

2003 Index

Federal Election Commission

Volume 29

# ANNUAL INDEX 2003

### How to Use This Index

The first number in each citation refers to the "number" (month) of the 2003 *Record* issue in which the article appeared. The second number, following the colon, indicates the page number in that issue. For example, "1:4" means that the article is in the January issue on page 4.

# **Administrative Complaints**

Lawsuits to compel FEC action

- Fulani v. FEC, 2:8
- Judicial Watch and Peter F. Paul v. FEC, 11:7

# **Administrative Fines**

Challenges

- Cannon v. FEC, 10:13
- Correa v. FEC, 5:5; 12:7
- Cox for U.S. Senate, 8:3
- Cunningham v. FEC, 1:20
- Greenwood for Congress v. FEC, 4:4; 10:13
- *Lovely v. FEC*, 3:4
- Stevens, 8:3
- Wilkinson v. FEC, 11:8
- Final rules on program amendments, 4:1

Legislative recommendation to

make program permanent, 6:8 Penalties assessed, 1:25; 2:13; 3:4;

5:7; 7:6; 8:11; 9:12; 11:6; 12:12

# **Advisory Opinions (AOs)**

Alternative Disposition

- AOR 2003-8, 5:4
- AOR 2003-9, 6:4
- Summaries of AOs
- 2002-12: Disaffiliation of corporations and their PACs, 2:8
- 2002-14: National party committee's lease of mailing list and sale of advertising space and trademark license, 3:5
- 2002-15: Affiliation of trade associations, 4:8
- 2003-1: Nonconnected committee's payment of expenses for trip to meet Members of Congress, 4:9
- 2003-2: Socialist Workers Party disclosure exemption, 5:1
- 2003-3: Solicitation of funds for nonfederal candidates by federal candidates and officeholders, 6:1
- 2003-4: Corporation's matching charitable contribution plan, 6:3
- 2003-5: Federal candidate's or officeholder's participation in membership organization fundraising events, 8:1
- 2003-6: Transfer of payroll deduction authorization between affiliated SSFs, 7:4
- 2003-7: State leadership PAC refunding nonfederal funds, 7:5
- 2003-10: Solicitation of nonfederal funds by a relative of,

and sometimes fundraiser for, federal candidate, 8:6

- 2003-11: State party committee's payment of employee benefits, 10:7
- 2003-12: Federal candidate/ officeholder's support of ballot initiative, 9:7
- 2003-13: Qualification of "Members in Training" as members of incorporated membership organization, 8:7
- 2003-14: Distribution of apron pins bearing PAC name, 8:8
- 2003-15: Donations to legal expense trust not contributions, 10:8
- 2003-16: Affinity credit card program between national bank and national party committee, 10:9
- 2003-17: Use of campaign funds to pay for criminal defense, 9:10
- 2003-18: Transfer of general election funds to charitable organization not permissible, 9:10
- 2003-19: National party committee's sale of used office equipment, 10:11
- 2003-20: Officeholder solicitation for scholarship fund, 10:11
- 2003-21: Disaffiliation of corporation's PACs, 11:1
- 2003-22: Contributions collected and forwarded to trade association

SSF by executives of member corporations, 10:12

- 2003-23: Earmarking contributions to presumptive Presidential nominee, 12:8
- 2003-24: Prohibition on the sale and use of contributor information applied to advocacy and education group, 12:8
- 2003-25: Federal candidate appearing in advertisement endorsing nonfederal candidate, 12:9
- 2003-26: Impermissibility of using campaign funds to refund improper contributions received by federal candidate's former state campaign committee, 12:10
- 2003-27: State committee status, 12:11

# Affiliation

Affiliation between

- leadership PAC and authorized committee, Notice of Proposed Rulemaking, 2:4
- trade associations and their PACs,

#### Federal Election Commission 999 E Street, NW Washington, DC 20463

800/424-9530 202/694-1100 202/501-3413 (FEC Faxline) 202/219-3336 (TDD for the hearing impaired)

Bradley A. Smith, Chairman Ellen L. Weintraub, Vice Chair David M. Mason, Commissioner Danny L. McDonald, Commissioner Scott E. Thomas, Commissioner Michael E. Toner, Commissioner

James A. Pehrkon, Staff Director Lawrence H. Norton, General Counsel

Published by the Information Division Greg J. Scott, Assistant Staff Director Amy Kort, Editor

http://www.fec.gov

AO 2002-15, 4:8 Disaffiliation of corporations and their PACs, AO 2002-12, 2:8; AO 2003-21, 11:1 *See also*: "Directly or Indirectly

Established, Maintained, Financed or Controlled"

#### Agent

Relevance of prior relationships and contemporaneous activities to agency relationship, AO 2003-10, 8:6

#### Allocation

Employee benefits paid by state party committee to employees, AO 2003-11, 10:7

#### Alternative Dispute Resolution

Frequently asked questions, 800 line, 1:23 Program update, 2:11; 3:3; 5:10; 7:10; 8:11; 9:13; 10:15; 12:13

Amboy National Bank MUR 5208: Facilitation of contributions by national bank, 2:1

American Association of Clinical Urologists AO 2002-15, 4:8

American Bankers Association AO 2003-22, 10:12

American Federation of Labor-Congress of Industrial Organizations (AFL-CIO) \_\_\_\_\_\_v. FEC, 8:1

American Federation of State, County and Municipal Employees—Public Employees Organization to Promote Legislative Equality (AFSCME PEOPLE) MUR 5270: Failure to accurately

report disbursements and cash-onhand, 6:7

American Medical Security, Inc., PAC AO 2002-12, 2:8

**American Urological Association** AO 2002-15, 4:8

#### Audits

LaRouche's Committee for a New Bretton Woods, 6:9 2000 Presidential candidates, 2:10

Beaumont, Christine \_\_\_\_\_v. FEC, 1:20; 7:1

#### "Best Efforts"

Required for contributions made through affinity credit card program established by national bank, AO 2003-16, 10:9

Bipartisan Campaign Reform Act Advisory Opinions See: Advisory Opinions
Draft reporting forms and formats released for public comment, 1:2
Litigation

Hawaii Right to Life v. FEC, 1:20
McConnell et al., v. FEC, 6:1

Regulations See: Regulations
Technical amendments, 2:6

Blue Cross Blue Shield United of Wisconsin PAC AO 2002-12, 2:8

**Bob Smith for U.S. Senate** AO 2003-18, 9:10

**Brokerage Loans and Lines of Credit** Effective date, 2:4

Buchanan, Patrick Audit of 2000 Presidential campaign, 2:10

Budget 2004 request, 5:6

**Building Fund** Reporting by state and local committees, 1:14

Bush, George Audit of 2000 Presidential campaign, 2:10

**California Democratic Party** *FEC v.* \_\_\_\_\_, 5:5

# **Campaign Funds**

Personal use of, final rules, 1:8 Refund by federal committee of improper contributions received by now defunct state campaign committee not permissible, AO 2003-26, 12:10

Transfer of general election funds to charitable organization not permissible, AO 2003-18, 9:10

Use of campaign funds to pay for criminal defense, AO 2003-17, 9:10

### Candidates

- Appearing in advertisement endorsing nonfederal candidate, AO 2003-25, 12:9
- Authorizing additional committees, 800 line, 9:1
- Ballot initiative, support of, AO 2003-12, 9:7
- Criminal defense costs, AO 2003-17, 9:10
- Disclosure of intent to spend personal funds
- new information required on Statement of Organization and Statement of Candidacy, 3:2

- 800 line, 9:1

Disposition of general election contributions by candidate defeated in the primary, AO 2003-18, 9:10

Filing frequency for authorized committees, 1:2; final rules on BCRA reporting, 1:14

Mailing list use, Notice of Proposed Rulemaking, 10:5; public comments, 11:4; rulemaking closed, 12:5

Redesignating existing campaign committee, 800 line, 9:1

Registration, 800 line, 9:1

Repayment of personal loans from candidate, limits on, interim final rules on Millionaire's Amendment, 2:2

Testing the waters, 800 line, 9:1 Travel

- new rules to establish uniform payment system, Notice of Proposed Rulemaking, 9:4; public comments, 11:4
- payment for air travel, audits of

2000 Presidential campaigns, 2:10 See Also: Personal Use of Campaign Funds

See Also: Presidential Candidates Use of campaign funds, final rules, 1:8

- Use of personal funds, Millionaires' Amendment interim final rules, 2:2
- Use of personal line of credit, rules for brokerage loans and lines of credit, effective date, 2:4

Salary, final rules, 1:8

- Solicitation of funds for nonfederal candidates, AO 2003-3, 6:1
- Solicitation of funds for voter mobilization program supported by membership organization, AO 2003-5, 8:1

**Cannon, Peter J.** \_\_\_\_\_ *v. FEC*, 10:13

**Cantor, Eric** AO 2003-3, 6:1

# **Civil Penalties**

Final rules, 1:8

# Commissioners

Congressional testimony on enforcement procedures, 12:1 Smith, Bradley, elected Vice Chairman, 1:2 Weintraub, Ellen – elected Chair, 1:2 – joins Commission, 1:2 – message from the Chair, 1:1

# Compliance

- Administrative Fine Program *See*: Administrative Fines Alternative Dispute Resolution
- Program

*See*: Alternative Dispute Resolution

Letter notification procedures (requests for additional information), 7:2

Penalties assessed

- MUR 4931: Corporate contributions and contributions in the name of another, 10:1
- MUR 5187: Corporate reimbursements, 1:22

- MUR 5208: Facilitation of contributions by national bank, 2:1
- MUR 5270: Failure to accurately report disbursements and cash-onhand, 6:7

#### **Conferences/Workshops**

Notices, 1:25; 2:14; 3:1; 4:10; 5:10; 6:10; 7:11; 8:14; 9:14; 10:18; 11:5; 12:14 Roundtables *See:* Roundtable Sessions

### **Connected Organization**

Affiliation of trade associations, AO 2002-15, 4:8
Disaffiliation of corporations and their PACs
AO 2002-12, 2:8
AO 2003-21, 11:1
Matching charitable contribution plan, AO 3003-4, 6:3
Trade association use of payroll deduction, Petition for Rulemaking, 11:1
See Also: Corporation

# **Constitutional Issues**

- Bipartisan Campaign Reform Act, challenges
- Hawaii Right to Life v. FEC, 1:20
- McConnell et al., v. FEC, 6:1
- Disclosure of documents from closed enforcement matters, *AFL-CIO* v. *FEC*, 8:1
- Prohibition on corporate contributions and expenditures
- Beaumont v. FEC, 1:20; 7:1
- Hawaii Right to Life, 1:20

# Contributions

- Affinity credit card program between national bank and national party committee does not result in contributions by national bank, AO 2003-16, 10:9
- Collected and forwarded to trade association SSF by executives of member corporations, AO 2003-22, 10:12
- Donations to legal expense trust not contributions, AO 2003-15, 10:8

Earmarking contributions to presumptive Presidential nominee, AO 2003-23, 12:8

Excessive

- FEC v. Dear for Congress, 8:4
- Judicial Watch and Peter F. Paul v. FEC, 11:7

Failure to forward to treasurer, *FEC v. Toledano*, 1:20

In-Kind

 corporate bulk mailing permit, *FEC v. Freedom's Heritage Forum*, 2:8; 5:5; 10:13

- excessive, Judicial Watch and Peter F. Paul v. FEC, 11:7
- solicitation expenses for earmarked contributions, if coordinated, AO 2003-23, 12:8

Limits

- Biennial limit, clarification, 2:1; Notice of Proposed Rulemaking, 9:3; public comment, 11:4; final rule, 12:4
- Increases and indexing take effect, 1:6; delay of effective date, 2:6
- Increases under Millionaires' Amendment, interim final rules, 2:2
- Legislative recommendation to index for inflation multicandidate committee limits, 6:8
- Proceeds from sale of used office equipment not a contribution, AO 2003-19, 10:11

# **Contributions, Prohibited**

Corporate

- Beaumont v. FEC, 1:20, 7:1
- FEC v. California Democratic Party, 5:5
- FEC v. Freedom's Heritage Forum, 2:8; 5:5; 10:13
- MUR 4931: Corporate reimbursement, 10:1
- MUR 5187: Corporate reimbursements, 1:22
- MUR 5208: Corporate facilitation, 2:1

In the name of another

- FEC v. Dear for Congress, 8:4
- MUR 4931: Corporate reimbursement, personal reimbursement, 10:1

MUR 5187: Corporate reimbursements, 1:22

# Conventions

Democratic and Republican parties certified for convention funding, 8:13

New rules, Notice of Proposed Rulemaking, 5:1; postponement of hearing date, 6:9; hearing 7:8; final rules, 9:1

# **Coordinated Expenditures**

Final rules, 1:10

Party committee phone banks, Notice of Proposed Rulemaking, 10:4; public comments, 11:4; final rule, 12:1

# Coordination

Appearance of federal candidate in endorsement ad for mayoral candidate, AO 2003-25, 12:9 Final rules, 1:10 See Also: Coordinated Expenditures

# Corporation

- Donations to legal expense fund permissible, AO 2003-15, 10:8 Facilitation
- by national bank, MUR 5208, 2:1
- executives of member corporations collecting and forwarding contributions to trade association SSF not facilitation, AO 2003-22, 10:12
- Payroll deduction See: Payroll Deduction Reimbursement of contributions, MUR 4931, 10:1; MUR 5187, 1:22

Treasury funds, prohibition on use See: Contributions, Prohibited See Also: Connected Organization

**Correa, Luis M.** \_\_\_\_\_ *v. FEC*, 5:5

# **Court Cases**

- \_\_\_\_\_ v. FEC
- AFL-CIO, 8:1
- Beaumont, 1:20; 7:1
- Cannon, 10:13
- Correa, 5:5; 12:7
- Cox for U.S. Senate, 8:3

- Cunningham, 1:19
- Fulani, 2:8
- Greenwood for Congress, 4:4; 10:13
- Hawaii Right to Life, 1:20
- Judicial Watch and Peter F. Paul, 11:7
- Lovely, 3:4
- McConnell et al., 6:1
- Stevens, 8:3
- Wilkinson, 11:8

#### FEC v. \_

- California Democratic Party, 5:5
- Dear for Congress, 8:4
- Freedom's Heritage Forum, 2:8; 5:5; 10:13
- Toledano, 1:20

Cox for U.S. Senate

\_\_\_\_\_ *v. FEC*, 8:3

Credit Cards

See: Brokerage Loans and Lines of Credit

Cunningham, Jeremiah T., \_\_\_\_\_v. FEC, 1:19

# Dean, Howard

Certified for primary matching payments, 8:13

Dear for Congress

FEC v. \_\_\_\_, 8:4

# Debts

See: Brokerage Loans and Lines of Credit See: Loans

**Democratic Congressional Campaign Committee** AO 2003-19, 10:11

### "Directly or Indirectly Established, Maintained, Financed or Controlled"

Ballot initiative committee established by federal candidate/ officeholder, AO 2003-12, 9:7 Leadership PACs, Notice of Proposed Rulemaking, 2:4

#### **Disclosure Date**

Defined, final rules on BCRA reporting, 1:14

#### Disclaimers

Failure to include *FEC v. California Democratic Party*, 5:5 *FEC v. Freedom's Heritage Forum*, 2:8; 5:5; 10:13
MUR 5208, 2:1
Federal candidates' and officeholders' solicitation for nonfederal candidates, AO 2003-3, 6:1
Final rules, 1:8

#### Disclosure

See: Reporting

#### Earmarking

For presumptive party nominee, for national party committee, solicitation costs, AO 2003-23, 12:8

#### **Election Administration**

Help America Vote Act, 1:18 Implementation plan for Voting

- Systems Standards, 1:18 National Mail Voter Registration Form
- released for comment, 7:5
- submitted to Congress, 8:12
- updated form, 12:6

#### **Electioneering Communications**

By ballot initiative committee established by federal candidate, AO 2003-12, 9:7

Dates for 2004 election reporting periods released for public comments, 12:5

Definition challenged as unconstitutional

- Hawaii Right to Life v. FEC, 1:20
- *McConnell et al, v. FEC et al.*, 6:1 Final rules on reporting, 1:14

#### Elections

See: Election Administration

#### **Electronic Filing**

Draft filing formats released for public comment, 1:2 Filing via diskette, *Greenwood for Congress v. FEC*, 4:4; 10:13 Legislative recommendation to require electronic filing of Senate reports, 6:8 Updated software, 4:3; 10:1 *See Also*: Reports

#### Enforcement

Commissioners testify before Congress on enforcement procedures, 12:1

Deposition transcripts in nonpublic investigations, policy statement, 10:3

Disclosure of documents from closed MURs, *AFL-CIO v. FEC*, 8:1

Public hearing on enforcement procedures, 6:7; 7:7 *See Also*: Compliance

## **Excess Campaign Funds**

See: Campaign Funds

#### **Expenditures**

*See*: Coordinated Expenditures *See*: Independent Expenditures

**Facilitation** *See*: Corporation

# "Fair Market Value"

Mailing list

- purchase in excess of fair market value, Buchanan Reform, Inc., audit, 2:10
- sale, rental and exchange by political committee, Notice of Proposed Rulemaking, 10:4; public comments 11:4; Notice of Disposition, 12:5
- National party committee
- lease of mailing list and sale of advertising space and trademark license, AO 2002-14, 3:5
- purchase of advertising space, AO 2003-16, 10:9
- sale of used office equipment, AO 2003-19, 10:11

#### **Federal Election Activity**

Appearance of federal candidate in advertisement endorsing nonfederal candidate not federal election activity, AO 2003-25, 12:9

# BCRA on the FEC's Web Site

The Commission has a section on its web site (<u>www.fec.gov</u>) devoted to the Bipartisan Campaign Reform Act of 2002 (BCRA).

The page provides links to:

- The Federal Election Campaign Act, as amended by the BCRA;
- Summaries of major BCRArelated changes to the federal campaign finance law;
- Summaries of litigation involving challenges to the law;
- *Federal Register* notices announcing new and revised Commission regulations that implement the BCRA;
- BCRA-related advisory opinions; and
- Information on educational outreach offered by the Commission, including upcoming Roundtable sessions and the Commission's 2004 conference schedule.

The section also allows individuals to view the Commission's calendar for rulemakings, including dates for the Notices of Proposed Rulemaking, public hearings, final rules and effective dates for regulations concerning:

- Soft money;
- Electioneering Communications;
- Contribution Limitations and Prohibitions;
- Coordinated and Independent Expenditures;
- The Millionaires' Amendment;
- Consolidated Reporting rules; and
- Other provisions of the BCRA. The BCRA section of the web site will be continuously updated. Visit <u>www.fec.gov</u> and click on the BCRA icon.

Employee benefits paid by state party committee, AO 2003-11, 10:7

Filing frequency for state, district and local party committees with reportable federal election activities, 1:3; 4:5

### **Federal Election Campaign Act**

Legislative recommendations sent to Congress, 6:8 See Also: Bipartisan Campaign Reform Act

Federal Election CommissionBudgetSee: BudgetCommissionersSee: CommissionersElectronic Filing OfficeSee: Electronic FilingLegislative recommendations sent to<br/>Congress, 6:8Office of Election Administration<br/>See: Election Administration<br/>See: Voting System StandardsPublications<br/>See: Publications<br/>Press Officer sought, 12:6

# Federal Officeholder

Ballot initiative, support of, AO 2003-12, 9:7

Solicitation of funds for

- membership organization PAC and voter mobilization drive, 2003-5, 8:1
- nonfederal candidates, AO 2003-3, 6:1
- scholarship fund, AO 2003-20, 10:11

Relative, who is also sometimes an agent, rasing nonfederal funds, 2003-10, 8:6

See Also: Leadership PACs

# **Federal Register**

Notices, 1:15; 2:6; 4:5; 5:3; 6:8; 9:4; 10:4; 12:4

**Flake, Jeff** AO 2003-12, 9:7 **Final Rules** *See*: Regulations

**Forms** *See:* Reporting

**Freedom's Heritage Forum** *FEC v.* \_\_\_\_\_, 2:8; 5:5; 10:13

**Freeport-McMoRan Copper and Gold, Inc.** AO 2003-4, 6:3

**Fulani, Lenora B.** \_\_\_\_\_ *v. FEC*, 2:8

Fundraising See: Solicitations

Generic Voter Drives See: Federal Election Activity

Gore, Al Audit of 2000 Presidential campaign, 2:10

**Greenwood for Congress** \_\_\_\_\_ *v. FEC*, 4:4; 10:13

Home Depot Better Government Committee (Home Depot PAC) AO 2003-14, 8:8

Independent Expenditures Failure to report, *FEC v. California Democratic Party*, 5:5 Filing requirements under BCRA, 1:3; final rules on BCRA reporting, 1:14 Final rules, 1:10

#### **Internal Revenue Service**

Federal income tax requirements, 3:3

New IRS political organization filing and disclosure web site, 6:9; 8:9

# Internet

New IRS political organization filing and disclosure web site, 6:9; 8:9 Issue Ads See: Electioneering Communications See: Federal Election Activity

JebPAC MUR 5208: Failure to include required notices on solicitations, 2:1

Judicial Watch Judicial Watch and Peter F. Paul v. FEC, 11:7

Keyes, Alan Audit of 2000 Presidential campaign, 2:10

Labor Unions, Incorporated *See*: Corporation

LaRouche, Lyndon Certified for primary matching funds, 11:10 2000 campaign audit, 6:9

### **Leadership PACs**

Mailing list, sale, rental or exchange with principal campaign committee, Notice of Proposed Rulemaking, 10:5; public comments, 11:4; rulemaking closed, 12:5

Notice of Proposed Rulemaking on affiliation with candidate's committees, 2:4 Refund of nonfederal funds, AO 2003-7, 7:5

# Legislation

Help America Vote Act, 1:18 Legislative recommendations sent to Congress, 6:8

Lehman Brothers Holding, Inc. AO 2003-21, 11:1

# **Levin Funds**

Monthly filing as a result of reportable receipts or disbursements, reporting procedures, 800 line, 4:5

Federal Election Commission RECORD

**Libertarian National Committee, Inc.** AO 2002-14, 3:5

Lieberman, Joseph Certified for Presidential primary matching funds, 12:7

## Lines of Credit

See: Brokerage Loans and Lines of Credit See: Loans

#### Loans

Brokerage loans and lines of credit, effective date, 2:4Repayment of personal loans from candidate, Millionaires' Amendment, interim final rules, 2:2See Also: Debts

### Lovely, William, A.

\_\_\_\_\_ *v. FEC*, 3:4

# FEC Accepts Credit Cards

The Federal Election Commission now accepts American Express, Diners Club and Discover Cards in addition to Visa and MasterCard. While most FEC materials are available free of charge, some campaign finance reports and statements, statistical compilations, indexes and directories require payment. Walk-in visitors and those placing requests by telephone may use any of the above-listed credit cards, cash or checks. Individuals and organizations may also place funds on deposit with the office to purchase these items. Since pre-payment is required, using credit cards or funds placed on deposit can speed the processing and delivery of orders. For further information, contact the Public Records Office at 800/424-9530 (press 3) or 202/ 694-1120.

**Majette, Denise** AO 2003-15, 10:8

### **Mailing Lists**

National party committee's sale, rental and exchange, AO 2002-14, 3:5

Political committees' sale, lease and exchange, Notice of Proposed Rulemaking, 10:5; public hearing, 11:4; rulemaking closed, 12:5

Purchase in excess of fair market value, Buchanan Reform, Inc., audit, 2:10

#### **Matching Payment Act**

Challenged entitlement, Fulani v. FEC, 2:8 Matching fund certifications - Dean, Howard, 8:13 - LaRouche, Lyndon, 11:10 - Lieberman, Joseph, 12:7 Legislative recommendation to avert impending shortfall, 6:8 New rules, Notice of Proposed Rulemaking, 5:1; postponement of hearing date, 6:9; public hearing, 7:8; final rules 9:1 Spending limits, estimated, 11:9 See Also: Audits See Also: Presidential Candidates See Also: Presidential Election

Campaign Fund Act

Mattel, Inc. MUR 5187: Corporate reimbursements of contributions, 1:22

Matters Under Review (MURs) See: Compliance See Also: Enforcement

#### **McConnell, Mitch** \_\_\_\_\_ *v. FEC*, 6:1

#### **MCFL Exemption**

Applied to

- Hawaii Right to Life, 1:20

 North Carolina Right to Life, 1:20; 7:1

#### **Membership Organization**

Federal candidate's or officeholder's participation in fundraising events, AO 2003-5, 8:1 Qualification of "Members in Training" as members of incorporated membership organization, AO 2003-13, 8:7 See Also: Corporation

Michigan Democratic State Committee AO 2003-11, 10:7

#### Millionaires' Amendment

FEC Form 3 Z-1 filed by principal campaign committees, 7:2 Interim final rules, 2:2

- Statements of Candidacy and Organization
- disclosure of intent to spend personal funds, 800 line, 9:1
- new information required, 3:2

Missouri Green Party, Inc. AO 2003-27, 12:11

#### Nader, Ralph

Audit of 2000 Presidential campaign, 2:10

National Association of Home Builders AO 2003-5, 8:1

National Center for Tobacco Free Kids AO 2003-24, 12:8

**Nominating Conventions** *See*: Conventions

#### **Nonfederal Elections**

Appearance of federal candidate in ad endorsing mayoral candidate, AO 2003-25, 12:9

Ballot initiative support by federal candidate/officeholder, AO 2003-12, 9:7

Solicitation of funds for nonfederal candidates by federal candidates and officeholders, AO 2003-3, 6:1

#### Nonfederal Funds (Soft Money)

Appearance of federal candidate in ad paid with nonfederal funds endorsing mayoral candidate, AO 2003-25, 12:9 Ballot initiative committee established by federal candidate/ officeholder may not raise or spend, AO 2003-12, 9:7

Nonconnected PAC may not use nonfederal funds to pay all expenses for a trip to meet Members of Congress, AO 2003-1, 4:9

Raised by relative of federal officeholder who in some cases acts as officeholder's agent, AO 2003-10, 8:6

Refund by leadership PAC, AO 2003-7, 7:5

See Also: Bipartisan Campaign Reform Act

### Nonfilers

Committee failing to file preprimary report, 12:6

### NORPAC

AO 2003-1, 4:9

### North Carolina Right to Life

Beaumont v. FEC, 1:20; 7:1

#### ORTHPAC

AO 2003-13, 8:7

#### **Party Committee**

Allocation See: Allocation Building Fund See: Building Fund

# Need FEC Material in a Hurry?

Use FEC Faxline to obtain FEC material fast. It operates 24 hours a day, 7 days a week. Hundreds of FEC documents—reporting forms, brochures, FEC regulations—can be faxed almost immediately.

Use a touch tone phone to dial **202/501-3413** and follow the instructions. To order a complete menu of Faxline documents, enter document number 411 at the prompt.

Coordinated expenditures, requirements for timing, final rules, 1:10 *See Also*: Coordinated Expenditures

Earmarked contributions, received from PAC, AO 2003-23, 12:8

Federal election activity

See: Federal Election Activity Independent expenditures, requirements for timing, final rules, 1:10 See Also: Independent Expenditures

Levin funds

See: Levin Funds

- Monthly filing
- for national party committees, 1:3; final rules on BCRA reporting, 1:14
- for state, district and local party committees with reportable federal election activity, 1:3; 4:5
- National party committee's
- participation in affinity credit card program with national bank, AO 2003-16, 10:9
- sale of used office equipment, AO 2003-19, 10:11
- use of mailing list, AO 2002-14, 3:5
- Office building funds, final rules for reporting, 1:14
- Phone banks, attribution of costs to candidate, Notice of Proposed Rulemaking, 10:4; final rules, 12:1
- Soft Money
- See: Nonfederal Funds
- State Committee Status
- See: State Party Committee
- Statistics See: Statistics
- See Statistics

## **Payroll Deduction**

Use by trade association, Petition for Rulemaking, 11:1

# Paul, Peter F.

Judicial Watch and Peter F. Paul v. FEC, 11:7

**Personal Use of Campaign Funds** Criminal defense legal fees, AO 2003-17, 9:10 Final rules, 1:8 Mailing list, use/ownership by candidate at end of campaign, Notice of Proposed Rulemaking, 10:5; public hearing, 11:4; rulemaking closed 12:5

#### **Political Action Committee (PAC)** Affiliation

See: Affiliation Distribution of apron pins bearing PAC name, AO 2003-14, 8:8 Earmarking contributions to presumptive Presidential nominee, AO 2003-23, 12:8 Matching charitable contribution plan, AO 2003-4, 6:3 Nonconnected PAC may not use nonfederal funds to pay all expenses for yearly trip to meet Members of Congress, AO 2003-1, 4:9 Payroll deduction - transfer of payroll deduction authority, AO 2003-6, 7:4

- Statistics
- See: Statistics
- Trade association
  - See: Trade Association

Political Party

See: Party Committee

#### **Presidential Candidates**

Buchanan, Patrick, audit of 2000 Presidential campaign, 2:10 Bush, George, audit of 2000 Presidential campaign, 2:10 Dean, Howard, certified for primary matching payments, 8:13 Earmarking contributions to presumptive party nominee; AO 2003-23, 12:8 Gore, Al, audit of 2000 Presidential campaign, 2:10 Keyes, Alan, audit of 2000 Presidential campaign, 2:10 LaRouche, Lyndon certified for primary matching funds, 11:10 2000 campaign audit, 6:9 Lieberman, Joseph, certified for primary matching payments, 12:7 Nader, Ralph, audit of 2000 Presidential campaign, 2:10 Party committee phone bank costs attributed to, Notice of Proposed

Rulemaking, 10:4; final rules, 12:1 See Also: Matching Payment Act See Also: Presidential Election

Campaign Fund Act

#### Presidential Election Campaign Fund Act

Democratic and Republican parties certified for convention funding, 8:13

Legislative recommendation to avert impending shortfall, 6:8

Mailing list, sale, rental or exchange valued as campaign asset, Notice of Proposed Rulemaking, 10:5; public hearing, 11:4; rulemaking closed, 12:4

New rules for publicly funded candidates and nominating conventions, Notice of Proposed Rulemaking, 5:1; postponement of hearing, 6:9; hearing 7:8; final rules, 9:1

Spending limits, estimated, 11:9 See Also: Audits

See Also: Matching Payment Act

# "Promote, Support, Attack or Oppose"

Appearance of federal candidate in endorsement ad for state candidate, AO 2003-25, 12:9

# **Providian National Bank**

AO 2003-16, 10:9

**Public Access to Enforcement** Actions *AFL-CIO v. FEC*, 8:1

# **Public Appearances**

Listings, 1:26; 2:14; 3:6; 4:11; 5:10; 9:15; 10:15; 12:7

#### **Public Communications**

Appearance of federal candidate in endorsement ad for mayoral candidate, AO 2003-25, 12:9 By ballot initiative committee established by federal candidate/ officeholder, AO 2003-12, 9:7 Disclaimers required, final rule, 1:8 Phone bank, Notice of Proposed Rulemaking, 10:4; final rules, 12:1 See also: Disclaimers See also: Federal Election Activity

**Public Disclosure** *See*: Reporting

**Public Services Enterprises Group, Inc.** AO 2003-6, 7:4

#### **Publications**

Annual Report 2002, 7:5
Brochures on "Contributions," "Foreign Nationals" and "Special Notices on Political Ads and Solicitations," 8:14
Combined Federal/State Disclosure and Election Directory, 6:10
Commission regulations, 5:9
Federal Elections 2002, 9:14

#### Recordkeeping

For testing the waters committee, 800 line, 9:1

# Regulations

- Administrative fines amendments and new penalty schedules, final rules, 4:1
- BCRA reporting, final rules, 1:14
- BCRA technical amendments, 2:6 Biennial contribution limits, clarification, 2:1; Notice of Proposed Rulemaking, 9:3; public hearing, 11:4; final rules, 12:4

Brokerage loans and lines of credit, effective date, 2:4

- Candidate travel, Notice of Proposed Rulemaking, 9:4; public hearing 11:4
- Contribution limitations and prohibitions, rules take effect, 1:6; delay of effective date, 2:6
- Coordinated expenditures, final rules, 1:10
- Disclaimers, fraudulent solicitation, civil penalties and personal use of campaign funds, final rules, 1:8
- Independent Expenditures, final rules, 1:10
- Leadership PACs, Notice of Proposed Rulemaking, 2:4

- Mailing lists, Notice of Proposed Rulemaking, 10:5; public hearing, 11:4; rulemaking closed, 12:5
- Millionaires' Amendment, interim final rules, 2:2; new information required on Statements of Candidacy and Organization, 3:2
- Multicandidate committees, Notice of Proposed Rulemaking, 9:3; public hearing, 11:4; final rules 12:4
- Party committee phone banks, Notice of Proposed Rulemaking, 10:4; final rules, 12:1
- Public financing of Presidential candidates and nominating conventions, Notice of Proposed Rulemaking, 5:1; extension of comment period, 6:9; public hearing, 7:8; final rules, 9:1
- Trade association use of payroll deduction, Petition for Rulemaking, 11:1

# Campaign Guides Available

For each type of committee, a *Campaign Guide* explains, in clear English, the complex regulations regarding the activity of political committees. It shows readers, for example, how to fill out FEC reports and illustrates how the law applies to practical situations.

The FEC publishes four *Campaign Guides*, each for a different type of committee, and we are happy to mail your committee as many copies as you need, free of charge. We encourage you to view them on our web site (go to <u>www.fec.gov</u>, then click on "Campaign Finance Law Resources" and then scroll down to "Publications").

If you would like to place an order for paper copies of the *Campaign Guides*, please call 800-424-9530, press 1, then 3.

# **Reid, Rory**

AO 2003-10, 8:6

#### Reporting

- Authorizing additional campaign committees, 800 line, 9:1
- Changes to filing frequency for authorized House and Senate committees, national party committees and state district and local party committees with reportable federal election activity, 1:3

Draft reporting forms and formats released for public comment, 1:2 Due in 2003, 1:3

- Electioneering communication reporting dates for 2004 elections released for public comment, 12:5 Electronic filing
- legislative recommendation to require electronic filing of Senate reports, 6:8

- software update, 4:3; 10:1

- Exemption from certain disclosure requirements for Socialist Workers Party, AO 2003-2, 5:1
- Failure to accurately report disbursements and cash-on-hand, MUR 5270, 6:7

Failure to file

- *See*: Administrative Fines *See*: Nonfiler
- Forms, new and revised, 3:1; 7:1; 10:1
- Levin funds, 800 line, 4:5
- Monthly filing by state, district and local party committees, 4:5
- Puerto Rico primary election reporting, 10:18
- Redesignating existing campaign committee, 800 line, 9:1
- Registering as a candidate, 800 line, 9:1
- Regulations pertaining to
- See: Regulations
- Reminder
- April, 4:1
- July, 7:1
- October, 10:1
- State filing waiver program, 11:5
- 3 Z-1 filed by principal campaign committees, 7:2
- Socialist Workers Party disclosure exemption, 5:1

Special Elections See: Special Elections State Filing Waiver See: State Filing Waiver Statements of Candidacy and Organization – new information required, 3:2

- when to register, 800 line, 9:1

### **Request for Additional Information (RFAI)**

Letter notification procedures, 7:2

**Reyes, Silvestre** AO 2003-20, 10:11

**Roundtable Sessions** At FEC, 1:26; 2:14; 12:14

**Rulemaking** *See*: Regulations

#### Sale and Use Prohibition

Applied to public education and advocacy drive, AO 2003-24, 12:8

Separate Segregated Fund (SSF) See: Political Action Committee (PAC)

#### Smith, Bradley

Elected Vice Chairman, 1:2 Testifies before Congress on enforcement procedures, 12:1

**Socialist Workers Party** AO 2003-2, 5:1

Soft Money

See: Nonfederal Funds

#### Solicitations

- Affinity credit card program advertisements by national party committee, AO 2003-16, 10:9
- Apron pins bearing PAC name are not solicitations to contribute to SSF, AO 2003-14, 8:8
- By federal candidates and officeholders
- for ballot initiative, AO 2003-12, 9:7
- for membership organization PAC, AO 2003-5, 8:1

#### PACronyms, Other PAC Publications Available

The Commission annually publishes *PACronyms*, an alphabetical listing of acronyms, abbreviations and common names of political action committees (PACs).

For each PAC listed, the index provides the full name of the PAC, its city, state, FEC identification number and, if not identifiable from the full name, its connected, sponsoring or affiliated organization.

The index is helpful in identifying PACs that are not readily identified in their reports and statements on file with the FEC.

To order a free copy of *PACronyms*, call the FEC's Disclosure Division at 800/424-9530 (press 3) or 202/694-1120. *PACronyms* also is available on diskette for \$1 and can be accessed free at <u>www.fec.gov/pages/pacronym.htm</u>.

Other PAC indexes, described below, may be ordered from the Disclosure Division. Prepayment is required.

- An alphabetical list of all registered PACs showing each PAC's identification number, address, treasurer and connected organization (\$13.25).
- A list of registered PACs arranged by state providing the same information as above (\$13.25).
- An alphabetical list of organizations sponsoring PACs showing the PAC's name and identification number (\$7.50).

The Disclosure Division can also conduct database research to locate federal political committees when only part of the committee name is known. Call the telephone numbers above for assistance or visit the Public Records Office in Washington at 999 E St., NW.

- for nonfederal candidates, AO 2003-3, 6:1
- for voter mobilization program supported by membership organization, AO 2003-5, 8:1
- Costs of solicitations on behalf of candidate in-kind contributions if coordinated, AO 2003-23, 12:8 Failure to include required disclaim-
- ers, MUR 5208, 2:1 Fraudulent, final rules, 1:8

# **Special Election**

Texas, 4:4

**State Filing Waiver Program** Reminder, 11:4

# State Law

Ballot initiative committee supported by federal candidate/ officeholder, AO 2003-12, 9:7

# State and Local Candidates

Appearance of federal candidate in endorsement ad for mayoral candidate, AO 2003-25, 12:9

Refund by federal committee of improper contributions received by now defunct state campaign committee not permissible, AO 2003-26, 12:10

Solicitations by federal candidate/ officeholder for nonfederal candidates, AO 2003-3, 6:1

State Outreach

Notice, 7:11

# **State Party Committee**

Building Fund See: Building Fund Coordinated party expenditures See: Coordinated Expenditures Missouri Green Party, Inc., state committee status, AO 2003-27, 12:11 See Also: Party Committee

# **Statement of Policy**

Deposition transcripts in nonpublic investigations, 10:3

# Statistics

Congressional activity, 2:12; 8:10; 10;16 PACs

financial activity increases, 5:8
semiannual PAC count, 3:7; 10:16
Party activity, 1:17; 5:8; 10:16

Stevens, William J. \_\_\_\_\_ v. FEC, 8:3

**Stop Taxpayer Money for Politicians** AO 2003-12, 9:7

# Tax-Exempt Organizations

Ballot initiative established by federal candidate/officeholder, AO 2003-12, 9:7

**"Testing the Waters"** 800 line, 9:1

Toledano, James FEC v. \_\_\_\_, 1:20

# **Trade Association**

Executives of member corporations collecting and forwarding contributions to trade association SSF, AO 2003-22, 10:12 Failure to include required notices

on solicitations, MUR 5208, 2:1 Payroll deduction to collect and forward contributions, Petition for Rulemaking, 11:1

See Also: Corporation

# Transfers

- General election funds may not be transferred to charitable organization when candidate loses in primary, AO 2003-18, 9:10
- One time transfer to reimburse federal account for employee benefits eligible to be paid from nonfederal account, AO 2003-11, 10:7

# Treasurers

Failure to forward contributions to, *FEC v. Toledano*, 1:20Liability under administrative fines regulations, *Wilkinson v. FEC*, 11:8 **Treffinger, James W.** AO 2003-17, 9:10

**"Usual and Normal Charge"** *See*: "Fair Market Value"

**Voinovich for Senate** AO 2003-26, 12:10

**Voters** *See:* Election Administration

### Voting Systems Standards

Implementation plan for Voting Systems Standards; 1:18 See Also: Election Administration

# Weintraub, Ellen

Elected Chair, 1:2 Joins Commission, 1:2 Message from the Chair, 1:1 Testifies before Congress on enforcement procedures, 12:1

Weinzapfel, Jonathan AO 2003-25, 12:9

Wilkinson, Clark A. \_\_\_\_\_ v. FEC, 11:8

Women Engaged in Leadership, Education and Action in Democracy (WE LEAD) AO 2003-23, 12:8

World Wide Web See: Internet

# "527 Organizations"

Ballot initiative established by federal candidate/officeholder, AO 2003-12, 9:7

# 800 Line

Frequently asked questions about ADR, 1:23 Monthly filing by state, district and local party committees, 4:5 Preparing for the 2004 elections, 9:1

FEDERAL ELECTION COMMISSION 999 E Street, NW Washington, DC 20463

> Official Business Penalty for Private Use, \$300



Printed on recycled paper

PRESORTED STANDARD U.S. POSTAGE PAID FEDERAL ELECTION COMMISSION

