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21 • Unified Command

### **Type 5 Incidents**

# **Type 5 Incident Characteristics**

- Ad hoc organization managed by a type 5 Incident Commander.
- 27 Primarily local resources used.
- ICS command and general staff positions are not activated.
- 29 Resources vary from two to six firefighters.
- Incident is generally contained within the first burning period and often within a few hours after resources arrive on scene.
- Additional firefighting resources or logistical support are not usually required.

#### **Type 5 Incident Command**

- Type 5 Incident Commanders (ICs) are qualified according to the *NWCG*Wildland Fire Qualifications Systems Guide PMS 310-1 (NFES # 310-1). The
  type 5 IC may assign personnel to any combination of ICS functional area duties
  in order to operate safely and effectively. ICS functional area duties should be
  assigned to the most qualified or competent individuals available.
  - FS See FSH 5109.17 for additional standards.

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#### **Type 4 Incidents**

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### **Type 4 Incident Characteristics**

- Ad hoc organization managed by a type 4 Incident Commander.
- Primarily local resources used.
- ICS command and general staff positions are not activated.
- Resources vary from a single resource to multiple resource task forces or strike teams.
- Incident is usually limited to one operational period in the control phase.
   Mopup may extend into multiple operational periods.
- Written incident action plan (IAP) is not required. A documented operational briefing will be completed for all incoming resources. Refer to the *Incident Response Pocket Guide* for a briefing checklist.

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### 15 Type 4 Incident Command

Type 4 Incident Commanders (ICs) are qualified according to the *NWCG*Wildland Fire Qualifications Systems Guide PMS 310-1. The type 4 IC may
assign personnel to any combination of ICS functional area duties in order to
operate safely and effectively. ICS functional area duties should be assigned to
the most qualified or competent individuals available.

• FS - See FSH 5109.17 for additional standards.

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#### **Type 3 Incidents**

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### **Type 3 Incident Characteristics**

- Ad hoc or pre-established type 3 organization managed by a type 3 Incident Commander.
- The IC develops the organizational structure necessary to manage the incident. Some or all of ICS functional areas are activated, usually at the division/group supervisor and/or unit leader level.
- The Incident Complexity Analysis process is formalized and certified daily with the jurisdictional agency. It is the IC's responsibility to continually reassess the complexity level of the incident. When the complexity analysis indicates a higher complexity level the IC must ensure that suppression operations remain within the scope and capability of the existing organization and that span of control is consistent with established ICS standards.
- Local and non-local resources used.
- Resources vary from several resources to several task forces/strike teams.
- May be divided into divisions.
- May require staging areas and incident base.
- May involve low complexity aviation operations.
- May involve multiple operational periods prior to control, which may require a written Incident Action Plan (IAP).

- Documented operational briefings will occur for all incoming resources and
   before each operational period. Refer to the *Incident Response Pocket* Guide for a briefing checklist.
- ICT3's will not serve concurrently as a single resource boss or have any non incident related responsibilities.

7 Type 3 Incident Command

8 Type 3 Incident Commanders (ICT3s) are qualified according to the 310-1.

When ICT3s are required to manage an incident they must not have concurrent

10 responsibilities that are not associated with the incident and they must not

11 concurrently perform single resource boss duties.

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Other than the Incident Commander, command and general staff positions have not been established at the type 3 complexity level. However, a type 3 incident may require additional functional positions to assist the Incident Commander.

The following table lists minimum qualification requirements for these

17 functional responsibilities.

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| Type 3 Functional<br>Responsibility | Specific 310-1 or equivalent qualification standards required to perform ICS functions at type 3 level  |
|-------------------------------------|---|
| Incident Command                    | Incident Commander Type 3 (ICT3)  |
| Safety                              | Line Safety Officer   |
| Operations                          | Task Force Leader   |
| Division                            | Single Resource Boss Operational qualification must<br>be commensurate with resources assigned (i.e. more<br>than one resource assigned requires a higher level of<br>qualification). |
| Plans                               | Local entities can establish level of skill to perform function.  |
| Logistics                           | Local entities can establish level of skill to perform function.  |
| Information                         | Local entities can establish level of skill to perform function.  |
| Finance                             | Local entities can establish level of skill to perform function.  |

• **FS** - Refer to FSH 5109.17 for additional standards.

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Type 3 experience that is input into the Incident Qualification and Certification System (IQCS) will not exceed an individual's current Incident Qualification Card.

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#### Type 2 Incidents

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#### **Type 2 Incident Characteristics**

- Pre-established incident management team managed by type 2 Incident Commander.
- ICS command and general staff positions activated.
- Many ICS functional units required and staffed.
- 8 Geographic and/or functional area divisions established.
- Complex aviation operations.
- Incident command post, base camps, staging areas established.
- Incident extends into multiple operational periods.
- Written incident action plan required for each operational period.
- Operations personnel often exceed 200 per operational period and total personnel may exceed 500.
- 15 Requires WFDSS or other decision support document.
- Requires a written Delegation of Authority to the Incident Commander.

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#### 18 Type 2 Incident Command

19 Type 2 Incident Commanders are qualified according to the 310-1. These ICs

command pre-established Incident Management Teams that are configured with
 ICS Command Staff, General Staff and other leadership and support positions.

Personnel performing specific type 2 command and general staff duties must be

qualified at the type 1 or type 2 level according to the 310-1 standards.

• FS - Refer to FSH 5109.17 for additional standards.

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### **Type 1 Incidents**

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- Type 1 Incident Characteristics
   Pre-established incident management team managed by type 1 Incident Commander.
- ICS command and general staff positions activated.
  - Most ICS functional units required and staffed.
- Geographic and functional area divisions established.
- May require branching to maintain adequate span of control.
- Complex aviation operations.
- Incident command post, incident camps, staging areas established.
- Incident extends into multiple operational periods.
- Written incident action plan required for each operational period.
- Operations personnel often exceed 500 per operational period and total personnel may exceed 1000.
- Requires WFDSS or other decision support document.
- Requires a written Delegation of Authority to the incident commander.

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#### Type 1 Incident Command

- 2 Type 1 Incident Commanders are qualified according to the 310-1. These ICs
- 3 command pre-established Incident Management Teams that are configured with
- 4 ICS Command Staff, General Staff and other leadership and support positions.
- Personnel performing specific type 1 command and general staff duties must be qualified at the type 1 level according to the 310-1 standards.
- FS Refer to FSH 5109.17 for additional standards.

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### **Incident Management Teams**

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#### 11 Type 2 Incident Management Teams

- 12 Most type 2 teams are managed by Geographic Area Multi-Agency
- 13 Coordinating Groups and are coordinated by the Geographic Area Coordination
- 14 Centers. Some type 2 teams are managed by non-federal agencies (e.g. state or
- local governments) and availability of these teams is determined on a case by

#### 16 case basis.

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#### **Type 1 Incident Management Teams**

- 19 Type 1 teams are managed by Geographic Area Multi-Agency Coordinating
- 20 Groups and are mobilized by the Geographic Area Coordination Centers. At
- national preparedness levels 4 and 5 these teams are managed by the National
- 22 Multi-Agency Coordinating Group (NMAC).

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### 24 Wildland Fire Management Teams (WFMT)

- 25 Wildland Fire Management Teams provide land managers with skilled and
- mobile personnel to assist with the management of wildfires and prescribed
- 27 fires. WFMT are available as an interagency resource for assignment to all
- 28 agencies and units.

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### **National Incident Management Organization Teams**

- Four National Incident Management Organization (NIMO) teams are configured
- 32 as short Type I incident management teams. Each team has a full-time incident
- commander and six full-time Command & General Staff. NIMO teams are
- mobilized from Boise, Atlanta, Portland and Phoenix. The primary focus of the
- 35 National Incident Management Organization is management of complex
- 36 incidents.

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- In addition to complex incident management, these teams have year-round "non-
- incident" duties in support of fire and aviation management, including training,
- 40 quality assurance activities, fuels management, fuels implementation, fire and
- 41 resource management support, NWCG projects, cost containment, and
- 42 leadership development.

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### 44 Area Command

- 45 Area Command is an Incident Command System organization established to
- oversee the management of large or multiple incidents to which several Incident

- Management Teams have been assigned. Area Command may become Unified
- 2 Area Command when incidents are multi-jurisdictional. The determining factor
- for establishing area command is the span of control of the agency
- 4 administrator.

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- National Area Command teams are managed by the National Multi-Agency
- 7 Coordinating Group (NMAC) and are comprised of the following:
- Area Commander (ACDR).
- Assistant Area Commander, Planning (AAPC).
- Assistant Area Commander, Logistics (AALC).
  - Area Command Aviation Coordinator (ACAC).

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Depending on the complexity of the interface between the incidents, specialists in other areas such as aviation safety or information may also be assigned.

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- 6 Area Command Functions include:
- Establish overall strategy, objectives and priorities for the incident(s) under
   its command.
- Allocate critical resources according to priorities.
- Ensure that incidents are properly managed.
- 21 Coordinate demobilization.
- Supervise, manage and evaluate Incident Management Teams under its command.
- Minimize duplication of effort and optimize effectiveness by combining
   multiple agency efforts under a single Area Action Plan.

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#### **Unified Command**

- 28 Unified Command is an application of the Incident Command System used
- when there is more than one agency with incident jurisdiction or when incidents
- 30 cross political jurisdictions. Under Unified Command, agencies work together
- through their designated incident commanders at a single incident command
- post to establish common objectives and issue a single Incident Action Plan.
- 33 Unified Command may be established at any level of incident management or
- 4 area command. Under Unified Command all agencies with jurisdictional
- 35 responsibility at the incident contribute to the process of:
- o Determining overall strategies.
- Selecting alternatives.
- Ensuring that joint planning for tactical activities is accomplished.
- Maximizing use of all assigned resources.

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- 41 Advantages of Unified Command are:
- A single set of objectives is developed for the entire incident.
- A collective approach is used to develop strategies to achieve incident objectives.

- Information flow and coordination is improved between all jurisdictions and agencies involved in the incident.
- All involved agencies have an understanding of joint priorities and 3 restrictions. 4
- No agency's legal authorities will be compromised or neglected. 5

### **Coordination and Support Organizations**

- Organizations that provide coordination and support to on-site command organizations include: 10
  - Initial Attack Dispatch
- **Expanded Dispatch** 12
- Buying/Payment Teams 13
- National and Geographic Area Coordination Centers (refer to Chapter 8) 14
- Local, Geographic Area, and National Multi-Agency Coordinating (MAC) 15 Groups 16

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#### **Initial Attack Dispatch** 18

An initial attack dispatch organization is the primary unit responsible for 19 implementing the initial response to incidents upon report. It is integrated within the fire organization and the decision for deployment of response resources is made by an authorized individual. 22

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Initial attack dispatch is also responsible for coordination of communications and logistical support for incidents and field operations.

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#### **Expanded Dispatch**

Expanded dispatch is the organization needed to support an incident which 28 expands along with the Incident Command System. Expanded dispatch is established when a high volume of activity indicates that increased dispatch and coordination capability is required.

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- The expanded dispatch coordinator facilitates accomplishment of goals and 33 direction of the agency administrator and, when activated, the Multi Agency Coordinating Group. The position may be filled by the person normally managing the day-to-day operations of the center or an individual from a higher level of management. The expanded dispatch center coordinator is responsible 37 for: 38
- Filling and supervising necessary positions in accordance with coordination 39 complexity. 40
- 41 Implementing decisions made by the Multi-Agency Coordination (MAC) 42 group.

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- Expanded dispatch facilities and equipment should be pre-identified, procured and available for immediate setup. The following key items should be provided
- Work space separate from, but accessible to, the initial attack organization.
- Adequate office space (lighting, heating, cooling, security).
- Communications equipment (telephone, fax, computer hardware with adequate data storage space, priority use and support personnel).
- Area suitable for briefings (agency administrators, media). 8
- Timetable/schedule should be implemented and adhered to (operational period changes, briefings, strategy meetings). 10
- A completed and authorized Continuation of Operations Plan (COOP). 11
  - Qualified personnel on site to staff required operations.

#### **Buying/Payment Teams** 14

Buying/Payment Teams support incidents by procuring services, supplies, renting land and equipment. These teams may be ordered when incident support requirements exceed local unit capacity. These teams report to the agency administrator or the local unit administrative officer. See the *Interagency* Incident Business Management Handbook for more information. 19

#### **Multi-Agency Coordination (MAC)**

21 Multi-Agency Coordination Groups are part of the National Interagency Incident Management System (NIIMS) and are an expansion of the off-site coordination and support system. MAC groups are activated by the Agency administrator(s) when the character and intensity of the emergency situation significantly impacts or involves other agencies. A MAC group may be activated to provide support when only one agency has incident(s). The MAC group is made up of agency representatives who are delegated authority by their respective agency administrators to make agency decisions and to commit agency resources and funds. The MAC group relieves the incident support organization (dispatch, expanded dispatch) of the responsibility for making key decisions regarding prioritization of objectives and allocation of critical resources. The MAC group makes coordinated agency administrator level decisions on issues that affect multiple agencies. The MAC group is supported 35 by situation, resource status and intelligence units who collect and assemble data through normal coordination channels. 36

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MAC group direction is carried out through dispatch and coordination center 38 organizations. When expanded dispatch is activated, the MAC group direction 39 is carried out through the expanded dispatch organization. The MAC group organization does not operate directly with Incident Management Teams or with Area Command teams, which are responsible for on-site management of the incident. 43

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MAC groups may be activated at the local, state, regional, or national level.

National level and Geographic Area level MAC groups should be activated in Release Date: January 2011 accordance with the preparedness levels criteria established in the National and Geographic Area Mobilization Guides.

The MAC group coordinator facilitates organizing and accomplishing the mission, goals and direction of the MAC group. The MAC group coordinator:

- Provides expertise on the functions of the MAC group and on the proper relationships with dispatch centers and incident managers.
- Fills and supervises necessary unit and support positions as needed, in 8 accordance with coordination complexity.
- Arranges for and manages facilities and equipment necessary to carry out 10 the MAC group functions. 11
- Facilitates the MAC group decision process. Implements decisions made by 12 the MAC group. 13

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Activation of a MAC group improves interagency coordination and provides for 15 allocation and timely commitment of multi-agency emergency resources.

Participation by multiple agencies in the MAC effort will improve:

- Overall situation status information. 18
- Incident priority determination. 19
  - Resource acquisition and allocation.
- State and Federal disaster coordination. • 21
- Political interfaces. 22
- Consistency and quality of information provided to the media and involved 23 24 agencies.
- Anticipation of future conditions and resource needs. 25

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### Wildland Fire Decision Support System (WFDSS)

The Wildland Fire Decision Support System (WFDSS) is a web-based decision 29 support system that provides a single dynamic documentation system for use beginning at the time of discovery and concluding when the fire is declared out. It can be scaled and modified as the incident duration and complexity changes. The WFDSS involves a linear process of fire documentation and analysis for the

agency administrator to describe the basic fire situation, create incident

objectives and requirements, develop a course of action, validate key

dependencies, and evaluate risks. To support the decision process, spatial data

within the WFDSS allows users to display the fire situation, quantify values at

risk, perform fire behavior predictions, and develop management strategies.

These combined features allow the agency administrator to make an informed

decision for management of the incident considering safety, complexity, risk and economics. 41

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- WFDSS will be used for decision support documentation and all fires that
- escape initial attack or exceed initial response will have a published decision
- within WFDSS. A published WFDSS decision establishes a course of action

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CHAPTER 11
   and rationale for incidents with varying duration, spread potential, costs, or other
   considerations. Consider publishing a decision when a fire continues to actively
   spread beyond a few burning periods, increases in complexity or cost, or has a
   high relative risk. The level of documentation to publish a decision should be
   commensurate to the incident duration, spread potential, cost or relative risk.
   Agency-specific direction established in memos or other policy documents may
   further define WFDSS documentation requirements.
   Additional information about the WFDSS can be found in Appendix S or user
   support information, training materials, and other resources can be found at the
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   WFDSS homepage. http://wfdss.usgs.gov/wfdss/WFDSS_Home.shtml
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   WFDSS Support
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   A National Fire Decision Support Center (NFDSC) has been established to
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   support analysis used in wildland fire decision making and WFDSS. The
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   support provided by NFDSC consists of developing, improving, and increasing
   production and operational use of decision support products. As part of that
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   support NFDSC will provide not only direct decision support but also mentoring
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   and training to develop and strengthen regional and unit level decision support
   capacity. Information for requesting assistance from the NFDSC can be found
   at www.wfmrda.org by clicking on the NFDSC tab or at the WFDSS homepage.
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   WFDSS User Roles and Incident Privileges
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   User Roles within WFDSS correspond to permissions which allow users to
   perform certain tasks within the application, such as creating an incident or
   conducting fire behavior analysis. Typical User Roles are Viewer, Dispatcher,
   Author, Data Manager, and Fire Behavior Specialist.
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   Incident privileges are assigned at the time of (and are specific to) an incident.
   These privileges allow you to Own, Edit, Review, or Approve a decision
   document.
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   Fire Modeling
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   Fire modeling has been incorporated into WFDSS, in the form of the FIRE
   Spread Probability model (FSPro), Basic Fire Behavior (Basic), Short Term Fire
   Behavior (STFB) and Near Term Fire Behavior (NTFB). Comparison of
   WFDSS short and basic models to stand alone FlamMap and other fire behavior
   information can be found on the WFDSS homepage under the Related
   Resources link, fire behavior section. Information for requesting assistance in
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   running these models for your incident can be found at the WFDSS homepage
   through the National Fire Decision Support Center (NFDSC).
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   Relative Risk Assessment
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The Relative Risk assessment is required before publishing a decision for an

incident. Its purpose is to assist in planning for, assessing, and managing the

incident. It provides the Agency Administrator with a quick but comprehensive

assessment of the risk of the fire. An incident owner, editor, reviewer, or approver can perform the assessment.

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- This is a qualitative process that can be completed in less time than a quantitative long-term risk assessment. The relative risk assessment chart uses
- three risk components:values
- hazard
- probability

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Each of these components is assessed independently. The three outputs are then evaluated in a final step that provides the relative risk rating for the fire. From the relative risk rating, guidance is provided within the system to assist the owner/author in determining the level of analysis needed, considerations for the incident and documentation of the decision.

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### WFDSS Decision Approval and Publication

Decisions in WFDSS are approved and published by the appropriate line officer as defined in the table below. Incident privileges must be assigned within WFDSS to designate the approver. During the approval process, prior to publishing a decision, the timeframe for periodic assessment can be set (1-14 days).

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24 It is imperative that a decision be reviewed carefully as once approved and 25 published, a decision becomes a system of record and all WFDSS users can 26 view the information. Additionally, the action CANNOT be undone. If there is 27 an error in the information, or new information is added for documentation or 28 update (i.e. fire behavior, Management Action Points) a new decision must be 29 made to permanently update the record.

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**WFDSS Approval Requirements** 

| Cost<br>Estimate | BIA                  | BLM                            | FWS                                     | NPS        | USFS                 |
|------------------|----------------------|--------------------------------|---|------------|----------------------|
| \$0-\$2M         | Agency Supt          | Field/<br>District<br>Manager  | Project<br>Leader/<br>Refuge<br>Manager | Park Supt  | District<br>Ranger   |
| \$2M-\$5M        | Regional<br>Director | Field/<br>District<br>Manager* | Regional<br>Director                    | Park Supt* | Forest<br>Supervisor |
| \$5M-<br>\$10M   | BIA Director         | Field/<br>District<br>Manager* | FWS<br>Director                         | Park Supt* | Forest<br>Supervisor |

| \$10M-<br>\$50M | BIA Director | Field/<br>District<br>Manager* | FWS<br>Director | Park Supt* | Regional<br>Forester |
|-----------------|--------------|--------------------------------|-----------------|------------|----------------------|
| >\$50M          | BIA Director | Field/<br>District<br>Manager* | FWS<br>Director | Park Supt* | USFS<br>Chief        |

\*BLM/NPS- All WFDSS decisions are approved in the application at the local

- level by the Field Office Manager, District Manager or Park Superintendent.
- When the cost thresholds described above are reached, certification by
- 5 respective BLM State Directors/Bureau Directors or NPS Regional
- Director/National Director occurs through a process outside of the WFDSS
- application. Certification from the higher level must be in writing.

BLM/NPS WFDSS Approval and Cost Certification

| Cost Estimate (*Certification or recertification is required at the following thresholds) | Approving Official for<br>WFDSS Decision | Certifying Official for<br>Fire Cost |  |
|---|--|--------------------------------------|--|
| <\$2M   | District/Field Office                    | District/Field                       |  |
|   | Manager/Park                             | Manager/Park                         |  |
|   | Superintendent                           | Superintendent                       |  |
| >\$2M   | District/Field Office                    | BLM State Director/NPS               |  |
|   | Manager/Park                             | Regional Director                    |  |
|   | Superintendent                           |                                      |  |
| >\$5M   | District/Field Office                    | BLM Director/NPS                     |  |
|   | Manager/Park                             | Director                             |  |
|   | Superintendent                           |                                      |  |

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#### 11 Periodic Assessment

- 12 The periodic assessment allows an approver to verify that the WFDSS decision
- 13 is still valid during the course of the incident. The periodic assessment must be
- completed by the designated approver in the time frame set during the
- publication process. The frequency of the Periodic Assessment is set at the time
- the decision is published and can range from 1 to 14 days and the approver can
- request a reminder email. It is important to document clear, concise information
- about the incident when completing the periodic assessment as this information
- will be part of the decision record. 19

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### **WFDSS Features**

- The WFDSS has many tools within one system for documenting and supporting decision making. Some features include:
- Fire Behavior

- Modeling tools are available within the system to assist with informed decision making. Fire modeling has been incorporated into WFDSS, in the form of the FIRE Spread Probability model (FSPro), Basic Fire Behavior (Basic), Short Term Fire Behavior (STFB) and Near Term Fire Behavior (NTFB).
- 6 ◆ Values Inventory –
- There are numerous national and interagency geospatial layers that are intended to help users visualize values data geographically. WFDSS Values Inventory uses the geospatial data to quantify the values within a planning area. This is intended as a strategic tool and is the fastest method to see and quantify values within the fire planning area. The report is a tabular product that gives the breakdowns of values in quantity, miles or acres, depending on the value.
- Values at Risk
- WFDSS Values at Risk combines FSPro outputs with reference to value layers to quantify the number, miles or acres of specific values within each probability contour. No economic values are associated with the outputs.
- Rapid Assessment Values at Risk (RAVAR)
- The RAVAR analysis process is completed outside of the WFDSS and in 19 imported into the system once completed. To order a RAVAR analysis, 20 contact your Geographic Editor. RAVAR utilizes Fire Spread Probability 21 Model (FSPro) outputs and county assessor cadastral data for structural 22 property values as well as other Tier 1 (national) and Tier 2 (regional) 23 values at risk. The result of overlaying the values and the FSPro output is 24 both a map product and a tabular product that breaks down the values by 25 probability radii. This product is intended for strategic use and may lack 26 sufficient detail for use in making tactical decisions. 27
- 28 Stratified Cost Index
- SCI is intended as a self assessment tool for cost per acre for fires larger than 300 acres and is not dependant on any spatial information except the latitude and longitude of the fire. The SCI tool is based on historical suppression costs based on fire size, location (inside or outside wilderness and distance to town), ERC percentile, fuel model, and the agency of jurisdiction. There are separate models for the Department of Interior (DOI) and USDA Forest Service.
  - Smoke Dispersion
- Based on the lat/long of a fire, a smoke dispersion forecast can be obtained in WFDSS through a web link found on the Situation Tab in the Info Tab..

  The seven day forecast provides projections of Mixing Height, Transport winds, Ventilation rates, Haines Indices, and PM2.5 values.
- Wildland Fire Air Quality
- Wildland fire Air Quality tools can be linked within the application under the left menu – fire related links.

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#### Managing the Incident

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#### **Agency Administrator Responsibilities**

- The agency administrator (AA) manages the land and resources on their
- 5 organizational unit according to the established land management plan. Fire
- 6 management is part of that responsibility. The AA establishes specific
- 7 performance objectives for the incident commander (IC) and delegates the
- 8 authority to the IC to take specific actions to meet those objectives. AA
- 9 responsibilities to a type 1 or 2 Incident Management Team (IMT) or Wildland
- 10 Fire Management Team (WFMT) include:
- Conduct an initial briefing to the Incident Management Team (appendix D).
- Provide an approved and certified WFDSS.
- 13 FS Ensure that significant decisions related to strategy and costs are included in a key decision log or in WFDSS.
- Complete an Incident Complexity Analysis (appendix F & G) to accompany
   the WFDSS
- Coordinate with neighboring agencies on multi-jurisdiction fires to issue a joint delegation of authority and develop a single WFDSS document for the management of unplanned ignitions.
- Issue a written Delegation of Authority (appendix H) to the type 1 or 2
   Incident Commander and to other appropriate officials, agency
   administrator representative, resource advisor and incident business advisor.
   The delegation should:
  - State specific and measurable objectives, priorities, expectations, agency administrator's intent, constraints and other required direction.
  - Establish the specific time for transfer of command.
  - Assign clear responsibilities for initial attack.
    - > Define your role in the management of the incident.
  - Conduct during action reviews with the IC.
- Assign a resource advisor(s) to the IMT.
- Define public information responsibilities.
- If necessary, assign a local government liaison to the IMT.
- Assign an Incident Business Advisor (IBA) to provide incident business management oversight commensurate with complexity.
  - Direct IMT to address rehabilitation of areas affected by suppression activities.
- ordinate mobilization with the Incident Commander:
  - > Negotiate filling of mobilization order with the IC.
  - Establish time and location of agency administrator briefing.
  - Consider approving support staff additional to the IMT as requested by the IC.
    - Consider authorizing transportation needs as requested by the IC.

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- 44 In situations where one agency provides fire suppression service under
- 45 agreement to the jurisdictional agency, both jurisdictional and protecting

agencies will be involved in the development of and signatories to the delegation of authorities and the WFDSS to the incident management teams.

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### **Agency Administrator Representative Responsibilities**

- 5 The agency administrator representative (the on-scene agency administrator) is
- responsible for representing the political, social and economic issues of the
- agency administrator to the Incident Commander. This is accomplished by
- 8 participating in the agency administrator briefing, in the IMT planning and
- 9 strategy meetings and in the operational briefings. Responsibilities include
- 10 representing the agency administrator to the IMT regarding:
- Compliance with the Delegation of Authority and the WFDSS.
- Public Concerns (air quality, road or trail closures, smoke management,
   threats)
- Public safety (evacuations, access/use restrictions, temporary closures)
- Public information (fire size, resources assigned, threats, concerns, appeals
   for assistance)
- Socioeconomic, political, or tribal concerns
- Land and property ownership concerns
- Interagency and inter-governmental issues
- 20 Wildland urban interface impacts
- o Media contacts 

  o Media contacts

22

#### Resource Advisor Responsibilities

- 24 The Resource Advisor is responsible for anticipating the impacts of fire
- operations on natural and cultural resources and for communicating protection
- 26 requirements for those resources to the Incident Commander. The Resource
- Advisor should ensure IMT compliance with the Land Management Plan and
- 28 Fire Management Plan. The Resource Advisor should provide the Incident
- 29 Commander with information, analysis and advice on these areas:
- Rehabilitation requirements and standards
- 31 Land ownership
- 32 Hazardous materials
- Fuel breaks (locations and specifications)
- Water sources and ownership
- Critical watersheds
- Critical wildlife habitat
- Noxious weeds/aquatic invasive species
- Special status species (threatened, endangered, proposed, sensitive)
- 39 Fisheries
- Poisonous plants, insects and snakes
- Mineral resources (oil, gas, mining activities)
- Archeological site, historic trails, paleontological sites
- Riparian areas
- 44 Military issues

- Utility rights-of-way (power, communication sites)
- Native allotments
- Grazing allotments
- Recreational areas
- Special management areas (wilderness areas, wilderness study areas,
   recommended wilderness, national monuments, national conservation areas,
   national historic landmarks, areas of critical environmental concern,
   research natural areas, wild and scenic rivers)

The Resource Advisor and agency administrator representative positions are generally filled by local unit personnel. These positions may be combined and performed by one individual. Duties are stated in the *Resource Advisor's Guide for Wildland Fire (NWCG PMS 313, NFES 1831, Jan 2004)*.

#### Use of Trainees

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Use of trainees is encouraged. On wildland fire incidents, trainees may supervise trainees. However, when assigning trainees to positions where critical life-safety decisions are affected, trainees must be <u>directly</u> supervised by a fully qualified individual. For example:

- A Division Group Supervisor (DIVS) trainee may not work directly for an Operations Section Chief without additional field supervision. The potential for high hazard work with high risk outcomes calls for a fully qualified DIVS to be assigned supervision of the DIVS trainee.
- A Supply unit Leader (SPUL) trainee may supervise a
   Receiving/Distribution Manager (RCDM) trainee. In this case, supervision
   may be successfully provided in a lower hazard environment with
   appropriate risk mitigation.

For more information, refer to NWCG Memorandum #018-2010 Assignment of Trainees to Incident Positions (April 8, 2010)

#### 32 Incident Action Plan

When a written Incident Action Plan is required, suggested components may include objectives, organization, weather forecast, fire behavior forecast, division assignments, air operations summary, safety message, medical plan, communications plan and incident map.

**Incident Status Reporting** 

The Incident Status Summary (ICS-209), submitted to the GACC, is used to report large wildland fires and any other significant events on lands under federal protection or federal ownership. Lands administered by states and other federal cooperators may also report in this manner.

Large fires are classified as 100 acres or larger in timber fuel types, 300 acres or larger in grass fuel types, or when a type 1 or 2 Incident Management Team is assigned. A report should be submitted daily until the incident is contained.

11-18

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The agency administrator may require additional reporting times. Refer to local, zone and/or GACC guidance for additional reporting requirements.

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#### **Incident History and Financial Records**

- Wildland fire incidents on Federal lands managed by the FS and DOI (except
- 6 BIA) require creation of an Incident History File (IHF) to document significant
- 7 events, actions taken, lessons learned and other information with long-term
- value for managing natural resources. IHF contents and instructions and tools
- 9 for creating the IHF are found at
- 10 http://www.nwcg.gov/policies/records/index.html

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The host unit will be responsible for retaining the incident documentation package including the IHF and financial records.

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#### **Document and Computer Security**

- 6 Precautions must be taken to secure incident information in its various formats.
- 17 All forms of information shall be treated as Controlled Unclassified Information
- (CUI) and care must be exercised when handling the data to prevent the
- 19 inadvertent viewing or unauthorized disclosure of information. CUI paper copies
- that compromise privacy and security shall be shredded before disposal when no
- longer needed. All computers used at the incident must be patched and have
- 22 anti-virus software installed with recently updated definition files. All media
- used to transfer information into the incident (for example, but not limited to:
- USB flash drives, portable hard drives and CD/DVDs) must be scanned prior to
- use. Autorun capabilities must be disabled to prevent the spread of malware. All
- computers and storage devices shall be physically secured at all times.

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#### Transfer of Command

- The following guidelines will assist in the transfer of incident command responsibilities from the local unit to incoming type 1 or 2 Incident Management Team and back to the local unit.
  - The local team or organization already in place remains in charge until the local representative briefs their counterparts on the incoming team, a delegation of authority has been signed and a mutually agreed time for transfer of command has been established.
- The ordering unit will specify times of arrival and transfer of command and discuss these timeframes with both the incoming and outgoing command structures.
- Olear lines of authority must be maintained in order to minimize confusion and maintain operational control.
- Transfers of command should occur at the beginning of an operational period, whenever possible.
- All operational personnel will be notified on incident command frequencies when transfer of command occurs.

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#### Release of Teams

- The release of a type 1 or 2 IMT should follow an approved transfer of command process. The agency administrator must approve the date and time of the transfer of command. The transition plan should include the following
- elements:
- Remaining organizational needs and structure.Tasks or work to be accomplished.
- 8 Communication systems and radio frequencies.
- Local safety hazards and considerations.
- Incident Action Plan, including remaining resources and weather forecast
- Facilities, equipment and supply status.
- Arrangement for feeding remaining personnel.
- Financial and payment processes needing follow-up.
- Complexity Analysis.

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#### 16 Team Evaluation

17 At completion of assignment, incident commanders will receive a written

18 performance evaluation from the agency administrators prior to the teams

19 release from the incident. Certain elements of this evaluation may not be able to

be completed at the closeout review. These include; accountability and property

21 control; completeness of claims investigation/documentation; and completeness

of financial and payment documentation.

23 The final evaluation incorporating all of the above elements should be sent to

the incident commander and the respective GACC within 60 days. See

appendix J for the IMT evaluation form.

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The Delegation of Authority, the WFDSS documents and other documented agency administrator's direction will serve as the primary standards against which the IMT is evaluated.

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The agency administrator will provide a copy of the evaluation to the IC and the state/regional FMO, and retain a copy for the final fire package.

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The state/regional FMO will review all evaluations and will be responsible for providing a copy of evaluations documenting performance to the geographic area board or agency managing the IMT.

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#### **Unit/Area Closures**

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Threats to public safety may require temporary closure of a unit/area, or a portion of it. When a fire threatens escape from the unit/area, adjacent authorities must be given as much advance notice as possible in order to achieve orderly evacuation.

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#### **Incident Emergency Management Planning and Services**

Refer to chapter 7 for further guidance.

### Responding to Non-Wildland Fire Incidents

#### Wildland Urban Interface

The operational roles of the federal agencies as partners in the wildland urban interface are wildland firefighting, hazard reduction, cooperative prevention and education, and technical assistance. Structural fire suppression is the responsibility of tribal, state, or local governments. Federal agencies may assist 11 with exterior structural fire protection activities under formal fire protection agreements that specify the mutual responsibilities of the partners, including funding. (Some federal agencies have full structural protection authority for their facilities on lands they administer and may also enter into formal agreements to assist state and local governments with structural protection.) 16 17

Review and Update of the 1995 Federal Wildland Fire Management Policy, January 2001, page 23.

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Although funding is not provided to prepare for or respond to emergency non-21 wildland fire response activities such as structure fires, vehicle fires, dump fires, hazardous materials releases, and emergency medical responses, managers must 24 ensure that fire management plans, interagency agreements, and annual operating plans clearly state agency and cooperator roles and responsibilities for non-wildland fire response activities that agency personnel are exposed to as a result of working in the wildland urban interface environment. 27

#### Structure, Vehicle, Dumpster, Trash, and Landfill Fires

29 Firefighters will not take direct suppression action on structure, vehicle, dumpster, trash, or landfill fires. Structure, vehicle, and landfill fire suppression is not a functional responsibility of wildland fire resources. These fires have the potential to emit high levels of toxic gases. This policy will be reflected in 34 suppression response plans.

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Firefighters who encounter structure, vehicle, or landfill fires during normal wildland fire suppression duties, or who are dispatched to such fires due to significant threat to adjacent agency protected lands/resources, will not engage in direct suppression action. Structure protection (not suppression) activities will be limited to exterior efforts, and only when such actions can be accomplished safely and in accordance with established wildland fire operations standards.

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NPS- For structural fire (including vehicle, trash and dumpster fires) response, training, medical examination, and physical fitness requirements, and hazardous material response or control guidance, refer to chapter 3.

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#### **Public Emergency Medical Response**

- Public emergency medical response is not a functional responsibility of wildland
   fire resources, and should not be part of a preplanned response that requires
   these duties. When wildland firefighters encounter emergency medical response
   situations, their efforts should be limited to immediate care (e.g. first aid, first
   responder) actions that they are trained and qualified to perform.
  - NPS—NPS employees who provide emergency medical services will adhere to the requirements contained in Director's Order and Reference Manual #51, Emergency Medical Services.

#### **Post Wildfire Activities**

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Each wildland fire management agency is responsible for taking prompt action to determine the need for, and to prescribe and implement, emergency treatments to minimize threats to life or property or to stabilize and prevent unacceptable degradation to natural and cultural resources resulting from the effects of a fire on the lands they manage.

Post wildfire activities references can be found in *Interagency Burned Area Emergency Response Guidebook, Interpretation of Department of the Interior* 

20 620 DM 3 and USDA Forest Service Manual 2523, For the Emergency 21 Stabilization of Federal and Tribal Trust Lands, Version 4.0 dated Feb. 2006 22 and Interagency Burned Area Rehabilitation Guidebook, Interpretation of

Department of the Interior 620 DM 3, For the Burned Area Rehabilitation of

Federal and Tribal Trust Lands, Version 1.3 dated October 2006.

5 http://www.fws.gov/fire/ifcc/Esr/home.htm.

Damages resulting from wildland fires are addressed through four activities:

- Wildfire Suppression Activity Damage Repair Planned actions taken to repair the damages to resources, lands and facilities resulting from wildfire suppression actions and documented in the Incident Action Plan. These actions are usually implemented immediately after containment of the wildfire by the Incident Management Organization.
- Emergency Stabilization Planned actions to stabilize and prevent unacceptable degradation to natural and cultural resources, to minimize threats to life or property resulting from the effects of a wildfire, or to repair/replace/construct physical improvements necessary to prevent degradation of land or resources. Emergency stabilization actions must be taken within one year following containment of a wildland fire and documented in a Burned Area Emergency Response Plan.
- Rehabilitation Efforts taken within three years of containment of a
   wildland fire to repair or improve wildfire-damaged lands unlikely to
   recover naturally to management approved conditions, or to repair or
   replace minor facilities damaged by wildfire. These efforts are documented
   in a separate Burned Area Rehabilitation Plan.
- 45 Restoration Continuing the rehabilitation beyond the initial three years or the repair or replacement of major facilities damaged by the wildfire.

### Post-Fire Activities Table

|                | Suppression<br>Repair               | Emergency<br>Stabilization | Rehabilitation          | Restoration                           |
|----------------|-------------------------------------|----------------------------|-------------------------|---------------------------------------|
| Objective:     | Repair<br>suppression<br>damages    | Protect life and property  | Repair<br>damages       | Long Term<br>Ecosystem<br>Restoration |
| Damage due to: | Suppression activities              | Post-fire events           | Fire                    | Fire                                  |
| Urgency:       | Immediately<br>after<br>containment | 1-12 months                | 1-3 years               | 3 + years                             |
| Responsibility | Incident<br>commander               | Agency administrator       | Agency<br>administrator | Agency administrator                  |
| Funding type:  | Suppression (fire)                  | Emergency<br>Stabilization | Rehabilitation          | Regular<br>program                    |

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**Emergency Stabilization Approval Authorities Table** 

|   | BIA   | BLM                                  | FWS  | NPS                                | FS   |
|---|---|--------------------------------------|--|------------------------------------|--|
| Local<br>Approval<br>Level              | <\$250,000<br>Agency Supt.                      | \$0<br>Field/<br>District<br>Manager | \$0<br>Refuge<br>Manager   | \$0<br>Park Supt.                  | \$0<br>District<br>Ranger<br>\$0<br>Forest<br>Supervisor                                       |
| Regional/<br>State<br>Approval<br>Level | \$250,000-<br>\$500,000<br>Regional<br>Director | <\$100,000<br>State<br>Director      | <\$500,000<br>Regional<br>Director with<br>Regional Fire<br>Management<br>Coordinator<br>concurrence | <\$500,000<br>Regional<br>Director | \$500,000<br>Western<br>Regional<br>Foresters<br>\$100,000<br>Eastern<br>Regional<br>Foresters |
| National<br>Approval<br>Level           | >\$500,000<br>Director of<br>Fire<br>Management | >\$100,000<br>Director               | >\$500,000<br>Chief,<br>Branch of<br>Fire<br>Management  | >\$500,000<br>Fire<br>Director     | >\$100,000<br>or \$500,000<br>Chief  |

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- BAER Teams are a standing or ad hoc group of technical specialists (e.g.,
- hydrologists, biologists, soil scientists, etc.) that develop and may implement
- 8 portions of the Burned Area Emergency Response Plans. They will meet the

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Burned Area Emergency Response (BAER) Teams

- requirements for unescorted personnel found in Chapter 07 under "Visitors to the Fireline" when working within the perimeter of an uncontrolled wildfire. The team's skills and size should be commensurate with the size and complexity of the wildfire.
- It is the agency administrator's responsibility to designate an interdisciplinary BAER team. However, BAER teams must coordinate closely with IC and Incident Management teams to work safely and efficiently. Initial requests for funding for BAER should be submitted to the appropriate agency administrator for approval within 7 calendar days after the total containment of the fire. If additional time is needed, extensions may be negotiated with those having approval authority.
- DOI The Department of the Interior maintains two standing National
   BAER Teams with pre-identified positions listed in the National Interagency
   Mobilization Guide and are comprised of personnel from the Bureau of
   Indian Affairs, Bureau of Land Management, National Park Service, Fish
   and Wildlife Service and Forest Service. The DOI-BAER Teams are
   dispatched by the National Interagency BAER Team Dispatch Prioritization
   Criteria Evaluation.
- http://www.fws.gov/fire/ifcc/Esr/BAER/BAER\_Team\_Management/2006%2
   0BAERTeam%20call-out%20criteria.pdf.
- DOI- The DOI-BAER Teams should be requested at least 10 days prior to
   expected date of wildfire containment and ordered through the National
   Mobilization Guide.
- FS The Forest Service utilizes BAER Teams through a pool of resources
  with the skills identified by the receiving unit. When needed, BAER
  personnel from other units can either be contacted directly or through
  dispatch. Placing a general fire resource order for BAER team members
  via dispatch is not appropriate for ad hoc Forest Service teams. See FSM
  2523 and FSH 2509.13 for agency specific policy and direction for BAER
  team.

### **Incident Business Management**

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Specific incident business management guidance is contained in the *Interagency Incident business Management Handbook* (PMS 902). This handbook was
developed to assist participating agencies of the NWCG to constructively work
together to provide effective execution of each agency's incident management
program by establishing procedures for:

- Uniform application of regulations on the use of human resources, including
   classification, payroll, commissary, injury compensation, and travel.
- Acquisition of necessary equipment and supplies from appropriate sources in accordance with applicable procurement regulations.
- Managing and tracking government property.
- Financial coordination with the protection agency and maintenance of finance, property, procurement, and personnel records and forms.

- Use and coordination of incident business management functions as they
   relate to sharing of resources among federal, state, and local agencies,
   including the military.
- Investigation and reporting of accidents.
- 5 Investigating, documenting, and reporting claims.
- Documenting costs and implementing cost-effective criteria for managing incident resources.
- 8 Non-fire incidents administrative processes.

### 9

#### 10 Cost Containment

- The primary criteria for choosing suppression strategies are to minimize costs
- 12 without compromising safety. Planned and actual suppression costs must be
- commensurate with the values to be protected. They must be included and
- displayed in the Wildland Fire Decision Support System (WFDSS)
- 15 documentation. Indirect containment strategies are appropriate only if they are
- 16 the safest or least costly option. Selection of these strategies must be carefully
- 17 scrutinized when fire danger trends are rising. Long duration wildfires need to
- be closely evaluated by cost containment teams to ensure that operations are not
- occurring beyond the point of diminishing returns.
- 20 An Incident Business Advisor (IBA) must be assigned to any fire with costs of
- \$5 million or more. The complexity of the incident and the potential costs
- 22 should be considered when assigning either an IBA1 or IBA2. If a qualified
- 23 IBA is not available, the approving official will appoint a financial advisor to
- 24 monitor expenditures.

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- 26 Incident cost objectives will be included as a performance measure in Incident
- 27 Management Team evaluations.

### 28 29

### Large Fire Cost Reviews

- 30 An Interagency Large Fire Cost Review will be conducted when an incident
- 31 (single fire or complex) meets or exceeds Federal combined expenditures of \$10 32 million.
- 33
- A review may also be conducted when an incident (single fire or fire complex) meets or is expected to meet one or more of the following criteria:
- The predicted time to achieve the fire management objective exceeds 21 days.
- There are significant political, social, natural resource, or policy concerns.
- There are significant and complicated cost-share or multi-jurisdictional issues.
- The affected agency requests a review.

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- 3 It is the responsibility of the agency administrator to monitor large fire costs and
- 44 advise the appropriate individual(s) within their agency of the need for a Large
- 45 Fire Cost Review. When a multi-jurisdictional fire requires review, the local

agency administrator will determine which agency will be designated as the lead in the review process.

3

The Agency Director will provide a delegation of authority to the Cost Review Team authorizing the implementation of a review.

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The *Large Fire Cost Review Guidebook* and draft Delegation of Authority for use by all federal wildland fire management agencies can be found at http://www.nwcg.gov/general/memos/nwcg-003-2009.html.

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#### **Cache Management**

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Agencies often serve as interagency partners in national support caches and local area support caches, and may operate single agency initial attack caches. All caches will maintain established stocking levels, receive and process orders from participating agencies and follow ordering and fire replenishment procedures as outlined by the national and geographic area cache management plans and mobilization guides.

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• FS - Refer to FSM 5160 for specific requirements.

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### **National Interagency Support Caches**

There are eleven National Interagency Support Caches (NISCs); nine are managed by the Forest Service, and two are managed by the BLM. The eleven 23 national caches are part of the National Fire Equipment System (NFES). Each of these caches provides incident support in the form of equipment and supplies to units within their respective geographic areas. The NFES cache system may support other emergency, disaster, fire-related or land management activities, 27 provided that such support is permitted by agency policies and does not adversely affect the primary mission. These national caches do not provide 29 supplies and equipment to restock local caches for non-incident requests. Nonemergency (routine) orders should be directed to the source of supply, e.g., GSA or private vendors. The Great Basin Cache at NIFC provides publications management support to the National Wildfire Coordinating Group (NWCG). Reference the NWCG, National Fire Equipment System Catalog (NFES 0362) 34 for more detailed information. 35

36

Forest Service National Symbols Program distribution is through the Northeast
Area National Interagency Support Cache. This material is coordinated by the
USDA Forest Service, under advisement of the National Association of State
Foresters' (NASF) Cooperative Forest Fire Prevention Committee (CFFP) and
the DOI Bureau of Land Management. Materials include Smokey Bear
prevention items and Junior Forest Ranger environmental educational materials.
Northeast Area National Interagency Support Cache also distributes DOI Fire
Education materials and provides resource kits for National Fire Prevention
Teams. The website at http://www.symbols.gov/ contains the catalog of these
materials and offers information having to do with these programs.

#### Local Area Interagency Support Caches

- These caches directly support more than one agency and generally cover more
- 3 than one administrative unit. They will maintain stocking levels to meet the
- 4 identified needs of the multiple agencies for whom service is provided.

5

### **Initial Response Caches**

- 7 Numerous caches of this level are maintained by each agency. These caches
- 8 will establish and maintain stocking levels to meet the initial response needs of
- 9 the local unit(s).

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#### **Inventory Management**

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### 13 System Implementation

Each fire cache, regardless of size, should initiate and maintain a cache

- inventory management system. Agency management systems provide a check
- out/return concept that incorporates a debit/crediting for all items leaving the
- cache. This system is strictly followed in the NISC's. Inventory management
- processes should be implemented for all local interagency support and initial

19 action caches.

20

### 21 Reporting Requirements

By April 1st of each year, all local interagency support and initial action caches will submit inventories to their servicing NISC.

24

- 5 All items reported will conform to refurbishment standards set forth in the Fire
- 26 Equipment Storage and Refurbishment Standards (PMS 448) available at
- 27 www.nwcg.gov. Those items not identified in this document will not be
- 28 refurbished.

29

### **Accountability**

- Fire loss/use rate is defined as all property and supplies lost, damaged or
- consumed on an incident. It is reported as a percentage that is calculated in
- dollars of items issued compared to items returned. The reasonable anticipated
- 34 fire loss/use rate for all items issued to an incident is 15 percent of trackable and
- 35 durable items. Consumable items are not included in this total. All items
- 36 stocked in agency fire caches will be categorized for return (loss tolerance/use
- rate) and accountability purposes.

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### Trackable Items

- Include items that a cache may track due to dollar value, sensitive property
- classification, limited quantities available, or other criteria set by each NISC.
- 42 Items that are considered trackable are usually engraved or tagged with a cache
- 43 trackable identification number. These items must be returned to the issuing
- 44 cache at the end of the incident use, or documentation must be provided to the
- 45 issuing cache as to why it was not returned. All trackable items are also
- 46 considered durable. 100 percent accountability is expected on trackable items.

#### **Durable Items**

- Include cache items considered to have a useful life expectancy greater than one incident. High percentages of return for these items are expected. These items are not specifically cache identified/tagged/engraved. Acceptable loss tolerance/
- use rates for the following durable goods have been established: 10% for water handling accessories, helicopter accessories, tents and camp
- 20% for hose, tools, backpack pumps, sleeping bags, pads and cots. 8

items such as heaters, lights, lanterns, tables and chairs.

30% for personal protective equipment.

10 11

#### **Consumable Items**

- Include items normally expected to be consumed during incident use.
- Consumable items returned in unused condition are credited to the incident.
- Examples of consumable items are: batteries, plastic canteens, cubitainers,
- forms, MREs, fusees, hot food containers, petroleum products and medical supplies.

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### **Incident Management and Environmental Sustainability**

Every incident should seek opportunities to reduce unnecessary waste and limit 19

impacts associated with management actions. This may be accomplished, for

example, by promoting recycling and encouraging the use of alternative energy

sources as long as such efforts do not compromise operational or safety

objectives. 23

24

### **Incident to Incident Transfer of Supplies and Equipment**

Transfer of supplies and equipment between incidents is not encouraged, due to

the increased possibility of accountability errors. In instances when it is 27

determined to be economically feasible and operationally advantageous, the

following must be accomplished by the Supply Unit Leader from the incident

30 that is releasing the items.

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- Documentation will be completed on the Interagency Incident Waybill (NFES #1472) and must include the following:
- NFES Number.
- Ouantity. 35 •
- 36 Unit of Issue.
- Description. 37
- Trackable ID number, if item is trackable. 38
- Receiving incident name, incident number and resource request number. 39
- The Supply Unit Leader will send the waybill transfer information to the 40 41 servicing NISC to maintain proper accountability recording.
- Upon request, the servicing NISC can provide the Supply Unit Leader with and
- Outstanding Items Report to facilitate accurate waybill documentation. 44

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#### Fire Loss Tolerance Reporting for Type 1 and 2 Incidents

- 2 In order to help managers keep incident-related equipment and supply loss to a
- minimum, incident management teams (IMT)'s are required to maintain
- 4 accountability and tracking of these items. Guidelines and procedures to assist
- with this accountability are provided in Chapter 30 of the *Interagency Incident*
- Business Management Handbook. To further facilitate these procedures and
- 7 provide oversight, a fire loss report has been developed that provides detailed
- 8 information regarding used and trackable item use. This report has been
- 9 accepted by NWCG for all wildland fire agencies and will be compiled for all
- type 1 and type 2 incidents. Investigations may be conducted in those cases
- where loss/use tolerances rates may have been exceeded.
- 12 These reports are complied by the NISC servicing the particular incident.
- 13 Reports will then be forwarded to the responsible local office, with a copy to the
- state/regional FMO, within 60 days of the close of the incident to meet these
- 15 time limits. The following steps must be followed to insure accurate reports:
  - At the close of each incident, all property must be returned to the servicing NFES cache.
- If accountable/trackable property has been destroyed or lost, appropriate
   documentation must be provided to the cache for replacement and updating
   property records.
- All property purchased with emergency fire funds for an incident must be returned to the NFES cache system.
- All unused consumable and/or durable NFES items must be returned to the servicing NFES cache within 30 days of control of the incident.
- Agency administrators/fire management officers must review the fire loss report and recommend appropriate follow-up action if losses are excessive.

  Those actions and recommendations should be documented and filed in the final incident records.

#### **Incident Supply and Equipment Return Procedures**

Supplies and equipment ordered with suppression funds will be returned to the ordering unit at the close of the incident and dispersed in one of three ways:

- Items meeting NFES standards will be returned to the local or geographic area cache for reuse within the fire supply system.
- Items not meeting the prescribed NFES standards will be purchased with project funds by the local unit if the items are needed for program use.
  - Items will be delivered to the unit's excess property program for disposal.

#### **Cache Returns and Restock Procedures**

All returns for credit and restock of caches to specific incident charges should be

- 41 made within 30 days after the close of the incident. If that timeframe cannot be
- met, it is required that returns and restock be made during the same calendar
- 43 year as items were issued. All returns should be tagged with appropriate
- 44 incident number, accompanied by an interagency waybill identifying the
- appropriate incident number, or accompanied by issue documents to ensure

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- proper account credit is given. Any items returned after the calendar year of
- issue will be returned to multiple-fire charges, unless specific incident charge
- documentation (issues) can be provided with the return.

4

### **Incident Replacement of Government Property**

- 6 Refer to the IIBMH, Chapter 30 for procedures governing property management
- 7 relating to incident activities. The agency administrator is responsible for
- 8 providing agency property management guidelines and/or procedures to incident
- 9 personnel.

10

- 11 Damage or Loss for assigned property is addressed under IIBMH Chapter 30,
- 12 35.4. Specialty or non-cache items originally provided by the home unit through
- 3 the use of preparedness funds will be replaced by home unit funds if the loss is
- 14 due to normal wear and tear. If the government property is damaged on the
- is incident due to a specific event, e.g., wind event damages tent, the incident may,
- upon receipt of required documentation and proof of damage, authorize
- 17 replacement using the *Incident Replacement Requisition (OF315)*. Cache items
- will be replaced at the incident if available. Cache items that are not available at
- 19 the incident may be authorized for restocking at the home unit via an authorized
- 20 Incident Replacement Requisition.