OMB No. 0930-0106 APPROVAL EXPIRES: 7/31/2003 See OMB burden statement on back cover

National Survey of

Substance Abuse Treatment Services

(N-SSATS)

March 29, 2002

Substance Abuse and Mental Health Services Administration (SAMHSA)

PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE. CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.

CHECK ONE

- ☑ Information is complete and correct, no changes needed
- All missing or incorrect information has been corrected

INSTRUCTIONS

New this year

Almost all of the questions in this survey ask about "this facility." This year we are providing a definition. By "this facility" we mean the substance abuse treatment facility or program listed on the front cover.

The questionnaire is divided into Section A: Facility Characteristics, Section B: Client Count Information, and Section C: General Information.

Section A asks about characteristics of individual facilities and should be completed for <u>only</u> this substance abuse treatment facility, that is, the facility listed on the front cover.

Section B asks for client count information and should be completed for only this facility if possible. However, if this is not possible, it is acceptable to combine the counts of multiple facilities.

Section C asks general questions about this facility and should be completed for only this facility.

If you prefer, you may complete this questionnaire online. See the pink flyer enclosed in your questionnaire packet for the Internet Web address and your unique user name and password. If you need more information, call the N-SSATS Web Helpline at 1-877-236-4229.

- Please answer ONLY for the facility printed on the cover, unless otherwise specified in the questionnaire.
- Return the completed questionnaire in the envelope provided. Please keep a copy for your records.
- If you have any questions or need additional blank forms, contact:

MATHEMATICA POLICY RESEARCH, INC. 1-888-324-8337

Important notice about questions with an asterisk(₹)

- Information from asterisked (₹) questions will be published in SAMHSA's National Directory of Drug and Alcohol Abuse Treatment Programs and will be available online at http://findtreatment.samhsa.gov, SAMHSA's Substance Abuse Treatment Facility Locator.
- Complete and accurate name and address information is needed for the online Treatment Facility Locator so it can accurately map the facility location.
- Only facilities approved by their State substance abuse office will be listed in the National
 Directory and online Treatment Facility Locator. Your State N-SSATS representative can
 tell you if your facility is State-approved. For the name and telephone number of your
 State representative, call the N-SSATS hotline at 1-888-324-8337 or go to
 http://wwwdasis.samhsa.gov and click on "National Survey of Substance Abuse Treatment
 Services (N-SSATS), Contacts by State."

SECTION A: FACILITY CHARACTERISTICS

Section A asks about characteristics of individual facilities and should be completed for only this substance abuse treatment facility, that is, the facility listed on the front cover.

On March 29, 2002, were SUBSTANCE ABUSE	2. Is this facility operated by
TREATMENT services offered at this facility, that is, the facility listed on the front cover?	MARK ONE ONLY
•	□ A private-for-profit organization —
 By treatment, we mean services that focus on initiating and maintaining an individual's recovery from substance abuse and on averting relapse. 	2 ☐ A private non-profit organization —
non substance abase and on averting relapse.	₃ □ State government —
 1 ☐ Yes → SKIP TO Q.2 0 ☐ No 	Local, county or community government →
·	5 ☐ Tribal government————
	6 ☐ Federal government
On March 29, 2002, were DETOXIFICATION services offered at this facility?	
- W	2a. Which federal government agency?
1 ☐ Yes → SKIP TO Q.2	MARK ONE ONLY
o □ No	□ Department of Veterans Affairs ——
	2 ☐ Department of Defense
	₃ ☐ Indian Health Service
	4 □ Other (Specify:) ☐
When did this facility stop providing substance abuse treatment or detoxification? Month	 3. Is this a private solo practice, that is, an office with a single practitioner or therapist? 1 □ Yes 0 □ No

4.	Is this facility affiliated with a religious organization?	8.	Does this facility operate or participate in a substance abuse hotline?
	1 ☐ Yes 0 ☐ No		 A hotline is a telephone service that provides information and referral and immediate counseling, frequently in a crisis situation.
			 DO NOT consider 911 or the local police number a hotline for the purpose of this survey.
5.	Is this facility a jail, prison or other organization that provides treatment exclusively for incarcerated persons?		- 1 ☐ Yes 0 ☐ No → SKIP TO Q.9
	₁ □ Yes		
	o □ No		
6.	Is this facility located in, or operated by, a hospital?		
	-ı □ Yes	↓	
	0 □ No → SKIP TO Q.7	*8a.	Please enter the hotline telephone number(s) below.
			HOTLINE TELEPHONE NUMBER(S)
6a.	What type of hospital?		
	MARK ONE ONLY		a. () ext
	□ General hospital (including VA hospital)		b. () ext
	2 ☐ Psychiatric hospital		
	Other specialty hospital, for example, alcoholism, maternity, etc.		
	(Specify:)	1	
*7.	What is the <u>primary</u> focus of this facility?		
	MARK ONE ONLY		
	Substance abuse treatment services	*9.	What telephone number should a potential client
	2 ☐ Mental health services	9.	call to schedule an <u>intake</u> appointment?
	3 ☐ General health care		
	⁴ □ Mix of mental health and substance abuse treatment services (neither is primary)		INTAKE TELEPHONE NUMBER
	5 Other (Specify:)		() ext

10.		th of the following services are provided by facility at this location?	*11. Does this facility operate an Opioid Treatment Program (OTP) at this location?					
	MARK	(ALL THAT APPLY		atment Programs are certified by the				
	Asse	essment Services	Substance Abuse and Mental Health Services Administration to use opioid drugs such as					
	1 🗆	Comprehensive substance abuse assessment/ diagnosis		methadone opiate (narc	and LAAM in the treatment of ootic) addiction. Prior to May 18,			
	² □ Comprehensive mental health assessment/ diagnosis (for example, psychological/ psychiatric evaluation and testing)			Food and D	programs required approval by the Drug Administration (FDA).			
	Subs	stance Abuse Therapy and Counseling		- 1 □ Yes →	PLEASE REVIEW THE OTP NUMBER (FORMERLY THE FDA			
	з 🗆	Family counseling			NUMBER) ON THE FRONT COVER AND UPDATE IF INCORRECT OR			
	4 🗆	Group therapy, not including relapse prevention			MISSING.			
	5 🗆	Individual therapy						
	6 🗆	Relapse prevention groups			KIP TO Q.12			
	7	Aftercare counseling						
	Phar	macotherapies	*11a	Is the Opioid T	reatment Program at this location a			
	8 🗆	Antabuse		maintenance p	program, a <u>detoxification</u> program,			
	9 🗆	Naltrexone		or both?				
	Testi			MARK ONE ONLY				
	IESLI	is sent to outside source for chemical		₁ ☐ Maintena	nce program			
		analysis.)		2 Detoxifica	Detoxification program			
	10	Blood alcohol testing (including breathalyzer)		₃ □ Both	Both			
	11 🗆	Drug/alcohol urine screening						
	12 🔲	Hepatitis testing						
	13 🔲	HIV testing	11b.	Are ALL of the	substance abuse clients at this			
	14 🔲	STD testing			ly in the Opioid Treatment			
	15 🗆	TB screening		Program?				
	Tran	sitional Services		₁ □ Yes				
	16 🗆	Assistance with obtaining social services (for example, Medicaid, WIC, SSI, SSDI)		₀ □ No				
	17 🗆	Discharge planning						
	18 🗆	Employment counseling/training	*12.		ity offer a special program for			
	19 🗆	Housing assistance		DUI/DWI or oth	er drunk driver offenders?			
	20 🗆	Referral to other transitional services		- 1 □ Yes				
	Othe	r Services		₀ □ No → S	KIP TO Q.13 (PAGE 4)			
	21 🗆	Case management services						
	22 🗆	Child care						
	23 🛘	Domestic violence—family/partner violence services (physical, sexual and emotional abuse)	*12a		substance abuse treatment facility DUI/DWI or other drunk			
	24 🔲	HIV/AIDS education/counseling/support		driver offender				
	25 🗆	Outcome follow-up (post-discharge)		ı □ Yes				
	26 🗆	Transportation assistance to treatment		0 □ No				
	27 🗆	Acupuncture		<u> </u>				

*13.	substance a	acility offer a spe abuse treatment justice clients, c	orogra	m c	r group	*16.	ab de	use this facility at this location offer a su use treatment program or group special signed for any of the following population CHECK YES if this facility has a special program for the specified population OR in facility serves only the specified population	ly ons? f this
*14.	Does this fa	acility provide sul	ostanc	e al	ouse			MARK "YES" OR "NO" FO	R EACH
	treatment s	ervices in sign la	nguag	je (f	or example,			<u>YE</u> :	NO
		Sign Language, Si ch) for the hearin					a.	Adolescents1	o 🗆
	-	es" if either a staff nterpreter provides					b.	Dually diagnosed clients (mental and substance abuse disorders)1	∘ □
	₁ □ Yes						c.	Persons with HIV/AIDS1	0 🗆
	o □ No						d.	Gays and lesbians	o 🗆
							e.	Seniors/older adults	o 🗆
15.		acility provide sul services in a lang					f.	Pregnant/postpartum women1	o 🗆
	• Mark "ve	es" if either a staff	counse	elor	or an		g.	Other programs or groups for women only	0 🗆
	-	nterpreter provides					h.	Programs or groups for men only₁ □	o 🗆
	_1 □ Yes						i.	Other (Specify:)1	o 🗆
↓ *15a		er language(s) is iffered at this faci		ance	e abuse	*17.		es this facility offer HOSPITAL INPATIE bstance abuse services? ☐ Yes	NT
		dian / Alaska Nativ	e:						
	1 🗆	Норі	3		Navajo		o [☐ No → SKIP TO Q.18 (PAGE 5)	
	2 🗆	Lakota	4		Yupik	*47-	\A/I	sisk of the following HOODITAL INDATE	· NIT
	5 🗖	Other American I Alaska Native lar				*17a		nich of the following HOSPITAL INPATIE bstance abuse services are offered?	IN I
		(Specify:)			MARK "YES" OR "NO" FO	
	Other Langu	uage(s):						<u>YE</u> :	S <u>NO</u>
	6 🗆	Arabic	12		Korean		a.	Inpatient detoxification 1	o 🗆
	7	Chinese	13		Polish		b.	Inpatient rehabilitation 1	0 🗆
	8 🗆	Creole	14		Portuguese				
	9 🗆	French German	15 16		Russian Spanish	17b.	thi	ow many of the HOSPITAL INPATIENT be s facility are <u>specifically designated</u> for bstance abuse treatment?	eds at
	11 🔲	Hmong	17		Vietnamese		ъu		("NONE"
	18 🔲	Other language (Specify	/ :				PROVIDE A NUMBER OR MAR	
								<u>NUMBER</u>	NONE
	_)				or \square

*18.		es this facility offer NON-HOSPITAL SIDENTIAL substance abuse services?	,	*20.	acc		following types of payme his facility for <u>substance</u>		
	1 [0 [☐ Yes☐ No→ SKIP TO Q.19				MARK '	"YES," "NO" OR "DON'T KNO	N" FOI	R EACH
	0 L	SKIP 10 Q.19					YES	<u>NO</u>	DON'T <u>KNOW</u>
*18a	RE	nich of the following NON-HOSPITAL SIDENTIAL substance abuse services			a.	Cash or se	elf-payment1	0 🗆	-1 🗆
	are	e offered? MARK "YES" OR "NO" FOR I	EACH		b.	Medicare	1 🗆	0 🗆	-1 🔲
		YES	<u>NO</u>		C.	Medicaid	1 🗆	0 🗆	-1 🔲
	a. b.	Residential detoxification 1 Residential rehabilitation 1	o 🗆			plan other State child	anced health insurance than Medicaid (for exampl ren's health insurance IP) or high risk	e,	
							pools)1	0 🗆	-1 🔲
18b.	be	w many of the NON-HOSPITAL RESIDENT ds at this facility are specifically designate bstance abuse treatment?				as TRICAF	ilitary insurance such		-1 🗆
		PROVIDE A NUMBER OR MARK "	NONE"				alth insurance1		-1 🗆
		NUMBER	<u>NONE</u>		Ū		1 🗆		
			or 🗆			(Specify:)
*19.	ab - 1 [0 [res this facility offer OUTPATIENT substantuse services? ☐ Yes ☐ No → SKIP TO Q.20 nich of the following OUTPATIENT substan		21.	as f	ederal, sta ds for sub Do not inc military ins Yes	ility receive any public fu ate, county, or local gove stance abuse treatment lude Medicare, Medicaid of urance.	ernme progi	ent rams?
		use services are offered?							
		MARK "YES" OR "NO" FOR I YES	NO S	*22.	Doe	s this fac	ility use a sliding fee sca	ıle?	
	a.	Outpatient detoxification 1	<u> </u>		1 🗆	Yes→	The Directory will explain the	nat slic	ding fee
	b.	Outpatient methadone/LAAM maintenance 1	0 🗆				scales are based on incomfactors.		
	C.	Regular outpatient treatment 1	0 🗆				DO YOU WANT THE AVAILA SLIDING FEE SCALE PUBLIS		
	d.	Intensive outpatient treatment (defined as a minimum of 2 hours per day on 3 or more days per week) 1	o 🗆				DIRECTORY? 1□ Yes 0□	No	
	e.	Day treatment or partial hospitalization program □	o 🗆		0 🗆	No			

*22a.	offer any type	liding fee scale, does th of payment assistance stance abuse treatment	for cl		25.	On March 29, 2002, was this facility part of an organization with multiple facilities or sites that provide <u>substance abuse treatment</u> ?
	₁ □ Yes →	The Directory will explain clients should call the facil information on eligibility fo assistance.	ity for			— 1 ☐ Yes 0 ☐ No → SKIP TO Q.26 (PAGE 7)
		DO YOU WANT THE AVAIL PAYMENT ASSISTANCE PUTHE DIRECTORY?			25a.	a. The next section asks about the number of clients in treatment at this facility on March 29, 2002. We would prefer to get this information separately for this facility, that is, the facility named on the front
	o □ No					cover. However, we understand there are situations when this is not possible.
23.	with managed	ity have agreements or care organizations for page treatment services?				Please check the option below that best describes how client counts will be reported in Section B of this questionnaire.
	₁ ☐ Yes					·
24.	0 No	ity or program have lice	noin	~		 If you have any questions on how to proceed, please call the N-SSATS hotline at 1-888-324-8337.
24.	approval, certi	fication, or accreditation gorganizations?				MARK ONE ONLY
	Only includ	e facility-level licensing, a I to the provision of subst				This questionnaire will include client counts for this facility alone
	marshal app food service	ude general business lice provals, personal-level cr e licenses, etc.	edenti	ials,		This questionnaire will include client counts for this facility combined with other facilities → SKIP TO Q.26 (PAGE 7)
	MARK	"YES", "NO" OR "DON'T KNO	OW" F	OR EACH DON'T		in the organization
		YES	<u>NO</u>	KNOW		— ₃ □ This questionnaire will not include any client counts.
	a. State substa agency	ince abuse 1	o 🗆	-1 🔲		Client counts for this facility will be reported by another
		l health department .₁ □	0 🗆	-1 🔲	↓	facility
		health department/ alth1	o 🗆	-1 🔲	25b.	
	•	nsing authority 1	0 🗆	-1 🔲		information?
		nt Commission ution of Healthcare ns)1	0 🗆	-1 🗆		 Please record the name and phone number of the contact person and the name, city and state of the facility where he or she is located.
	f. CARF (The Accreditation	Rehabilitation Commission)1	0 🗆	-1 🗆		-
	g. NCQA (Nation for Quality A	onal Committee .ssurance)1 □	0 🗆	-1 🗆		Name of Contact Person
		cil on Accreditation for family Services)1 □	0 🗆	-1 🗆		PHONE NUMBER SKIP TO Q.33
		e/local agency or zation1 □	o 🗆	-1 🗆		FACILITY NAME (PAGE 9)
	(Specify:)		CITY/STATE

SECTION B: CLIENT COUNT INFORMATION

Section B asks for client count information and should be completed for only this facility if possible. However, if this is not possible, it is acceptable to combine the counts of multiple facilities.

_	1	
	HOSPITAL INPATIENT	NON-HOSPITAL RESIDENTIAL
26.	On March 29, 2002, did any clients receive hospital inpatient substance abuse treatment or detoxification at this facility?	27. On March 29, 2002, did any clients receive non-hospital residential substance abuse treatment or detoxification at this facility?
_	_1 □ Yes	r 1 □ Yes
	 □ No → SKIP TO Q.27 	
¥ 26a.	On March 29, 2002, how many hospital inpatients received the following substance abuse services at this facility?	27a. On March 29, 2002, how many non-hospital residential clients received the following substance abuse services at this facility?
	 COUNT a client in one service category only, even if the client received both services. 	 COUNT a client in one service category only, even if the client received both services.
	 DO NOT count codependents, parents, other relatives, friends (that is, "collaterals"), or other non-treatment clients. 	 DO NOT count codependents, parents, other relatives, friends (that is, "collaterals"), or other non-treatment clients.
	PROVIDE A NUMBER OR MARK "NONE" FOR EACH	PROVIDE A NUMBER OR MARK "NONE" FOR EACH
	<u>NUMBER</u> <u>NONE</u>	<u>NUMBER</u> <u>NONE</u>
	a. Inpatient detoxification $_$ or \square	a. Residential detoxification or □
	b. Inpatient rehabilitation or □	b. Residential rehabilitation or □
	HOSPITAL INPATIENT TOTAL BOX	RESIDENTIAL TOTAL BOX
26b	How many of the clients from the HOSPITAL INPATIENT TOTAL BOX were under the age of 18?	27b. How many of the clients from the RESIDENTIAL TOTAL BOX were <u>under</u> the age of 18?
	PROVIDE A NUMBER OR MARK "NONE"	PROVIDE A NUMBER OR MARK "NONE"
	<u>NONE</u>	<u>NONE</u>
	Number under age 18 or □	Number under age 18 or □
26c.	How many of the clients from the HOSPITAL INPATIENT TOTAL BOX received methadone or LAAM <u>dispensed</u> at this facility?	27c. How many of the clients from the RESIDENTIAL TOTAL BOX received methadone or LAAM dispensed at this facility?
	 Include clients who received these drugs for detoxification or maintenance purposes. 	 Include clients who received these drugs for detoxification or maintenance purposes.
	PROVIDE A NUMBER OR MARK "NONE" FOR EACH	PROVIDE A NUMBER OR MARK "NONE" FOR EACH
	NUMBER NONE	<u>NUMBER</u> <u>NONE</u>
	a. Methadone or □	a. Methadone or □
	b. LAAM or □	b. LAAM or □
		ı

	OUTPATIENT	28c. How many of the clients from the OUTPATIENT TOTAL BOX received methadone or LAAM
28.	In the 30 days from February 28 through March 29, 2002, did any clients receive <u>outpatient substance abuse</u> services at this facility?	 dispensed at this facility? Include clients who received these drugs for detoxification or maintenance purposes.
	-ı □ Yes	PROVIDE A NUMBER OR MARK "NONE" FOR EACH
		<u>NUMBER</u> <u>NONE</u>
	0 □ NO → SKIP 10 Q.29	a. Methadone or \square
		b. LAAM or □
28a.	In the 30 days from February 28 through March 29, 2002, how many outpatients received the following <u>substance abuse</u> services at this facility?	HOSPITAL INPATIENT, NON-HOSPITAL RESIDENTIAL, AND OUTPATIENT
	 DO NOT count clients discharged on or before March 29, 2002. 	29. Approximately what percent of all substance abuse treatment clients enrolled at this facility on March 29, 2002, were being treated for
	 COUNT a client in one service category only, even if the client received multiple services. 	a. Abuse of both alcohol and drugs%
	 DO NOT count codependents, parents, other relatives, friends (that is, "collaterals"), or other non-treatment clients. 	b. Alcohol abuse only%
	PROVIDE A NUMBER OR MARK "NONE" FOR EACH	c. Drug abuse only%
	NUMBER NONE	
	_	
	a. Outpatient detoxification or □	TOTAL
	b. Outpatient methadone/ LAAM maintenance or □	
	c. Regular outpatient treatment (do not count clients in methadone/ LAAM maintenance) or □	THIS SHOULD TOTAL 100%. IF NOT, PLEASE RECONCILE.
	 d. Intensive outpatient treatment (defined as a minimum of 2 hours per day on 3 or more days per week) or □ 	30. During the 12 months between April 1, 2001 and March 31, 2002, how many admissions for substance abuse treatment did this facility have?
	e. Day treatment or partial hospitalization or □	IF DATA FOR THIS TIME PERIOD are not available, use the most recent 12-month period for which you have data.
	OUTPATIENT TOTAL BOX	 COUNT every admission and re-admission in this 12-month period. If a person is admitted 3 times, count this as 3 admissions.
28b.	How many of the clients from the OUTPATIENT TOTAL BOX were <u>under</u> the age of 18?	 FOR OUTPATIENT FACILITIES, consider an admission as the initiation of a treatment episode.
	PROVIDE A NUMBER OR MARK "NONE" NONE Number under age 18 or □	AU MADED OF OUR OTANIOE

31. How many facilities are included in the client counts reported in Q.26-Q.28c?					SECTION C: GENERAL INFORMATION			
	1 🗆	Only this facility -> SKIP	TO Q.32			Se	ction C should	be completed for only this facility.
	2 ☐ This facility plus others → ENTER TOTAL NUMBER OF FACILITIES BELOW (INCLUDE THIS FACILITY):			*33.	Does this facility operate a halfway house for substance abuse clients? MARK ALL THAT APPLY			
		NUMBER OF FACILITIES		1				nother location
				\downarrow			₃ □ No, does	not operate a halfway house
for a	a list nts. ou pr	e receive your questionnaire, of the other facilities included efer, attach a separate piece	in your of pape	client	e	34.	Does this facil Yes No	ity have Internet access?
clie	nt co	nd location address of each faunts.	icility inc	auded in y	/our	35.		ity have a Web site with information ity's substance abuse treatment
Plea	ase (continue with Question 32.					1 ☐ Yes → 0 ☐ No	PLEASE REVIEW YOUR WEB SITE ADDRESS ON THE FRONT COVER AND UPDATE IF INCORRECT OR MISSING.
32.	yοι	which of the numbers you provide actual client coun provide your best estimate	ts and f			36.	the next Nation	e to receive a free paper copy of nal Directory of Drug and Alcohol ent Programs when it is published?
	•	Mark "N/A" for any type of cafacility on March 29, 2002.	are not c	offered by	this		₁ □ Yes	
		MARK "ACTUAL," "ESTIMAT		N/A" FOR E			DOES	CHERE IF YOUR FACILITY NOT WANT TO BE LISTED IN EXT NATIONAL DIRECTORY.
	a.	Hospital inpatient client counts (Q.26a, Pg. 7)	1 🗆	2 🗆	o 🗆	37.		e the following information about marily responsible for completing
	b.	Non-hospital residential client counts (Q.27a, Pg. 7)	1 🗆	2 🗆	o 🗆			ation will only be used in the event contact you about your responses. e published.
	C.	Outpatient client counts (Q.28a, Pg. 8)	1 🗆	2 🗆	о 🗆		Phone Number:	· (
	d.	12-Month admissions (Q.30, Pg. 8)	1 🗆	2 🗆	o 🗆			:
		Thank you for your parti	icipatio	n. Please	e returr	n this c	questionnaire in	the envelope provided.

If you no longer have the envelope, please mail this questionnaire to the address on the back cover.

<u>Return Address:</u> MATHEMATICA POLICY RESEARCH, INC.

ATTN: Receipt Control - Project 8437 P.O. Box 2393 Princeton, NJ 08543-2393

Public burden for this collection of information is estimated to average 35 minutes per response including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Room 16-105, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 0930-0106.