

SELECTED FCM FINANCIAL DATA AS OF
October 31, 2011
FROM REPORTS FILED BY
November 30, 2011

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		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
	Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
								4d(a)(2)	Pt. 30 Required	Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	10/31/2011	226,001,851	106,633,746	119,368,105	2,107,076,881	283,923,973	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	10/31/2011	260,831,106	131,582,952	129,248,154	2,486,620,013	128,624,730	1,317,141
3	ADVANCED MARKETS LLC	FCMRFD	NFA	10/31/2011	20,569,804	20,000,000	569,804	0	0	1,435,978
4	ADVANTAGE FUTURES LLC	FCM	CME	10/31/2011	17,394,002	9,106,355	8,287,647	402,871,623	36,669,799	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	09/30/2011	76,695,493	3,865,809	72,829,684	0	0	0
6	ALPARI (US) LLC	FCMRFD	NFA	10/31/2011	23,663,458	20,029,908	3,633,550	37,112	0	10,598,154
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2011	128,491,671	1,000,000	127,491,671	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	10/31/2011	1,786,777	1,000,000	786,777	33,537,617	7,908	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	10/31/2011	5,932,724,468	988,855,616	4,943,868,852	5,705,209,090	3,292,445,089	0
10	BGC FINANCIAL LP	FCM BD	NFA	10/31/2011	50,415,734	1,000,000	49,415,734	0	0	0
11	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	10/31/2011	2,226,793,415	303,613,607	1,923,179,808	1,397,505,939	589,255,194	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	10/31/2011	2,167,134,978	71,483,481	2,095,651,497	467,336,906	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	10/31/2011	304,903,337	44,147,075	260,756,262	614,724,947	441,323	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	10/31/2011	289,528,451	11,392,060	278,136,391	3,673,418	0	0
15	CAPITAL MARKET SERVICES LLC	FCM	NFA	10/31/2011	2,475,389	1,000,000	1,475,389	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	10/31/2011	451,315,417	1,500,000	449,815,417	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	10/31/2011	424,171,427	1,000,000	423,171,427	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	10/31/2011	7,279,570,019	754,894,923	6,524,675,096	10,148,689,984	1,329,284,799	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	10/31/2011	2,755,863	1,000,000	1,755,863	0	0	0
20	COUNTRY HEDGING INC	FCM	NFA	10/31/2011	19,546,124	13,748,708	5,797,416	192,880,276	1,722,593	0
21	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	10/31/2011	7,223,629,784	1,173,668,843	6,049,960,941	6,243,488,159	2,095,589,581	0
22	CROSSLAND LLC	FCM	CBOT	10/31/2011	10,865,572	2,784,107	8,081,465	70,983,329	23,769,324	0
23	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	10/31/2011	3,234,369	1,000,000	2,234,369	57,005,599	701,967	0
24	CX CAPITAL MARKETS LLC	FCM	NFA	10/31/2011	3,531,815	1,000,000	2,531,815	20,928,119	0	0
25	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	10/31/2011	308,198,049	2,330,809	305,867,240	6,235,239	0	0
26	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	10/31/2011	8,355,493,656	608,534,259	7,746,959,397	15,136,579,003	1,449,453,015	0
27	DORMAN TRADING LLC	FCM	CME	10/31/2011	9,700,151	1,000,000	8,700,151	116,143,289	1,890,206	0
28	EAGLE MARKET MAKERS INC	FCM	CBOT	10/31/2011	6,591,584	1,000,000	5,591,584	513,101	0	0
29	EASY FOREX US LTD	FCM	NFA	10/31/2011	1,723,596	1,000,000	723,596	0	0	0
30	ENSKILDA FUTURES LTD	FCM	CME	10/31/2011	31,000,864	21,374,785	9,626,079	402,166,948	0	0
31	FCSTONE LLC	FCM	CME	10/31/2011	95,250,610	53,858,914	41,391,696	1,293,351,455	27,579,764	0
32	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	10/31/2011	32,724,174	26,699,762	6,024,412	0	0	143,995,235
33	FOREX CLUB LLC	FCMRFD	NFA	10/31/2011	23,753,609	22,000,000	1,753,609	0	0	7,977,471
34	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	10/31/2011	3,420,809	1,000,000	2,420,809	12,668,942	1,789,499	0
35	FRONTIER FUTURES INC	FCM	NFA	10/31/2011	1,930,640	1,017,904	912,736	35,869,341	0	0
36	FUTURES TECH LLC	FCM	NFA	10/31/2011	1,561,114	1,000,000	561,114	0	0	0
37	FX SOLUTIONS LLC	FCMRFD	NFA	10/31/2011	43,299,845	20,406,654	22,893,191	0	0	18,133,087
38	FXDIRECTDEALER LLC	FCMRFD	NFA	10/31/2011	38,774,568	21,135,615	17,638,953	0	0	32,712,310
39	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	10/31/2011	62,669,515	24,713,690	37,955,825	0	0	104,273,801
40	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	10/31/2011	86,315,948	24,327,640	61,988,308	136,536	227,199	96,552,804
41	GOLDMAN SACHS & CO	FCM BD	CBOT	10/31/2011	11,828,316,785	2,039,465,392	9,788,851,393	20,346,497,796	9,444,664,108	0
42	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	10/31/2011	1,814,776,998	128,317,891	1,686,459,107	1,143,170,340	31,824,645	0
43	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	10/31/2011	55,626,739	3,217,653	52,409,086	0	0	0

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44	HSBC SECURITIES USA INC	FCM BD	CME	10/31/2011	1,060,375,147	98,298,842	962,076,305	857,990,476	146,300,839	0
45	ICAP CORPORATES LLC	FCM BD	NFA	10/31/2011	53,227,396	1,000,000	52,227,396	0	0	0
46	IKON GLOBAL MARKETS INC	FCM	NFA	10/31/2011	17,819,585	1,000,000	16,819,585	13,226,116	108,434	0
47	INSTINET LLC	FCM BD	NFA	10/31/2011	119,941,025	1,960,523	117,980,502	0	0	0
48	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	10/31/2011	20,464,808	20,000,000	464,808	0	0	8,618,517
49	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	10/31/2011	1,598,834	1,000,000	598,834	0	0	0
50	INTERACTIVE BROKERS LLC	FCM BD	NFA	10/31/2011	1,259,742,304	161,562,035	1,098,180,269	641,683,621	176,176,990	0
51	INTERBANK FX LLC	FCMRFD	NFA	10/31/2011	25,029,623	21,059,519	3,970,104	0	0	31,190,383
52	IRONBEAM INC	FCM	NFA	10/31/2011	3,024,117	1,000,000	2,024,117	40,598,524	109,547	0
53	ITG DERIVATIVES LLC	FCM BD	NFA	10/31/2011	2,905,315	1,000,000	1,905,315	0	0	0
54	JEFFERIES & COMPANY INC	FCM BD	NFA	10/31/2011	822,971,310	54,774,674	768,196,636	0	0	0
55	JEFFERIES BACHE LLC	FCM	CBOT	10/31/2011	248,146,000	175,435,440	72,710,560	2,999,526,000	265,269,000	0
56	JP MORGAN CLEARING CORP	FCM BD	NFA	10/31/2011	7,163,394,454	2,001,319,885	5,162,074,569	650,292,326	445,824,047	0
57	JP MORGAN SECURITIES LLC	FCM BD	CEI	10/31/2011	11,597,548,175	1,531,923,063	10,065,625,112	19,170,979,010	2,152,666,687	0
58	LEK SECURITIES CORPORATION	FCM BD	NFA	10/31/2011	9,679,260	1,500,000	8,179,260	2,560,484	0	0
59	LIMESTONE TRADING LLC	FCM	NFA	10/31/2011	3,536,634	1,000,000	2,536,634	0	0	0
60	LINN GROUP THE	FCM	NFA	10/31/2011	2,233,540	1,000,000	1,233,540	38,976,953	210,375	0
61	LPL FINANCIAL LLC	FCM BD	NFA	10/31/2011	146,103,381	6,511,764	139,591,617	0	0	0
62	MACQUARIE FUTURES USA LLC	FCM	CBOT	10/31/2011	137,074,799	65,167,671	71,907,128	823,515,435	77,341,240	0
63	MAREX NORTH AMERICA LLC	FCM	CME	10/31/2011	7,551,589	5,225,213	2,326,376	93,599,070	1,934,700	0
64	MAREX USA LIMITED	FCM	NFA	10/31/2011	2,359,391	1,000,000	1,359,391	0	0	0
65	MB TRADING FUTURES INC	FCMRFD	NFA	10/31/2011	25,715,078	21,196,454	4,518,624	0	0	33,929,087
66	MBF CLEARING CORP	FCM	NYME	10/31/2011	7,991,078	1,000,000	6,991,078	72,153,982	1,366,041	0
67	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	10/31/2011	14,240,267	2,515,368	11,724,899	853,285,791	0	0
68	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	10/31/2011	10,309,275,846	807,968,172	9,501,307,674	9,365,678,809	3,000,958,756	0
69	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	10/31/2011	2,990,115,802	207,899,024	2,782,216,778	791,273,963	50,438,292	0
70	MID CO COMMODITIES INC	FCM	NFA	10/31/2011	12,569,955	6,147,861	6,422,094	56,248,327	593,780	0
71	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	10/31/2011	227,461,993	1,935,158	225,526,835	0	0	0
72	MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	10/31/2011	2,932,826	1,000,000	1,932,826	0	0	0
73	MIZUHO SECURITIES USA INC	FCM BD	CME	10/31/2011	372,486,357	116,902,454	255,583,903	1,190,472,913	553,564,482	0
74	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	10/31/2011	464,819,562	7,989,839	456,829,723	0	0	0
75	MORGAN STANLEY & CO LLC	FCM BD	CME	10/31/2011	8,222,447,926	1,111,138,589	7,111,309,337	5,169,696,486	2,023,346,881	0
76	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	10/31/2011	1,487,065,890	39,031,491	1,448,034,399	586,487,438	1,800,215,605	0
77	NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	10/31/2011	290,927,757	1,500,000	289,427,757	0	0	0
78	NEUBERGER BERMAN LLC	FCM BD	NFA	10/31/2011	81,171,212	1,500,000	79,671,212	0	0	0
79	NEWEDGE USA LLC	FCM BD	NYME	10/31/2011	2,317,615,636	1,374,185,413	943,430,223	20,833,983,459	6,490,574,527	0
80	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	10/31/2011	2,339,587,684	35,165,632	2,304,422,052	96,620,647	3,846,365	0
81	OANDA CORPORATION	FCMRFD	NFA	10/31/2011	144,302,538	27,092,217	117,210,321	0	0	151,844,337
82	OPEN E CRY LLC	FCM	NFA	10/31/2011	3,441,012	1,000,000	2,441,012	82,863,555	2,558,967	0
83	OPPENHEIMER & CO INC	FCM BD	NFA	10/31/2011	148,085,957	23,127,216	124,958,741	19,536,601	0	0
84	OPTIONSXPRESS INC	FCM BD	NFA	10/31/2011	78,691,407	6,098,814	72,592,593	84,417,718	2,566,093	0
85	PENSON FINANCIAL SERVICES INC	FCM BD	CBOT	10/31/2011	182,000,857	29,662,939	152,337,918	618,662,898	46,267,944	0
86	PENSON FUTURES	FCM	NFA	10/31/2011	1,676,977	1,000,000	676,977	0	0	0

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