

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
May 31, 2003

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer	
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount	
					(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required	
	(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)	
1	ABN AMRO INCORPORATED	Y	CBOT	04/30/2003	1,329,096,267	134,314,056	88,257,065	1,194,782,211	1,696,618,642	101,187,877
2	ABN AMRO SAGE CORPORATION	Y	NFA	04/30/2003	43,568,133	2,787,089	2,787,089	40,781,044	47,758,225	0
3	ADM INVESTOR SERVICES INC	N	CBOT	04/30/2003	65,417,438	16,927,448	15,358,291	48,489,990	433,969,806	8,524,758
4	ADVANTAGE FUTURES LLC	N	NFA	04/30/2003	1,981,222	250,000	0	1,731,222	0	0
5	ADVEST INC	Y	NFA	04/30/2003	44,293,972	1,000,000	0	43,293,972	0	0
6	AG EDWARDS & SONS INC	Y	CBOT	04/30/2003	580,477,467	41,987,657	3,219,443	538,489,810	93,399,574	0
7	AGE COMMODITY CLEARING CORP	N	CBOT	04/30/2003	13,412,562	2,269,223	3,126,001	10,286,561	70,191,684	0
8	AIG CLEARING CORPORATION	N	NYME	04/30/2003	120,914,763	250,000	8,315,864	112,598,899	0	0
9	ALARON TRADING CORPORATION	N	CME	04/30/2003	4,128,670	2,063,646	952,565	2,065,024	55,483,125	84,246
10	ALCO COMMODITIES INC	N	NFA	04/30/2003	487,935	250,000	0	237,935	0	0
11	AMERICAN NATIONAL TRADING CORP	N	NFA	04/30/2003	961,009	507,382	332,496	453,627	15,586,872	0
12	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	04/30/2003	64,463,391	6,717,554	33,112,253	31,351,138	167,938,860	0
13	BANC ONE CAPITAL MARKETS INC	Y	CBOT	04/30/2003	333,254,619	7,055,847	14,225,677	319,028,942	345,338,116	12,253,626
14	BARCLAYS CAPITAL INC	Y	CBOT	04/30/2003	363,174,063	50,181,714	94,287,543	268,886,520	1,305,566,142	48,654,722
15	BEAR STEARNS & CO INC	Y	CBOT	04/30/2003	2,470,673,190	49,871,974	0	2,420,801,216	0	0
16	BEAR STEARNS SECURITIES CORP	Y	CME	04/30/2003	2,859,649,633	760,161,811	79,981,985	2,099,487,822	1,292,754,340	224,030,618
17	BIELFELDT & COMPANY LLC	N	CBOT	04/30/2003	405,722	250,000	0	155,722	0	0
18	BLAYLOCK AND PARTNERS LP	Y	NFA	04/30/2003	22,624,928	345,128	0	22,279,800	0	0
19	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	04/30/2003	38,141,963	8,804,624	10,709,540	27,432,423	220,115,597	0
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	04/30/2003	51,625,799	10,672,282	12,601,385	39,024,414	277,983,433	15,175,899
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	04/30/2003	260,599,024	256,402	0	260,342,622	0	0
22	BNY CLEARING SERVICES LLC	Y	CFTC	04/30/2003	76,503,142	23,727,463	0	52,775,679	0	0
23	C CZARNIKOW SUGAR FUTURES INC	N	NFA	04/30/2003	1,520,528	250,000	174,416	1,270,528	1,923,595	0
24	CANTOR FITZGERALD & CO	Y	CBOT	04/30/2003	46,483,218	513,033	151,631	45,970,185	917,727	0
25	CAPITAL MARKET SERVICES LLC	N	NFA	04/30/2003	709,362	250,000	0	459,362	0	0
26	CARGILL INVESTOR SERVICES INC	N	CBOT	04/30/2003	90,082,014	38,995,337	49,248,812	40,833,202	966,622,980	81,418,977
27	CARR FUTURES INC	Y	CME	04/30/2003	212,544,365	111,675,594	145,397,769	67,146,596	2,665,240,857	1,523,903,080
28	CDC SECURITIES	Y	NFA	04/30/2003	60,783,451	250,000	0	60,533,451	0	0
29	CIBC WORLD MARKETS CORP	Y	NYME	04/30/2003	855,755,040	44,171,174	12,160,867	811,583,866	5,506,406	0
30	CITIGROUP GLOBAL MARKETS INC.	Y	CBOT	04/30/2003	4,189,075,197	474,516,507	183,362,791	3,714,558,690	5,173,203,684	80,437,670
31	CLIFDEN FUTURES LLC	N	NFA	04/30/2003	312,939	250,000	4,890	62,939	833,525	0
32	CLIFF LARSON COMPANY THE	N	NFA	04/30/2003	357,197	250,000	0	107,197	1,093,710	0
33	CMC GROUP PLC	N	NFA	04/30/2003	9,412,741	250,000	0	9,162,741	0	0
34	COESfx CLEARING INC	N	NFA	04/30/2003	397,728	250,000	0	147,728	0	0
35	COMMERZ FUTURES LLC	Y	CME	04/30/2003	6,909,567	1,953,782	2,425,231	4,484,336	78,388,423	4,336,320
36	COUNTRY HEDGING INC	N	NFA	04/30/2003	5,888,248	885,913	2,081,768	3,806,480	25,459,443	26,998
37	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	04/30/2003	27,910,022	4,375,176	22,058,863	5,851,159	134,531,441	489,541
38	CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	04/30/2003	2,335,441,227	180,882,272	90,282,260	2,154,558,955	1,451,692,370	392,562,866
39	CRESSWELL CAPITAL LLC	N	NFA	04/30/2003	633,246	250,000	67,830	383,246	1,061,045	1,061,045
40	CROSSLAND LLC	N	CBOT	04/30/2003	3,747,792	250,000	73,145	3,497,792	6,117,252	0
41	CUNNINGHAM COMMODITIES INC	N	CBOT	04/30/2003	2,058,590	250,000	139,164	1,808,590	6,085,922	56,736
42	DAIWA SECURITIES AMERICA INC	Y	CME	04/30/2003	198,231,687	2,964,442	2,919,763	195,267,245	61,689,452	186,162
43	DAVID A NOYES & CO	Y	NFA	04/30/2003	1,010,404	250,000	0	760,404	0	0
44	DEUTSCHE BANK SECURITIES INC	Y	CBOT	04/30/2003	1,767,403,790	69,002,571	801,391,616	966,012,174	1,291,087,306	238,347,780
45	DIRECT TRADING GROUP LLC	N	NFA	04/30/2003	633,236	250,000	77,854	383,236	5,139,324	0
46	DORMAN TRADING LLC	N	CME	04/30/2003	5,191,138	577,898	247,167	4,613,240	14,687,079	0
47	DUNAVANT COMMODITY CORP	N	NYCE	03/31/2003	19,560,113	888,513	30,624	18,671,600	27,603,700	0

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	(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)	
48	EAGLE MARKET MAKERS INC	N	CBOT	04/30/2003	1,827,134	250,000	59,665	1,577,134	1,999,079	19,151
49	ED & F MAN COMMODITY ADVISORS INC	N	NFA	04/30/2003	395,884	250,000	0	145,884	0	0
50	EM COMBS & SON	N	CBOT	04/30/2003	1,840,478	250,000	0	1,590,478	2,931,514	0
51	FAHNESTOCK & CO INC	Y	NFA	04/30/2003	147,999,874	8,649,021	0	139,350,853	887,080	0
52	FARR FINANCIAL INC	N	NFA	04/30/2003	1,003,478	600,242	223,556	403,236	15,493,435	62,335
53	FC STONE LLC	N	CME	04/30/2003	13,487,380	6,982,432	7,325,272	6,162,108	222,959,668	666,670
54	FCT GROUP LLC	N	CME	04/30/2003	3,514,228	250,000	372,528	3,141,700	7,130,159	0
55	FIMAT USA INC	Y	CME	04/30/2003	194,860,338	82,942,981	120,580,249	74,280,089	2,282,572,080	495,960,176
56	FIRST CAPITOL GROUP LLC	N	NFA	04/30/2003	1,708,206	762,425	586,748	945,781	25,765,262	88,680
57	FIRST OPTIONS OF CHICAGO INC	Y	CME	04/25/2003	195,178,747	7,004,128	25,260,284	169,918,463	378,993,994	13,117,754
58	FOREX CAPITAL MARKETS LLC	N	NFA	04/30/2003	7,630,141	250,000	0	7,380,141	0	0
59	FORTIS CLEARING CHICAGO LLC	N	CBOT	04/30/2003	6,616,138	2,549,940	1,923,112	4,066,198	59,791,132	4,116,594
60	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	04/30/2003	1,668,545	250,000	102,780	1,418,545	5,348,646	21,958
61	FRONTIER FUTURES INC	N	NFA	04/30/2003	1,058,742	391,954	253,559	666,788	11,768,984	0
62	FUTURES TECH LLC	N	NFA	04/30/2003	401,566	250,000	0	151,566	0	0
63	FX SOLUTIONS LLC	N	NFA	04/30/2003	1,381,826	250,000	0	1,131,826	0	0
64	GAIN CAPITAL INC.	N	NFA	04/30/2003	3,300,865	250,000	0	3,050,865	0	0
65	GELBER GROUP LLC	N	CBOT	04/30/2003	13,836,651	414,589	276,759	13,422,062	11,382,302	12,051
66	GFS SECURITIES & FUTURES INC	N	NFA	04/30/2003	242,904	250,000	0	-7,096	0	0
67	GILDER GAGNON HOWE AND CO LLC	Y	NFA	04/30/2003	16,636,034	250,000	0	16,386,034	0	0
68	GLOBAL FUTURES & FOREX LTD	N	NFA	04/30/2003	4,993,497	250,000	0	4,743,497	0	0
69	GOLDENBERG HEHMEYER & CO	Y	CBOT	04/30/2003	13,162,569	1,473,243	1,282,479	11,689,326	45,180,067	3,963,514
70	GOLDMAN SACHS & CO	Y	CBOT	04/25/2003	3,440,863,187	862,904,618	409,893,591	2,577,958,569	6,443,615,607	3,193,209,501
71	GREENWICH CAPITAL MARKETS INC	Y	CBOT	04/30/2003	743,063,000	41,255,000	26,089,480	701,808,000	372,425,000	23,565,000
72	HAGERTY GRAIN CO INC	N	CBOT	04/30/2003	1,656,045	261,107	84,170	1,394,938	6,591,721	0
73	HORNBLOWER FISCHER & CO	Y	NFA	04/30/2003	1,621,965	308,514	186,123	1,313,451	5,339,981	0
74	HOTSPOT FX INC	N	NFA	04/30/2003	3,177,650	250,000	0	2,927,650	0	0
75	HSBC SECURITIES USA INC	Y	CME	04/30/2003	257,163,024	10,779,669	26,640,536	230,522,488	270,551,654	25,123,467
76	IFSC USA INC	N	NFA	04/30/2003	454,819	250,000	0	204,819	0	0
77	INTEGRATED BROKERAGE SERVICES INC	N	NFA	04/30/2003	1,089,035	250,000	53,214	839,035	751,919	0
78	INTERACTIVE BROKERS LLC	Y	NFA	04/30/2003	105,169,283	2,932,033	1,360,367	102,237,250	15,215,078	4,918,424
79	INVESTEC ERNST & COMPANY	Y	NFA	04/25/2003	13,278,197	250,000	0	13,028,197	0	0
80	IOWA GRAIN CO	N	CBOT	04/30/2003	8,102,300	3,406,830	3,004,528	4,695,470	91,428,423	5,723
81	ISB CLEARING CORPORATION	N	NFA	04/30/2003	563,499	250,000	0	313,499	0	0
82	JP MORGAN FUTURES INC	N	NYME	04/30/2003	517,499,444	207,159,033	312,580,311	204,919,133	5,040,423,449	717,743,640
83	JULIUS BAER SECURITIES INC	Y	NFA	04/30/2003	15,442,673	641,636	0	14,801,037	0	0
84	KOTTKE ASSOCIATES LLC	N	CBOT	04/30/2003	6,635,454	275,037	141,302	6,360,417	10,500,062	5,115
85	LADENBURG THALMANN & CO INC	Y	NFA	04/30/2003	5,897,887	1,000,000	0	4,897,887	0	0
86	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	04/30/2003	2,892,924	250,000	47,021	2,642,924	3,860,829	0
87	LBS LIMITED PARTNERSHIP	N	CBOT	04/30/2003	455,234	250,000	0	205,234	0	0
88	LEADER INVESTMENTS INC	Y	NFA	04/30/2003	359,294	250,000	0	109,294	0	0
89	LEGG MASON WOOD WALKER INC	Y	NFA	04/30/2003	356,578,662	20,730,194	0	335,848,468	0	0
90	LEHMAN BROTHERS INC	Y	CBOT	04/30/2003	1,486,301,000	183,283,220	151,429,320	1,303,017,780	1,764,123,000	26,340,000
91	LINN GROUP (THE)	N	NFA	04/30/2003	477,400	250,000	0	227,400	0	0
92	LINSKO/PRIVATE LEDGER CORP	Y	NFA	04/30/2003	90,260,318	3,757,594	0	86,502,724	0	0
93	LOEB PARTNERS CORPORATION	Y	NFA	04/30/2003	21,516,956	250,000	0	21,266,956	0	0
94	MAN FINANCIAL INC	N	CME	04/30/2003	210,168,252	105,175,279	118,403,273	91,764,979	2,518,305,101	632,655,916

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		(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
95	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	04/30/2003	3,531,205	250,000	0	3,281,205	0	0
96	MAXCOR FINANCIAL INC	Y	NFA	04/30/2003	45,551,340	250,000	0	45,301,340	0	0
97	MBF CLEARING CORP	N	NYME	04/30/2003	6,563,187	2,510,000	784,921	4,053,187	62,561,560	457,569
98	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	04/30/2003	7,945,601	5,053,056	1,151,793	2,892,545	127,445,478	0
99	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	05/02/2003	2,921,289,114	274,750,360	223,423,429	2,646,538,754	4,797,229,891	471,381,654
100	MERRILL LYNCH PROFESSIONAL CLEARING CO	Y	NFA	05/02/2003	853,235,783	17,382,221	42,762,673	810,473,110	477,350,861	0
101	MID-CO COMMODITIES INC	N	NFA	04/30/2003	3,576,165	309,545	520,056	3,056,109	8,821,925	0
102	MITSUMI & CO INVESTMENT PRODUITS CORP	N	NFA	04/30/2003	1,959,703	250,000	18,625	1,709,703	867,493	0
103	MIZUHO SECURITIES USA INC	Y	CME	04/30/2003	153,495,771	11,790,296	13,662,762	139,833,009	262,584,977	34,278,154
104	MONEY GARDEN CORPORATION, THE	N	NFA	04/30/2003	3,615,935	250,000	0	3,365,935	0	0
105	MORGAN KEEGAN & COMPANY INC	Y	NFA	04/30/2003	166,119,943	11,109,247	0	155,010,696	0	0
106	MORGAN STANLEY & CO INCORPORATED	Y	CME	04/30/2003	4,195,804,706	578,474,988	203,255,479	3,617,329,718	2,912,190,355	1,141,491,850
107	MORGAN STANLEY DW INC	Y	CBOT	04/30/2003	1,169,643,976	93,471,480	2,391,686	1,076,172,496	738,410,984	1,286,311,123
108	NATIONAL COMMODITIES CORPORATION INC	N	NFA	04/30/2003	1,742,767	250,000	79,452	1,492,767	10,505,561	0
109	NEUBERGER BERMAN LLC	Y	NFA	04/30/2003	227,016,693	15,773,266	571,882	211,243,427	16,797,265	0
110	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	04/30/2003	11,633,999	250,000	0	11,383,999	0	0
111	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	04/30/2003	379,164,449	9,679,656	1,074,427	369,484,793	19,008,462	0
112	OANDA CORPORATION	N	NFA	04/30/2003	1,961,422	250,000	0	1,711,422	0	0
113	OCONNOR & COMPANY LLC	Y	CBOT	04/30/2003	39,665,789	1,985,148	1,760,386	37,680,641	57,624,099	0
114	PACIFIC CAPITAL MARKETS	N	NFA	04/30/2003	467,869	250,000	0	217,869	0	0
115	PATTERSON CAPITAL MARKETS LTD	N	CBOT	04/30/2003	558,505	250,000	0	308,505	0	0
116	PAX CLEARING CORPORATION	Y	CME	04/30/2003	42,238,430	1,547,250	3,109,404	39,129,026	44,705,177	0
117	PENSON FINANCIAL FUTURES INC	N	NFA	04/30/2003	504,521	250,000	13,272	254,521	506,852	0
118	PEREGRINE FINANCIAL GROUP INC	N	NFA	04/30/2003	10,200,702	3,858,096	1,285,115	6,342,606	105,862,295	1,144,470
119	PERSHING LLC	Y	CBOT	04/30/2003	598,740,000	121,083,200	0	477,656,800	0	0
120	PIONEER FUTURES INC	N	NYME	04/30/2003	6,630,345	3,809,008	1,563,411	2,821,337	106,101,584	547,045
121	PREFERRED TRADE INC	Y	NFA	04/30/2003	10,216,746	781,350	0	9,435,396	689,682	0
122	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	04/25/2003	595,976,000	65,414,940	51,962,640	530,561,060	1,065,717,000	122,737,000
123	RAND FINANCIAL SERVICES INC	N	CME	04/30/2003	14,151,850	5,194,620	9,397,824	4,754,026	124,643,300	23,677,700
124	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	04/25/2003	319,393,587	21,683,008	29,963	297,710,579	0	0
125	RB&H FINANCIAL SERVICES LP	N	CME	04/30/2003	2,802,193	1,697,344	908,917	1,104,849	45,697,066	59,112
126	RBC DAIN RAUSCHER INC	Y	NFA	04/30/2003	239,966,696	28,218,465	0	211,748,231	0	0
127	RBC DOMINION SECURITIES CORPORATION	Y	CME	04/30/2003	115,565,817	1,000,000	770,715	114,565,817	0	0
128	REDSKY SECURITIES LLC	Y	NFA	04/30/2003	603,669	250,000	0	353,669	0	0
129	REFCO LLC	N	CME	04/30/2003	190,740,859	112,640,035	110,435,312	78,100,824	3,219,984,993	41,035,695
130	RJ OBRIEN ASSOCIATES INC	N	CME	04/30/2003	33,063,096	17,426,218	17,724,923	15,338,173	462,134,579	6,052,313
131	ROBBINS FUTURES INC	N	NFA	04/30/2003	559,111	250,000	53,795	309,111	5,079,593	0
132	ROBECO USA LLC	Y	NFA	04/25/2003	80,303,988	3,108,238	0	77,195,750	0	0
133	ROSENTHAL COLLINS GROUP LLC	N	CME	04/30/2003	42,574,994	9,765,345	7,180,147	32,809,649	276,508,185	999,028
134	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	04/30/2003	15,503,845	865,862	0	14,637,983	0	0
135	ROTHSCHILD INC	N	NFA	04/30/2003	14,373,756	250,000		14,123,756	0	0
136	SANFORD C BERNSTEIN & CO LLC	Y	NFA	04/30/2003	181,493,067	10,234,905	0	171,258,162	0	0
137	SENTINEL MANAGEMENT GROUP INC	N	NFA	04/30/2003	1,230,128	250,000	0	980,128	360,365,468	0
138	SG COWEN SECURITIES CORPORATION	Y	NFA	04/30/2003	680,055,628	1,500,000	0	678,555,628	0	0
139	SHATKIN ARBOR KARLOV & CO	N	CBOT	04/30/2003	6,137,594	309,700	118,481	5,827,894	7,888,299	92,219
140	SHAY GRAIN CLEARING COMPANY	N	KCBOT	03/31/2003	744,810	250,000	87,398	494,810	1,686,038	0
141	SHEPARD INTERNATIONAL INC	N	NFA	04/30/2003	1,926,703	250,000	0	1,676,703	0	0

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(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.			Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov.						
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs that are members of the National Futures AssociationAssociation. Requirement effective date was 10/31/00.									
(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.									