

SELECTED FCM FINANCIAL DATA AS OF
November 30, 2006
FROM REPORTS FILED BY
December 31, 2006

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3D FOREX, LLC	N	NFA	11/30/2006	544,279	500,000	44,279	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	11/30/2006	130,663,259	23,533,020	107,130,239	303,171,814	0
3	ABN AMRO INCORPORATED	Y	CBOT	11/30/2006	1,480,298,230	3,298,849	1,476,999,381	0	0
4	ADM INVESTOR SERVICES INC	N	CBOT	11/30/2006	136,063,270	67,198,550	68,864,720	1,308,952,652	32,669,871
5	ADVANCED MARKETS INC	N	NFA	11/30/2006	1,027,457	1,000,000	27,457	0	0
6	ADVANTAGE FUTURES LLC	N	CME	11/30/2006	12,422,199	3,414,364	9,007,835	129,007,910	8,753,630
7	AG EDWARDS & SONS INC	Y	CBOT	11/30/2006	827,836,241	38,474,782	789,361,459	293,971,537	239,946
8	AIG CLEARING CORPORATION	N	NYME	11/30/2006	290,328,265	49,678,890	240,649,375	7,288,451	0
9	ALARON TRADING CORPORATION	N	CME	11/30/2006	6,675,479	3,389,009	3,286,470	169,485,834	13,721,343
10	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	NFA	09/30/2006	27,382,777	5,863,790	21,518,987	0	0
11	ALPHA FOREIGN EXCHANGE GROUP	N	NFA	11/30/2006	508,867	500,000	8,867	0	0
12	AMERICAN NATIONAL TRADING CORP	N	NFA	11/30/2006	2,751,679	1,000,000	1,751,679	31,762,360	26,633
13	BACERA CORPORATION	N	NFA	11/30/2006	1,484,523	1,000,000	484,523	0	0
14	BANC OF AMERICA SECURITIES LLC	Y	CME	11/30/2006	1,718,268,672	339,806,475	1,378,462,197	1,617,271,047	12,819,906
15	BARCLAYS CAPITAL INC	Y	NYME	11/30/2006	941,812,114	303,767,377	638,044,737	3,371,868,677	1,644,016,375
16	BATTERY ASSET MANAGEMENT LLC	N	NFA	11/30/2006	615,770	500,000	115,770	0	0
17	BEAR STEARNS & CO INC	Y	NFA	11/30/2006	(g)	554,568,973	(g)	0	0
18	BEAR STEARNS SECURITIES CORP	Y	CME	11/30/2006	3,999,546,806	1,174,843,692	2,824,703,113	3,542,289,841	1,145,121,267
19	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	11/30/2006	128,800,292	82,710,928	46,089,364	760,574,695	301,265,004
20	BNP PARIBAS SECURITIES CORP	Y	CBOT	11/30/2006	452,380,626	38,090,231	414,290,395	187,622,613	0
21	BROOKSTREET SECURITIES CORPORATION	Y	NFA	11/30/2006	6,033,332	507,944	5,525,388	0	0
22	C CZARNIKOW SUGAR FUTURES INC	N	NFA	11/30/2006	2,788,084	500,000	2,288,084	0	0
23	CADENT FINANCIAL SERVICES LLC	N	CME	11/30/2006	4,449,863	3,780,081	669,782	143,702,080	3,556,720
24	CAL FINANCIAL CORPORATION	N	NFA	11/30/2006	990,671	500,000	490,671	0	0
25	CALYON FINANCIAL INC	Y	CME	11/30/2006	660,902,168	512,595,180	148,306,988	6,831,463,089	834,911,506
26	CANTOR FITZGERALD & CO	Y	CBOT	11/30/2006	169,495,639	5,862,605	163,633,034	56,296,015	33,041
27	CAPITAL MARKET SERVICES LLC	N	NFA	11/30/2006	11,390,973	3,191,999	8,198,974	0	0
28	CIBC WORLD MARKETS CORP	Y	CME	11/30/2006	1,070,528,579	25,602,333	1,044,926,246	0	0
29	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	11/30/2006	(g)	698,388,127	(g)	9,101,833,952	641,990,059
30	CLIFF LARSON COMPANY THE	N	NFA	11/30/2006	680,077	500,000	180,077	1,637,018	0
31	CMC MARKETS USA LLC	N	NFA	11/30/2006	7,341,731	5,000,000	2,341,731	0	0
32	COMTRUST INC	N	NFA	11/30/2006	885,071	500,000	385,071	10,645,909	0
33	COUNTRY HEDGING INC	N	NFA	11/30/2006	16,537,295	6,786,453	9,750,842	78,028,882	4,483
34	CREDIT SUISSE SECURITIES USA LLC	Y	CBOT	11/30/2006	3,439,980,720	184,895,335	3,255,085,385	1,302,421,415	1,117,496,055
35	CROSSLAND LLC	N	CBOT	11/30/2006	3,810,885	500,000	3,310,885	10,849,270	0
36	CUNNINGHAM COMMODITIES LLC	N	CBOT	11/30/2006	1,640,139	500,000	1,140,139	15,557,925	9,375
37	DAIWA SECURITIES AMERICA INC	Y	CME	11/30/2006	184,570,117	698,646	183,871,471	7,988,277	3,134,582
38	DEUTSCHE BANK SECURITIES INC	Y	CBOT	11/30/2006	3,062,834,845	270,382,333	2,792,452,512	2,631,035,742	808,350,735
39	DIRECT FOREX LLC	N	NFA	11/30/2006	1,440,592	1,000,000	440,592	0	0
40	DORMAN TRADING LLC	N	CME	11/30/2006	9,066,481	628,957	8,437,524	38,104,366	18,312
41	DUNAVANT COMMODITY CORP	N	NYBT	11/30/2006	23,742,548	500,000	23,242,548	2,557	0
42	E FX OPTIONS LLC	N	NFA	11/30/2006	4,662,266	1,000,000	3,662,266	0	0
43	EAGLE MARKET MAKERS INC	N	CBOT	11/30/2006	3,213,317	500,000	2,713,317	6,962,516	0
44	ED & F MAN COMMODITY ADVISORS INC	N	NFA	11/30/2006	788,289	500,000	288,289	0	0
45	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	11/30/2006	8,382,548	500,000	7,882,548	0	0
46	ENSKILDA FUTURES LTD	N	CME	11/30/2006	15,166,429	12,138,429	3,028,000	240,074,198	0

SELECTED FCM FINANCIAL DATA AS OF
November 30, 2006
FROM REPORTS FILED BY
December 31, 2006

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
47	FARR FINANCIAL INC	N	NFA	11/30/2006	3,082,099	1,000,000	2,082,099	37,387,998	0
48	FC STONE LLC	N	CME	11/30/2006	40,531,046	35,146,741	5,384,305	839,959,482	2,345,772
49	FCT GROUP LLC	N	CME	11/30/2006	5,411,898	586,226	4,825,672	12,417,515	0
50	FIMAT PREFERRED LLC	Y	NFA	11/30/2006	34,496,571	1,650,611	32,845,960	7,350,751	0
51	FIMAT USA LLC	Y	NYME	11/30/2006	571,013,798	412,231,935	158,781,863	5,929,592,974	2,052,845,258
52	FIRST CAPITOL GROUP LLC	N	NFA	11/30/2006	5,300,798	1,519,892	3,780,906	58,110,289	63,189
53	FOREFRONT INVESTMENTS CORPORATION	N	NFA	11/30/2006	1,603,494	1,000,000	603,494	0	0
54	FOREX CAPITAL MARKETS LLC	N	NFA	11/30/2006	33,866,745	12,430,298	21,436,447	0	0
55	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	11/30/2006	2,710,025	1,000,000	1,710,025	0	0
56	FOREX INTERNATIONAL INVESTMENTS INC	N	NFA	11/30/2006	1,829,653	1,000,000	829,653	0	0
57	FOREX LIQUIDITY LLC	N	NFA	11/30/2006	3,901,303	1,000,000	2,901,303	0	0
58	FORTIS CLEARING AMERICAS LLC	Y	CBOT	11/30/2006	103,179,947	15,416,760	87,763,187	496,457,562	24,154,994
59	FORWARD FOREX INC	N	NFA	11/30/2006	1,359,784	1,000,000	359,784	0	0
60	FREEDOM FX LLC	N	NFA	11/30/2006	1,045,862	1,000,000	45,862	0	0
61	FRIEDBERG MERCANTILE GROUP INC	N	NFA	11/30/2006	4,646,280	1,000,000	3,646,280	3,618,174	22,886
62	FRONTIER FUTURES INC	N	NFA	11/30/2006	3,861,288	1,810,199	2,051,089	37,133,683	0
63	FUTURES TECH LLC	N	NFA	11/30/2006	869,628	500,000	369,628	0	0
64	FX OPTION1 INC	N	NFA	11/30/2006	503,641	500,000	3,641	0	0
65	FX SOLUTIONS LLC	N	NFA	11/30/2006	16,996,071	3,325,075	13,670,996	0	0
66	FXCM LLC	N	NFA	11/30/2006	761,119	500,000	261,119	0	0
67	GAIN CAPITAL GROUP LLC	N	NFA	11/30/2006	21,768,314	5,037,373	16,730,941	0	0
68	GELBER GROUP LLC	N	CBOT	11/30/2006	51,454,945	500,000	50,954,945	47,224,168	40,472
69	GFS FOREX & FUTURES INC	N	NFA	11/30/2006	2,086,352	1,000,000	1,086,352	0	0
70	GILDER GAGNON HOWE AND CO LLC	Y	NFA	11/30/2006	35,876,234	500,000	35,376,234	0	0
71	GLOBAL FUTURES & FOREX LIMITED	Y	CFTC	11/30/2006	42,518,852	250,000	42,268,852	0	0
72	GLOBAL FUTURES LLC	N	NFA	11/30/2006	8,085,607	500,000	7,585,607	0	0
73	GOLDENBERG HEHMEYER & CO	Y	CBOT	11/30/2006	10,210,233	3,824,246	6,385,987	118,026,147	5,958,437
74	GOLDMAN SACHS & CO	Y	CBOT	11/24/2006	(g)	1,167,458,488	(g)	12,062,131,903	7,131,797,206
75	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	11/24/2006	684,225,397	43,891,285	640,334,112	658,962,898	2,650,590
76	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	11/30/2006	124,357,264	9,316,733	115,040,531	0	0
77	HAGERTY GRAIN CO INC	N	CBOT	11/30/2006	1,581,421	500,000	1,081,421	6,027,157	0
78	HAMILTON WILLIAMS LLC	N	NFA	11/30/2006	1,306,695	1,000,000	306,695	0	0
79	HOTSPOT FXR LLC	N	NFA	11/30/2006	5,925,679	1,000,000	4,925,679	0	0
80	HSBC SECURITIES USA INC	Y	CME	11/30/2006	551,634,359	38,965,995	512,668,364	634,830,613	53,008,652
81	I TRADE FX LLC	N	NFA	11/30/2006	3,071,939	1,000,000	2,071,939	0	0
82	ICAP FUTURES LLC	N	CBOT	11/30/2006	11,269,636	3,129,740	8,139,896	55,940,317	4,131,718
83	IFSCS USA INC	N	NFA	11/30/2006	6,529,996	5,000,000	1,529,996	129,304	0
84	IFX MARKETS INC	N	NFA	11/30/2006	9,526,685	3,560,456	5,966,229	0	0
85	IG FINANCIAL MARKETS INC	N	NFA	11/30/2006	999,417	500,000	499,417	0	0
86	INSTINET LLC	Y	NFA	11/30/2006	78,425,446	2,360,415	76,065,031	0	0
87	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	11/30/2006	1,679,046	1,000,000	679,046	755,886	0
88	INTERACTIVE BROKERS LLC	Y	EUXUS	11/30/2006	269,130,490	25,055,029	244,075,461	207,965,635	78,434,586
89	INTERBANK FX LLC	N	NFA	11/30/2006	5,764,943	1,000,000	4,764,943	0	0
90	IOWA GRAIN CO	N	CBOT	11/30/2006	11,026,031	7,555,133	3,470,898	240,483,626	679,126
91	ITAU SECURITIES INC	Y	NFA	11/30/2006	25,789,628	500,000	25,289,628	0	0
92	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	11/30/2006	94,603,994	500,000	94,103,994	0	0
93	JP MORGAN FUTURES INC	N	NYME	11/30/2006	1,092,243,427	652,782,185	439,461,242	7,325,902,537	1,767,988,632

SELECTED FCM FINANCIAL DATA AS OF
November 30, 2006
FROM REPORTS FILED BY
December 31, 2006

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
94	KOTTKE ASSOCIATES LLC	N	CBOT	11/30/2006	5,152,864	500,000	4,652,864	33,248,657	1,550
95	LADENBURG THALMANN & CO INC	Y	NFA	11/30/2006	18,927,078	500,000	18,427,078	0	0
96	LBS LIMITED PARTNERSHIP	N	CBOT	11/30/2006	793,883	500,000	293,883	0	0
97	LEHMAN BROTHERS INC	Y	CBOT	11/30/2006	(g)	534,020,000	(g)	4,613,734,000	185,751,000
98	LINN GROUP THE	N	NFA	11/30/2006	761,286	500,000	261,286	0	0
99	LINSCO PRIVATE LEDGER CORP	Y	NFA	11/30/2006	87,074,002	6,907,552	80,166,450	0	0
100	LOEB PARTNERS CORPORATION	Y	NFA	11/30/2006	26,187,441	500,000	25,687,441	0	0
101	MACQUARIE FUTURES USA INC	N	NFA	11/30/2006	10,024,145	500,000	9,524,145	15,240,749	83,517
102	MAN FINANCIAL INC	N	CME	11/30/2006	538,171,348	416,663,464	121,507,884	8,397,380,013	900,370,575
103	MB TRADING FUTURES INC.	N	NFA	11/30/2006	1,630,315	1,000,000	630,315	0	0
104	MBF CLEARING CORP	N	NYME	11/30/2006	23,140,962	3,578,970	19,561,992	119,141,583	8,843,088
105	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	11/30/2006	6,302,114	3,233,955	3,068,159	510,883,039	0
106	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	12/01/2006	(g)	505,562,868	(g)	6,583,154,188	683,098,595
107	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	12/01/2006	1,028,127,948	44,090,587	984,037,361	576,967,445	35,998,165
108	MID-CO COMMODITIES INC	N	NFA	11/30/2006	4,293,709	2,867,470	1,426,239	27,423,159	0
109	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	11/30/2006	6,267,140	875,579	5,391,561	0	0
110	MIZUHO SECURITIES USA INC	Y	CME	11/30/2006	169,823,287	25,425,708	144,397,579	232,938,857	89,987,397
111	MONEY GARDEN CORP	N	NFA	11/30/2006	3,629,411	1,000,000	2,629,411	0	0
112	MORGAN KEEGAN & COMPANY INC	Y	NFA	11/30/2006	379,888,759	12,855,583	367,033,176	0	0
113	MORGAN STANLEY & CO INCORPORATED	Y	CME	11/30/2006	(g)	1,321,003,393	(g)	2,803,901,656	2,142,946,733
114	MORGAN STANLEY DW INC	Y	CBOT	11/30/2006	1,250,601,666	71,055,410	1,179,546,256	1,003,943,695	2,325,589,727
115	NATIONS INVESTMENTS LLC	N	NFA	11/30/2006	1,506,755	1,000,000	506,755	4,639,676	0
116	NEUBERGER BERMAN LLC	Y	NFA	11/30/2006	191,088,010	13,343,188	177,744,822	22,985,963	0
117	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	11/30/2006	933,322,367	9,014,864	924,307,503	44,072,375	6,563,146
118	OANDA CORPORATION	N	NFA	11/30/2006	19,211,631	1,000,000	18,211,631	0	0
119	ODL SECURITIES INC	N	NFA	11/30/2006	205,897	1,000,000	-794,103	0	0
120	ONE WORLD CAPITAL GROUP	N	NFA	11/30/2006	1,058,167	250,000	808,167	131,534	0
121	OPEN E CRY LLC	N	NFA	11/30/2006	1,255,001	625,714	629,287	25,795,771	1,500,316
122	OPPENHEIMER & CO INC	Y	NFA	11/30/2006	160,461,880	24,351,300	136,110,580	23,485,622	0
123	OPTIONSXPRESS INC	Y	NFA	11/30/2006	90,815,974	3,458,366	87,357,608	2,612,527	0
124	PENSON FINANCIAL FUTURES INC	N	NFA	11/30/2006	1,518,067	500,000	1,018,067	21,373,078	1,688
125	PEREGRINE FINANCIAL GROUP INC	N	NFA	11/30/2006	15,235,763	6,232,394	9,003,369	220,851,106	13,651,461
126	PIONEER FUTURES INC	N	NYME	11/30/2006	2,149,458	500,000	1,649,458	0	0
127	PIPER JAFFRAY & CO	Y	NFA	11/30/2006	361,945,948	2,039,020	359,906,928	0	0
128	PRUDENTIAL FINANCIAL DERIVATIVES LLC	N	CBOT	11/30/2006	135,162,000	109,662,680	25,499,320	1,791,623,000	207,716,000
129	RAND FINANCIAL SERVICES INC	N	CME	11/30/2006	25,343,596	14,771,360	10,572,236	235,465,800	23,287,600
130	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	11/30/2006	338,250,127	27,683,843	310,566,284	0	0
131	RBC CAPITAL MARKETS CORPORATION	Y	CME	11/30/2006	281,752,422	58,948,752	222,803,670	141,928,759	3,942,340
132	RBC DAIN RAUSCHER INC	Y	NFA	11/30/2006	357,070,823	32,622,636	324,448,187	0	0
133	RBS GREENWICH CAPITAL INC	Y	CBOT	11/30/2006	1,228,634,000	76,833,000	1,151,801,000	596,058,000	11,693,000
134	REDSKY FINANCIAL LLC	Y	NFA	11/30/2006	604,825	500,000	104,825	0	0
135	RJ OBRIEN ASSOCIATES INC	N	CME	11/30/2006	102,163,753	79,115,762	23,047,991	2,117,246,057	45,927,141
136	ROBBINS FUTURES INC	N	NFA	11/30/2006	553,129	500,000	53,129	4,739,057	0
137	ROSENTHAL COLLINS GROUP LLC	N	CME	11/30/2006	40,427,863	23,340,541	17,087,322	719,877,007	5,818,350
138	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	11/30/2006	8,253,575	500,000	7,753,575	0	0
139	SANFORD C BERNSTEIN & CO LLC	Y	NFA	11/30/2006	149,521,371	40,695,411	108,825,960	0	0
140	SENTINEL MANAGEMENT GROUP INC	N	NFA	11/30/2006	2,640,117	500,000	2,140,117	1,582,938,829	18,111,377

