

Planned Reviews for the Office of Audits and Inspections

Fiscal Year 2012

Audits

American Recovery and Reinvestment Act

- * Research and Development Program for the Office of Electricity Delivery and Energy Reliability (OE)
- * Energy Efficiency & Renewable Energy (EERE) Biomass and Biorefinery Projects
- * Workforce Development for the Electric Power Sector
- * The Department of Energy's (Department) Water Power Program
- * Interconnection Transmission Planning Program
- * Loan Guarantee Program's Use of Financial Institutions
- * EERE Hydrogen and Fuel Cell Technologies Program
- * Office of Fossil Energy's Clean Coal Power Initiative Round III
- * Office of Fossil Energy's Industrial Carbon Capture & Storage Technologies
- * Program Review of the Department's Solid State Lighting Program
- * Energy Efficiency and Conservation Block Grant Program
- * State Energy Program
- * Weatherization Assistance Program
- * Small Businesses Awards under the Recovery Act
- * Industrial Technology Projects to Develop Renewable Industrial Energy Sources (Landfill to Gas Projects and Waste to Gas Projects)
- * Restructured FutureGen Project
- * Demolition of the K-33 Building at East Tennessee Technology Park
- * Hydrogen Energy California Project
- * Office of Science Climate Program

Central Division

- * Energy Innovation Hubs
- * Commercialization Efforts at National Laboratories
- * Bioenergy Research Centers
- * Cooperative Research and Development Agreements at National Nuclear Security Administration (NNSA) National Laboratories
- * Management of Minimum Efficiency Standards
- * Bonneville Power Administration (BPA) Wind Integration
- * Auditing Requirements of For-Profit Recipients of Federal Assistance
- * Cooling Load Management and Optimization
- * Product Substitution

- * Utility Incentive Programs
- * Research and Development Program for Nuclear Energy (NE)

Eastern Division

- * Contract Awards Made to Tax Delinquent Contractors
- * Follow-up Audit on Controls over the Department's Performance Measures
- * Use of Time-and-Materials Contracts
- * Management of Overhead Costs at Environmental Management (EM) Sites
- * Follow-Up on Term Assignments of Contractors
- * The External Independent Review Process over Project Management at the Department
- * Water Management Program
- * Follow-up Audit of the Department's Pollution Prevention Programs
- * Management of Energy-Related Research and Development Activities
- * EERE's Auditing Requirements of For-Profit Recipients of Federal Assistance
- * Program Management at Paducah
- * Office of Legacy Management
- * EM's Activities at Small Sites
- * Safeguards Over Wet Storage of Spent Nuclear Fuel
- * Advanced Recovery and Integrated Extraction System (ARIES)
- * EM's Consolidated Business Center
- * Special Nuclear Materials Storage Consolidation
- * Performance Management and Fee Determination at the Savannah River Site (SRS)
- * Impact of New Strategic Arms Reduction Treaty on Tritium Needs
- * Management of Los Alamos National Laboratory's (LANL) Information Technology Program
- * The Department's Cyber Security Incident Management Program
- * Management of Naval Reactors' Information Technology Program
- * Management of Western Area Power Administration's Information Technology Program
- * System Development Efforts at the NNSA
- * The Department's iPortal System
- * Cyber Security Continuous Monitoring Process
- * Controls Over Access to Classified Information & Media at the Oak Ridge Reservation
- * Management of the NNSA Office of Secure Transportation's Transportation Command and Control System
- * Implementation of the Federal Information Security Management Act (FISMA)
- * Federal Energy Regulatory Commission's (FERC) Implementation of FISMA

Western Division

- * Configuration Management at the NNSA National Laboratories
- * Global Threat Reduction Initiative Removal and Protection Programs
- * NNSA's Dynamic Materials Properties

- * Follow-up Audit of Sandia National Laboratories Nuclear Weapons Safety Program
- * NNSA's Engineering Campaign
- * NNSA's Mitigation of Natural Disasters at its Facilities
- * NNSA's Facilities and Infrastructure Recapitalization Program
- * Performance of the Naval Reactors Advanced Research and Development Programs
- * EM's Transuranic Waste Disposition Goals
- * NE Fuel Cycle Research & Development Program
- * National Security and Work for Other Federal Agencies at the Idaho National Lab (INL)
- * Pit Production Capability
- * Radioactive Liquid Waste Treatment Operations at LANL
- * Chemical and Metallurgical Research Building Replacement Project
- * Follow-up Audit of the Los Alamos Neutron Science Center
- * NNSA's Materials Protection, Control & Accounting Program
- * Follow up of NNSA's Enhanced Surveillance Campaigns
- * Managing & Operating (M&O) Contractors' Defined Pension Plans
- * NNSA M&O Contractors' Incentivized Cost Savings Claims
- * NNSA Contractors' Health Benefit Plans
- * Atmospheric Release Monitoring Capabilities
- * Utilization of Lawrence Livermore National Laboratory Institutional Centers
- * Kansas City Responsive Infrastructure Manufacturing and Sourcing
- * NNSA's Dismantlement Program
- * Follow-up Audit on the Criticality Experiment Facility at the Nevada National Security Site
- * High Explosive Pressing Facility Project
- * Tank Waste Feed Delivery System Readiness at the Hanford Site
- * Quality Assurance: Design Control for the Waste Treatment Plant at the Hanford Site
- * Use of the Environmental Molecular Science Laboratory
- * EM Land / Footprint Reduction
- * Domestic Material Protection
- * Uranium Processing Facility Project at the Y-12 National Security Complex
- * Nuclear Weapons Programs Heavy Water Inventory Follow-Up
- * Spallation Neutron Source at Oak Ridge National Lab (ORNL) Follow-Up

Government Management Reform Act (GMRA)

- * The Department's Consolidated Financial Statement Audit FY 2012
- * FERC's Financial Statement Audit FY 2012
- * Decommissioning and Decontamination Fund FY 2012 GMRA
- * Southwestern Power Administration Financial Statement Audit FY 2012
- * Nuclear Waste Fund FY 2012
- * The Department's Improper Payment Reporting in the Fiscal Year 2012 Annual Financial Report
- * Information Technology Management Letter FY 2012
- * Financial Management Letter FY 2012

Statement of Costs Incurred and Claimed (SCIC)

- * LANL - Los Alamos National Security LLC 2010 SCIC
- * ORNL - UT Battelle LLC 2011 SCIC
- * Sandia National Laboratories - Lockheed Martin 2011 SCIC
- * Lawrence Livermore National Laboratory - Lawrence Livermore National Security LLC 2011 SCIC
- * SRS - Savannah River Nuclear Solutions 2010 SCIC
- * Pacific Northwest National Laboratory - Battelle Memorial Institute 2010 SCIC
- * Naval Reactors - Bechtel Marine Propulsion Corporation 2011 SCIC
- * Oak Ridge Y-12 - Babcock & Wilcox Y-12 LLC 2010 SCIC
- * INL - Battelle Energy Alliance LLC 2011 SCIC
- * Lawrence Berkeley National Laboratory - The Regents of University of California 2011 SCIC
- * Brookhaven National Laboratory - Brookhaven Science Associates LLC 2008-2011 SCIC
- * Fermilab - FERMI Research Alliance 2008-2011 SCIC
- * Strategic Petroleum Reserve - Dyn-McDermott Petroleum Operations 2009-2011 SCIC
- * Advanced Mixed Waste Treatment Plant - BWXT Idaho 2011 SCIC
- * Waste Isolation Pilot Plant - Washington TRU Solutions 2008-2011 SCIC
- * Nevada Test Site - National Security Technologies 2008-2011
- * Kansas City Plant - Honeywell 2009-2011 SCIC
- * Bechtel Jacobs LLC FY 2011

Inspections

Safety

- * Safety Risks to Workers and the Environment at SRS
- * Review of the Evaluation of Health and Safety Risks at Selected Department Site(s)
- * Inspection of the Waste Receiving and Processing Facility (WRAP) at the Hanford Site
- * Hazardous Materials and Safety Training at LANL
- * Waste Characterization Strategy at LANL

Security

- * Review of Classification Program at a Selected Department Site
- * Lock and Key Program at Selected Department Sites
- * ORNL Implementation of DOE O 142.3, *Unclassified Foreign Visits and Assignments Program*
- * Management of the Intrusion Detection and Assessment Systems at Department Sites
- * Management of Excess Weapons Inventories and Select Sensitive Equipment
- * Protective Force Weapon System Maintenance and Accountability
- * Homeland Security Presidential Directive 12 Upgrades at a Selected NNSA Site(s)
- * Protective Force Weapons Management at the Pantex Plant

Corporate Management

- * Purchase Card Review at Selected Sites
- * Water Management Program
- * Deferred Maintenance and Repair at the SRS
- * Education Assistance Program at a Department Site
- * BPA Government Credit Card Usage
- * Inspection of an EM Construction Project For Issues Relating to Improper Payments

Implementation Reviews

- * Follow Up Inspection on Characterization Wells at LANL
- * Follow-up Drug Testing for Security Positions at Portsmouth and Paducah Sites
- * Follow-up on Material Control and Accountability at Los Alamos National Laboratory
- * Follow up Inspection on Management of Facility Contractors Assigned to the Washington, D.C. Area