

Department of Defense **DIRECTIVE**

NUMBER 5105.83 January 5, 2011

USD(P&R)

SUBJECT: National Guard Joint Force Headquarters – State (NG JFHQs-State)

References: See Enclosure 1

- 1. <u>PURPOSE</u>. This Directive, in accordance with section 113 of title 10, United States Code (U.S.C.) (Reference (a)) and sections 104, 105, 109, 110, 325(a)(2), and 502(f) of title 32, U.S.C. (Reference (b)):
- a. Establishes policy for and defines the organization and management, responsibilities and functions, relationships, and authorities of the NG JFHQs-State.
 - b. Establishes 54 NG JFHQs-State. (See Glossary for definition of "State.")
- c. Describes the joint, interagency, and intergovernmental functions, responsibilities, authorities, and relationships among the NG JFHQs-State, the DoD Components, State and Federal civil authorities, and international programs.
 - d. Establishes the Federal mission of the NG JFHQs-State.

2. <u>APPLICABILITY</u>. This Directive applies to:

- a. OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff (CJCS) and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (referred to collectively as the "DoD Components").
 - b. The Adjutants General of the States, except that:
- (1) Nothing in this Directive shall be construed as a limitation on the authority of the Governor of a State to employ any unit of the NG of that State, when such unit is not on active duty or in Federal service, to perform functions authorized by the laws of the State concerned and those of the United States.

- (2) Nothing in this Directive shall be construed as a limitation on the authority of the Governor of a State to authorize the Adjutant General of that State to form, task, or organize the National Guard of that State, when the National Guard of that State is not on active duty or in Federal service. Nothing in this Directive shall be construed as applicable to the defense forces of a State.
- 3. <u>DEFINITIONS</u>. See Glossary.
- 4. POLICY. It is DoD policy that:
- a. There shall be 54 NG JFHQs-State, one established in each State (as defined in the Glossary), composed of the NG leadership of that State, to support the Federal missions in Enclosure 2 and State missions when appropriate.
- b. The National Guard Bureau (NGB) shall facilitate coordination between DoD Components, NG JFHQ-State, and the NG of the several States to enhance unity of effort. (See Glossary for definitions.)
- 5. FEDERAL MISSION. See Enclosure 2.
- 6. ORGANIZATION AND MANAGEMENT. See Enclosure 3.
- 7. <u>RESPONSIBILITIES</u>. See Enclosure 4.
- 8. RELATIONSHIPS. See Enclosure 5.
- 9. <u>AUTHORITIES</u>. The NG JFHQs-State may issue State regulations on NG matters pertaining to their respective States consistent with State and U.S. laws and DoD, CJCS, Departments of the Army and the Air Force, and NGB policies, regulations, instructions, and directives.

10. ADMINISTRATION

a. Initial NG JFHQs-State billets shall come from the existing approved Table of Distribution and Allowances authorizations for the Army National Guard (ARNG), and the Unit Manning Document authorizations for the Air National Guard (ANG), of the headquarters of each State. The State Adjutant General shall originate or request any new billet requirements through NGB. NGB shall insure that the request is processed in accordance with applicable joint

manpower directives. NGB shall then coordinate approved changes with the Department of the Army or the Air Force and with the Joint Staff.

- b. Service members assigned to OSD-approved billets in the joint staff elements of the NG JFHQs-State may receive Defense awards in accordance with DoD 1348.33-M (Reference (c)). Personnel in approved billets in the joint staff elements of the NG JFHQs-State may be eligible to become Joint Qualified Officers in accordance with DoD Instruction 1300.19 (Reference (d)).
- 11. <u>RELEASABILITY</u>. UNLIMITED. This Directive is approved for public release and is available on the Internet from the DoD Issuances Website at http://www.dtic.mil/whs/directives.
- 12. <u>EFFECTIVE DATE</u>. This Directive is effective upon its publication to the DoD Issuances Website.

William J. Lynn III

Deputy Secretary of Defense

Enclosures

- 1. References
- 2. Federal Mission
- 3. Organization and Management
- 4. Responsibilities
- 5. Relationships

Glossary

REFERENCES

- (a) Sections 101, 113, 10501-10503, 12211, and 12212 of title 10, United States Code
- (b) Sections 104, 105, 109, 110, 325(a)(2), and 502(f) of title 32, United States Code
- (c) DoD 1348.33-M, "Manual of Military Decorations and Awards," November 23, 2010
- (d) DoD Instruction 1300.19, "DoD Joint Officer Management Program," October 31, 2007
- (e) DoD Directive 7730.65, "Department of Defense Readiness Reporting System (DRRS)," June 3, 2002
- (f) Under Secretary of Defense for Personnel and Readiness Memorandum, "Defense Readiness Reporting System (DRRS) Interim Implementation Guidance, Serial 4.0," August 23, 2006¹
- (g) Chairman of the Joint Chiefs of Staff Instruction 1001.01, "Joint Staff Manpower and Personnel Program," December 28, 2004
- (h) DoD Directive 5105.77, "National Guard Bureau (NGB)," May 21, 2008
- (i) DoD Instruction 5025.01, "DoD Directives Program," October 28, 2007

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¹ Available upon request from the Readiness Office, USD(P&R), OSD

FEDERAL MISSION

The NG JFHQs-State shall:

- a. Maintain, through their ARNG and ANG staff elements, trained and equipped ARNG and ANG forces to serve in their role as the NG, and as the Army and Air National Guard of the United States pursuant to the policies of and as resourced by the Secretaries of the Army and the Air Force, to perform missions as authorized by Federal authorities.
 - b. Provide command and control of all ARNG and ANG forces of their respective States.
- c. In accordance with policies and procedures established by the Secretary of Defense and the Secretaries of the Army and the Air Force, be prepared to provide one or more JTF command elements (or to serve as component elements of (larger) JTFs that might be established by proper authority) able to exercise command and control of military forces to execute assigned missions.
- d. Provide expertise and situational awareness to DoD authorities to facilitate integration of Federal and State-level activities.
- e. Develop plans, coordinated with appropriate authorities, that augment, support, or perform assigned or authorized Federal missions.
- f. Provide a continuous point of contact for situational awareness. For domestic operations, provide operational information, through NGB, to the Department of Defense and, through a State JTF, to the commander of Federal military forces operating in their respective States to ensure unity of effort.
- g. Maintain the capability to extend interoperable communications for command and control, shared situational awareness, and unity of effort to a domestic incident site.
 - h. Participate in Federal domestic preparedness planning, training, and exercises.
- (1) Develop plans to support civil authorities in response to man-made or natural disasters, and coordinate these plans, through NGB and the Joint Staff, with appropriate Combatant Commanders.
- (2) Coordinate, through the Chief, NGB, with appropriate Combatant Commanders to integrate into joint training, contingency plans, and exercises, as appropriate. As directed by their respective Governors, participate in State and regional preparedness planning, training, and exercises.
- i. Report readiness capability in accordance with DoD Directive 7730.65 and Under Secretary of Defense for Personnel and Readiness (USD(P&R)) Memorandum (References (e) and (f)).

j. As directed by their respective Governors and State Adjutants General, coordinate planning, training, and execution of NG homeland defense, National Special Security Events, defense support of civil authorities, and other domestic emergency missions within the United States.

ORGANIZATION AND MANAGEMENT

Each NG JFHQ-State is organized to conduct operations in State active duty (SAD), title 32, or title 10 status, and to render mutual support with title 10 forces operating within that State. Each NG JFHQ-State shall operate under the command and control of the Governor when in SAD or title 32 status. When in title 10 status, the NG JFHQs-State shall be under Federal command and control.

- a. Each NG JFHQ-State shall be comprised of a joint staff element, ARNG staff element, ANG staff element, and the personal staff and special staff of the State Adjutant General. The NG JFHQs-State shall:
- (1) Coordinate planning, training, and execution of NG homeland defense, defense support of civil authorities, and other domestic emergency missions within the United States.
- (2) Manage NG readiness in accordance with Reference (e) and prepare NG units for mobilization for active Federal and State service consistent with applicable policies, regulations, and instructions.
- b. The joint staff element of each NG JFHQ-State shall consist of the State Adjutant General and the Office of the State Adjutant General; the Assistant Adjutant General, ARNG; the Assistant Adjutant General, ANG; the Director of the NG JFHQ-State joint staff; and such separate offices as may be prescribed by the State Adjutant General and established in joint manpower documents. The joint staff element manpower shall be managed using joint manpower documents in the same manner as manpower for joint activities in accordance with Chairman of the Joint Chiefs of Staff Instruction 1001.01 (Reference (g)).
- c. The ARNG staff element shall consist of the Office of the Assistant Adjutant General, ARNG; the Executive Office; and such operating divisions as may be prescribed by the State Adjutant General for those matters pertaining to the ARNG as are established in the Army Table of Distribution and Allowances for this element.
- d. The ANG staff element shall consist of the Office of the Assistant Adjutant General, ANG; the Executive Office; and such operating divisions as may be prescribed by the State Adjutant General for those matters pertaining to the ANG as are established in the Air Force Unit Manning Document for this element.

RESPONSIBILITIES

- 1. <u>USD(P&R)</u>. The USD(P&R) shall:
- a. Oversee this Directive and incorporate changes and revisions, including those submitted by NGB, in accordance with DoD Directive 5105.77 (Reference (h)) (including all State-initiated changes, which must be submitted through NGB) in accordance with DoD Instruction 5025.01 (Reference (i)).
- b. Provide additional guidance relating to the NG JFHQs-State as necessary in accordance with Reference (i).
- c. Monitor the readiness of the NG JFHQs-State and subordinate units in accordance with References (e) and (f).
- d. Monitor the NG JFHQs-State and subordinate unit efforts to synchronize their training policies with DoD training policy.
- 2. <u>ASSISTANT SECRETARY OF DEFENSE FOR RESERVE AFFAIRS (ASD(RA))</u>. The ASD(RA), under the authority, direction, and control of the USD(P&R), shall coordinate with the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs (ASD(HD&ASA)) in the development and implementation of DoD policy as set forth in paragraphs 3.a. and 3.b. of this enclosure.
- 3. <u>ASD(HD&ASA)</u>. The ASD(HD&ASA), under the authority, direction, and control of the Under Secretary of Defense for Policy, shall:
- a. Develop, coordinate, and oversee the implementation of DoD policy for the participation of the NG JFHQs-State in DoD activities when the Secretary of Defense determines such participation is necessary and appropriate.
- b. Coordinate such matters with the Secretaries of the Army and the Air Force; the Chairman of the Joint Chiefs of Staff; the Chief, NGB, for matters regarding the NG JFHQs-State not under the cognizance of the Secretaries of the Army or the Air Force; and the ASD(RA), as appropriate.

4. <u>SECRETARY OF THE ARMY</u>. The Secretary of the Army shall:

- a. In accordance with section 105 of Reference (b), perform those inspection functions prescribed by law, policies, and issuances as they relate to NG JFHQs-State ARNG staff elements.
 - b. Through the Chief, NGB, address Army equities in the NG JFHQs-State with the States.
- c. In coordination with the Secretary of the Air Force and the Chairman of the Joint Chiefs of Staff, and through the Chief, NGB, establish 54 NG JFHQs-State; ensure the capabilities needed at the NG JFHQs-State to perform joint functions, roles, and missions, and the capabilities needed in ARNG units to support the joint functions, roles, and missions of the joint staff elements of the NG JFHQs-State, are both considered during the DoD planning, programming, budgeting, and execution (PPBE) process.
- d. Serve as the approval authority for ARNG manpower adjustments in the joint staff elements of the NG JFHQs-State.
- e. Coordinate with the ASD(HD&ASA) in the development and implementation of DoD policy as set forth in paragraphs 3.a. and 3.b. of this enclosure.

5. <u>SECRETARY OF THE AIR FORCE</u>. The Secretary of the Air Force shall:

- a. In accordance with section 105 of Reference (b), perform those inspection functions prescribed by law, policies, and issuances as they relate to NG JFHQs-State ANG elements.
- b. Through the Chief, NGB, address Air Force equities in the NG JFHQs-State with the States.
- c. In coordination with the Secretary of the Army and the Chairman of the Joint Chiefs of Staff, and through the Chief, NGB, establish 54 NG JFHQs-State; ensure the capabilities needed at the NG JFHQs-State to perform joint functions, roles, and missions, and the capabilities needed in ANG units to support the joint functions, roles, and missions of the joint staff elements of the NG JFHQs-State, are both considered during the DoD PPBE process.
- d. Serve as the approval authority for ANG manpower adjustments in the joint staff elements of the NG JFHQs-State.
- e. Coordinate with the ASD(HD&ASA) in the development and implementation of DoD policy as set forth in paragraphs 3.a. and 3.b. of this enclosure.

- 6. <u>CHAIRMAN OF THE JOINT CHIEFS OF STAFF</u>. The Chairman of the Joint Chiefs of Staff shall:
- a. Document the joint staff elements of the NG JFHQs-State in joint manpower documents in accordance with Reference (d).
- b. Ensure the joint manpower documents of each joint staff element of the NG JFHQs-State include the validated positions required to accomplish the joint mission of the NG JFHQs-State.
- c. Ensure the NG JFHQs-State are incorporated into joint doctrine, joint exercises, the Joint Officer Management Program, and other joint activities, as appropriate.
- d. Formulate joint training efforts in consultation with the USD(P&R) and the Secretaries of the Army and the Air Force to ensure synchronization with DoD-level policies and programs.
- e. Coordinate with the ASD(HD&ASA) in the development and implementation of DoD policy as set forth in paragraphs 3.a. and 3.b. of this enclosure.
- 7. COMMANDERS OF THE COMBATANT COMMANDS, EXCEPT FOR THE COMMANDER OF THE UNITED STATES JOINT FORCES COMMAND (CDRUSJFCOM). The Commanders of the Combatant Commands, EXCEPT for the CDRUSJFCOM, through the Chairman of the Joint Chiefs of Staff, shall coordinate with the CDRUSJFCOM and the Chief, NGB, to integrate the NG JFHQs-State into joint training, contingency plans, and exercises, as appropriate.
- 8. <u>CDRUSJFCOM</u>. The CDRUSJFCOM, through the Chairman of the Joint Chiefs of Staff, shall coordinate with the Commanders of the other Combatant Commands and the Chief, NGB, in integrating the NG JFHQs-State into joint training, contingency plans, and exercises, as appropriate.
- 9. <u>CHIEF, NGB</u>. The Chief, NGB, under the authority, direction, and control of the Secretaries of the Army and the Air Force for matters under the cognizance of the Secretaries, shall:
- a. Perform the functions prescribed by law, policies, and issuances with regard to the NG JFHQs-State.
- b. Provide liaison and shared situational awareness capability and serve as a channel of communications among the NG JFHQs-State, the Joint Staff, the Combatant Commands, the Military Departments, and the OSD Components in accordance with Reference (h) when the NG JFHQs-State are operating under the command and control of their respective Governors.

- c. Assist the NG JFHQs-State, with the consent of the Governors, by facilitating mutual support among the States to the extent allowed by law. Help establish unity of effort by facilitating the integration of State NG and DoD planning and other activities.
- d. In coordination with the Joint Staff Manpower and Personnel Directorate (J-1), manage the joint manpower documents for the joint element of the NG JFHQs-State.
- e. Manage the processing of recommendations for applicable joint awards in accordance with Reference (c). Manage requests for joint duty credit of NG personnel assigned to NG JFHQs-State joint elements or serving in JTFs in title 32 status in accordance with Joint Staff and DoD policy.
- f. Coordinate with the State Adjutants General to facilitate the development of a standardized joint mission-essential task list and the inclusion of the NG JFHQs-State in the Defense Readiness Reporting System (DRRS) in accordance with References (e) and (h).
- g. Coordinate NG JFHQs-State specific capability requirements and resolve issues with the Departments of the Army and the Air Force and other DoD Components, as appropriate.
- h. Assist the Secretaries of the Army and the Air Force to ensure joint functions, roles, and mission resource requirements are properly considered during the DoD PPBE process. Advise the Secretaries of the Army and the Air Force and the Chairman of the Joint Chiefs of Staff on any PPBE issues related to the joint functions, roles, and missions of the NG JFHQs-State.
- i. Support and coordinate the integration of the NG JFHQs-State into Combatant Command joint training, education, contingency plans, and exercises with the Commanders of the Combatant Commands, as appropriate.
- j. Coordinate with the ASD(HD&ASA) in the development and implementation of DoD policy as set forth in paragraphs 3.a. and 3.b. of this enclosure.
- 10. <u>STATE ADJUTANTS GENERAL</u>. The State Adjutants General, under the authority, direction, and control of their respective Governors, shall:
- a. Establish one or more temporary JTF command elements within their respective States ready to provide command and control for domestic operations in title 32, or title 10 status for appropriately established JTFs. This includes pre-designating commanders and staff elements within their respective States to participate in exercises and in training provided by the United States Northern Command to enhance readiness to provide command and control for domestic operations.
- b. Prepare to accept appropriate and mutually agreed upon Federal liaison elements in their respective NG JFHQs-State.

- c. Designate a qualified officer or officers eligible to serve in dual status pursuant to section 325(a)(2) of Reference (b). When a dual-status relationship is authorized, ensure dual status officers facilitate unity of effort between State and Federal military forces in accordance with guidance from both their respective Governors and the President, or their designees.
- d. Ensure designated homeland defense- and civil support-unique equipment is available for homeland defense and civil support use should the unit owning the equipment deploy for other missions, except for modified Table of Organization and Equipment and Unit Type Code equipment that is unit-organic.
- e. Develop joint mission-essential tasks or similar indicators for all Federally assigned missions, in accordance with Reference (e), to collect near-real-time data on the readiness of military forces and support organizations to perform these missions, using DRRS in accordance with Reference (f) as appropriate for State missions. Assess joint readiness in DRRS in accordance with References (e) and (f).
- f. Advise their respective Governors and collaborate with the Chief, NGB; the Secretary of Defense; the Chairman of the Joint Chiefs of Staff; the Secretaries of the Army and the Air Force; and the Combatant Commanders in matters pertaining to the NG JFHQs-State.
- h. Approve Defense awards up to the Joint Service Commendation Medal, consistent with the approval level set forth in Reference (c). Forward recommendations for awards that cannot be approved within the NG JFHQs-State and recommendations for the Defense Meritorious Service Medal and higher awards to the NGB Manpower and Personnel Directorate for approval or endorsement by the Chief, NGB.
- i. Provide detailed reports on the state of readiness of their respective State NGs on an annual basis to the Chief, NGB, to satisfy the Chief, NGB, statutory reporting requirements to Congress.

RELATIONSHIPS

- 1. Each NG JFHQ-State shall be the operational focal point for NG matters that are not under the authority, direction, and control of the Secretaries of the Army and the Air Force, including but not limited to joint, interagency, intergovernmental, Military Department, and international specific functions.
- 2. In SAD or title 32 status, each NG JFHQ-State shall report to the Governor through the State Adjutant General. When the State Adjutant General is not a Federally-recognized officer, actions that must be accomplished by a Federally-recognized officer (such as the approval of awards pursuant to paragraph 10.f. of Enclosure 4) shall be taken by:
 - a. A Federally-recognized Deputy or Assistant Adjutant General.
- b. If there is no Deputy or Assistant Adjutant General, a Federally-recognized officer as designated by the Governor.
- 3. In title 10 status, each NG JFHQ-State, led by the assigned Federally-recognized officer, shall report directly to the DoD-designated command. In instances of a partial mobilization of the NG JFHQs-State, each NG JFHQ-State element shall be led by an assigned Federally-recognized officer who shall report directly to the DoD-designated command.
- 4. All elements of the Department of Defense shall be engaged to achieve unity of effort. DoD actions shall support the authority and responsibilities of all the DoD Components as established in law. Nothing herein shall diminish the Federal or State statutory authority or the responsibilities of the Secretary of Defense; the Chairman of the Joint Chiefs of Staff; the Secretaries of the Army and the Air Force; the Combatant Commanders; the Chief, NGB; the Governors; or the State Adjutants General.
- 5. Each NG JFHQ-State shall liaise with and shall provide situational awareness among the States, and through the NGB, to the Department of Defense during domestic operations in which State-controlled NG forces and State intergovernmental and interagency organizations are participating.
- 6. If operational necessity dictates, the NG JFHQs-State may communicate directly with the appropriate Combatant Command or other DoD entities, keeping the NGB informed.

GLOSSARY

PART I: ABBREVIATIONS AND ACRONYMS

ANG Air National Guard

ANGUS Air National Guard of the United States

ARNG Army National Guard

ARNGUS Army National Guard of the United States

ASD(HD&ASA) Assistant Secretary of Defense for Homeland Defense and Americas'

Security Affairs

ASD(RA) Assistant Secretary of Defense for Reserve Affairs

CDRUSJFCOM Commander, United States Joint Forces Command

CJCS Chairman of the Joint Chiefs of Staff

DRRS Defense Readiness Reporting System

JFHQ-State one Joint Force Headquarters-State

JFHQs-State more than one Joint Force Headquarters-State

JTF joint task force

NG National Guard

NGB National Guard Bureau

PPBE programming, planning, budgeting, and execution

SAD State active duty SDF State Defense Forces

USD(P&R) Under Secretary of Defense for Personnel and Readiness

PART II: DEFINITIONS

Unless otherwise noted, these terms and their definitions are for the purpose of this Directive.

<u>Federally-recognized officer</u>. An officer of the militia who has been found qualified under Federal standards for Federal recognition of his State grade, which entitles the officer to Federal pay for training and other duty performed under the authority of title 32, U.S.C. Pursuant to sections 12211 and 12212 of Reference (a), upon being Federally recognized an officer of the ARNG or ANG shall be appointed in that grade as a Reserve of the Army or Air Force for service in the ARNGUS or ANGUS.

<u>Governors</u>. The Governors of the several States, the Territory of the U.S. Virgin Islands, the Territory of Guam, the Commonwealth of Puerto Rico and, in the case of the District of Columbia, the President of the United States or his or her designee.

NG. Defined in Reference (h).

<u>SAD status</u>. The status of a member of any unit of the NG of a State when not in title 32 status and when ordered by the Governor or his or her designee under the laws of the State concerned to perform functions authorized by State law.

<u>State Adjutants General</u>. The Adjutants General of the several States, the Territory of the U.S. Virgin Islands, the Territory of Guam, and the Commonwealth of Puerto Rico, and the Commanding General of the District of Columbia.

<u>States</u>. The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, and Guam.

<u>title 10 status</u>. The status of a member of any unit of the NG of a State when ordered to active duty or called to Federal service by the President or his designee to perform functions authorized by Federal law.

<u>title 32 status</u>. The status of members performing training or other duty pursuant to authorities in title 32, U.S.C. The performance of such duty is paid for with Federal funds but the members of the ARNG or ANG remain under the command and control of State authorities. For this reason it should be referred to as a Federally-funded State status.

<u>unity of effort</u>. Coordination and cooperation toward common objectives, even when the participants are not part of the same command.

15 GLOSSARY