

# Department of Defense **DIRECTIVE**

NUMBER 5106.04 June 19, 2006

DA&M

SUBJECT: Combatant Command Inspectors General

References: (a) Section 113 of title 10, United States Code

- (b) DoD Directive 5106.01, "Inspector General of the Department of Defense (IG DoD)," April 13, 2006
- (c) Appendix 3 of title 5, United States Code, "Inspector General Act of 1978," as amended
- (d) DoD Directive 5106.4, "Inspectors General (IGs) of the Unified and Specified Combatant Commands," January 7, 1993 (hereby canceled)
- (e) through (g), see Enclosure 1

# 1. <u>REISSUANCE AND PURPOSE</u>

Under the authority of Reference (a) and consistent with References (b) and (c), this Directive:

- 1.1. Reissues Reference (d) to update policy associated with, and responsibilities of, the Combatant Command Inspectors General.
  - 1.2. Authorizes the publication of an implementing DoD Instruction.

#### 2. APPLICABILITY AND SCOPE

This Directive applies to the Office of the Secretary of Defense, the Military Departments (including the Coast Guard at all times, including when it is a service in the Department of Homeland Security by agreement with that Department), the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively as the "DoD Components"). The term "Military Services," as used herein, refers to the Army, the Navy, the Air Force, the Marine Corps, and as provided above, the Coast Guard (including active and Reserve components).

#### 3. ORGANIZATION AND MANAGEMENT

- 3.1. Each Combatant Command shall have an Inspector General who reports directly to either the Commander of the Combatant Command or to the Deputy Commander. This Inspector General command and reporting relationship may not be further delegated.
- 3.2. Each Combatant Command Inspector General shall have a staff comprised of commissioned and noncommissioned officers and civilians selected from the Military Departments.

# 4. RESPONSIBILITIES AND FUNCTIONS

# 4.1. The Combatant Command Inspectors General shall:

- 4.1.1. Report on the state of the economy, efficiency, discipline, morale, training, and readiness throughout the command as directed by the Commander of the Combatant Command, consistent with References (b) and (c).
- 4.1.2. Perform investigative, inspection, evaluation, assistance, teaching, and training functions as directed by the Commander of the Combatant Command and make inquiries into any matter within the scope of the Commander's authority, consistent with References (b) and (c).
- 4.1.3. Coordinate with the Inspector General of the Department of Defense to address issues of mutual concern that regards their own and other commands.
- 4.1.4. Inspect and report on Combatant Command intelligence oversight programs pursuant to DoD Directive 5240.1 (Reference (e)).
- 4.1.5. Provide investigatory findings to the Military Departments as required by the rules of the subject's or suspect's Department when investigations of officers and noncommissioned officers have been completed.
- 4.1.6 Maintain Combatant Command Inspector General records, and release them only as authorized by the Commander of the Combatant Command.
- 4.1.7. Recommend actions to correct deficiencies identified during investigations, inspections/evaluations, and assistance visits, and monitor the progress of approved corrective actions.
- 4.1.8. Promptly report allegations against senior officials to the Inspector General of the Department of Defense when such allegations are received, in accordance with DoD Directive 5505.06 (Reference (f)). Reporting shall be through the Joint Staff Inspector General with a concurrent notification to the appropriate Military Department Inspector General when the allegation pertains to a military member.

4.1.9. Review and investigate inquiries received from the Defense Hotline Program in accordance with DoD Directive 7050.1 (Reference (g)).

# 4.2. The Commanders of the Combatant Commands shall:

- 4.2.1. Select officers in the grade of O-6 or above to serve as Combatant Command Inspectors General, which are full-time, separate positions. The Commanders of the Combatant Commands shall also select personnel to serve as members of the Combatant Command Inspectors General staff. The Commanders of the Combatant Commands may afford the Inspector General of the Military Department to which any nominee belongs the opportunity to provide comments, through the Chairman of the Joint Chiefs of Staff, on the qualifications and suitability of the prospective Combatant Command Inspector General or any prospective Combatant Command Inspector General staff member.
- 4.2.2. Provide administrative and support personnel and other resources as necessary to the Combatant Command Inspector General and staff to accomplish assigned missions.
- 4.2.3. Avoid assigning other duties to the Combatant Command Inspectors General and their staffs that interfere or conflict with the duties, responsibilities, and functions assigned in this Directive.
- 4.2.4. Rate and evaluate the respective Combatant Command Inspectors General. This action may be delegated only to the Deputy Commanders of the Combatant Commands.
- 4.2.5. Assume ownership of Combatant Command Inspector General records and serve as the release authority or initial denial authority for all Combatant Command Inspector General records.

#### 4.3. The Inspector General of the Department of Defense shall:

- 4.3.1. Assist Combatant Command Inspectors General by coordinating and clarifying DoD policy and issuing implementing instructions regarding Inspector General duties, responsibilities, and functions.
- 4.3.2. Resolve conflicting or inconsistent Inspector General policy involving Combatant Command Inspector General duties, responsibilities, and functions.
- 4.3.3. Develop, coordinate, conduct, and provide uniform training and certification regarding Inspector General duties, responsibilities, and functions for Combatant Command Inspectors General and their staffs.
- 4.3.4. Provide a forum for Department of Defense Inspector General issues and assist and coordinate with the Joint Staff Inspector General and the Inspectors General of the Military Departments on joint Inspector General issues of concern to the Combatant Commands and the Military Departments.

- 4.3.5. Designate an official as the Inspector General of the Department of Defense focal point to coordinate appropriate Inspector General matters with the Commanders of the Combatant Command.
  - 4.4. The Joint Staff Inspector General, under the Chairman of the Joint Chiefs of Staff, shall:
- 4.4.1. Provide assistance to Combatant Command Inspectors General offices as requested.
- 4.4.2. Coordinate and consolidate the Combatant Command Inspector General intelligence oversight report.
- 4.4.3. Serve as intermediary between the Inspector General of the Department of Defense and the Combatant Command Inspectors General concerning investigations, assistance, and inquiries as requested by the Inspector General of the Department of Defense.
- 4.4.4. Assist in resolving issues between the Inspectors General of the Military Departments and the Combatant Command Inspectors General as necessary.
- 4.4.5. Provide a forum for joint Inspector General issues and information concerning the Combatant Command Inspectors General and the Inspectors General of the Military Departments.
  - 4.5. The <u>Secretaries of the Military Departments</u> shall:
- 4.5.1. Nominate qualified commissioned officers to serve as the Combatant Command Inspectors General if requested by the Commander of a Combatant Command.
- 4.5.2. Nominate qualified commissioned and noncommissioned officers to serve as members of the Combatant Command Inspector General staff if requested by the Commander of a Combatant Command.
- 4.6. The <u>Inspectors General of the Military Departments</u>, under the Secretaries of the Military Departments, shall:
- 4.6.1. Comment on the qualifications and suitability of any prospective Combatant Command Inspector General or staff member if requested by the Combatant Commander.
- 4.6.2. Provide military service-specific Inspector General training to Combatant Command Inspectors General and their staffs as required.
- 4.6.3. Perform duties in support of the Combatant Command and Joint Inspector General Course as outlined in the Combatant Command and Joint Inspector General Orientation Course Charter.

# 4.7. The Heads of the DoD Components shall:

- 4.7.1. Designate a point of contact to coordinate Inspector General matters with the Combatant Command Inspectors General.
- 4.7.1.1. For DoD Components that have an Inspector General, the point of contact shall be the DoD Component Inspector General.
- 4.7.1.2. For the DoD Components that do not have an Inspector General, the point of contact shall be the individual who is the Defense Hotline Component Coordinator under Reference (g) or the Component-designated official under Reference (f).
- 4.7.2. Provide assistance, including subject-matter experts as necessary, to assist the Combatant Command Inspectors General and staff in the performance of their duties in accordance with applicable laws, policies, and regulations.
- 4.7.3. Accept investigative referrals and transfers from the Combatant Command Inspectors General and process them in accordance with applicable Inspector General of the Department of Defense procedures.
- 4.7.4. Accept assistance referrals and transfers from the Combatant Command Inspectors General and process them in accordance with applicable laws, policies, and regulations.
- 4.7.5. Coordinate and address Inspector General issues with the Inspector General of the Department of Defense and the Combatant Command Inspectors General.

#### 5. RELATIONSHIPS

In the performance of assigned responsibilities and functions, Combatant Command Inspectors General shall:

- 5.1. Coordinate Inspector General activities with the Inspector General of the Department of Defense, the Joint Staff Inspector General, and Inspectors General of the Military Departments, as appropriate.
- 5.2. Refer to the appropriate official for disposition of any matter outside the authority of the Combatant Command Inspector General that may become evident during the conduct of investigations, inspections/evaluations, or assistance visits.

# 6. ADMINISTRATION

- 6.1. The Combatant Command Inspectors General shall submit reports of all investigations, inspections/evaluations, and assistance visits, directly to the Commander of the Combatant Command, with information copies to the appropriate subordinate commander.
- 6.2. The Commander of the Combatant Command will review and forward substantive reports, along with his comments, to the Chairman of the Joint Chiefs of Staff for further review and forwarding to other Department of Defense officials as appropriate.

# 7. EFFECTIVE DATE

This Directive is effective immediately.

Gordon England

Enclosure 1

E1. References, continued

# E1. ENCLOSURE 1

# REFERENCES, continued

- (e) DoD Directive 5240.1, "DoD Intelligence Activities," April 25, 1988(f) DoD Directive 5505.06, "Investigations of Allegations Against Senior Officials of the Department of Defense," April 10, 2006
- (g) DoD Directive 7050.1, "Defense Hotline Program," January 4, 1999