

Dodd-Frank Title VII Final Rules and Interpretive Orders the CFTC may consider in 2012

January to March	<ul style="list-style-type: none"> • Client Clearing Documentation, Clearing Member Risk Management, Straight Through Processing • Commodity Options • DCMs • End-User Exception • Entity Definitions • External Business Conduct • Internal Business Conduct (Duties, Recordkeeping, CCOs) • Product Definitions • Registration of SDs and MSPs • Reporting of Historical Swaps • Segregation for Cleared Swaps
April and after	<ul style="list-style-type: none"> • Block Rule • Capital and Margin • Conforming Rules • Disruptive Trade Practices • Extraterritoriality • Governance and Conflict of Interest • Implementation (clearing and trade execution) • Internal Business Conduct (Documentation) • Process for Making a Swap Available to Trade (SEFs and DCMs) • SEFs • Segregation for Uncleared Swaps

NOTE: This outline is tentative and for preliminary purposes, and is subject to change.