

## U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION



National Policy

10/26/2009

SUBJ: Procedures for Completion and Use of the Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag

This order describes the procedures for completion and use of the Federal Aviation Administration (FAA) Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag. The order describes the use of the form for domestic airworthiness approval, conformity inspections, and prepositioning; airworthiness approval of new products and articles; and splitting bulk shipments of previously shipped products and articles. It also provides guidance for the issuance of the form for approval for return to service of products and articles, the export airworthiness approval of products and articles, and the electronic exchange of the form.

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AFS-600 (3 copies); AEU-100/200

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## **Chapter 1. General Information**

- **1-1. Purpose of This Order.** This order describes the procedures for completion and use of the Federal Aviation Administration (FAA) Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag (FAA Form 8130-3). The order describes the use of the form for the following purposes:
- **a.** Domestic airworthiness approval, including conformity inspections, prepositioning of new products or articles pending approval, and splitting bulk shipments of previously produced products and articles;
  - **b.** Approval for return to service of products and articles; and
  - c. Export airworthiness approval of products and articles.

**NOTE:** For the purposes of this order, the term product refers only to aircraft engines and propellers.

**1-2. Audience**. FAA personnel, designees, production approval holders (PAH), air agencies, U.S. air carrier certificate holders, and distributors.

#### 1-3. Where Can I Find This Order and FAA Form 8130-3?

- **a.** You can find this order at http://www.faa.gov/regulations\_policies/orders\_notices/.
- **b.** FAA Form 8130-3 may be obtained through normal distribution channels from the Logistics Center, AML-8000, P.O. Box 25082, Oklahoma City, Oklahoma, 73125. The telephone number is 405-954-8900 (ask for the FAA Forms Inventory Manager). FAA Form 8130-3 also is available from the Customer Care Center, AML-30, at 405-954-3793 or toll free at 1-888-322-9824, or may be obtained on the Internet at http://www.faa.gov/aircraft. The stock number for FAA Form 8130-3 is 0052-00-012-9005.
- **1-4.** Cancellation. FAA Order 8130.21F, Procedures for Completion and Use of the Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag, dated May 30, 2008, is canceled upon the effective date of this revision (that is, April 14, 2010).

#### **1-5. Explanation of Policy Changes.** This revision—

- **a.** Reflects changes and updates appropriate references following publication of Production and Airworthiness Approvals, Part marking, and Miscellaneous Amendments; Final Rule in the Federal Register under docket number FAA-2006-25877.
  - **b.** Replaces parts and appliances with the term "article."
  - **c.** Removes references to classes of products.
- **d.** Deletes the term "newly overhauled" and associated guidelines from the description of work performed.

**e.** Revises acceptable methods for enabling traceability of FAA Form 8130-3 by permitting use of Block 3 of the form in combination with other information on the form.

- **f.** Removes language pertaining to distributors that are accredited in accordance with Advisory Circular (AC) 00-56, Voluntary Industry Distributor Accreditation Program.
- **g.** Combines and moves information on computer-generated forms, information systems, and automation to a new paragraph 1-9.
- **h.** In Chapter 3, permits use of either the term "Inspected" or "Tested" in Block 12 rather than requiring that both terms be entered together.
- **i.** Adds a requirement in Chapter 4 that for exported aircraft engines or propellers, the exporter include total time and if applicable, time since overhauled.
- **1-6. Effective Date.** This Order is effective on April 14, 2010.
- **1-7. Purposes For Which FAA Form 8130-3 Cannot Be Used.** FAA Form 8130-3 is intended to be issued for civil aeronautical products and articles by persons under the jurisdiction of the FAA. Its issuance by persons not described in Chapters 2 and 4 of this order, (i.e., FAA aviation safety inspectors (ASI) or FAA-authorized designees or delegations), or Chapter 3 of this order (i.e., certificate holders under 14 CFR parts 21, 121, 135, or 145) is not authorized. Further purposes for which the form cannot be used are as follows:
- **a.** FAA Form 8130-3 is not a delivery or shipping document, nor should it be used for administrative purposes between two persons.
- **b.** FAA Form 8130-3 may not be issued by organizations or individuals other than those approved/authorized by the FAA within the scope of such an approval/authorization.
  - **c.** Aircraft are not to be released using FAA Form 8130-3.
- **d.** FAA Form 8130-3 does not constitute approval to install the product or article on a particular aircraft, aircraft engine, or propeller; however, it does help the end user to determine a product's or article's airworthiness approval status.
- **e.** A mixture of production- and maintenance-released products and articles is not permitted on the same FAA Form 8130-3.
- **f.** A mixture of products and articles released against approved and non-approved design data is not permitted on the same FAA Form 8130-3.
- **1-8. Authorization to Issue FAA Form 8130-3.** The following persons may issue FAA Form 8130-3 in accordance with the appropriate chapter of this order:
  - a. FAA ASIs,

**b.** Persons with the appropriate function codes in accordance with FAA Order 8100.8, Designee Management Handbook (Order 8100.8) when authorized by their Certificate of Authority (COA), or

**c.** Persons authorized in accordance with FAA Order 8100.15, Organization Designation Authorization (ODA) Procedures (Order 8100.15).

### 1-9. Information Systems and Automation.

- **a.** FAA Form 8130-3 may be computer-generated for local reproduction, but must duplicate the format of the original Government-printed form. The overall form as designed must not be changed, nor may any words be added or deleted (with the exception of filling in the blanks). White is the preferred color for the paper; however, if another color is used, the information contained on the form must be legible. You may preprint the text on FAA Form 8130-3 that is required by this order. The size of blocks, in relationship to each other, may vary slightly, but all blocks must remain in their original location. FAA Form 8130-3 also may be reduced in overall size to reduce paper consumption, but not to the extent that it is no longer easily readable and readily recognizable. The details to be entered on the form may be either machine/computer-printed or handwritten using block letters and must be easy to read, with limited use of abbreviations. All entries on the form must be made in permanent ink and be in English. If a deviation to FAA Form 8130-3 becomes necessary, the FAA employee involved should ensure that the deviations are substantiated, documented, and concurred with by the appropriate supervisor. The deviations must be submitted to AIR-200 for review and approval.
- **b.** Approval holders should develop procedures for managing information systems consistent with AC 21-35, Computer Generated/Stored Records. These procedures should include a secured electronic auditing system that reflects all system changes and a secured monitoring system that records all transactions by items such as part number, serial number (when applicable), and quantity shipped.
- **c.** Automation and use of an electronic signature on FAA Form 8130-3 is allowed by all persons who issue the form; however, using automation and electronic signature does not relieve the designee or person authorized to issue FAA Form 8130-3 from verifying that the product or article conforms to FAA-approved design data and is in a condition for safe operation.

## **Chapter 2. Domestic Airworthiness Approvals**

## 2-1. General Information on Domestic Airworthiness Approvals.

- **a.** FAA Form 8130-3 is the preferred method for documenting the approval of products and articles considered approved by the Administrator. The FAA recommends that each PAH include FAA Form 8130-3 for all eligible product and article shipments. This will help the aviation authorities and the industry to ensure complete traceability, and ease the movement of products and articles through the aviation system. The PAH authorized representative is encouraged to issue FAA Form 8130-3 with each shipment while minimizing the quantity of forms for bulk shipments (for example, 500 turbine blades shipped on 1 form vs. 500 forms). Issuing FAA Form 8130-3 with all eligible product and article shipments enables the end users to determine airworthiness approval status of the products and articles. Only an FAA ASI or authorized designee/delegation is authorized to issue FAA Form 8130-3 for this function. Except as provided in paragraphs 2-2 and 2-6 of this order, products and articles not produced under an FAA production approval are not eligible to receive an FAA Form 8130-3. FAA Form 8130-3 does not constitute approval to install a product or article on a particular aircraft, aircraft engine, or propeller.
  - **b.** FAA Form 8130-3 must be completed as described in paragraph 2-8 of this order.
- **c.** FAA Form 8130-3 must be correlated with the shipment. Additional copies of the original FAA Form 8130-3 may be provided upon request.
- **d.** If FAA Form 8130-3 is issued as an airworthiness approval of a new product or article (this is to include conformity inspections, prepositioning, and splitting of bulk shipments), the issuer should retain a copy of FAA Form 8130-3 for no less than 5 years.
- **e.** The copies of FAA Form 8100-1, Conformity Inspection Report (FAA Form 8100-1), and FAA Form 8130-3 may be retained in their original paper format or in a secure database, provided the database contains all of the information required on FAA Form 8130-3. An acceptable means of compliance is provided in AC 21-35 or AC 120-78, Acceptance and Use of Electronic Signatures, Electronic Recordkeeping Systems, and Electronic Manuals (when applicable). Duplicates of FAA Form 8130-3, including signatures retained in a database, do not need to be graphic images of the original documents.
- **f.** Unique identification is required to enable or provide product or article traceability. The preferred method is a unique form tracking number in Block 3. However, if traceability is provided through other information on the form combined with a number in Block 3, this is also acceptable.
- **g.** The signature of the person authorized to issue FAA Form 8130-3 may be applied electronically to Block 15 from domestic or international locations. With the exception of paragraphs 2-9 and 2-10(b), at the time the signature is authorized to be placed on FAA Form 8130-3, the person whose signature appears on the form must have direct access to the product or article to verify that it conforms to FAA-approved design data and is in a condition for safe operation.

**NOTE:** The time and location of the authorization of the form issuance may be different from the time and location of the printing of the form.

- **h.** In the case where a product or article is presented for inspection for the issuance of FAA Form 8130-3, and the product or article is sealed in a package that does not afford a visible inspection, the authorized person must request to see the objective evidence to determine that the appropriate inspections were conducted and approved before the issuance of FAA Form 8130-3.
- **i.** Products or articles received without an FAA Form 8130-3 must not be commingled with those received with FAA Form 8130-3. This is to preclude shipment of products and articles that were not received with an original FAA Form 8130-3. When more than one product or article is listed on a supplemental FAA Form 8130-3, the product or article does not need to be from the same quantity or shipment, as long as it was received with an original FAA Form 8130-3 and traceability has been maintained.
- **j.** The User/Installer Responsibilities statements may be placed on either side of the form. If the statements are placed on the back side of the form, a note in Block 13 must reference that fact. When copies of the forms are generated, these statements must be provided with the copies.
- **2-2. Conformity Inspections.** When requested on FAA Form 8120-10, Request for Conformity (FAA Form 8120-10), FAA Form 8130-3 is used to ship a prototype product or article. Any nonconformities/deviations relative to the product or article conformity inspection must have prior aircraft certification office (ACO)/designated engineering representative (DER), ODA, or FAA project manager acknowledgement of disposition. Before signing FAA Form 8130-3, any nonconformities/deviations must be appropriately acknowledged and dispositioned and be annotated in Block 13. Only an FAA ASI or authorized designee/delegation is permitted to sign the form. (Refer to figure 2-1 of this order.) When the request for conformity includes a quantity of articles in excess of those articles subject to the required certification, the tag for those excess articles should indicate they are prepositioned.

Figure 2-1. Sample FAA Form 8130-3 for a Conformity Inspection

1. Approving National Aviation 2. Authority/Country:				3. Form Tracking Number:
	HORIZED REL			AP54321
4. Organization Name and Address:				5. Work Order/Contract/Invoice Number:
Anyone's Aviation, 1104 Wing Avenue, A	anyplace, TX 72212 (PC 23-	1)		WO 99987
6. Item: 7. Description: 8. Part	Number: 9. Eligib	oility: * 10. Quant	ity: 11. Serial/Batch Nu	unber: 12. Status/Work:
(7) (7.0) (7.0) (7.1)	876-1 N/.	PROTOTYPE		
Remarks:     Detail part conformity for F.     Revision G1, dated Oct 1 20	AA Project AP54321, dated Fe 07 requested.	o 10 2008, Drawing No.	12345-001,	
Request for Conformity	FAA 8120-10, #06-09222, dat	ed Feb 19 2008 reviewed		
<ol> <li>Copy of FAA 8130-9, S attached.</li> </ol>	tatement of Conformity, dated	May 3 2007 provided, re	viewed, and	
3. Part No. B9876-1 Flap 7 Revision G1, dated Oct	Frack (8 ea.), inspected to engin 1 2007.	neering to include Drawin	ng No. 12345-001,	
	ncks, Part No. B9876-1 holes sl ect static testing and parts can			
Approved design data and are in a condition fo     Non-approved design data specified in Block 13	r safe operation.	and described in Blo	otherwise specified in Block 1 k 13 was accomplished in acc	ther regulation specified in Block 13 3, the work identified in Block 12 cordance with Title 14, Code of work, the items are approved for
15. Authorized Signature: A. Inspector	16. Approval/Authorization No.: DARF-1234567-SW	20. Authorized Signature		21. Approval/Certificate No.:
17. Name (Typed or Printed):	18. Date (m d y):	22. Name (Typed or Print	ed):	23. Date (m d y):
A. Inspector	Mar 3 2008			
	User/Installer	Responsibilities		
It is important to understand that the existence of this do	cument alone does not automatically	constitute authority to instal	the part/component/assembl	y.
Where the user/installer performs work in accordance wi Block 1, it is essential that the user/installer ensures that I Block 1.				
Statements in Blocks 14 and 19 do not constitute installati national regulations by the user/installer before the aircra		t maintenance records must o	ontain an installation certific	ation issued in accordance with the

FAA Form 8130-3 (6-01)

\*Installer must cross-check eligibility with applicable technical data.

NSN: 0052-00-012-9005

## 2-3. Domestic Airworthiness Approval of New Products (Aircraft Engines and Propellers).

**a.** FAA Form 8130-3 can be issued for domestic shipments to identify the airworthiness approval status of new products produced under the provisions of 14 CFR part 21. The use of FAA Form 8130-3 for this purpose is optional, but the FAA recommends its use. When used for an airworthiness approval for new products (engines or propellers), the following statement must be entered: "AIRWORTHINESS APPROVAL — ENGINE [or PROPELLER]." (Refer to figure 2-2 of this order.)

## Figure 2-2. Sample FAA Form 8130-3 for Domestic Airworthiness Approval for an Engine

	ring National Aviation hority/Country:	2.							3. Fo	rm Tracking Number:	
	A/United States	AUI	THORIZE		EASE CI			ATE		5648944	
4. Organiz	zation Name and Address:								1937	ork Order/Contract/Invoice	
Big E	ngine Manufacturing	Co., 5 Aviati	on Way, Small T	own, KS 670	21 PC 099				Numl	BR549	
6. Item:	7. Description:	8. P:	art Number:	9. Eligib	ility: * 10.	Quantity:	11.	Serial/Batch Nun	ıber:	ber: 12. Status/Work:	
1	Engine	P222264	NEW								
14. Certiff	ies the items identified abo	ve were manufacti	ured in conformity to	:	19. 14 CFR					nlation specified in Block 13 ork identified in Block 12	
	Approved design data and Non-approved design data				Federal Reg	ulations, part				with Title 14, Code of	
	-116!				return to ser	vice.				he items are approved for	
15. Author	Inspector		16. Approval/Au DAR541		20. Authorized S	101010			21.	he items are approved for  Approval/Certificate No.:	
A. !	-		8.0%	23SW	STATES AND	ignature:				•	
A. 4	Inspector		DAR541	23SW	20. Authorized S	ignature:				Approval/Certificate No.:	
A. 4	Auspector (Typed or Printed):		DAR541  18. Date (m d y)  Aug 28 2	23SW :: 2007	20. Authorized S	ignature: or Printed):				Approval/Certificate No.:	

FAA Form 8130-3 (6-01)

\*Installer must cross-check eligibility with applicable technical data.

NSN: 0052-00-012-9005

- **b.** Authorized FAA ASIs, persons with the appropriate function codes in accordance with Order 8100.8 when authorized by their COA, and persons authorized in accordance with Order 8100.15 may perform this function for new products. These persons must determine that the product meets the FAA-approved design data and is in a condition for safe operation before issuing FAA Form 8130-3. FAA Form 8100-1 will be used to document the conformity inspections.
- **c.** An FAA Form 8130-3 for domestic shipments of products to identify airworthiness approval cannot be used as an export approval. Exporters must meet the applicable requirements of 14 CFR part 21, subpart L, Export Airworthiness Approvals (refer to chapter 4 of this order).

## 2-4. Domestic Airworthiness Approval of New Articles.

**a.** FAA Form 8130-3 can be issued for domestic shipments to identify the airworthiness approval status of new articles produced by an FAA-approved PAH under the provisions of

14 CFR part 21. The use of FAA Form 8130-3 for this purpose is optional, but the FAA recommends its use. (Refer to figure 2-3 of this order.)

Figure 2-3. Sample FAA Form 8130-3 for Domestic Airworthiness Approval for a New Product or Article (Packing List)

1. Approvi		3. Form Tracking Number:									
	\/United States	AUT	HORIZE FAA Form 8	ED RELI				ATE	991004327		
4. Organiz	cation Name and Address:									ork Order/Contract/Invoice	
Parts N	Manufacturing Corp	oration, 6210 W	ing Avenue, A	nyplace, TX (	'Q02469SW)					ber: V234ZY ges attached dated 10/12/2005	
6. Item:	<ol><li>Description:</li></ol>	8. Part	Number:	9. Eligibi	ity: * 10	. Quantity:	11.	Serial/Batch Num	ber:	12. Status/Work:	
List Attached											
13. Remar		•									
	Airworthine	ss approval									
	This is the o	ertification state	ment for the m	roduata narta	and annliance	a listad on t	ha				
		cument dated Oc						king			
		004327 on each		ming pages	iniough o w	in the rolling		5			
			1 5								
14. Certific	es the items identified abo	ve were manufacture	ed in conformity to	:	19. 🗆 14 CFR	R 43.9 Return to	o Servic	ce 🗆 Othe	er regu	ulation specified in Block 13	
	Approved design data and	are in a condition fo	r safe operation.							ork identified in Block 12	
	Non-approved design data	specified in Block 1.	3.							e with Title 14, Code of the Items are approved for	
					return to se	ervice.					
15. Author	rized Signature:		16. Approval/A	uthorization No.:	20. Authorized	Signature:			21.	Approval/Certificate No.:	
A. Ins	hector.		DARF-76	51104-NM							
									-		
10	(Typed or Printed):		18. Date (m d y)		22. Name (Type	d or Printed):			23.	Date (m d y):	
A. Inspe	ctor		Oct 12 2	007							
				User/Installer	Responsibilitie	S					
It is import	tant to understand that th	e existence of this do	cument alone does	not automatically o	onstitute authorit	y to install the j	part/co	mponent/assembly.			
	user/installer performs w is essential that the user/ir									the country specified in of the country specified in	
	in Blocks 14 and 19 do no gulations by the user/insta			ı all cases, aircraft	naintenance reco	rds must conta	in an in	nstallation certificat	ion iss	sued in accordance with the	

FAA Form 8130-3 (6-01) \*Installer must cross-check eligibility with applicable technical data

NSN: 0052-00-012-9005

- **b.** A person must determine that the article meets the FAA-approved design data and is in a condition for safe operation before issuing FAA Form 8130-3. The FAA managing office must make the determination of whether an FAA Form 8100-1 has to be completed for each FAA Form 8130-3 issued based on the PAH's quality system's health and/or the designee's previous history, experience, or performance, or if the information can be stored and retrieved in another format (for example, electronic database).
- **c.** Standard parts produced under a production approval are eligible for the issuance of an FAA Form 8130-3 airworthiness approval. Use of FAA Form 8130-3 for this purpose is recommended, but not mandatory. The inclusion of FAA Form 8130-3 helps document the airworthiness and traceability of the standard part.

**d.** Issuance of FAA Form 8130-3 as an airworthiness approval does not constitute an export approval, because compliance with a specific country's special import requirements may not have been verified.

- **e.** An original FAA Form 8130-3 to document airworthiness approvals may be issued at PAH facilities, including PAH suppliers and associate facilities identified in the PAH's approved procedures. The form also may be issued by a designated person at PAH suppliers with direct shipment authorization or associate facilities outside the United States, if the FAA finds there is no undue burden associated with the form's issuance.
- **f.** FAA Form 8130-3 will not be issued by non-PAH suppliers for products or articles shipped to their PAH's facilities for use on production products or for proof of the PAH's source inspection requirements at suppliers. If, however, the supplier has its own production approval for the products and articles, and the products and articles are part of another PAH's higher level design, then FAA Form 8130-3 may be issued.

## 2-5. Domestic Airworthiness Approval of New Products and Articles at 14 CFR Part 121 and Part 135 Certificate Holders, and 14 CFR Part 145 Certificated Repair Stations.

- **a.** New products and articles at repair stations certificated under 14 CFR part 145 (Repair Stations), the holder of a U.S. air carrier certificate operating under 14 CFR part 121 (Operating Requirements: Domestic, Flag, and Supplemental Operations), or 14 CFR part 135 (Operating Requirements: Commuter and On Demand Operations and Rules Governing Persons On Board Such Aircraft), with an approved continued airworthiness maintenance program, may be eligible to have an FAA Form 8130-3 issued as a domestic airworthiness approval. All other approvals must be issued in accordance with the appropriate chapter of this order.
- **b.** When completing FAA Form 8130-3, the name and address of the organization where FAA Form 8130-3 was issued must be documented in Block 4, along with the PAH's name in Block 13. (Refer to figure 2-4 and paragraph 2-8 of this order.)

Figure 2-4. Sample FAA Form 8130-3 for Airworthiness Approval When Issued at a Distributor Facility

	ing National Aviation hority/Country:	2.							. Form Tra	acking Number:
FAA	VUnited States	AUT	HORIZED FAA Form 8130-					E		ACE235
4. Organiz	ation Name and Address:			-,					. Work Or	der/Contract/Invoice
Ace A	ircraft Parts Distrib	ution Co., 100 L	ake Drive, San Ant	tonio, TX	78007		73	1.2		D #451960
6. Item:	7. Description:	8. Part	t Number: 9.	Eligib	lity: * 10.	Quantity:	11. Serial	Batch Numbe	er: 12.	Status/Work:
1	Exhaust Valve	GE	637781	N/A		5 ea.	1	N/A		NEW
13. Rem	arks:		1							
	Airworthiness The part(s) ship		approval were prod	luced by S	ample Engines	, Incorpora	ited.			
⊠ .	es the items identified abo Approved design data and Non-approved design data	l are in a condition f	or safe operation.		and describ	at unless other ed in Block 13 julations, part	wise specified i	in Block 13, th	e work ider ance with T	specified in Block 13 ntified in Block 12 fitle 14, Code of s are approved for
15. Author	rized Signature:		16. Approval/Author	ization No.:	20. Authorized S	ignature:			21. Approx	val/Certificate No.:
A. Ins	pector		DARF-00024	43-SW						
17. Name	(Typed or Printed):		18. Date (m d y):		22. Name (Type	d or Printed):			23. Date (n	ndy):
A. Inspe	ector		May 30 2007	7						
			User	r/Installer	Responsibilities	1				
It is impor	tant to understand that th	e existence of this do	ocument alone does not a	utomatically (	onstitute authority	to install the	part/componer	nt/assembly.		
	user/installer performs w is essential that the user/ir									
	in Blocks 14 and 19 do no gulations by the user/insta			ases, aircraft	maintenance recor	ds must conta	in an installati	on certificatio	n issued in :	accordance with the
FAA Form	8130-3 (6-01)	*Installer must cross	-check eligibility with appl	licable technic	al data.				N	NSN: 0052-00-012-9005

#### 2-6. Prepositioned Products and Articles.

- **a. General**. FAA Form 8130-3 may be used to identify airworthiness approval status of prepositioned products or articles before type certificate (TC)/supplemental type certificate (STC) approval.
- **b. Applicability**. Eligible products and articles are production products and articles that are conformed as part of an FAA certification project, and produced under a production certificate (PC) holder's FAA-approved quality system in accordance with 14 CFR part 21, subpart G, Production Certificates, or subpart F, Production Under Type Certification Only.
- **c. System Requirements**. The PC holder must have a procedure that tracks the configuration of the product or article from the manufacturer through shipment until the TC/STC is issued. The procedures must be adequate to ensure that the requirements of § 21.146(b) and (c) are met.

**d.** Completion of FAA Form 8130-3 for a Prepositioned Product or Article. The following persons may issue an FAA Form 8130-3 for a prepositioned product or article:

- (1) An FAA ASI,
- (2) A designated manufacturing inspection representative (DMIR),
- (3) An ODA, or
- (4) An authorized DAR employed by the PC holder, and
- **e. Supplemental Information.** The following information will be listed in Block 13, Remarks: "Prototype products (articles) pending certification under FAA project number [enter number] that are not eligible for installation on in-service, type-certificated aircraft. Upon approval of the design data the product(s)/article(s) listed above are considered NEW and conform with approved design data and are in a condition for safe operation without further showing." Block 14 will be marked as "Non-approved design data as specified in Block 13." (Refer to figure 2-5 of this order.) When the request for conformity includes a quantity of products/articles in excess of those products/articles subject to the required certification, the tag for those excess products/articles should indicate that they are prepositioned.
- **f.** Certification Issuance. After the TC/STC is issued, but before installation, the conforming product or article should be verified as incorporated into the design.

Figure 2-5. Sample FAA Form 8130-3 for Identification of a Prepositioned Product or **Article** 

Aut	ring National Aviation thority/Country: A/United States	aUTH	ORIZED	RELI	EASE	CERTIF	TICA	ATE	3. F		cking Number: BR549
100,000,000			FAA Form 8130-								
4. Organi	zation Name and Address:								5. V Num		ler/Contract/Invoice
Execu	itive Airplanes, 337 N	Iodification Way	, Anyplace, TX	75000 (PC	123)				1440		O 87800
6. Item:	7. Description:	8. Part Number: 9. Eligibility: 10. Quantity: 11. Serial/Batch Number: 12.									
001 002 003 004	Coffee Maker Galley Cabinet Doo PCU Panel Coat Closet Door	r EA 5 EA 7	451-2 471-2 500-1 98700	N/A		1 1 1	02346 77759 99999			PROTOTYPI	
13. Ren		EAS	78 /00			1		66654			
	ies the items identified abov Approved design data and Non-approved design data	are in a condition for	Total Control of the		Certii and d Feder	4 CFR 43.9 Return t fies that unless other escribed in Block 13 al Regulations, part n to service.	wise spe	cified in Block omplished in a	13, the v	ork iden	itle 14, Code of
15. Autho	rized Signature:		16. Approval/Author	ization No.:	20. Author	rized Signature:			21.	Approv	al/Certificate No.:
4. In	spector		DMIR-00348	36-SW							
7. Name	(Typed or Printed):		18. Date (m d y):		22. Name (Typed or Printed):				23.	Date (n	ı d y):
A. Inspe	ector		Oct 10 2007								
			User	r/Installer	Responsib	ilities					
Where the	tant to understand that the user/installer performs woi is essential that the user/ins	rk in accordance with	the national regulatio	ns of an airw	orthiness aut	hority different than	n the air	worthiness aut	hority of		
	s in Blocks 14 and 19 do not egulations by the user instal			ases, aircraft	maintenance	erecords must conta	ain an ins	stallation certi	fication is	sued in a	ecor dance with the
	0120 2 (5 01)		1 12 11 12 14 14		2020					74.	VAT 0052 00 012 0

FAA Form 8130-3 (6-01) \*Installer must cross-check eligibility with applicable technical data.

NSN: 0052-00-012-9005

#### 2-7. Splitting Bulk Shipments of Previously Shipped New Products and Articles.

#### a. General.

- (1) After an FAA Form 8130-3 is issued for a bulk shipment, a new FAA Form 8130-3 may be used to split bulk shipments of previously shipped new products or articles.
- (2) Products or articles received without an FAA Form 8130-3 must not be commingled with those received with FAA Form 8130-3. This is to preclude shipment of products and articles that were not received with an original FAA Form 8130-3. When more than one product or article is listed on a supplemental FAA Form 8130-3, each product or article does not need to be from the same original shipment, as long as it was received with an original FAA Form 8130-3 and traceability has been maintained.

#### b. Eligibility and System Requirements.

(1) Splitting bulk shipments is permitted when the specific products or articles were produced under an FAA production approval to include PAH associate facilities and PAH-approved suppliers having direct shipment authorization.

- (2) The facilities authorized to split bulk shipments are PAHs, PAH associate facilities, distributors, PAH-approved suppliers having direct shipment authorization, and those certificate holders described in paragraph 3-1a of this order. This may include PAH associate facilities and PAH-approved suppliers that have direct shipment authorization that are located outside the United States. (This is not considered an export; the act of exporting is when the product or article is found to be airworthy, meets the special conditions of the importing country or jurisdiction, and is transferred from one CAA's regulatory authority to another CAA's regulatory authority.)
- (3) An authorized facility as described in paragraph 2-7b(2) above must have a written procedure in place explaining how that facility will maintain control of products or articles when splitting bulk shipments.
- (4) An authorized facility may split a bulk shipment of previously shipped new products or articles as many times as the original quantity as listed in Block 10 allows.
- **c.** Splitting Bulk Shipments for Procedures and Documentation. For those shipments of products or articles required to be split, the following procedure will be used if an approved electronic system to issue supplemental FAA Forms 8130-3 for this purpose is not in place.
- (1) Make a copy of the prior FAA Form 8130-3 received with the original shipment of products or articles. (Refer to figure 2-6a of this order.)

**NOTE:** If the user responsibilities statements are placed on the back side of the form, the copies of the forms must include these statements.

- (2) Include the following written certifying statement (an example) or similar statement: "(Company name) certifies that [this/the attached] document is a true copy of the authorized release certificate. The prior authorized release certificate received by our facility is maintained on file pursuant to our document retention standards. That prior FAA Form Tracking Number is [OEM-549]. The new tracking number for this portion of the split bulk shipment is [S1-054321]. The number of products or articles being shipped under this certification is [500]. Signed [quality control/assurance manager] Dated [month day year]" (Refer to figure 2-6b of this order for an example.) A quality control/assurance manager from that facility must sign and date the written statement. You can include this statement in one of two ways:
- (a) Attach the copied FAA Form 8130-3 to a separate sheet of paper. Indicate that the copied FAA Form 8130-3 that accompanies the products or articles is a "certified true copy of the original" maintained on file, or

(b) Apply a stamp form of the statement to the copied FAA Form 8130-3 and complete it.

(3) Maintain the prior FAA Form 8130-3 and a copy of the written "true copy" statement on file.

Figure 2-6a. Sample FAA Form 8130-3 for Splitting Bulk Shipments

	ving National Aviation thority/Country:	2.						3. Form	m Tracking Number:
	A/United States	AUTI				CERTIF APPROVAL TAG			OEM-549
	Organization Name and A								rk Order/Contract/Invoice
OE	M Airplane Company	y, 110 Stunt Fly	er Road, Memj	phis, TN 7600	05 (PC023)	)		Numbe	
		1				10. Quantity:	11. Serial/Batch Nu		WO 5678
6. Item:	7. Description:	8. Part	Number:	9. Eligib	imber:	12. Status/Work:			
1	Flap Track Rolls	er 65B	9999-1	N/A	١	1000	N/A		NEW
13. Rem	narks:								
	AIRWORTHINESS	APPROVAL							
⊠	ies the items identified abo Approved design data and Non-approved design data	are in a condition fo	r safe operation.	:	Certific and de Federa	scribed in Block 13 I Regulations, part	wise specified in Block 1	3, the work	ation specified in Block 13 k identified in Block 12 with Title 14, Code of e items are approved for
	Approved design data and Non-approved design data	are in a condition fo	r safe operation.		Certific and de Federa return	es that unless other scribed in Block 13 I Regulations, part to service.	wise specified in Block 1	3, the work cordance v t work, the	ck identified in Block 12 with Title 14, Code of e items are approved for
□ □	Approved design data and Non-approved design data rized Signature:	are in a condition fo	r safe operation.	: uthorization No.:	Certific and de Federa return	es that unless other scribed in Block 13 I Regulations, part	wise specified in Block 1	3, the work cordance v t work, the	k identified in Block 12 with Title 14, Code of
	Approved design data and Non-approved design data rized Signature:	are in a condition fo	or safe operation.  3.  16. Approval/At		Certific and de Federa return	es that unless other scribed in Block 13 I Regulations, part to service.	wise specified in Block 1	3, the work cordance v t work, the	ck identified in Block 12 with Title 14, Code of e items are approved for
□ □ 15. Autho	Approved design data and Non-approved design data rized Signature:	are in a condition fo	or safe operation.  3.  16. Approval/At	uthorization No.: 50987-NM	Certifii and de Federa return 20. Authori	es that unless other scribed in Block 13 I Regulations, part to service.	wise specified in Block 1	3, the work cordance v t work, the	ck identified in Block 12 with Title 14, Code of e items are approved for
□ □ 15. Autho	Approved design data and Non-approved design data orized Signature:  Spector (Typed or Printed):	are in a condition fo	r safe operation.  3.  16. Approval/Au DMIR-6	uthorization No.: 50987-NM	Certifii and de Federa return 20. Authori	es that unless other scribed in Block 13 I Regulations, part to service. zed Signature:	wise specified in Block 1	3, the work cordance v t work, the	k identified in Block 12 with Title 14, Code of e items are approved for pproval/Certificate No.:
15. Autho  A. 9ua  17. Name	Approved design data and Non-approved design data orized Signature:  Spector (Typed or Printed):	are in a condition fo	16. Approval/At DMIR-6: 18. Date (m d y) May 25 2	uthorization No.: 50987-NM	Certificand des Federa return  20. Authori  22. Name (1)	es that unless other scribed in Block 13 I Regulations, part to service. zed Signature:	wise specified in Block 1	3, the work cordance v t work, the	k identified in Block 12 with Title 14, Code of e items are approved for pproval/Certificate No.:
15. Autho  A. Jus  17. Name  A. Inspe	Approved design data and Non-approved design data orized Signature:  Spector (Typed or Printed):	are in a condition fo specified in Block 13	16. Approval/At DMIR-6:  18. Date (m d y) May 25 2	uthorization No.: 50987-NM :: 2007 User/Installer	Certificand de Federa return  20. Authori  22. Name (1)	es that unless other scribed in Block 13 I Regulations, part to service. zed Signature: Typed or Printed):	wise specified in Block I was accomplished in ac 43 and in respect to tha	3, the worr cordance v t work, the	k identified in Block 12 with Title 14, Code of e items are approved for pproval/Certificate No.:
15. Autho  A. 944  17. Name  A. Inspec	Approved design data and Non-approved design data rized Signature: Spector (Typed or Printed): ector	are in a condition fo specified in Block 12 e existence of this doo ork in accordance wi	16. Approval/At DMIR-6:  18. Date (m d y) May 25 2  cument alone does the the national regions.	uthorization No.: 50987-NM : 2007 User/Installer not automatically ulations of an airw	Certificand des Federa return  20. Authori  22. Name (1)  Responsibil constitute author thiness auth	es that unless other scribed in Block 13 I Regulations, part to service. zed Signature: Eyped or Printed): lities to install the pority different than	wise specified in Block is was accomplished in ac 43 and in respect to that and in respect to the bart/component/assemb the airworthiness auth	3, the work cordance v twork, the	k identified in Block 12 with Title 14, Code of e items are approved for  pproval/Certificate No.:  pate (m d y):
15. Autho  A. 900  17. Name  A. Inspection it is importabled, it is limportabled, it is lock 1. Statement	Approved design data and Non-approved design data orized Signature:  Apector (Typed or Printed): actor  retant to understand that the user/installer performs we	are in a condition fo specified in Block 12 e existence of this doo ork in accordance wi staller ensures that b	16. Approval/At DMIR-6:  18. Date (m d y) May 25 2  cument alone does the the national regularisher airworthine to certification. In	uthorization No.: 50987-NM : 2007 User/Installer not automatically ulations of an airw ss authority accep	Certificand de Federa return  20. Authori  22. Name (1)  Responsibil constitute author/thiness authors parts/compo	es that unless other scribed in Block 13 I Regulations, part to service. zed Signature: Typed or Printed): lities tority to install the pority different than ments/assemblies fr	wise specified in Block is was accomplished in ac 43 and in respect to that and in respect to the action of the ac	3, the work cordance v twork, the 21. A 23. D	k identified in Block 12 with Title 14, Code of eltems are approved for approval/Certificate No.:  pate (m d y):  e country specified in the country specified in

Figure 2-6b. Sample FAA Form 8130-3 for Splitting Bulk Shipments (Separate Sheet of Paper)

## OEM AIRPLANE COMPANY TRUE COPY STATEMENT

I OEM Airplane Company certify that the attached document is a true copy of the authorized release certificate. The original authorized release certificate received by our facility is maintained on file pursuant to our document retention standards. The original Form Tracking Number is <u>OEM-549</u>. The new tracking number for this split bulk shipment is <u>S1-054321</u>. The number of parts being shipped under this certification is <u>500</u>.

A. Quality Manager	Oct 24 2007
A. Quality Manager	Date

# 2-8. Block-By-Block Instructions for Completing FAA Form 8130-3 for Domestic Airworthiness Approvals.

- **a. Block 1. Approving National Aviation Authority/Country.** FAA/United States. (Preprinted.)
- b. Block 2. Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag. (Preprinted.)

### c. Block 3. FAA Form Tracking Number.

- (1) Enter the number established by the numbering system. (Refer to paragraph 2-1g of this order.)
- (2) The organization that splits bulk shipments of previously shipped products or articles received from a PAH must establish a new tracking number and enter that number on the certifying statement or on the supplemental FAA Form 8130-3. (Refer to paragraph 2-7c of this order.)

#### d. Block 4. Organization Name and Address.

- (1) Enter the full name and physical address (no post office box numbers) of the organization or facility for which the form is being issued, and the PAH certificate or project number (for example, certificate No. LI1R 123K or X9MA123H), as appropriate. A logo or other identification of the organization is permitted if it can be contained within the block.
  - **NOTE:** In the case where FAA Form 8130-3 is issued at a PAH's extension facility and that facility is issued its own project number by the geographic managing office, that project number will be used, along with the full name and address of the extension facility.
- (2) When a supplier has direct shipment authorization from a PAH, or conformity inspections are performed on behalf of a PAH/applicant at the supplier's facility, the following information must be entered:
  - (a) PAH name and address.
  - (b) Supplier name and address.
- (3) If a supplier to a PAH produces and ships a product or article, the supplier must either have direct shipment authorization from a PC/PAH holder or hold a production approval for each article shipped. If the supplier holds its own production approval, and the products and articles were manufactured and are being shipped under that approval, the information required in paragraph 2-8d(1) must be listed.

(4) When completing FAA Form 8130-3 at a distributor's facility, enter the name and the address of that facility.

- e. Block 5. Work Order/Contract/Invoice Number. To facilitate customer traceability of the product or article, enter the work order number, contract number, invoice number, or similar reference number, and state the number of pages attached to the form, including dates, if applicable. If the shipment list contains the information required in Blocks 6 through 12, the respective blocks may be left blank if an original or true copy of the list is attached to the form. In this case, the following statement must be entered in Block 13: "This is the certification statement for the products and articles listed on the attached document dated \_\_\_\_\_\_\_\_\_, containing pages \_\_\_\_\_\_ through \_\_\_\_\_\_\_." In addition, the shipping list must cross-reference the form tracking number located in Block 3.
- **f. Block 6. Item.** When FAA Form 8130-3 is issued, a single item number or multiple item numbers (for example, same item with different serial numbers) may be used for the same part number. Multiple items must be numbered in sequence, although not necessarily beginning with the number one (for example, 0040, 0050, 0062, 0063). If a separate listing is used, enter "List Attached" (refer to paragraph 2-8e of this order for further instructions).
- **g. Block 7. Description.** Enter the name or description of the product or article. Preference should be given to the term used in the instructions for continued airworthiness or maintenance data (for example, illustrated parts catalog, aircraft maintenance manual, or service bulletin (SB)).
- **h. Block 8. Part Number.** Enter each part number of the product or article. In the case of an aircraft engine or propeller, the model designation may be used.
  - i. Block 9. Eligibility. Enter "N/A."
  - **j. Block 10. Quantity.** Enter the quantity of each product or article shipped.
- **k. Block 11. Serial/Batch Number.** If the product or article is required by 14 CFR part 45, Identification and Registration Marking, to be identified with a serial number, enter it here. Additionally, any other serial number not required by regulation also may be entered. If no serial number is entered in this block, enter "N/A."
- **l. Block 12. Status/Work.** The following table describes what to enter in a specific situation. Only one term may be entered in Block 12, which should reflect the majority of the work performed. The use of upper or lower case in this block does not matter.

Enter—	For—
"NEW"	The production of a new product or article in conformity with the approved design data.
"PROTOTYPE"	The production of a new product or article in conformity with the non-approved design data.

- m. Block 13. Remarks. The use of upper or lower case in this block does not matter.
- (1) State any information in this block, either directly or by reference to supporting documentation, necessary for the user or installer to determine the airworthiness of the product or article. If necessary, a separate sheet may be used and referenced from the main FAA Form 8130-3. Each statement must clearly identify the product or article in Block 6 to which it relates. If there is no statement, state "none."
- (2) Below are examples of conditions that could necessitate a statement in this block. These statements may or may not be appropriate depending on the form's purpose.
- (a) "Prototype products (articles) pending certification under FAA project number [enter number] that are not eligible for installation on in-service, type-certificated aircraft. Upon approval of the design data, the product(s)/article(s) listed above are considered new, conform with approved design data, and are in a condition for safe operation without further showing." Block 14 will be marked as "Non-approved design data specified in Block 13."
- (b) When a new FAA Form 8130-3 is issued to correct errors, the following statement must be entered: "This FAA Form 8130-3 corrects the error(s) in block(s) [enter block numbers corrected] of the FAA Form 8130-3 [enter original form tracking number] dated [enter original issuance date] and does not cover conformity/condition/release to service."
- (c) The purpose of the form (for example, airworthiness approval, conformity, prepositioning).
- (d) Attachment when used. Attachments should include the form tracking number of the corresponding FAA Form 8130-3.
  - (e) Compliance with airworthiness directives (AD) or SBs.
  - (f) For TSO articles, enter the applicable TSO number.
- (g) Information on life-limited parts (for example, total time, total cycles, time since new).
  - (h) Shelf-life data.
  - (i) Drawing number and revision level.
- (j) Information needed to support shipment with shortages or reassembly after delivery.
  - (k) Any data not appropriate in other blocks.
- (1) When used for conformity, the words "CONFORMITY INSPECTION" must be entered. In addition, an explanation of the product or article use (for example, pending approved data, TC pending, for test only) must be provided. Information concerning a

conformity inspection such as design data, revision level, date, project number, and special instructions as shown on FAA Form 8120-10 must be entered in this block.

- (m) When issued at a supplier facility with direct shipment authorization from the PAH, the words "DIRECT SHIPMENT AUTHORIZATION" must be entered in Block 13, and the information from paragraph 2-8d(2) of this order must be entered in Block 4. (Refer to figure 2-7 of this order.)
- (n) When FAA Form 8130-3 is issued at a distributor, enter the following statement: "The products/article(s) shipped under this approval was (were) produced by [insert PAH's name]." (Refer to figure 2-4 of this order.)
- (o) When used for an airworthiness approval for new products (engines or propellers), the following statement must be entered: "AIRWORTHINESS APPROVAL ENGINE [or PROPELLER]." (Refer to paragraph 2-3 of this order.)
- (p) When used for prepositioning, the following statement must be made (Refer to figure 2-5 of this order): "Prepositioned products (articles) were conformed to design data under FAA project number [enter number], for the issuance of a TC/STC modification of [enter make and model number]. Product(s)/article(s) conforming to design at issuance of the TC/STC is/are certified as airworthy and is/are in a condition for safe operation without further showing."
- (q) When used for airworthiness approval for a new subcomponent of a PMA/TSO authorization article higher assembly, complete FAA Form 8130-3 with the subcomponent information, and enter a statement in Block 13 indicating that the article is a subcomponent of a PMA or TSO authorization (for example, "This [insert part description] is a subcomponent of a(n) [FAA PMA article/TSO authorization]") (Refer to figure 2-8 of this order).

Figure 2-7. Sample FAA Form 8130-3 for a Direct Shipment Authorization

1. Approving National Aviation Authority/Country: FAA/United States		129711 VIII. 129711	AUTHORIZED RELEASE CERTIFICATE FAA FOIM \$130-3, AIRWORTHINESS APPROVAL TAG							3. Form Tracking Number: 991004327		
77	ization Name and Address /body's Aircraft Sup		Red Baron Wa	y, Anywher	e, OK 74032			5. W	aber:	VO 2020		
6. Item:	7. Description:	8. 1	Part Number:	9.	Eligibility: *	10. Quantity:	11. Serial/Batch N	iumber:	12.	Status/Work:		
1	Wing Tip	A	E637781-1		N/A	5 ea.	N/A			New		
14. Certi	fies the items identified ab Approved design data an Non-approved design da	d are in a conditio	n for safe operation	5100E	Cer	described in Block 13	to Service	13, the w	vork iden re with T	itle 14, Code of		
	orized Signature:		100000000000000000000000000000000000000	al/Authorization R-00243-CE	1 No.: 20. Aud	un to service. orized Signature:	•	21.	Approv	al/Certificate No.:		
17. Name A. Insp	(Typed or Printed): ector		18. Date (m Apr 1	d y): 3 2008	22. Nam	22. Name (Typed or Printed): 23. Date (m d y):						
				User/Inst	aller Responsi	bilities						
Where the Block 1, is Block 1.	rtant to understand that the e user/installer performs v t is essential that the user/ ts in Blocks 14 and 19 do 1 regulations by the user/ins	vork in accordanc installer ensures tl not constitute insta	e with the national hat his/her airworth llation certification	regulations of a hiness authority n. In all cases, a	on airworthiness a accepts parts/con	uthority different that aponents/assemblies f	n the airworthiness aut rom the airworthiness	hority of authority	of the co	ountry specified in		

FAA Form 8130-3 (6-01)

\*Installer must cross-check eligibility with applicable technical data.

NSN: 0052-00-012-9005

Figure 2-8. Sample FAA Form 8130-3 for Airworthiness Approval for a New Subcomponent for a PMA Article

Authority/Country: FAA/United States			AUTHORIZED RELEASE CERTIFICATE FAA FOIM \$130-3, AIRWORTHINESS APPROVAL TAG						Smith 007-1		
		Name and Address: gines Inc., 49 Tin	nber Lar	ne, San Antonio, T					5. W Num	ber:	ler/Contract/Invoice ○ 671960
6. Item:	7.	Description:	8.	Part Number:	: 9. Eligibility: * 10. Quantity: 11. Serial Batch		11. Serial/Batch N	umber:	12.	Status/Work:	
1		Exhaust Valve		GE1637781	E1637781 N/A 5 ea.				NEW		
⊠	les the i	items identified above	e] is a sul were man	ocomponent of an F nfactured in conformity lition for safe operation Block 13.	y to:	Certii and d Feder	escribed in Block 13	o Service	13, the w	ork iden e with T	itle 14, Code of
15. Autho		7			VAuthorization No.: 2-00007-SW	20. Author	rized Signature:		21.	Approv	al/Certificate No.:
17. Name (Typed or Printed): A. Inspector		18. Date (m Oct 14	22. Name	(Typed or Printed):	23. Date (m d y):						
					User/Installer	Responsibi	ilities				
Where the Block 1, it Block 1.	e user/ir is esser is in Blo	astaller performs worl atial that the user/inst ocks 14 and 19 do not o	k in accord aller ensur constitute i	ance with the national es that his/her airwortl	regulations of an airo niness authority accep n. In all cases, aircraf	vorthiness aut pts parts/comp	thority different that onents/assemblies fo	part/component/assemb the airworthiness auth our the airworthiness a in an installation certifi	ority of t uthority	of the co	untry specified in

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\*Installer must cross-check eligibility with applicable technical data

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#### n. Block 14. Airworthiness Approval.

- (1) Place a check in the "Approved design data and are in a condition for safe operation" box if the products and articles were manufactured using FAA-approved design data and found to be in a condition for safe operation. Checking this box and signing Block 15 means that the products and articles listed on the form meet the FAA-approved design data and are in a condition for safe operation.
- (2) Place a check in the "Non-approved design data specified in Block 13" box when FAA Form 8130-3 is used for—
  - (a) Conformity of a prototype product or article certification program.
  - (b) Prepositioning products or articles before the issuance of a TC/STC.
- **o. Block 15. Authorized Signature.** This space will be completed with the signature of the authorized person. Only an FAA ASI, authorized designee, or person approved to sign under an authorized delegation is authorized to sign this block. An alternative to a handwritten signature (for example, a computer-generated signature; refer to appendix B to this order for definition) is permitted only when authorized by the FAA. The approval signature must be

applied at the time and place of issuance and manually applied, except as provided in paragraph 2-1g of this order.

- **p. Block 16. Approval/Authorization No.** Enter the approval/authorization number of the authorized representative/organization identified in Block 15. If signed by an FAA inspector, the authorization number is the applicable office identifier.
- **q. Block 17. Name.** Enter the typed or printed name of the authorized representative or organization whose signature appears in Block 15.
- **r. Block 18. Date (m d y).** Enter the date on which Block 14 is completed, or in the case of electronically generated forms, the date the conformity determination is made and the form is authorized to be issued. The date must be in the following format: first three letters of the month, two-digit day, and four-digit year, for example, Feb 03 2008. This does not need to be the same as the printing or shipping date, which may occur later. The use or omission of slashes, hyphens, or spaces in the date does not matter.
- s. Blocks 19 through 23. Shade, darken, or otherwise mark to preclude inadvertent or unauthorized use.
- **2-9.** Lost FAA Form 8130-3 Issued for Domestic Airworthiness Approvals. If a copy of an FAA Form 8130-3 is requested, a file copy of the original form may be provided by an authorized person, if available.
- 2-10. Reissuance of FAA Form 8130-3 for Domestic Airworthiness Approvals.
  - a. Reissuance by a PAH for Returned Products and Articles.
- (1) The new products and articles returned to a PAH may be eligible for a new FAA Form 8130-3 if—
- (a) The new products and articles were produced under the PAH's production approval.
- (b) The PAH maintains a procedure to accept products and articles back into its quality system.
- (c) Tests and inspections are performed in accordance with procedures contained in the PAH's quality system to determine that the returned product or article still meets the original type design it was produced under and is still in a condition for safe operation.
- (2) If the conditions in paragraph 2-10a(1)(a) through (c) are met, a new FAA Form 8130-3 in accordance with chapter 2 of this order may be issued.
- (3) If the original FAA Form 8130-3 is returned with the products and articles, the issuer should retain that form on file with (or have reference to) the new FAA Form 8130-3.

**b. Reissuance Because of Typographical Errors on the Original.** The original issuer may reissue FAA Form 8130-3 if there are typographical errors on the original.

- (1) The recipient must provide a written statement and a copy of the incorrect FAA Form 8130-3 to the original issuer that indicates the errors.
- (2) The request for a new FAA Form 8130-3 may be honored without reverification of the product or article condition. The new FAA Form 8130-3 is not a statement of current condition and should refer to the previous FAA Form 8130-3 in Block 13 by the following statement: "This FAA Form 8130-3 corrects the error(s) in Block(s) [enter block number(s) corrected] of the FAA Form 8130-3 [enter original form tracking number] dated [enter original issuance date] and does not cover conformity/condition/release to service." The erroneous form must be marked as such. Both forms should be retained according to the retention period associated with the original.

### Chapter 3. Approval for Return To Service of Products and Articles

## 3-1. General Information on Approval for Return To Service.

**a.** Air agencies certificated under 14 CFR part 145, or the holder of a U.S. air carrier certificate operating under 14 CFR part 121 or part 135, with an approved continued airworthiness maintenance program may issue an FAA Form 8130-3 for approval for return to service for a product or article maintained or altered under 14 CFR part 43, Maintenance, Preventive Maintenance, Rebuilding, and Alteration.

**NOTE:** The restriction in this order relating to the original issuance of the form does not apply when the form is used as a maintenance record and approval for return to service. Copies of the original form when used as a maintenance record or an approval for return to service may be provided to the owner/operator or others who require copies of maintenance records as prescribed by the applicable CFRs.

**b.** A PAH may issue an FAA Form 8130-3 for approval for return to service after rebuilding, altering, or inspecting its product in accordance with §§ 43.3(j) and 43.7(d). The use of FAA Form 8130-3 for this purpose is optional, but the FAA recommends its use. This will help aviation authorities and the industry to ensure complete traceability and ease the movement of products and articles through the aviation system. (Refer to paragraph 3-2a(2) and figure 3-1 of this order.)

**NOTE:** Rebuilt products and articles accomplished by a manufacturer may not be found acceptable by some European countries, because "rebuilt" is not included within the definition of "maintenance" as defined in 14 CFR part 1 or because the European system does not have a similar system that recognizes "rebuilt." Therefore, when completing FAA Form 8130-3 for the purpose of "rebuilt," refer to paragraphs 3-2a(3), 3-5m(3), and 4-51.

- **c.** FAA Form 8130-3 does not constitute approval to install a product or article on a particular aircraft, aircraft engine, or propeller.
- **d.** Blocks 19 through 23 on FAA Form 8130-3 are used to indicate approval for return to service (along with the information contained in Blocks 1 through 13).
- **e.** FAA Form 8130-3 must be completed as outlined in the Block-by-Block instructions in paragraph 3-5 of this order.
- **f.** FAA Form 8130-3 must be correlated with the shipment. Additional copies of the original FAA Form 8130-3 may be provided upon request.

**g.** The following statements describe how long a copy of FAA Form 8130-3 completed for approval for return to service should be retained unless the regulatory requirements stipulate otherwise:

- (1) If FAA Form 8130-3 is issued as an approval for return to service by an appropriately certificated organization, that is, part 121, 135, or 145, the issuer should retain a copy of FAA Form 8130-3 for a period of 2 years after the work is approved for return to service, unless the work is repeated or superseded. An air carrier's own manual requirements may require a longer retention period.
- (2) If a certificated repair station uses FAA Form 8130-3 as the approval for return to service for a major repair in accordance with 14 CFR part 43, the repair station should retain a copy of the document for 2 years.
- **h.** The copies of FAA Form 8130-3 may be retained in their original paper format or in a secure database, provided the database contains all the information required on FAA Form 8130-3, complies with AC 120-78 (when applicable), and is available for FAA review upon request. When FAA Form 8130-3 is issued for approval for return to service in accordance with this chapter, a copy of the original FAA Form 8130-3 that accompanied each shipment, or product or article must comply with the recordkeeping requirements of 14 CFR parts 43, 91, 121, 135, and 145. These forms must be retained by the facility where FAA Form 8130-3 is issued. Duplicates of FAA Form 8130-3, including signatures retained in a database, do not need to be graphic images of the original documents. However, when a supplemental FAA Form 8130-3 is issued as described by this order, traceability back through a system that ensures that the products and articles were received with their original FAA Form 8130-3 must be possible.
- i. Many part numbers are applied in a nonpermanent manner (for example, ink stamp or paper label). In other cases, maintenance is required in areas where articles are permanently identified. During the maintenance process, these part numbers may be removed or otherwise obscured. If during maintenance the part number is removed or obscured, the persons performing the maintenance must document the part number and, if applicable, serial number, total time and cycles, heat code (if applicable), and any and all part markings on maintenance documents before performing the work. The article information must be reapplied after maintenance per acceptable practices. FAA Form 8130-3, when completed in accordance with this order, may be considered the article's identification in order to identify the article.
- **j.** Unique identification is required to enable or provide product or article traceability. The preferred method is a unique form tracking number in Block 3. However, if traceability is provided through other information on the form combined with a number in Block 3, this is also acceptable.
- **k.** The signature of the person authorized to issue FAA Form 8130-3 may be applied electronically to Block 20 from domestic and international locations. At the time the signature is authorized to be placed on FAA Form 8130-3, the person whose signature appears on the form must have direct access to the products, articles, forms, and other data to monitor the process, perform spot-checks, and ensure that the work specified on the form was accomplished in accordance with 14 CFR part 43 and, in respect to that work, the items are approved for return to service.

**l.** The User/Installer Responsibilities statements may be placed on either side of the form. If the statements are placed on the back side of the form, a note in Block 13 must reference that fact. When copies of the forms are generated, these statements must be provided with the copies.

Figure 3-1. Sample FAA Form 8130-3 for a Rebuilt Product or Article

		2.					3. Form	Tracking Number:	
Authority/Country: FAA/United States		AUTHORI FAA F	ACME-12345						
4. Organi	zation Name and Address:							k Order/Contract/Invoice	
Acme	Airplane Company, 1	10 Aviation Place, Som	ewhere, OK (PC62	2)	.,		Number	WO 98765	
6. Item:	7. Description:	8. Part Number:	9. Eligil	ligibility: * 10. Quantity: 11. Serial/Batch Numb			umber: 1	2. Status/Work:	
1	Fuel Control	PW54667	N/A	A 1		N/A		See Block 13	
		Rebuilt (altered) to	original PAH's spec	ifications in accordance w	ith 14 CFI	R § 43.3(j).			
		were manufactured in conform re in a condition for safe opera pecified in Block 13.	*	19. 14 CFR 43.9 Return Certifies that unless of and described in Block Federal Regulations, preturn to service.	herwise spe : 13 was acc	cified in Block omplished in ac	13, the work	identified in Block 12	
	Approved design data and a	re in a condition for safe opera pecified in Block 13.	*	Certifies that unless of and described in Block Federal Regulations, p	herwise spe : 13 was acc	cified in Block omplished in ac	13, the work cordance w it work, the	s identified in Block 12 ith Title 14, Code of items are approved for oproval/Certificate No.:	
□ □ □ 15. Autho	Approved design data and a Non-approved design data sp	re in a condition for safe opera pecified in Block 13.	tion. oval/Authorization No.:	Certifies that unless or and described in Block Federal Regulations, p return to service.	herwise spe k 13 was acc art 43 and i	cified in Block omplished in ac	21. Ap PC #4	ith Title 14, Code of items are approved for oproval/Certificate No.:	
□ □	Approved design data and a Non-approved design data sp orized Signature:	re in a condition for safe opera pecified in Block 13.	oval/Authorization No.:	Certifies that unless or and described in Block Federal Regulations, preturn to service.  20. Authorized Signature:  ### Importar  22. Name (Typed or Printe)	herwise spe k 13 was acc art 43 and i	cified in Block omplished in ac	21. Ap PC #4	cidentified in Block 12 ith Title 14, Code of items are approved for oproval/Certificate No.: 12	

\*Installer must cross-check eligibility with applicable technical data.

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## 3-2. Approval for Return To Service After Maintenance, Preventive Maintenance, Rebuilding, and Alteration — Products and Articles.

**a.** Only those persons described in paragraph 3-1a and b, when authorized by § 43.7(c), (d), and (e), may issue an FAA Form 8130-3 for approval for return to service of products and articles that have undergone maintenance, preventive maintenance, rebuilding, or alteration, provided the applicable recordkeeping requirements of §§ 43.9, 91.417, 91.421, 121.380, 135.439, or 145.219 are met. The use of FAA Form 8130-3 for this purpose is optional, but the FAA recommends its use. This will help aviation authorities and the industry to ensure complete traceability and ease the movement of products and articles through the aviation system. (Refer to figure 3-2 of this order.)

(1) All work must be performed under the control of part 121 or part 135 certificate holders having a continued airworthiness maintenance program or an air agency certificated under part 145. This applies to all FAA-certificated repair stations, both domestic and foreign.

- (2) A PAH may use FAA Form 8130-3 for approval for return to service of products and articles as set forth in §§ 43.3(j) and 43.7(d). The completion of Blocks 19 through 23 will be used when the PAH rebuilds or alters any product manufactured by it under a TC or PC, TSO authorization, PMA, or product and process specification issued by the Administrator. The PAH completes Block 19 by checking the appropriate box "Other regulation specified in Block 13." Refer to paragraphs 3-51 and 3-5m(3).
- (a) Documentation as outlined in § 43.9 ensures that a PAH has in place a method for tracking the rebuild and/or alteration work performed and who performed it. This documentation method should become part of the FAA-approved quality system.
- (b) As a minimum, the PAH quality system should address the PAH's procedures for rebuild and alteration that—
- 1) Dictate the data used for rebuilding and alteration. Section 43.7(d) requires that except for minor alterations, products or articles must be worked under technical data approved by the FAA. It is acceptable to rebuild using the same FAA-approved design data used for manufacturing. The PAH may alternatively develop data specifically for rebuilding, as long as that data is FAA-approved.
- 2) Identify by name and job title all persons authorized to return rebuilt or altered products and articles to service, to include signing of return-to-service documents.
- 3) Identify the records required for approval for return to service and how to complete them in compliance with § 43.9(a). Concerning the name and signature of the person approving the product/article for approval for return to service, the certificate type and number of the approving person must be documented as well. In the case of PAHs rebuilding their own products and articles, the certificate number is the assigned FAA project number (under 14 CFR part 21, subparts F, Production Under Type Certificate Only; K, Parts Manufacturer Approvals; or O, Technical Standard Order Approvals) or the PC number (under 14 CFR part 21, subpart G, Production Certificates).
- (c) Section 43.7(d) authorizes the PAH to return to service any item worked on under § 43.3(j). Any employee of the PAH may therefore issue approval for return-to-service documents, but the PAH should deem them qualified and authorized in writing the approval for return-to-service documents are signed as part of their approval. Issuing approval for return-to-service documents for rebuild and alteration activities is not a designee function. While the person issuing approval for return-to-service documents may also be an FAA designee, that person must not perform approval for return to service in a designee capacity or record a designee number on any approval for return-to-service document.

(3) When FAA Form 8130-3 is used as an approval for return to service to meet the terms and conditions of a bilateral agreement's maintenance implementation procedures (MIP), the air agency or air carrier must check the two boxes in Block 19 stating "14 CFR 43.9 Return to Service" and "Other regulations specified in Block 13" and provide the appropriate information in Blocks 12 and 13. This is considered to be a dual release FAA Form 8130-3. (Refer to figure 3-3 to this order.)

- (4) If another authority's approved maintenance data are used to maintain products and articles and those data are not addressed in the provisions of a MIP, FAA Form 8130-3 should not be used.
- **b.** In all cases, an appropriately authorized representative of the air agency, air carrier, or PAH in accordance with § 43.7(c), (d), or (e) must make the approval for return to service of products and articles.
- c. European CAAs may recognize an approval for return-to-service FAA Form 8130-3 only from part 145 domestic repair stations or air carriers that also obtained a European Aviation Safety Agency (EASA) part 145 approval appropriately rated for the product or article at the time the product or article was approved for return to service. If a dual release is being applied to FAA Form 8130-3 to satisfy a European CAA or EASA, the air agency, U.S. air carrier, or FAA approval/certification number must be entered in Block 21, along with the following statement in Block 13: "Certifies that the work specified in Blocks 12/13 was carried out in accordance with EASA part 145, and with respect to that work, the component is considered ready for release to service under EASA part 145 Approval Number [insert number: EASA 145-XXX]."

Figure 3-2. Sample FAA Form 8130-3 for Approval for Return To Service

Aut	ing National Aviation hority/Country: A/United States	Al	UTHORIZ		EASE CE			3. Fo	orm Tracking Number:	
302 002 Name   W.C. and	zation Name and Address: ne's Repair Station,		5.72 337 46	FI-477500000000000000000000000000000000000	ONE DE LES SONS TRANSPORTE	OVAL TAC	,	5. W Num	fork Order/Contract/Invoice ber: W 8851	
6. Item:	Item: 7. Description: 8. Part Number: 9. Eligibility: 10. Quantity: 11. Serial/Batch?							nber:	12. Status/Work:	
010	Actuator		69A321	N/A 1 3;				REPAIRED		
14. Certifi	e work specified has b les the items identified abo Approved design data and Non-approved design data	ove were manu I are in a cond	factured in conformiț	y to:	19. 🛭 14 CFR 4  Certifles that and described	3.9 Return t unless other i in Block 13 lations, part	o Service	ner regr	ulation specified in Block 13 ork identified in Block 12	
15. Authorized Signature: 16. Approval/Authorization No.:				20. Authorized Sig	-	21. Approval/Certificate No.: PW8RW813J				
17. Name (Typed or Printed):			18. Date (m	d y):		ame (Typed or Printed):		23. Date (m d y): Oct 12 2007		
				User/Installer	Responsibilities					
Where the Block 1, it Block 1.		ork in accord: nstaller ensure ot constitute in	ance with the national es that his/her airworth astallation certification	oes not automatically regulations of an airv hiness authority accep n. In all cases, aircraf	constitute authority t worthiness authority d ols parts/components/	lifferent thar assemblies fi	the airworthiness autho om the airworthiness au	rity of t thority	the country specified in of the country specified in succeeding the country specified in succeeding the country specified in succeeding the country specified in accordance with the	

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\*Installer must cross-check eligibility with applicable technical data.

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Figure 3-3. Sample FAA Form 8130-3 for Dual Release Approval for Return To Service

1. Approving National Aviation Authority/Country: FAA/United States		AUTH	AUTHORIZED RELEASE CERTIFICATE FAA FORM \$130-3, AIRWORTHINESS APPROVAL TAG								3. Form Tracking Number: 2004-1009		
7	zation Name and Address: ne's Repair Station,		mi sir vitari sir						5. W Num	ber:	er/Contract/Invoice		
6. Item:	7. Description:	8. Part Nu	8. Part Number: 9. Eligibility: * 10. Quantity: 11. Serial/Batch N				mber: 12. Status		Status/Work:				
001	Antenna	123	12342 N/A 1 AN-223-H					OVERHAULED					
14. Certiff	Certifies work speci is considered ready les the Items Identified abo Approved design data and Non-approved design data	for release to service ove were manufactured in	n conformity to:	rt 145 Appr	✓ 14 C Certifie and des Federal	mber EASA 1  CFR 43.9 Return t s that unless other	145-1	234.	ther reg 3, the w	ulation sp ork ident e with Ti	pecified in Block 13 tiffied in Block 12 tle 14, Code of		
15. Authorized Signature: 16. Approval/Authorization No.:				20. Authorized Signature: A. Inspectar					21. Approval/Certificate No.: OC2R025L				
17. Name	(Typed or Printed):	1	18. Date (m d y):		22. Name (Typed or Printed):					23. Date (m d y):			
						A. Inspector				Oct 13 2005			
			User/I	nstaller Res	onsibili	ties							
Where the Block 1, it Block 1. Statement	tant to understand that the user/installer performs w is essential that the user/in s in Blocks 14 and 19 do ne egulations by the user/inst	ork in accordance with t installer ensures that his/ or constitute installation	the national regulations her airworthiness autho certification. In all case	of an airworthi rity accepts par	ness authorts/compor	ority different than nents/assemblies f	n the a	irworthiness authore airworthiness au	ority of athority	of the co	untry specified in		

FAA Form 8130-3 (6-01)

\*Installer must cross-check eligibility with applicable technical data.

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### 3-3. Approval for Return To Service — Products and Articles.

- **a.** Products and articles may be inspected and approved for return to service by persons described in paragraph 3-1a of this order. Issuance of FAA Form 8130-3 for this purpose is optional, but the FAA recommends its use. This will help aviation authorities and the industry to ensure complete traceability and ease the movement of products and articles through the aviation system. When used for this purpose, an air agency or air carrier must accomplish the inspection. FAA Form 8130-3 can be used for this purpose, provided the applicable recordkeeping requirements of §§ 43.9, 91.417, 121.380, and 135.439 are met and the quality system includes the following:
- (1) Traceability to an FAA-approved source of manufacture of new products and articles.
- (2) Monitoring of the current status of the product and article in relation to shelf life and AD compliance. Each functional test/inspection must be performed in accordance with the standards set forth by § 43.13.

(3) Provisions for the retention of all records that may be necessary as part of the airworthiness documentation required by either 14 CFR part 21, 43, 91, 121, 135, or 145 for approval for return to service (for example, AD compliance).

- (4) Provisions for documentation (FAA Form 8130-3, Block 13 or an attachment) that clearly states the process used to determine airworthiness, including each reference to invoices, manufacturer maintenance manuals, or other instructions for continued airworthiness and FAA-approved/acceptable technical data. Attachments should include the form tracking number of the corresponding FAA Form 8130-3.
- **b.** In all cases, FAA Form 8130-3 must be signed by the appropriately authorized representative of an FAA-approved air agency, air carrier, or PAH.
- **c.** European CAAs may recognize an approval for return-to-service FAA Form 8130-3 only from 14 CFR part 145 repair stations or air carriers that also obtained an EASA part 145 approval appropriately rated for the product or article at the time the product or article was approved for return to service. If a dual release is being applied to FAA Form 8130-3 to satisfy a European CAA or EASA, the air agency, U.S. air carrier, or FAA approval/certification number must be entered in Block 21, along with the following statement in Block 13: "Certifies that the work specified in Blocks 12/13 was carried out in accordance with EASA part 145 and, with respect to that work, the component is considered ready for release to service under EASA part 145 Approval Number [insert number: EASA 145-XXX]." In addition, both boxes in Block 19 must be checked.

## 3-4. Issuance of FAA Form 8130-3 for Used Products and Articles Removed from a U.S.-Certificated Aircraft for Installation on Another U.S.-Certificated Aircraft.

- **a.** FAA Form 8130-3 may be issued for approval for return to service of those products and articles removed from a U.S.-certificated aircraft (under an operating certificate in accordance with part 121 or part 135) for use on another aircraft operated under the same air carrier certificate. The removal and installation of products and articles must be accomplished in accordance with the air carrier's approved maintenance program or other acceptable methods, techniques, and practices; or FAA-approved/accepted data that is acceptable to the air carrier's approved maintenance program. The use of FAA Form 8130-3 for this purpose is optional.
- **b.** Those products and articles removed from a U.S.-certificated aircraft other than those referenced in paragraph 3-4a must have an airworthiness determination made in accordance with § 43.13(a) and (b) by an FAA-approved air agency or U.S. air carrier. This also includes compliance with applicable ADs, modification status, and total time/cycles for those products and articles as required by §§ 91.417, 121.380, and 135.439. The use of FAA Form 8130-3 for this purpose is optional, but the FAA recommends its use.

- 3-5. Block-By-Block Instructions for Completing FAA Form 8130-3 for Approval for Return To Service.
- **a. Block 1. Approving National Aviation Authority/Country.** FAA/United States. (Preprinted.)
- b. Block 2. Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag. (Preprinted.)
- **c. Block 3. FAA Form Tracking Number.** Enter the number established by the numbering system. (Refer to paragraph 3-1j of this order.)
- **d. Block 4. Organization Name and Address.** Enter the full name and physical address (no post office box numbers) of the organization or facility for which the form is being issued, and the facility's certificate number (for example, certificate No. LI1R 123K or X9MA123H), as appropriate. A logo or other identification of the organization is permitted if it can be contained within the block.

### e. Block 5. Work Order/Contract/Invoice Number.

(1) Fill in the work order number, contract number, and/or invoice number related to
the shipment list, or maintenance release authorization number, and state the number of pages
attached to the form, including dates, if applicable. If the shipment list contains the information
required in Blocks 6 through 12, the respective blocks may be left blank if an original or true
copy of the list is attached to the form. In this case, the following statement must be entered in
Block 13: "This is the certification statement for the products and articles listed on the attached
document dated, containing pages through" In addition, the
shipping list must cross-reference the form tracking number located in Block 3. (Refer to
figure 2-3 of this order.)

- (2) If a work order/contract/invoice number is not available, enter "N/A."
- **f. Block 6. Item.** When FAA Form 8130-3 is issued, a single item number or multiple item numbers (for example, same item with different serial numbers) may be used for the same part number. Multiple items must be numbered in sequence, although not necessarily beginning with the number one (for example, 0040, 0050, 0062, 0063). If a separate listing is used, enter "List Attached" (refer to paragraph 3-5e of this order for further instructions).
- **g. Block 7. Description.** Enter the name or description of the product or article as referenced in a part catalog or overhaul manual. For PAHs that rebuild products or articles in accordance with § 43.3(j), preference should be given to the term used in the instructions for continued airworthiness or maintenance data (for example, illustrated parts catalog or aircraft maintenance manual).
- **h. Block 8. Part Number.** Enter each part number of the product or article. In case of an aircraft engine or propeller, the model designation may be used. If the article being worked is a

subassembly that does not have a part number of its own, enter the next higher assembly number followed by the word "subassembly."

- i. Block 9. Eligibility. Enter "N/A."
- j. Block 10. Quantity. Enter the quantity of each product or article shipped.
- **k.** Block 11. Serial/Batch Number. If the product or article is required by part 45 to be identified with a serial number, enter it here. Additionally, any other serial number not required by regulation also may be entered. If no serial number is entered in this block, enter "N/A."
- **l. Block 12. Status/Work.** The following table describes what to enter in a specific situation. Only one term may be entered in Block 12, which should reflect the majority of the work performed by the organization. The use of upper or lower case in this block does not matter.

Enter—	For—
"Overhauled"	A process that ensures the product or article is in complete conformity with the applicable service tolerances specified in the type certificate holder's or equipment manufacturer's instructions for continued airworthiness, or in the data approved or accepted by the authority. The product or article will be at least disassembled, cleaned, inspected, repaired as necessary, reassembled, and tested in accordance with the approved or accepted data.
"See Block 13"	Products or articles rebuilt or altered by authorized PAHs in accordance with § 43.3(j). Refer to paragraph 3-5m(3).
"Repaired"	Repair of defect(s) using an applicable standard.
"Inspected" or "Tested"	Examination or measurement in accordance with an applicable standard (for example, visual inspection, functional testing, or bench testing).
"Modified"	Alteration of a product or article to conform to an applicable standard.

**NOTE:** The applicable standard must be described in Block 13.

- **m.** Block 13. Remarks. The use of upper or lower case in this block does not matter.
- (1) Describe the work identified in Block 12 and associated results necessary for the user or installer to determine the airworthiness of the product or article in relation to the work being certified. This can be done either directly or by reference to supporting documentation. If necessary, a separate sheet may be used and referenced from the main FAA Form 8130-3. Each statement must clearly identify which product or article in Block 6 it relates to.
- (2) Below are examples of conditions that could necessitate a statement in this block. These statements may or may not be appropriate depending on the form's purpose.

(a) Data required by § 43.9, including the reference and revision status. If other documents such as work orders, shop travelers, or FAA Form 337, Major Repair and Alteration (Airframe, Powerplant, Propeller, or Appliance), are used by the certificate holder to comply with §§ 43.9 and 43.11, they must be specifically referenced in this block.

- (b) Compliance with ADs or service bulletins.
- (c) Repairs carried out.
- (d) Modifications carried out.
- (e) Replacement articles installed.
- (f) Life-limited parts status (for example, total time, total cycles, time since new).
- (g) Deviations from the customer work order.
- (h) Release statements to satisfy a CAA maintenance requirement.
- (i) Information needed to support shipment with shortages or re-assembly after delivery.
  - **NOTE:** Examples in paragraph 3-5m(2)(h) show the possibility of dual release against both 14 CFR part 43 and another CAA's maintenance requirement or the single release by a part 145-approved maintenance facility against a CAA maintenance requirement. However, care should be taken to check the relevant box(es) in Block 19 to validate the release. A dual release requires the approved data to be approved/accepted by both the FAA and appropriate CAA.
- (3) When an authorized person completes Blocks 19 through 23 for the purpose of rebuilding or altering a product they hold the approval for in accordance with § 43.3(j), the term "SEE BLOCK 13" will be entered in Block 12, and one of the following statements will be entered in Block 13: "REBUILT TO ORIGINAL PAH'S SPECIFICATIONS" or "ALTERED TO ORIGINAL PAH'S SPECIFICATIONS."
- **n.** Blocks 14 through 18. Shade, darken, or otherwise mark to preclude inadvertent or unauthorized use.
- **o. Block 19. Approval for Return to Service.** Mark the appropriate box(es) indicating which regulations apply to the completed work. If the box "Other regulations specified in Block 13" is marked, then the regulations of the other CAA(s) must be identified in Block 13. At least the left box must be marked, or both boxes may be marked, as appropriate.
- (1) The regulations of the other CAA must be specifically identified in Block 13. The completed work can be accomplished in accordance with the regulations of the FAA, or the regulations of the FAA and another CAA. The data used to complete the work must be clearly stated in Block 13 or attached to the form and the attachment identified in Block 13. If the work has been done in accordance with both the regulations of the FAA and another CAA, both boxes

must be checked. (Refer to paragraph 3-2a(3) of this order for dual release instructions.) Attachments should include the form tracking number of the corresponding FAA Form 8130-3.

- (2) The phrase "REBUILT (ALTERED OR INSPECTED) TO ORIGINAL PAH'S SPECIFICATIONS" will be entered in Block 13 when a PAH rebuilds, alters, or inspects their product in accordance with § 43.3(j) or § 43.7(d).
- **p. Block 20. Authorized Signature.** This space will be completed with the signature of the authorized person. Only persons specifically authorized are permitted to sign this block. The approval signature must be applied at the time and place of issuance and manually applied, except as provided in paragraph 3-1k of this order.
- **q. Block 21. Approval/Certificate No.** Enter the PAH, air agency, or air carrier certificate number (for example, OTWR165K).
- **r. Block 22. Name.** Enter the typed or printed name of the authorized representative whose signature appears in Block 20.
- **s. Block 23. Date (m d y).** The date to be entered in Block 23 for approval for return to service will be the date on which the original work was completed (refer to § 43.9). The date must be in the following format: first three letters of the month, two-digit day, and four-digit year, for example, Feb 03 2008. This does not need to be the same as the printing or shipping date, which may occur later. The use or omission of slashes, hyphens, or spaces in the date does not matter.
- **3-6.** Lost FAA Form 8130-3 Issued for an Approval for Return To Service. If a copy of an FAA Form 8130-3 is requested, a file copy of the original form may be provided by an authorized person, if available.
- **3-7. Reissuance of FAA Form 8130-3 Because of Typographical Errors on the Original.** The original issuer may reissue FAA Form 8130-3 if there are typographical errors on the original.
- **a.** The recipient must provide a written statement and a copy of the incorrect FAA Form 8130-3 to the original issuer that indicates the errors.
- **b.** Once these actions are taken, the copy of the original form should be reviewed to determine validity of the errors. If the errors are valid, a corrected form may be issued and the words "THIS FORM 8130-3 REPLACES FORM 8130-3 WITH FORM TRACKING NUMBER [insert number], DATED [enter original issuance date]" must be typed in Block 13. The replacement form must have an original signature and the date the signature was applied. The erroneous form must be marked as such. Both forms should be retained according to the retention period associated with the original form.

# Chapter 4. Export Airworthiness Approvals of Aircraft Engines, Propellers, or Articles

## 4-1. General Information on Export Airworthiness Approvals.

- **a.** Part 21, subpart L contains the procedural requirements for application for and issuance of export airworthiness approvals for aircraft engines, propellers and articles. An FAA ASI or an authorized designee/delegation may perform the export function to determine whether the products/articles conform to the FAA-approved design data, and to determine whether the importing country or jurisdiction requires any special conditions and that the products are in a condition for safe operation. If the PAH knows that the product/article will be installed on a non-U.S.-registered aircraft, or on an aircraft registered in a country where the CAA requires an export airworthiness approval, then the approval should be accomplished regardless of the aircraft's location. FAA Form 8130-3 does not constitute approval to install a product or article on a particular aircraft, aircraft engine, or propeller.
- **b.** The country or jurisdiction of import may have a requirement that the FAA certify that the exported product/article conforms to that country's or jurisdiction's CAA-approved design approval. This is similar to the requirement placed on a CAA to certify that products/articles exported to the United States meet the FAA-approved type design in accordance with 14 CFR part 21, subpart N, Acceptance of Aircraft Engines, Propellers, and Articles for Import. The check in Block 14 ("Approved design data and are in a condition for safe operation") indicates that the product/article meets the CAA- and FAA-approved design and is in a condition for safe operation.
- (1) It is the applicant's responsibility to meet the special import requirements of the country or jurisdiction to which the product/article is being shipped. In addition, it is the applicant's responsibility to obtain sufficient data that verifies the product/article being exported conforms to the importing country's or jurisdiction's design approval (if any) and any special import requirements. When an applicant notifies the FAA that a product/article does not meet the requirements of the importing country or jurisdiction, the FAA must then obtain a written statement from the CAA of the importing country or jurisdiction signifying its acceptance. Requests for acceptance of these products/articles to the CAA of the importing country or jurisdiction should be transmitted to and received from authority to authority. The FAA must receive a written statement of acceptance from the CAA of the importing country or jurisdiction before export. All noncompliances to the CAA-approved design must be noted in Block 13, Remarks, of FAA Form 8130-3.
- (2) The requirements for a specific country or jurisdiction may be found in one or both of the following: (1) a bilateral agreement or (2) a specific document submitted to the FAA for publication that contains import requirements. The FAA Web site http://www.faa.gov/aircraft/air\_cert/international contains a listing of the bilateral agreements as well as a listing of requirements submitted to the FAA by importing countries and jurisdictions. Refer to appendix 2 to AC 21-2, Export Airworthiness Approval Procedures, Special Requirements of Importing Countries, now maintained online at http://www.faa.gov/aircraft/air\_cert/international/export\_aw\_proc/sp\_req\_import/.

(3) If the country or jurisdiction to which a product or article is exported does not have a bilateral agreement with the United States, or has not stated any special import requirements, FAA Form 8130-3 may still be issued as an export approval. In this case, Block 13 should indicate "Export airworthiness approval. No special import requirements for [enter name of country or jurisdiction] stated at time of issuance."

- (4) If a statement is requested by the country or jurisdiction of import to document that country's or jurisdiction's design approval data, and no such corresponding design approval data is available, a statement to that effect must be written in Block 13.
- (5) If a written statement of acceptance has been received from the importing CAA regarding noncompliance to its approved design, a copy of this written statement of acceptance must be included with FAA Form 8130-3 to meet § 21.331.
- (6) The following instructions are to be followed before issuing an export airworthiness approval:
- (a) Review. When a written application is required, part II of FAA Form 8130-1, Application for Export Certificate of Airworthiness (FAA Form 8130-1), must be reviewed to determine its accuracy and the validity of the eligibility of the product or article being submitted for FAA export approval. Designees will maintain records of the inspection and issuance or denial of FAA Form 8130-3. These records must be made available for review and evaluation as requested by FAA personnel. FAA Form 8130-1 may be documented electronically instead of formally populating, printing, signing, and retaining it in the paper format.
- (b) Inspection. When the application is determined acceptable, the product or article must be inspected to the extent necessary to ensure that it conforms to the FAA-approved design data, is in a condition for safe operation, is properly identified, and meets any design or special requirements of the importing country or jurisdiction. The FAA managing office must make the determination of whether an FAA Form 8100-1 has to be completed for each FAA Form 8130-3 issued for export based on the PAH's quality system's health and/or the designee's previous history, experience, or performance, or if the information can be stored and retrieved in another format (for example, electronic database). If required by the FAA managing office responsible for the designee/designee organization, each designee authorized to issue approvals for export will document the inspection results on FAA Form 8100-1 for periodic review and evaluation by the FAA.
- 1) When documenting the "nomenclature of item inspected" in Block 9 of the FAA Form 8100-1, also include the form tracking number (Block 3) and item number (Block 6) from the FAA Form 8130-3 completed for the product export airworthiness approval.
- 2) When applicable, FAA Form 8100-1 must include the results of the inspection, date of issuance, country of destination, description of product, and manufacturer's invoice or shipping document number.
- (c) In the case where a product or article is presented for inspection for the issuance of FAA Form 8130-3, and the product or article is sealed in a package that does not afford a visible inspection, the authorized person must request to see the objective evidence to

determine that the appropriate inspections were conducted and approved before the issuance of FAA Form 8130-3.

- **c.** Splitting of previously exported bulk shipments by a PAH or a PAH's associate facility is not within the control or jurisdiction of the FAA. Therefore, once products or articles are exported, those items would be under the control or jurisdiction of the receiving authority.
- **d.** FAA Form 8130-3 may be issued for products or articles outside the United States if the FAA finds no undue burden in administering the applicable requirements in accordance with § 21.325(c).
- **e.** FAA Form 8130-3 must be completed as described in paragraph 4-5 of this order. Samples of an FAA Form 8130-3 for export airworthiness approval are found in figures 4-1, 4-2, and 4-3 of this order.
- **f.** FAA Form 8130-3 must be correlated with the shipment. Additional copies of the original FAA Form 8130-3 may be provided upon request.
- **g.** If FAA Form 8130-3 is issued as an export airworthiness approval of a new product or article, the issuer should retain a copy of FAA Form 8130-3 for no less than 5 years.
- **h.** The copies of FAA Form 8100-1 and FAA Form 8130-3 may be retained in their original paper format or in a secure database, provided the database contains all of the information required on FAA Form 8130-3. An acceptable means of compliance is provided in AC 21-35 (or AC 21-43 upon issuance) or AC 120-78 (when applicable), and is available for FAA review upon request. Duplicates of FAA Form 8130-3, including signatures retained in a database, do not need to be graphic images of the original documents.
- **i.** Unique identification is required to enable or provide product or article traceability. The preferred method is a unique form tracking number in Block 3. However, if traceability is provided through other information on the form combined with a number in Block 3, this is also acceptable.
- **j.** The signature of the person authorized to issue FAA Form 8130-3 may be applied electronically to Block 15. With exception of paragraphs 4-6 and 4-7b, at the time the signature is authorized to be placed on FAA Form 8130-3, the person whose signature appears on the form must have direct access to the product or article to verify that it conforms to FAA-approved design data and is in a condition for safe operation, or that any special conditions required by the importing country or jurisdiction are met.
- **k.** An FAA Form 8130-3 issued subsequent to the original finding of airworthiness is considered a recurrent airworthiness approval, for example, a PMA or TSO authorization article that left the PAH's quality/inspection system and is being presented for export.

Figure 4-1. Sample FAA Form 8130-3 for Export Airworthiness Approval

1. Approving National Aviation Authority/Country: 2.									3	3. Form Tracking Number:			
FAA/United States AUTHORIZED RELEASE CERTIFICATE FAA Form \$130-3, AIRWORTHINESS APPROVAL TAG											BE5432987		
4. Organi	zation Name and Address:										5. Work C Number:	Order/Contract/Invoice	
Dave'	s Aircraft Parts Manuf	acturing, 2010	Falcon Way,	Somewhere, C	K (PO541	0SW	)			1	vuiliber.	WO 2185	
6. Item:	7. Description:		Number:	9. Eligibi	$\overline{}$	10.	,	11.	Serial/Batch N	iumbe	er: 12.	. Status/Work:	
1	Flap	C :	54321	N/A			1		9876543		NEW		
13. Ren	13. Remarks:  Export Airworthiness Approval: This part meets the special requirements of the (enter the name of country).												
14. Certifi	ies the items identified above	were manufacture	d in conformity to	:	19. 🔲 14	CFR 4	3.9 Return to	Servic	е 🗆	Other	regulation	on specified in Block 13	
	Approved design data and ar Non-approved design data sp				and de	scribed I Regu	d in Block 13 dations, part	was acc	complished in a	ccord	dance with	identified in Block 12 th Title 14, Code of tems are approved for	
15. Autho	rized Signature:		16. Approval/A	uthorization No.:	20. Authori	zed Si	gnature:				21. Appr	oroval/Certificate No.:	
A. 900	rpector		DMIR-0	00011-SW									
17. Name	(Typed or Printed):		18. Date (m d y	):	22. Name (	Typed	or Printed):				23. Date	e (m d y):	
A. Inspe	A. Inspector Oct 23 2007												
User/Installer Responsibilities													
It is impor	It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.												
Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1.													
	Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.												

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\*Installer must cross-check eligibility with applicable technical data.

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- **4-2.** New Products and Articles. Export airworthiness approvals for aircraft engines, propellers, and articles are issued in accordance with § 21.331.
- **4-3. Used Products.** Used products or articles are not eligible for an export airworthiness approval unless the importing country's or jurisdiction's CAA specifically agrees to accept such used products in accordance with § 21.331(d). Requests for acceptance of these used products to the importing country's CAA should be transmitted to and received from authority to authority.
- **4-4. PMA Articles.** The following applies when exporting PMA articles using FAA Form 8130-3:
- **a.** Various bilateral agreements with countries have specific additional requirements for the acceptance of U.S. PMA articles into those countries. The applicable bilateral agreement should be reviewed for the specific provisions associated with PMA articles.
- **b.** When a particular bilateral agreement requires such a specific provision for PMA articles, statements must be entered in Block 13, if applicable.

**c.** The determination of a PMA article's criticality, as required to be entered in Block 13 when exported to European countries, can only be determined by the actual design approval holder (that is, the FAA-PMA holder).

**d.** The text of all bilateral agreements can be found at http://www.faa.gov/aircraft/air\_cert/international/bilateral\_agreements.

Figure 4-2. Sample FAA Form 8130-3 for Export Airworthiness Approval for a New Subcomponent for a TSO Authorization Article

	ing National Aviation hority/Country:							3. 1	orm Trac	cking Number:	
FAA/United States AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG									ACE 2345		
	zation Name and Address:	224 Wiley Post Drive, Okla	homa City. OK	(PT0906SW)					nber:	er/Contract/Invoice	
6. Item:	7. Description:	8. Part Number:	9. Eligib		Quantity:	11.	Serial/Batch	Number	WO 2020 imber: 12. Status		
1949						11.		rumber.	14.	0.000.000.000	
1	Gimbal Ring	RI 4586	N/A		1		N/A			NEW	
14. Certiff	es the items identified above	a subcomponent of a TSO a were manufactured in conformity to te in a condition for safe operation. secified in Block 13.		and described	unless other in Block 13 lations, part	wise sp was ac	ecified in Blo complished in	ck 13, the v	vork iden ce with Ti	pecified in Block 13 tiffed in Block 12 de 14, Code of are approved for	
	1 101 4	14.1	41 - 1 - 41 - NT					100		10.00.00	
A. Tuc	rized Signature: <i>Spector</i>		authorization No.:	20. Authorized Sig	gnature:			21	Approv	al/Certificate No.:	
17. Name	(Typed or Printed):	18. Date (m d y	):	22. Name (Typed	22. Name (Typed or Printed): 23. Date (m d y):			d y):			
A. Inspe	ector	Oct 25 2	2007								
			User/Installer	Responsibilities							
It is impor	tant to understand that the e	xistence of this document alone does	not automatically	onstitute authority t	o install the	part/co	mponent/asse	mbly.			
Where the	user/installer performs work	in accordance with the national reg dler ensures that his/her airworthin	gulations of an airw	orthiness authority d	lifferent than	the ai	worthiness a	uthority of			
		onstitute installation certification. I r before the aircraft may be flown.	n all cases, aircraft	maintenance record	s must conta	in an i	stallation cer	tification i	ssued in a	ccordance with the	

# 4-5. Block-By-Block Instructions for Completing FAA Form 8130-3 for Export Airworthiness Approvals.

\*Installer must cross-check eligibility with applicable technical data.

FAA Form 8130-3 (6-01)

**a. Block 1. Approving National Aviation Authority/Country.** FAA/United States. (Preprinted.)

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b. Block 2. Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag. (Preprinted.)

**c. Block 3. FAA Form Tracking Number.** Enter the number established by the numbering system. (Refer to paragraph 4-1i of this order.)

## d. Block 4. Organization Name and Address.

- (1) Enter the full name and physical address (no post office box numbers) of the organization or facility for which the form is being issued, and the facility's certificate number (for example, certificate No. LI1R 123K or X9MA123H), as appropriate. A logo or other identification of the organization is permitted if it can be contained within the block.
- (2) When a supplier has direct shipment authorization from a PAH, the following information must be entered:
  - (a) PAH name and address.
  - (b) Supplier name and address.
- (c) PAH certificate or project number (for example, certificate No. PC 700 or PQ0123CE). If the supplier is unsure what number to use, consult the PAH for assistance.
- (3) If a supplier produces a product or article as a replacement product or article, the supplier must either have direct shipment authorization or hold a production approval (PMA/TSO authorization) for each replacement product or article shipped. If the supplier holds its own production approval, and the products or articles were manufactured and are being shipped under that approval, the information required in paragraph 4-5d(1) must be listed.

## e. Block 5. Work Order/Contract/Invoice Number.

- (1) Fill in the work order number, contract number, and/or invoice number related to the shipment list, or maintenance release authorization number, and state the number of pages attached to the form, including dates, if applicable. If the shipment list contains the information required in Blocks 6 through 12, the respective blocks may be left blank if an original or true copy of the list is attached to the form. In this case, the following statement must be entered in Block 13: "This is the certification statement for the products or articles listed on the attached document dated \_\_\_\_\_\_, containing pages \_\_\_\_\_ through \_\_\_\_\_." (Refer to figure 2-3 of this order.)
- (2) In addition, the shipment list must cross-reference the form tracking number located in Block 3. The shipment list may contain more than one item, but it is the responsibility of the shipper to determine whether the CAA of the importing country or jurisdiction will accept bulk shipments under a single FAA Form 8130-3. If the CAA does not permit bulk shipments under a single form, Blocks 6 through 12 of each form must be filled in for each product or article shipped.
  - (3) If work order/contract/invoice number is not available, enter "N/A."

**f. Block 6. Item.** When FAA Form 8130-3 is issued, a single item number or multiple item numbers (for example, same item with different serial numbers) may be used for the same part number. Multiple items must be numbered in sequence, although not necessarily beginning with the number one (for example, 0040, 0050, 0062, 0063). If a separate listing is used, enter "List Attached" (refer to paragraph 4-5e of this order for further instructions).

- **g. Block 7. Description.** Enter the name or description of the product or article as shown on the design data.
  - h. Block 8. Part Number. Enter each part number of the product or article.
  - i. Block 9. Eligibility. Enter "N/A."
  - **j. Block 10. Quantity.** Enter the quantity of each product or article shipped.
- **k. Block 11. Serial/Batch Number.** If the product or article is required by part 45 to be identified with a serial number, enter it here. Additionally, any other serial number not required by regulation also may be entered. If no serial number is entered in this block, enter "N/A."
- **l. Block 12. Status/Work.** The following table describes what to enter in a specific situation. Only one term may be entered in Block 12, which should reflect the majority of the work performed. The use of upper or lower case in this block does not matter.

Enter—	For—
"New"	The production of a new product or article in conformity with the approved design data.
"Overhauled"	Products or articles overhauled in accordance with § 43.2. Approved design data refers to instructions for continued airworthiness that may be supplied by the holder of the design approval for the product or article in accordance with § 21.50. For overhauled products or articles, FAA-approved/accepted data may have been developed to accomplish the maintenance function to comply with § 21.50.
"Prototype"	The production of a new product or article in conformity with non-approved design data to support type certification programs.
"Inspected" "Repaired" or "Modified"	Other situations, as appropriate.

**NOTE:** The term "Inspected" includes testing of products and articles.

- m. Block 13. Remarks. The use of upper or lower case in this block does not matter.
- (1) State any information in this block, either directly or by reference to supporting documentation, necessary for the user or installer to determine the airworthiness of the product or article. Bilateral agreements may require certain statements to be added to the export form; those statements should be entered in this block. If necessary, a separate sheet may be used and referenced from the main FAA Form 8130-3. Each statement must be clearly identified as to the product or article in Block 6 to which it relates. If there is no statement, state "none."
- (2) Below are examples of conditions that could necessitate a statement in this block. These statements may or may not be appropriate depending on the form's purpose.
- (a) "Prototype products (articles) pending certification under FAA project number [enter number] and are not eligible for installation on in-service, type-certificated aircraft. Upon approval of the design data, the product(s)/article(s) listed above are considered new, conform with approved design data, and are in a condition for safe operation without further showing." Block 14 will be marked as "Non-approved design data specified in Block 13."
- (b) When a new FAA Form 8130-3 is issued to correct errors, the following statement must be entered: "This FAA Form 8130-3 corrects the error(s) in block(s) [enter block numbers corrected] of the FAA Form 8130-3 [enter original form tracking number] dated [enter original issuance date] and does not cover conformity/condition/release to service."
- (c) Attachments when used. Attachments should include the form tracking number of the corresponding FAA Form 8130-3.
  - (d) For TSO articles, enter the applicable TSO number.
- (e) Information on life-limited parts (for example, total time, total cycles, time since new).
  - (f) Shelf-life data.
- (g) Shortages or outstanding work, for example, missing parts on an assembly or reassembly after shipment.
  - (h) Any data not appropriate in other blocks.
- (3) When used by authorized suppliers with properly documented direct shipment authorization from the PAH, the words "DIRECT SHIPMENT AUTHORIZATION" must be entered in Block 13, and the information from paragraph 4-5d(2) of this order must be entered in Block 4. (Refer to figure 4-3 of this order.)
- (4) When used for export approval for used products and articles returned to service based on the requirements of 14 CFR part 43, the words "USED (PRODUCT/ARTICLE), SHIPPED PER CAA STATEMENT OF ACCEPTANCE FOR USED (PRODUCT/ARTICLE)" must be entered. Refer to paragraph 4-3, which stipulates that the importing authority must

submit a written statement accepting used products and articles. Refer to applicable bilateral agreements.

- (5) If a written statement of acceptance has been received from the importing CAA regarding a noncompliance to its approved design, the noncompliance to the CAA-approved design must be entered in Block 13.
- (6) When used for an export for a new subcomponent of a PMA/TSO authorization article higher assembly, complete FAA Form 8130-3 with the subcomponent information, and enter a statement in Block 13 indicating that the part or article is a subcomponent of a PMA or TSO authorization (for example, "This part is a subcomponent of a PMA/TSO authorization"). (Refer to figure 4-2 of this order.)
- (7) If a statement is requested by the country to which the product or article is being exported that documents that country's design approval data, and no such corresponding design approval data is available, a statement to that effect must be written in Block 13.
- (8) If the PAH holds the type design data for replacement articles produced under an STC, "Produced by the STC design approval holder" must be entered in Block 13.
- (9) If the issuer has found that the product or article meets the special import requirements of the importing country or jurisdiction, Block 13 should indicate: "Export airworthiness approval— this article meets the special requirements of (enter country)." If the importing country or jurisdiction does not have special import requirements applicable to the product or article, then the statement does not need to be included. The finding of compliance with a particular country's or jurisdiction's special import requirements should not be interpreted to preclude export or re-export to another country, but the exporter/re-exporter should confirm compliance with the special import requirements of the destination country or jurisdiction.
- (10) For exported aircraft engines or propellers, include total time and, if applicable, time since overhauled.

Figure 4-3. Sample FAA Form 8130-3 for a Direct Shipment Authorization for Export

1. Approving National Aviation Authority/Country: FAA/United States  AUTHORIZED RELEASE CERTIFICATE FAA Form \$130-3, AIRWORTHINESS APPROVAL TAG							100 and 100 an	3. Form Tracking Number: 991004327		
4. Organi	ization Name and Address:								Work Ord nber:	ler/Contract/Invoice
Every	body's Aircraft Sup	ply Co., 810 R	ed Baron Wa	y, Anywhere, Ol	74032			Nu		VO 2020
6. Item:	7. Description:	8. Pa	rt Number:	9. Eligil	ility: *	10. Quantity:	11. Serial/I	Batch Number:	12.	Status/Work:
1	Wing Tip	AE	637781-1	N/A	A	5 ea.	N	I/A		New
14. Certif	DIRECT SHIPA fies the items identified abo Approved design data and Non-approved design data	IENT AUTHOF	NZATION  red in conformit  for safe operatio		19. 🔲 1 Certi	4 CFR 43.9 Return to fies that unless other lescribed in Block 13 ral Regulations, part	o Service wise specified in was accomplish	Other re	gulation s work iden ce with Ti	pecified in Block 13 tified in Block 12 itle 14, Code of
	orized Signature:		• • •	Al/Authorization No.: R-00243-CE		n to service. rized Signature:		21	. Approv	al/Certificate No.:
17. Name (Typed or Printed):  A. Inspector  Apr 13 2008					22. Name (Typed or Printed): 23. Date (m d y):					
				User/Installer	Responsib	ilities				
Where the Block 1, it Block 1.	rtant to understand that th e user/installer performs w is essential that the user/i ts in Blocks 14 and 19 do n egulations by the user/inst	ork in accordance ustaller ensures tha ot constitute install	with the national t his/her airwort ation certification	regulations of an airv hiness authority accep n. In all cases, aircraf	vorthiness au ets parts/com	thority different than ponents/assemblies fr	the airworthin om the airworth	ess authority of hiness authority	of the co	ountry specified in

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\*Installer must cross-check eligibility with applicable technical data

NSN: 0052-00-012-9005

- **n. Block 14. Airworthiness Approval.** Place a check in the "Approved design data and are in a condition for safe operation" box if the products and articles were manufactured using FAA-approved design data and found to be in a condition for safe operation. Checking this box and signing Block 15 means that the products and articles listed on the form meet the FAA-approved design data, are in a condition for safe operation, and, in the case of export, meet the importing country's or jurisdiction's design approval and meet the special requirements of that importing country or jurisdiction. Also, if "OVERHAULED" is identified in Block 13, check this box.
- **o. Block 15. Authorized Signature.** This space will be completed with the signature of the authorized person. Only an FAA ASI, authorized designee, or person approved to sign under an authorized delegation are authorized to sign this block. An alternative to a handwritten signature (for example, a computer-generated signature) is permitted only when authorized by the FAA. The approval signature must be applied at the time and place of issuance and manually applied, except as provided in paragraph 4-1j of this order.
- **p.** Block 16. Approval/Authorization No. Enter the approval/authorization number of the authorized representative/organization identified in Block 15. If signed by an FAA inspector, the authorization number is the applicable office identifier.

**q. Block 17. Name.** Enter the typed or printed name of the authorized representative/organization whose signature appears in Block 15.

- **r.** Block 18. Date (m d y). The date must be in the following format: first three letters of the month, two-digit day, and four-digit year, for example, Feb 03 2008. This does not need to be the same as the printing or shipping date, which may occur later. The use or omission of slashes, hyphens, or spaces in the date does not matter.
- **s. Blocks 19 through 23.** Shade, darken, or otherwise mark to preclude inadvertent or unauthorized use.
- **4-6.** Lost FAA Form 8130-3 Issued for Export Airworthiness Approvals. If a copy of an FAA Form 8130-3 is requested, a file copy of the original form may be provided by an authorized person, if available.
- 4-7. Reissuance of FAA Form 8130-3 for Export Airworthiness Approvals.
  - a. Reissuance by PAH for Returned Products and Articles.
- (1) The new products and articles returned to a PAH may be eligible for a new FAA Form 8130-3 if—
- (a) The new products and articles were produced under the PAH's production approval.
- (b) The PAH maintains a procedure to accept products and articles back into their quality system.
- (c) Tests and inspections are performed in accordance with procedures contained in the PAH's quality system to determine that the returned product or article still meets the original type design it was produced under and still is in a condition for safe operation.
- (2) If the conditions in paragraphs 4-7a(1)(a) through (c) are met, a new FAA Form 8130-3 in accordance with chapter 4 of this order may be issued.
- (3) If the original FAA Form 8130-3 is returned with the products and articles, the issuer should retain that form on file with (or have reference to) the new FAA Form 8130-3.

## b. Reissuance Because of Typographical Errors on the Original.

(1) If the recipient finds an error(s) on an FAA Form 8130-3, the recipient must identify the error(s) in writing to the originator. The originator may issue a new FAA Form 8130-3 if the originator can verify and correct the error(s).

(2) The request for a new FAA Form 8130-3 may be honored without reverification of the product or article condition. The new FAA Form 8130-3 is not a statement of current condition and should refer to the previous FAA Form 8130-3 in Block 13 by the following statement: "This FAA Form 8130-3 corrects the error(s) in Block(s) [enter block number(s) corrected] of the FAA Form 8130-3 [enter original form tracking number] dated [enter original issuance date] and does not cover conformity/condition/release to service." The erroneous form must be marked as such. Both forms should be retained according to the retention period associated with the original form.

# Chapter 5. Electronic Use of the Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag

**5-1. Purpose of This Chapter.** This chapter provides guidance on the acceptance and use of the electronic exchange of FAA Form 8130-3, for those entities that elect to comply with the required standards and guidance that governs the use of such electronic documentation for aircraft products and articles.

## 5-2. Background on Electronic FAA Form 8130-3.

- **a.** The Government Paperwork Elimination Act (GPEA), Public Law 105-277, Title XVII, and the Electronic Signatures in Global and National Commerce Act (E-Sign), Public Law 106-229, encourage use of electronic signatures.
- **b.** Before the enactment of E-Sign on June 30, 2000, the regulations on signatures acknowledging satisfaction of manufacturing and maintenance requirements did not reflect current advances in information storage and retrieval technology. These earlier rules were developed when use of electronic media for the storage and retrieval of data was neither available to, nor contemplated by, the aviation industry or the FAA.
- **c.** As the complexity of aircraft design, manufacturing, and maintenance processes increased, the number of records and documents generated and required to be retained by aircraft manufacturers, owners, operators, and repair facilities expanded dramatically. Electronic information storage and retrieval systems have enhanced significantly the aviation industry's ability not only to meet FAA record-retention requirements, but also to manufacture, operate, and maintain today's highly complex aircraft and aircraft systems in a demanding operational environment.
- **d.** The Office of Management and Budget (OMB), Executive Office of the President, has issued OMB Circular A-130, Management of Federal Information Resources. OMB Circular A-130 directs the FAA and other Government agencies to recognize the limitations on electronic recordkeeping systems due to restrictions on the use of electronic signatures. The FAA recognizes this limitation and will now permit the use of electronic signatures on the electronic FAA Form 8130-3. Manufacturers, owners, operators, and maintenance personnel may now use complete electronic recordkeeping systems because the requirement to authenticate documents with non-electronic signatures has been eliminated. Such systems may be used to generate FAA Form 8130-3 that can be properly authenticated with an electronic signature.
- **e.** As a result of the above (GPEA, enactment of E-Sign, and OMB Circular A-130), the FAA and industry formed the Electronic Documentation Project Team (EDPT) to develop an industry specification to enable the electronic exchange of FAA Form 8130-3 for aircraft products and articles. The requirements contained in this chapter for the use of the electronic version of FAA Form 8130-3 and the specifications contained in Air Transport Association (ATA) Specification 2000 (Spec 2000), chapter 16, Electronic Product and Part Regulatory Documentation, is the direct result of the efforts put forth by that team. Not only the

requirements of FAA Form 8130-3 were developed, but corresponding forms used by other authorities (that is, EASA, Transport Canada Civil Aviation (TCCA), etc.) were considered.

- **f.** The use and acceptance of electronic FAA Form 8130-3 (and other corresponding EASA and TCCA forms) offers several distinct advantages over the current paper format:
- (1) Through the use of standard data semantics and structures contained in ATA Spec 2000, chapter 16, a higher degree of data reliability and consistency will be achieved.
- (2) Through adoption of common, widely available digital security technologies, it is considerably more difficult to forge or alter data without being detected, and the data can more easily be traced directly to the source.
- (3) Identifying a document signer (signatory) will be easier through the elimination of traceability difficulties associated with illegible handwritten entries and the deterioration of paper documents.
- (4) The frequency of lost, damaged, and unreadable documents can be significantly reduced.
- (5) The automated processes for generating, transmitting, and processing data will significantly reduce costly human errors.
- (6) The cost and difficulty to store, retrieve, and analyze information can be substantially reduced.

## 5-3. General Procedures for the Use of Electronic FAA Form 8130-3.

- **a.** The use of the electronic transfer FAA Form 8130-3 procedures is strictly voluntary when issuing FAA Form 8130-3 for its intended purpose as specified in chapters 2, 3, and 4 of this order. If authorized persons elect to implement the following procedures, it must be understood that both the issuer and recipient of the electronic form must comply with the procedures in this chapter. If for whatever reason the data recipient is unable to accept the electronic form, the issuance of the form must be in paper format in accordance with the appropriate chapter of this order.
- **b.** Those authorized persons who elect to issue the electronic FAA Form 8130-3 for products and articles must comply with the guidelines in this chapter, specific block-by-block instructions contained in chapters 2, 3, and 4 as appropriate, and the standardized set of data formats, data requirements (tables 16-2-1 and 16-2-2), business guidelines, and reference documents in the ATA Spec 2000, chapter 16. ATA Spec 2000, chapter 16 is available by contacting ATA at 202-626-4000, or via e-mail at ata@airlines.org.
- **c.** ATA Spec 2000, chapter 16 provides the specific extensible markup language (XML) as the standard format for the exchange of electronic FAA Form 8130-3 for products and articles. Chapter 16 also provides the minimum requirements for digital security when issuing and receiving the electronic FAA Form 8130-3 data and the set of tables describing the data elements used for the various uses for the form, including their Text Element Identifiers (TEIs).

**d.** The following is a brief description of the content of ATA Spec 2000, chapter 16:

(1) XML Implementation. XML implementation is the standard format to be used when developing the system to be used for electronic transfer. XML is the predominant technology for data interchange. XML has superior features to enable consistency in how information is described, a critical requirement for interoperability and system integration. As a result, XML is supported by many of the leading software applications, tools, and vendors. The primary XML component of this specification is a set of XML schemas. A schema is a template for the content and structure of an XML document. It describes the data elements used in a particular type of XML document (for example, part certification form), as well as their data types, whether they're mandatory or optional, the number of occurrences, and much more. By using a schema, a system can validate an XML file it receives to determine if it is compliant with the specification; if so, it can correctly interpret the contents of each data element. This capability makes it possible to completely automate processing of the data.

# (2) Digital Security.

- (a) As described above, an electronic data environment offers opportunities to enhance the integrity, reliability, and consistency of aircraft product and part documentation. However, without proper protection, the electronic environment can open up even more vulnerabilities for improper data handling (either intentional or unintentional) than a paper-based process. Fortunately, there are common, widely available digital security technologies and tools that can reduce this risk and often enable even a higher degree of trust in the quality and integrity of the data than in the paper world. The main objectives for digital security in this specification are to provide—
- 1) A high level of assurance that the person signing the data is who that person claims to be through a documented authentication system.
- 2) A high level of assurance that data has not been altered or corrupted once it's been signed.
  - 3) Traceability of data back to its source.
- (b) To achieve the above objectives, this specification employs a set of open, internationally accepted digital security standards through the use of the World Wide Web Consortium (W3C) XML signature recommendation. This standard consists of three components that must be implemented: digital certificate, digital signature, and public key infrastructure.
- (c) The application of a digital signature to the Electronic FAA Form 8130-3 is equivalent in all respects to the application of a handwritten signature in Blocks 15 or 20 of a printed FAA Form 8130-3 and the respective certifying statements to which those blocks apply. (Refer to figure 5-1a of this order.)
- (3) Implementation Guidelines. These guidelines are a list of specific requirements that must be implemented to be compliant with the specification.

**5-4. Data Requirements.** ATA Spec 2000, chapter 16 provides a set of tables describing the data elements used for the various uses of the electronic FAA Form 8130-3, including their TEIs, cardinality, usage requirements, and any special remarks. Complete details regarding each data element can be found in the ATA Common Support Data Dictionary that accompanies the specification. The data requirements table is the key element in developing an acceptable system for the electronic transfer of FAA Form 8130-3 for its intended purposes.

# 5-5. Use of the Electronic FAA Form 8130-3.

- **a.** Each time an electronic FAA Form 8130-3 is issued for a product or article (for example, new, export, conformity, or approval for return to service), a new electronic FAA Form 8130-3 must be generated by the issuer for each item. To maintain traceability for all other electronic FAA Form 8130-3s issued for a particular product or article, all available electronic FAA Form 8130-3s from prior transfers/returns to service should be attached as reference for historical purposes.
- (1) The digitally signed electronic FAA Form 8130-3 generated for a given transaction is considered to be the original document.
- (2) Unlike the original paper format of FAA Form 8130-3 that is manually signed by the issuer, the unaltered original electronic FAA Form 8130-3 may be transmitted multiple times and each transaction is considered to be an original document. These transmittals would only be used if the end user has lost the first data transaction or a typographical error was found (for whatever reason). When the data is sent again because the original data was lost or damaged after being transmitted to the end user, a new form tracking number would NOT be established. If data is resent because of a typographical error in the first transmission, a new form tracking number must be established for the data transmittal.
- (3) Any time a paper form is required to be printed from the electronic FAA Form 8130-3, the watermark "PRINTED FROM ELECTRONIC FILE" must be imprinted on the paper copy of the form and that copy would always be considered a copy of the original electronic FAA Form 8130-3. (Refer to figure 5-1b to this order.)
- **b.** A separate electronic FAA Form 8130-3 must be issued for each product or article part number. A quantity greater than one may be listed on the electronic FAA Form 8130-3 for the part number if it is not serialized in accordance with the applicable part 45. This order is not applicable if the product or article does not have a part number.
- **c.** A separate electronic FAA Form 8130-3 must be issued for each product or article identified with a serial number that is required to be applied to products and articles in accordance with part 45.
- **d.** The issuer of the electronic FAA Form 8130-3 must archive the digitally signed XML file, including any attached previous references, for a period no less than 5 years or the required time as stipulated in the record retention requirements for that organization.

**e.** The receiver of the electronic FAA Form 8130-3 must archive the digitally signed XML file, including any attached previous references, for a period no less than the required time as stipulated in the record retention requirements for that organization.

- **f.** One of the advantages of using an electronic FAA Form 8130-3 is the ability to more easily integrate data across various other systems, such as inventory, maintenance, or spare parts management. To facilitate this, it may be necessary to include additional information in the electronic format that is not necessary in the paper format, for example, adding a Manufacturer's CAGE/NCAGE Code in Block 13 to unambiguously identify the part. (Although CAGE/NCAGE Codes are not required by the FAA, these codes have been accepted in the commercial aviation industry as a standard means of identifying entities.)
- **g.** If the electronic system is acceptable between two trading partners, the FAA cannot require that a dual system be implemented for the same product or article. That is, for a given product or article, only one authorized release certificate is allowed, in either a paper or an electronic format. This is to protect the integrity of the data, because it would not be correct to have two original documents (paper and electronic) for the same item. If the electronic system is inoperative/ineffective for any reason, the paper format must be used until such time the electronic system can prove to be effective.
- **h.** The electronic implementation of FAA Form 8130-3 is the legal and official document that uses the data in the XML file, not the PDF/paper copy generated from the XML file. If a PDF/paper copy is generated from the XML file, it is permissible to have additional information when viewing that form as a paper copy or on a computer screen version. This information should appear in Block 13 (unless it is necessary to present it in another block to clarify the contents of that block) to provide information to the end user on the airworthiness of the product or article. Examples of additional information that may be on the electronic version and not required by the paper format as defined in chapters 2, 3, and 4 may be viewed in figures 5-1b, 5-2b, 5-3b, 5-4b, 5-5b, and 5-6b of this order. Please note that these figures are provided as examples only.

# **5-6. Specific Requirements Other Than Those Listed in ATA Spec 2000, Chapter 16.** When constructing an electronic FAA Form 8130-3 transfer system to meet the requirements in this order, the following must be considered and addressed in the organization's manual or in the directions for the operating system. This information must be made available to each individual responsible for using the operating system.

#### a. Security.

- (1) The electronic system should protect confidential information.
- (2) The system should provide a means to identify if the data has changed so that appropriate action may be taken.
- (3) A corresponding policy and management structure should support the computer hardware and software that delivers the information to establish each FAA Form 8130-3 for issuance, and the computer hardware and software to issue (receive) FAA Form 8130-3 for each product or article shipped.

**b. Operating Procedures.** Before introducing an electronic transfer system for FAA Form 8130-3 for products or articles shipped, procedures must be established, incorporated, and maintained for the operating system to include the following:

- (1) Procedures describing how companies will effectively implement these procedures with their trading partners.
  - **NOTE:** If authorized persons elect to implement the following procedures, it must be understood that both the issuer and recipient of the electronic FAA Form 8130-3 must comply with the procedures in this chapter. If for whatever reason the data recipient is unable to accept the electronic form, the issuance of the form must be in paper format in accordance with the appropriate chapter of this order.
- (2) Procedures for making the data available to the FAA upon request. Each person who elects to use the electronic transfer system will make available (at the FAA's request) an authorized employee or representative to access and explain, if necessary, the data for each electronically transferred FAA Form 8130-3. The individual must be familiar with the computer system and assist in accessing the necessary computerized information. This system must be capable of producing paper copies of FAA Form 8130-3 and of the viewed information at the request of the FAA.
- (3) Procedures describing how the electronic FAA Form 8130-3 will be stored and retrieved. The archive system must ensure the integrity of the stored data, regardless of the storage medium, and that no unauthorized changes can be made to the data.
- (4) Procedures for obtaining, maintaining, and controlling digital security certificates for the individual(s)/organization authorized to sign an electronic FAA Form 8130-3. Each person must describe how they will obtain, control, and maintain the recommended certificate in accordance with ATA Spec 2000, chapter 16.
- (5) Procedures for reviewing the computerized personal identification codes system to ensure that the system will not permit password duplication.
- (6) Procedures for periodically auditing the computer system to ensure the integrity of the system. A record of the audit should be completed and retained on file as part of the person's record retention requirements. This audit may be a computer program that automatically audits itself. In addition to the computer generated audit, a manual audit should be conducted annually to verify the integrity of the system.
- (7) Audit procedures to ensure the integrity of each computerized workstation. If the workstations are server-based and contain no inherent attributes that enable or disable access, there is no need for each workstation to be audited.
- (8) A description of the training procedure and requirements necessary to authorize access to the computer hardware and software system. (Recognizing that the details will vary with the different individuals who need access, the training description may simply be part of the position description. Its location should be referenced in the manual or work instructions.)

(9) Procedures describing the method of identifying the product or article to the receivable electronic FAA Form 8130-3 and how that product or article is identified while in storage. Procedures must include how the necessary information from the electronic FAA Form 8130-3 is provided to the user/installer in order to complete the appropriate maintenance record after installation as required by 14 CFR part 43.

- **5-7. User/Installer Responsibilities.** Because FAA Form 8130-3 is being issued electronically when complying with this chapter of the order, the User/Installer Responsibilities referenced at the bottom of each hard or screen copy of the form are not visible in the XML format. Therefore, the following statements are provided as a reminder of the user/installer responsibilities per the applicable regulations:
- **a.** It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.
- **b.** Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures their airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country or jurisdiction specified in Block 1.
- **c.** Statements in Blocks 14 and 19 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.
- **5-8. Sample Uses of Electronic FAA Form 8130-3.** Following are examples of various uses of the Electronic FAA Form 8130-3. Each example depicts the XML-formatted data, followed by a sample PDF/paper copy of the data.

**NOTE:** Any PDF/paper copies produced from a valid electronic form must meet all requirements described in this order, but may vary somewhat in layout and format from the examples provided below.

Figure 5-1a. Sample XML Fragment for an Electronic Export Airworthiness Approval

```
<PartCertificationFAA Form version="1.00" id="ID000010">
      <CET FVI="6-01"> FAA Form 8130-3</CET>
  </Block2>
  <Block3>
     <TDN>04040608</TDN>
  </Block3>
  <Block4>
     <IssuerDetail>
        <SPL>63321/SPL>
        <WHO>Aircraft Manufacturing Co.</WHO>
        <aDL>106 Shady Pines Drive</aDL>
<CIY>Any Town</CIY>
        <ZIP>34567</ZIP>
        <CNT>US</CNT>
        <STP>CA</STP>
        <PCH>PC 777</PCH>
      </IssuerDetail>
   </Block4>
  <Block5>
     <CIC>XYZ</CIC>
     <CPO>TS4567</CPO>
   </Block5>
  <Block6>
     <LIN>1</LIN>
  </Block6>
  <Block7>
     <PDT>Bearing</PDT>
  </Block7>
   <Block8>
     <MFR>73489</MFR>
     <PNR>16-44784-1</PNR>
   </Block8>
  <Block9>
     <REM>N/A</REM>
   </Block9>
  <Block10>
     <QTY UNT="EA">100</QTY>
   </Block10>
  <Block11>
     <REM>N/A</REM>
   </Block11>
  <Block12>
     <PSC>NEW</PSC>
   </Block12>
  <ManufacturedParts>
     <Block14>
        <DDA>A</DDA>
     </Block14>
      <Block16>
        <ARN>ODAR54123SW</ARN>
      </Block16>
      <Block17>
        <NME>A. Inspector</NME>
      </Block17>
      <Block18>
         <DAT>2006-12-19</DAT>
      </Block18>
     <Block13M>
        <REM>EXPORT: UNITED KINGDOM</REM>
     </Block13M>
   </ManufacturedParts>
   <Block1>
     <NAA>FAA</NAA>
     <CNT>US</CNT>
   </Block1>
</PartCertificationFAA Form>
```

Figure 5-1b. Sample FAA Form 8130-3 for an Electronic Export Airworthiness Approval

-	1. Approving National Aviation Authority/Country: FAA/United States  AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG					3. Form Tracking Number: 04040608			
Aire Sup	zation Name and Address traft Manufacturing C oplier Code: 63321 duction Certificate N	o., 106 Shady Pine	s Drive, Any To	own, CA 34567,	United State	s		5. Work Order/Contract/Invoice Number: Customer Order No.: TS4567 Customer ID Code: XYZ	
6. Item:	7. Description:	8. Part Num	ber:	9. Eligibili	ty: * 1	0. Quantity:	11. Serial Number:	12. Status/Work:	
1	Bearing	16-4	4784-1	N/A		100 ea.	N/A	NEW	
14. Certif⊠	EXPORT  Tes the items identified ab  Approved design data an  Non-approved design data	d are in a condition fo	r safe operation.		Certifie and des Federal	cribed in Block	herwise specified in Block 13 was accomplished in a	Other regulation specified in Block 13 12, the work identified in Block 12 ccordance with Title 14, Code of at work, the items are approved for	
15. Author	orized Signature: Digital signature o	n file.	TARGET STATES OF STATES	uthorization No.; 34123-SW	20. Authoriz	ed Signature:		21. Approval/Certificate No.:	
17. Name (Typed or Printed): A. Inspector  18. Date (m d y): Dec 19 2007							1):	23. Date (m d y):	
			V	User/Installer	Responsi bili	ties			
Where the Block 1, it Block 1.	e user/installer performs w is essential that the user/i	vork in accordance wi installer ensures that l not constitute installati	th the national reg its/her airworthine on certification. In	ulations of an airw ess authority accep	orthiness authors parts/compo	ority different ( ients/assemblie	s from the airworthiness a	oly. nority of the country specified in uthority of the country specified in ication issued in accordance with the	

FAA Form 8130-3 (06-01)

\*Installer must cross-check eligibility with applicable technical data.

Figure 5-2a. Sample XML Fragment for an Electronic Airworthiness Approval

```
<PartCertificationFAA Form version="1.00" id="ID000005">
  <Block2>
     <CET FVI="6-01"> FAA Form 8130-3
  </Block2>
  <Block3>
     <TDN>5648944</TDN>
  </Block3>
  <Block4>
     <IssuerDetail>
        <SPL>45622/SPL>
        <WHO>Big Engine Manufacturing Co.</WHO>
        <ADL>5 Aviation Way</ADL>
        <CIY>Small Town</CIY>
        <ZIP>67021</ZIP>
        <CNT>US</CNT>
        <STP>KS</STP>
        <PCH>PC 099</PCH>
     </IssuerDetail>
  </Block4>
  <Block5>
     <CIC>ABC</CIC>
     <CPO>BR549</CPO>
   </Block5>
  <Block6>
     <LIN>1</LIN>
  </Block6>
  <Block7>
     <PDT>Engine</PDT>
  </Block7>
  <Block8>
     <MFR>73489</MFR>
     <PNR>550B1D1</PNR>
  </Block8>
  <Block9>
     <REM>N/A</REM>
  </Block9>
  <Block10>
     <QTY UNT="EA">1</QTY>
  </Block10>
  <Block11>
     <REM>N/A</REM>
  </Block11>
  <Block12>
     <PSC>NEW</PSC>
   </Block12>
  <ManufacturedParts>
     <Block14>
        <DDA>A</DDA>
     </Block14>
     <Block16>
        <ARN>DAR54123SW</ARN>
     </Block16>
     <Block17>
        <NME>A. Inspector</NME>
     </Block17>
     <Block18>
        <DAT>2006-08-28</DAT>
     </Block18>
     <Block13M>
        <REM>AIRWORTHINESS APPROVAL - FOR DOMESTIC SHIPMENTS ONLY </REM>
     </Block13M>
  </ManufacturedParts>
  <Block1>
     <NAA>FAA</NAA>
     <CNT>US</CNT>
   </Block1>
</PartCertificationFAA Form>
```

Figure 5-2b. Sample FAA Form 8130-3 for an Electronic Airworthiness Approval

-	Authority/Country: FAA/United States  AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG				3. Form Tracking Number: 5648944			
Big Sup	zation Name and Address Engine Manufacturing plier Code: 45622 duction Certificate N	g Co., 5 Aviation W	/ay, Small Town	ı, KS 67021, U	nited State	es		5. Work Order/Contract/Invoice Number: Customer Order No.: BR549 Customer ID Code: ABC
6. Item:	7. Description:	S. Part Numb	er:	9. Eligibili	ty: *	10. Quantity:	11. Serial Number:	12. Status/Work:
1	Engine	5501	BIDI	N/A		1 ea.	N/A	NEW
14. Certif ⊠	AIRWOR  ies the items identified ab- Approved design data an Non-approved design dat	d are in a condition for	d in conformity to: r safe operation.	OMESTIC SH	19. 1 1 Cert and Fede	4 CFR 43.9 Retur ifies that unless of described in Block	nerwise specified in Block 13 was accomplished in a	Other regulation specified in Block 13 12, the work identified in Block 12 ccordance with Title 14, Code of at work, the items are approved for
15. Author	orized Signature: Digital signature o	n file.	DAR-54		20. Author		21. Approval Certificate No.:	
17. Name (Typed or Printed): A. Inspector Aug 28 2007						23. Date (m d y):		
			· 1	Jser/Installer	Responsil	oilities		
Where the Block 1, it Block 1.	is essential that the user/i	ork in accordance wit ustaller ensures that h ot constitute installati	h the national regu is her airworthines on certification. In	lations of an airw s authority accep	orthiness au ts parts/com	thority different t ponents/assemblic	nan the airworthiness aut s from the airworthiness a	bly. hority of the country specified in authority of the country specified in ication issued in accordance with the

FAA Form 8130-3 (06-01)

\*Installer must cross-check eligibility with applicable technical data.

# Figure 5-3a. Sample XML Fragment for an Electronic Conformity Airworthiness Approval

```
<PartCertificationFAA Form version="1.00" id="ID000006">
                 <CET FVI="6-01"> FAA Form 8130-3</CET>
        </Block2>
        <Block3>
                <TDN>08-3456-LT-NMO-01</TDN>
        </Block3>
        <Block4>
                <IssuerDetail>
                        <SPL>73489</SPL>
                        <WHO>Aircraft Manufacturing Co.</WHO>
                        <aDL>106 Shady Pines Drive</aDL>
<CIY>Any Town</CIY>
                        <ZIP>34567</ZIP>
                        <CNT>US</CNT>
                        <STP>CA</STP>
                        <PCH>PC 777</PCH>
                </IssuerDetail>
                <RemoteIssuerDetail>
                        <SPL>16754</SPL>
                         <WHO>Exinol, Inc.</WHO>
                        <ADL>Bldg 5B</ADL>
                        <ADL>1 Exinol Way</ADL>
                        <CIY>Small Town</CIY>
                        <ZIP>74747</ZIP>
                        <CNT>US</CNT>
                        <STP>OK</STP>
                </RemoteIssuerDetail>
        </Block4>
        <Block5>
                <CIC>73489</CIC>
                <WON>150374</WON>
        </Block5>
        <Block6>
                <LIN>1</LIN>
        </Block6>
        <Block7>
                <PDT>Right ASG Section 2 & DT>Right ASG Section 2 & DT-Right ASG Sectio
        </Block7>
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                <PNR>XX1675-536</PNR>
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        <Block9>
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        </Block9>
        <Block10>
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        </Block10>
        <Block11>
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        </Block11>
        <Block12>
                <PSC>PROTOTYPE</PSC>
        </Block12>
        <ManufacturedParts>
                <Block14>
                        <DDA>N</DDA>
                </Block14>
                <Block16>
                        <ARN>DMIR54123SW</ARN>
                </Block16>
                <Block17>
                         <NME>Alfred R. Gibson</NME>
                </Block17>
```

```
<Block18>
        <DAT>2006-12-19</DAT>
     </Block18>
     <Block16>
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           <DrawingAndRevisionLevel>
              <SDN>XX1675-536</SDN>
              <MFR>37952</MFR>
              <REV>A</REV>
              <RVD>2006-10-02</RVD>
           </DrawingAndRevisionLevel>
           <CPP>CT8196ET-S</CPP>
           <AWD>NONE</AWD>
        </NewPartsData>
        <REM>CONFORMITY</REM>
        <REM>DRO Log No.: 2006-0436D</REM>
     </Block16>
  </ManufacturedParts>
  <Block1>
     <NAA>FAA</NAA>
     <CNT>US</CNT>
   </Block1>
</PartCertificationFAA Form>
```

Figure 5-3b. Sample FAA Form 8130-3 for an Electronic Conformity Airworthiness **Approval** 

1. Approving National Aviation Authority/Country: FAA/United States  2.  AUTHORIZED RELEASE CERTIFICATE FAA Form 8130–3, AIRWORTHINESS APPROVAL TAG							3. Form Tracking Number: 08-3456-LT-NMO-01
Aire Any Sup	zation Name and Address: craft Manufacturing Co 7 Town, CA 34567, Uni plier Code: 73489 duction Certificate Nu	ited States	B S	xinol, Inc. ldg. 5B, 1 E: mall Town, ( upplier Cod	OK 74747, United	1 States	5. Work Order/Contract/Invoice Number: Work Order No.: 150374 Customer ID Code: 73489
6. Item:	7. Description:	8. Part Nun	ıber: 9. Eliş	gibility: *	10. Quantity:	11. Serial Number:	12. Status/Work:
1	Right ASG section 2 amp; 3 feeder assy, container, CW640	MAGACICAL	675-536 N	J/A	1 ea.	N/A	PROTOTYPE
P		ming to design a veweremanufactur arein a condition f	ed in conformity to: or safe operation.	19. ☐ Ce	vorthy and are in 14 CFR 43.9 Return rtifies that unless of d described in Block	a condition for safe open n to Service Otherwise specified in Block 1 13 was accomplished in acc	tion of Gulfstream GV-SP. eration without further showing her regulation specified in Block 13 2, the work identified in Block 12 cordance with Title 14, Code of work, the items are approved for
15. Author	orized Signature: Digital signature on	file	16. Approval/Authorization N DMIR-54123-SW		horized Signature:		21. Approval/Certificate No.:
17. Name	(Typed or Printed): Alfred R. Gibso	3	18. Date (m d y): Dec 19 2007	22. Nai	ne (Typed or Printee	l):	23. Date (m d y);
		(7)	User/Instal	ller Respons	ibilities		76
Where th Block 1, i Block 1.	e user/installer performs we t is essential that the user/in	ork in accordance w staller ensures that	ocument alone does not automatic ith the national regulations of an his/her airworthiness authority a	airworthiness	authority different tl	nan the airworthiness autho	
	egulations by the user insta			craft maintena	nce records must cor	ntain an installation certific	ation issued in accordance with the

\*Installer must cross-check eligibility with applicable technical data.

# Figure 5-4a. Sample XML Fragment for an Electronic Approval for Return to Service

```
<PartCertificationFAA Form version="1.00" id="ID000001">
      <CET FVI="6-01"> FAA Form 8130-3</CET>
   </Block2>
   <Block3>
      <TDN>99999</TDN>
   </Block3>
   <Block4>
      <IssuerDetail>
         <SPL>12345</SPL>
         <WHO>American Repair Services</WHO>
         <ADL>3434 Harbor Drive</ADL>
         <ADL>Suite 12B</ADL>
         <CIY>Dallas</CIY>
         <ZIP>76645</ZIP>
         <CNT>US</CNT>
         <STP>TX</STP>
         <RCN>RX333K</RCN>
      </IssuerDetail>
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      <WON>RO16754</WON>
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   <Block6>
      <LIN>1</LIN>
   </Block6>
   <Block7>
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   </Block7>
   <Block8>
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      <PNR>C48401-302</PNR>
   </Block8>
   <Block9>
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   </Block9>
   <Block10>
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   </Block10>
   <Block11>
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   </Block11>
   <Block12>
      <PSC>OVERHAULED</PSC>
   </Block12>
   <ReworkedParts>
      <Block13R>
         <ReturnToServiceData>
            <WorkPerformed>
               <MaintenanceDocAndRevLevel>
                  <TDT>Repair Manual</TDT>
                  <DIN>55-11-22</DIN>
                  <MFR>45678</MFR>
                  <REV>1</REV>
                  <RVD>2001-03-22</RVD>
               </MaintenanceDocAndRevLevel>
<RMD>Unit was disassembled, cleaned, and inspected. Detail articles
were reworked/replaced as required to return unit to a serviceable condition.
            </WorkPerformed>
         </ReturnToServiceData>
         <REM>Notice: An airworthiness directive may apply to the unit described
         The installer is responsible for ensuring complete compliance with any
applicable airworthiness directives.</REM>
      </Block13R>
      <Block19>
         <AWR>14 CFR 43.9 Return to Service</AWR>
      </Block19>
      <Block21>
```

```
<RCN>S3RX333K</RCN>
     </Block21>
     <Block22>
        <NME>Mary Jones</NME>
     </Block22>
     <Block23>
        <DAT>2007-01-10</DAT>
     </Block23>
  </ReworkedParts>
  <Block1>
     <NAA>FAA</NAA>
     <CNT>US</CNT>
   </Block1>
</PartCertificationFAA Form>
```

# Figure 5-4b. Sample FAA Form 8130-3 for an Electronic Approval for Return to Service

A	Authority/Country: FAA/United States  AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG						3. Form Tracking Number: 99999
Ame Sup	zation Name and Address erican Repair Services plier Code: 12345 tificate Number: RX	, 3434 Harbor Drive,	Suite 12B, Dallas, TX	76645, Unite	d States		5. Work Order/Contract/Invoice Number: Work Order No.: RO16754 Customer ID Code: 53111
6. Item:	7. Description:	8. Part Number:	9. El	igibility: *	10. Quantity:	11. Serial Number:	12. Status/Work:
1	Air Motor	C48401-	302	N/A	1 ea.	64654564	OVERHAULED
	Repair Ma Notice: A	mual 55-11-22, Rev. 1 n airworthiness direct pplicable airworthines oveweremanufactured in d are in a condition for sal	, Mar 22 2001 ive may apply to the us s directives.	it described  19.   Construction	herein. The instal  14 CFR 43.9 Retur ertifies that unless of ald described in Block	led is responsible for e  n to Service   Cherwise specified in Block 1.3 was accomplished in a	nit to a serviceable condition.  ensuring complete compliance  Other regulation specified in Block 13  12, the work identified in Block 12  ccordance with Title 14, Code of at work, the items are approved for
15. Autho	orized Signature:	16	5. Approval/Authorization	No.: 20. Au	nthorized Signature: Digital sign	ature on file.	21. Approval/Certificate No.: S3RX333K
17. Name	(Typed or Printed):	r Printed):  18. Date (m d y):  22. Name (Typed or Printed):  Mary Jones					
			User/Inst	dler Respon	sibilities		
Where the Block 1, it Block 1. Statement	e user/installer performs w is essential that the user/i	ork in accordance with th installer ensures that his h ot constitute installation o	er airworthiness authority ertification. In all cases, a	a airworthiness accepts parts/c	authority different to omponents/assemblie	han the airworthiness auth s from the airworthiness a	oly. nority of the country specified in nuthority of the country specified in ication issued in accordance with the

FAA Form 8130-3 (06-01) \*Installer must cross-check eligibility with applicable technical data.

**5-9. Intent to Use Electronic FAA Form 8130-3.** Those authorized persons who elect to issue the electronic FAA Form 8130-3 for transmittal of products or articles are required to notify their geographic FAA office of their intent before implementation. Figure 5-5 is provided as an example of a letter of intent.

Figure 5-5. Sample Letter of Intent for an Electronic Certification System

**Note:** Use company's letterhead.

To: [Responsible FAA office (manufacturing or flight standards having geographic jurisdiction responsibility over the requester's facility)]

From: [Company name]

Date: [Date]

Subject: Use of Electronic Transfer System for FAA Form 8130-3

This letter is to inform you that [company name] intends to use an electronic transfer system for FAA Form 8130-3 for products or articles shipped to [name of receiver of certificate] in accordance with our documented instructions. This system has been established using the guidelines outlined in FAA Order 8130.21, chapter 5, and ATA Spec 2000, chapter 16.

This organization intends to implement the electronic transfer system on [date].

Our company facilities, equipment, and personnel are available for your review and/or inspection at [address]. Please contact [name] at [telephone number] if you have any questions regarding the implementation of the FAA Form 8130-3 electronic transfer system.

Sincerely,

[Requester signature] [Requester name]

10/26/2009 8130.21G Appendix A

# Appendix A. Acronyms

The following acronyms are used in this order:

**14 CFR** Title 14 of the Code of Federal Regulations

**AC** advisory circular

ACO aircraft certification office AD airworthiness directive

**AN** Air Force-Navy Aeronautical Standard

**ASI** aviation safety inspector

**ATA** Air Transport Association of America, Inc.

CAA civil aviation authorityCFR Code of Federal RegulationsCOA Certificate of Authority

DAR designated airworthiness representativeDER designated engineering representative

**DMIR** designated manufacturing inspection representative

**EASA** European Aviation Safety Agency **FAA** Federal Aviation Administration

**MIP** maintenance implementation procedures

MS Military Standard

**NAS** National Aerospace Standards

**ODA** organization delegation authorization

PAH production approval holder PC production certificate

PMA Parts Manufacturer Approval SAE Society of Automotive Engineers

**SB** service bulletin

**STC** supplemental type certificate

TC type certificate

**TCCA** Transport Canada Civil Aviation

TEI Text Element Identifier
TSO technical standard order
XML extensible markup language

10/26/2009 8130.21G Appendix B

# Appendix B. Definitions

- **1. Applicable Standard**. A manufacturing/design/maintenance/quality standard, method, technique, or practice approved by or acceptable to a civil aviation authority.
- **2. Approved Design Data.** Applicable design data that has been granted an approval (for example, type certificate, supplemental type certificate, technical standard order authorization, parts manufacturer approval, or equivalent) by the relevant civil aviation authority.

**NOTE:** For the purposes of this definition, the European Aviation Safety Agency is considered to be a civil aviation authority.

- **3. Authentication.** The means by which a system validates the identity of an authorized user. This may include a password, personal identification number, cryptographic key, badge, stamp, or combination thereof, or any other method of identifying an authorized user.
- **4.** Computer Hardware. A computer and the associated physical equipment directly involved in the performance of communications or data processing functions.
- **5. Computer Software.** Written, printed, or other technologically accepted media such as programs, routines, and symbolic languages used in the operation of computers.
- **6. Deliverable Software.** Computer software with a part number that meets FAA standards for software design and use.
- **7. Digital Certificate.** A digitally signed statement that binds the identifying information of a user, computer, or service to a public/private key pair.
- **8. Digital Signature.** A secure digital means of conveying the same meaning as an individual's handwritten signature in an electronic document, which when printed may or may not contain an exact copy of the originating handwritten signature.
- **9. Direct Shipment Authorization.** The written authorization granted by a production approval holder (PAH) with responsibility for the airworthiness of a product or article, to a supplier, to ship articles produced in accordance with the PAH's quality/inspection system directly to end users without the articles being processed through the PAH's own facility.
- **10. Distributor.** Any person engaged in the sale or transfer of products and articles for installation in type-certificated aircraft, aircraft engines, or propellers, and that conducts no manufacturing activities.
- 11. Electronic Recordkeeping System or Manual. A system of record processing in which records or manuals are entered, stored, and retrieved electronically by a computer system.
- **12. Electronic Signature.** An exact copy of a handwritten signature that is securely produced by electronic means.

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**13. End User.** For the purpose of this order, means the person taking possession of the product or article.

- **14. Installation Eligibility.** Acceptability of an article for installation on type-certificated product(s) based on airworthiness data and the configuration of the product.
- **15. Password.** An identification code or device required to access stored material, intended to prevent information from being viewed, edited, or printed by unauthorized persons.
- **16. Public Key Infrastructure.** The method for verifying the validity and status of the digital certificate of a message sender.
- **17. Quality System.** A documented organizational structure containing responsibilities, procedures, processes, and resources that implement a management function to determine and enforce quality principles.
- **18. Receiver of the Electronic FAA Form.** The entity who receives the form electronically from the issuer. If the entity that receives the form electronically isn't the end user, the receiver of the electronic form must either transfer the form electronically (in accordance with chapter 5 of this order) or issue a paper FAA Form 8130-3 in accordance with the appropriate chapter contained in this order.
- **19. Record.** Information inscribed on a tangible medium or stored in an electronic or other medium that is retrievable in perceivable form.
- **20. Recurrent Airworthiness Approval.** Issuance of FAA Form 8130-3 for products or articles based on a prior finding by a PAH that the product or article was airworthy, and a current finding that the product or article remains airworthy.
- **21. Signature.** Any form of identification used to acknowledge completion of an act and authenticate a record entry. A signature must be traceable to the individual making the entry, and it must be handwritten or be part of an electronic signature system or other form acceptable to the FAA.
- **22. Standard Part.** A part manufactured in complete compliance with an established government or industry-accepted specification that contains design, manufacturing, and uniform identification requirements. The specification must include all information necessary to produce and conform the part, and must be published so that any person/organization may manufacture the part.

**NOTE:** Examples of specifications include, but are not limited to, National Aerospace Standards (NAS), Air Force-Navy Aeronautical Standard (AN), Society of Automotive Engineers (SAE), SAE Aerospace Standard (AS), and Military Standard (MS).

**23. Trading Partners.** A person transmitting FAA Form 8130-3 and a person capable of receiving FAA Form 8130-3 in the form of paper or electronic data.

10/26/2009 8130.21G Appendix C

# **Appendix C. Administrative Information**

- 1. **Distribution.** This order is distributed to the Washington Headquarters division levels of the Aircraft Certification Service and Flight Standards Service; to the branch levels of the Aircraft Certification Service; to the branch levels in the regional Flight Standards Divisions and Aircraft Certification Directorates; to all Flight Standards District Offices and International Field Offices; to all Aircraft Certification Offices; to all Certificate Management Offices and all Manufacturing Inspection District and Satellite Offices; and to the Aircraft Certification and Airworthiness Branches at the FAA Academy.
- **2. Deviations.** Adherence to the procedures in this order is necessary for uniform administration of this directive material. Any deviations from this guidance material must be coordinated and approved by the Production and Airworthiness Division, AIR-200. If a deviation becomes necessary, the FAA employee involved should ensure the deviations are substantiated, documented, and concurred with by the appropriate supervisor. The deviation must be submitted to AIR-200 for review and approval. The limits of Federal protection for FAA employees are defined in § 2679 of Title 28 of the United States Code.
- **3. Suggestions for Improvements.** Please forward all comments on deficiencies, clarifications, or improvements regarding this order to:

Aircraft Certification Service Planning and Program Management Division, AIR-510 ATTN: Directives Management Officer 800 Independence Avenue SW Washington, DC 20591

FAA Form 1320-19, Directive Feedback Information , is located in appendix D to this order for your convenience. If you require an immediate interpretation, please contact AIR-200 at (202) 385-6346; however, you also should complete FAA Form 1320-19 as a follow-up to the conversation.

**4. Records Management**. Refer to FAA Orders 0000.1, FAA Standard Subject Classification System; 1350.14, Records Management; and 1350.15, Records Organization, Transfer, and Destruction Standards; and AIR-FAA-IR-04-01, or see your office Records Management Officer/Directives Management Officer for guidance regarding retention or disposition of records.

10/26/2009 8130.21G Appendix D

# Appendix D. FAA Form 1320-19, Directive Feedback Information



U.S. Department of Transportation

Federal Aviation Administration

# **Directive Feedback Information**

Please submit any written comments or recommendations for improving this directive, or suggest new items or subjects to be added to it. Also, if you find an error, please tell us about it.

Subject: Order 8130.21G		
To: Directive Management Officer, AIR-	510	
(Please check all appropriate line items)		
☐ An error (procedural or typographical) page	has been noted in paragrap	ph on
☐ Recommend paragraph(attach separate sheet if necessary)	_ on page	be changed as follows:
☐ In a future change to this directive, ple (briefly describe what you want added)	<del>-</del>	e following subject
☐ I would like to discuss the above. Plea	ase contact me.	
Submitted by:	Date:	
Telephone Number:	_ Routing Symbol:	
<b>FAA Form 1320-19</b> (10-98)		