# SEC NEWS DIGEST

Issue 2000-22

February 4, 2000

#### ENFORCEMENT PROCEEDINGS

SEC OBTAINS PERMANENT INJUNCTIONS, OFFICER AND DIRECTOR BAR, AND PENNY STOCK BAR IN SETTLEMENT OF FRAUD ACTION AGAINST DISBARRED ATTORNEY AND TWO ENTITIES HE CONTROLS

The Commission announced that on January 24, 2000, Judge Audrey B. Collins of the U.S. District Court for the Central District of California signed a final judgment barring Kevin James Quinn (Quinn), a disbarred attorney, and two entities he controls, Go Irish, Ltd. (Go Irish) and HMC Limited, LLC (HMC Limited), from committing securities fraud.

The SEC alleged in its complaint, filed on September 14, 1999, that Quinn stole over 1.2 million shares of stock from two companies in which he served as an officer, director, and/or counsel. Quinn sold most of the stock in secondary market transactions. Quinn's scheme netted him more than \$200,000 in profits. Quinn, Go Irish, and HMC Limited consented to the entry of the final judgment which, among other things, permanently enjoins Quinn, Go Irish, and HMC Limited from future violations of the registration and antifraud provisions of the federal securities laws, and permanently enjoins Quinn from serving as an officer or director of a public company.

The SEC's complaint alleges that in 1997 and 1998, Quinn stole 960,000 shares of common stock of Atlantic Central Enterprises Limited and 300,000 shares of common stock of Advanced Laser Products, Inc., companies in which Quinn was an officer, director, and/or counsel. The complaint alleges that Quinn accomplished this theft by misusing his corporate positions with these two companies. The complaint alleges that Quinn (a) falsified board of directors' resolutions purportedly authorizing the issuance of the shares; (b) provided the fraudulent resolutions to the transfer agent and induced the transfer agent to issue the shares in the name of Quinn, Go Irish, HMC Limited, or a Canadian brokerage firm in which Quinn deposited the shares; and (c) either (i) sold shares into the market, or (ii) transferred the shares into other accounts in the The complaint alleges that no registration U.S. and Canada. statement was filed or in effect with the SEC and no exemption from registration was available for the shares that Quinn, Go Irish, and HMC Limited sold to the public. The complaint also alleges that Quinn provided no consideration for the stock, and that the board of

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directors for the two companies did not authorize the issuance, offer, or sale of the stock.

Without admitting or denying the SEC's allegations, Quinn, Go Irish, and HMC Limited consented to the entry of the final judgment, which (1) permanently enjoins Quinn, Go Irish, and HMC Limited from violations of Sections 5(a) and (c) and 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder; (2) permanently enjoins Quinn from serving as an officer or director of a public company; and (3) requires Quinn, Go Irish, and HMC Limited to pay disgorgement and prejudgment interest, but waives payment of these amounts, and does not impose a civil penalty based on demonstrated inability to pay.

As part of the settlement, Quinn also agreed to an administrative order barring him from participating in an offering of penny stock. The term penny stock generally refers to a speculative stock priced at under five dollars per share and traded mainly in the over-the-counter market.

The SEC previously issued an order of suspension pursuant to Rule 102(e)(2) of the SEC's Rules of Practice against Quinn. The order suspended Quinn from appearing or practicing before the SEC based on his disbarment by the Supreme Court of California on September 19, 1997. For further information, see Rel. 34-41876. (In the Matter of Kevin James Quinn - 34-42380; File No. 3-10137); [SEC v. Kevin James Quinn, Go Irish, Ltd., and HMC Limited, LLC, Civil Action No. 99-09325, CD Ca., ABC, ANx] (LR-16423)

#### SEC FILES EMERGENCY ACTION IN \$1.5 MILLION INTERNET TRADING SCHEME

The Commission announced today the unsealing of a temporary restraining order and asset freeze against Nancy J. Cheal based upon allegations that she raised more than \$1.5 million over the Internet and through other means in a Prime Bank-like investment fraud. The Commission alleged that, since at least October 1999, Cheal, d/b/a Relief Enterprise, fraudulently offered and sold investments in a "bank debenture trading" program by making baseless promises of a 100% weekly return. According to the complaint, Cheal also falsely told investors that the extraordinary investment return would be paid using the profits from the trading of a "licensed bank debenture trader" with whom she was purportedly associated. The Commission also alleged that Cheal or her representatives falsely assured investors that their funds were not at risk and were 100% The Commission alleged that the guaranteed by the U.S. Government. investment program had many of the hallmarks of so-called Prime Bank trading programs, which do not exist. According to the complaint, hundreds of investors in forty-eight states and abroad invested in The Commission's complaint alleged that Cheal Cheal's program. the general antifraud and securities registration violated provisions of the federal securities laws. The Commission also charged and froze the assets of Richard L. Birmingham, an associate of Cheal, as a relief defendant, because he allegedly was unjustly

enriched through his receipt of investor funds without consideration. [SEC v. Nancy J. Cheal, individually and d/b/a Relief Enterprise, et al., USDC, D. Mass., C.A. No. 00 CV 10182-EFH] (LR-16424)

### HOLDING COMPANY ACT RELEASES

#### VECTREN CORPORATION

A notice has been issued giving interested persons until February 24 to request a hearing on a proposal by Vectren Corporation (Vectren), an Indiana corporation not currently subject to the Act. Vectren proposes to acquire all of the issued and outstanding common stock of SIGCORP, Inc. and Indiana Energy, Inc., both Indiana corporations and public-utility holding companies exempt under Section 3(a) (1) by Rule 2 from all provisions of the Act except Section 9(a)(2). (Rel. 35-27129)

#### SELF-REGULATORY ORGANIZATIONS

#### PROPOSED RULE CHANGES

A proposed rule change and Amendment Nos. 1 and 2 thereto have been filed by the <u>New York Stock Exchange</u> (SR-NYSE-99-14) relating to amendments to the Listed Company Manual. Publication of the proposal is expected in the <u>Federal Register</u> during the week of February 7. (Rel. 34-42364)

The <u>Municipal Securities Rulemaking Board</u> filed a proposed rule change (SR-MSRB-99-11) to amend MSRB Rule G-36. Publication of the proposal is expected in the <u>Federal Register</u> during the week of February 7. (Rel. 34-42374)

The <u>National Association of Securities Dealers</u>, through its wholly-owned subsidiary, The Nasdaq Stock Market, Inc. (Nasdaq), has filed a proposed rule change (SR-NASD-99-77) relating to the Mutual Fund Quotation Service. Publication of the proposal is expected in the Federal Register during the week of February 7. (Rel. 34-42376)

#### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The <u>Chicago Stock Exchange</u> filed a notice of filing and request for accelerated approval of a proposed rule change (SR-CHX-99-27) relating to a pilot program governing execution of Nasdaq/NM securities. Publication of the proposal is expected in the <u>Federal</u> <u>Register</u> during the week of February 7. (Rel. 34-42372)

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#### NOTICE OF WITHDRAWAL OF PROPOSED RULE CHANGE

A proposed rule change (SR-PHLX-99-24) filed by the <u>Philadelphia</u> <u>Stock Exchange</u> relating to the establishment of a fee to members for receiving on-line options information was withdrawn, pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of February 7. (Rel. 34-42377)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-3 MAGIC SOFTWARE ENTERPRISES LTD, 5 HAPLADA STREET, OR YEHUDA ISREAL 60218, L3 (972) 353-8920 - 2,300,000 (\$153,237,500) FOREIGN COMMON STOCK (FILE 333-11380 - JAN 20) (BR 3)
- F-1 CEMEX SA DE CV, SKADDEN ARPS SLATE MEAGHER FLOM, 1440 NEW YORK AVE NW C/O J PEDERSEN, WASHINGTON, DC 20005 - 105,000,000 (\$517,650,000) FOREIGN COMMON STOCK. (FILE 333-11382 - JAN. 21) (BR 6)
- S-8 ALCATEL, 54 RUE LA BOETIE, 2288 BH, PARIS FRANCE 75008, IO 00000 (331) 407-6101 - 2,481,681 (\$230,299,064.02) FOREIGN COMMON STOCK (FILE 333-11388 - JAN. 24) (BR 7)
- S-8 ENDOSONICS CORP, 2870 KILGORE ROAD, RANCHO CORDOVA, CA 95670
   (916) 638-8008 207,500 (\$451,550) COMMON STOCK. (FILE 333-95633 JAN 28) (BR 5)
- S-8 ENDOSONICS CORP, 2870 KILGORE ROAD, RANCHO CORDOVA, CA 95670 (916) 638~8008 - 200,000 (\$996,000) COMMON STOCK (FILE 333-95635 -JAN 28) (BR 5)
- S-8 ENDOSONICS CORP, 2870 KILGORE ROAD, RANCHO CORDOVA, CA 95670 (916) 638-8008 - 500,000 (\$2,490,000) COMMON STOCK (FILE 333-95639 -JAN 28) (BR 5)
- S-3 REPLIGEN CORP, 117 FOURTH AVE, NEEDHAM, MA 02494 (781) 449-9560 2,007,050 (\$18,179,200) COMMON STOCK. (FILE 333-95641 JAN 28) (BR 1)
- S-3 TELENETICS CORP, 25111 ARCTIC OCEAN, LAKE FOREST, CA 92630 (949) 455-4000 - 4.218,600 (\$19,658,676) COMMON STOCK (FILE 333-95643 -JAN 28) (BR 7)
- S-8 UBICS INC, 1121 BOYCE RD, 100 SAINTE CLAIRE PLAZA, PITTSBURGH, PA 15241 (800: 441-0077 - 1,300,000 (\$9,262,500) STRAIGHT BONDS (FILE 333-95645 -JAN 28: (BR 3)

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- S-3 TEKELEC, 26580 W AGOURA RD, CALABASAS, CA 91302 (818) 880-5656 -\$135,000,000 STRAIGHT BONDS (FILE 333-95649 - JAN 28) (BR 7)
- S-8 BROCADE COMMUNICATIONS SYSTEMS INC, 1901 GUADALUPE PARKWAY, SUITE E, SAN JOSE, CA 95131 - 7,014,002 (\$1,084,053,531) COMMON STOCK (FILE 333-95653 - JAN 28) (BR 3)
- S-8 IMAGING TECHNOLOGIES CORP/CA, 15175 INNOVATION DRIVE, SAN DIEGO, CA 92128 (619) 613-1300 - 1,376,000 (\$458,208) COMMON STOCK (FILE 333-95655 - JAN 28) (BR 3)
- S-8 SOVEREIGN SPECIALTY CHEMICALS INC, 225 WEST WASHINGTON STREET, CHICAGO, IL 60606 (312) 419-7100 - 20,000 (\$2,000,000) COMMON STOCK (FILE 333-95659 - JAN 28) (BR 2)
- S-1 TECHIES COM INC, 7101 METRO BOULEVARD, EDINA, MN 55439 (612) 944-1020 \$86,250,000 COMMON STOCK (FILE 333-95661 JAN 28)
- S-3 FORRESTER RESEARCH INC, 400 TECHNOLOGY SQUARE, CAMBRIDGE, MA 02139 (617) 497-7090 - 3,266,000 (\$79,608,750) COMMON STOCK (FILE 333-95663 -JAN 28) (BR 6)
- S-8 ARIBA INC, 1565 CHARLESTON RD, MOUNTAIN VIEW, CA 94043 (650' 930-6200 -1,003,955 (\$28,092,926 07) COMMON STOCK (FILE 333-95665 - JAN 28 (BR 3)
- S-3 MATTSON TECHNOLOGY INC, 3550 WEST WARREN AVE, FREMONT, CA 94538 (510) 657-5900 - 3,450,000 (\$118,378,125) COMMON STOCK (FILE 333-95667 -JAN 28; (BR 5)
- S-3 METRICOM INC / DE, 980 UNIVERSITY AVE, LCS GRATOS, CA 95030 (408) 399-8200 (FILE 333-95669 - JAN 28) (BR 7)
- S-3 VION PHARMACEUTICALS INC, 4 SCIENCE PARK, NEW HAVEN, CT 06511 (203) 498-4210 - 4,846,935 (\$28,564,961) COMMON STOCK (FILE 333-95671 -JAN 28) (BR 1)
- S-8 ADAPTEC INC, 691 S MILPITAS BLVD, M/S25, MILPITAS, CA 95035 (408) 945-8600 - 1,130,525 (\$5,550,878) COMMON STOCK (FILE 333-95673 -JAN 28 (BR 3)
- S-3 WHITTMAN HART INC, 311 SOUTH WACKER DR, S™E 3500, CHICAGO, IL 60606 (312) 922-9200 - 3,694,893 (\$157,032,953) COMMON STOCK (FILE 333-95675 -JAN. 28) (BR 8)
- S-4 ARCH COMMUNICATIONS GROUP INC /DE/, 1800 W PARK DR, STE 250, WESTBOROUGH, MA 01581 (508) 870-6700 - 89,889,354 (\$70,226,057 81) COMMON STOCK (FILE 333-95677 - JAN 28) (BR 7)
- S-3 WHITTMAN HART INC, 311 SOUTH WACKER DR. STE 3500, CHICAGO, IL 60000 (312) 922-9200 - 909,963 (\$38,674,278) COMMON STOCK FILE 333-95679 -JAN 28) (BK 8)
- S-3 NETWORK PERIPHERALS INC, 1371 MCCARTHY BLVD, MILPITAS, CA 95035 (408) 321-7300 - 2,875,000 (\$136,023,438) COMMON STOCK (FILE 333-95681 -JAN 28) (BR 3)
- S-3 ALLAIRE CORP, ONE ALEWIFE CENTER 3RD FLOOR, SUITE 552, CAMBRIDGE, MA 02140 (617) 761-2000 - 376,690 (\$56,385,784 38) COMMON STOCK (FILE 333-95683 - JAN 28) (BR 3)
- S-3 NEW YORK TIMES CO, 229 W 43RD ST, NEW YORK, NY 10036 (212) 556-1234 -\$100,000,000 COMMON STOCK (FILE 333-95685 - JAN 28) (BR 5)
- S-8 ASK JEEVES INC, 5858 HORTON SI, SUITE 350, EMERYVILLE, CA 94608 (925) 603-9071 - 497,353 (\$5,871,059 40) COMMON STOCK (FILE 333-95687 -JAN 28) (BR 8)
- S-1 LIVEPERSON INC, 462 SEVENTH AVE, 10TH FL, NEW YORK, NY 10016
  (917) 210-9000 \$57,500,000 COMMON STOCK (FILE 333-95689 JAN 28)
  (NEW ISSUE)

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- S-1 ASK JEEVES INC, 5858 HORTON ST, SUITE 350, EMERYVILLE, CA 94608 (925) 603-9071 - 1,631,864 (\$162,064,494) COMMON STOCK. (FILE 333-95691 -JAN 28) (BR 6)
- S-4 EASTERN ENTERPRISES, 9 RIVERSIDE RD, WESTON, MA 02493 (781) 647-2300 2,199,039 (\$105,847,816) COMMON STOCK (FILE 333-95693 JAN 28) (BR 2)
- S-1 NUMERICAL TECHNOLOGIES INC. 70 W PLUMERIA AVE, SAN JOSE, CA 95134 (408) 919-1910 - \$70,000,000 COMMON STOCK. (FILE 333-95695 - JAN 28)
- S-4 PSEG ENERGY HOLDINGS INC, BD PARK PLZ, TZ2, NEWARK, NJ 07102 (973) 430-7000 - 400,000,000 (\$400,000,000) STRAIGHT BONDS (FILE 333-95697 - JAN. 28) (NEW ISSUE)
- S-8 US ELECTRICAR INC, 19850 SOUTH MAGELLAN DR, SUITE 305, TORRANCE, CA 90502 (310) 527-2800 - 45,808,400 (\$11,481,801 88) COMMON STOCK (FILE 333-95701 - JAN. 28) (BR 5)
- S-3 ALLSTATE LIFE INSURANCE CO OF NEW YORK, ONE ALLSTATE DR, PO BOX 9095, FARMINGVILLE, NY 11738 (516) 451-5300 - 50,000,000 (\$50,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-95703 - JAN 28) (BR 20)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street. N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	12	 ITE 5			9	DATE	COMMENT
AAI FOSTERGRANT INC	RI	х					02/01/	00
ABATIX CORP	DE		5	х			01/31/	00
ACG HOLDINGS INC	DE		х				02/02/	00
ADELPHIA COMMUNICATIONS CORP	DE		х				01/21/	00
ADEN ENTERPRISES INC	CA		х				01/14/	00
ADVANTA MORTGAGE LOAN TRUST 1998-4A	NY		х	2	х		01/31/	00
ADVANTA MORTGAGE LOAN TRUST 1998-4B	NY		х		х		01/31/	00
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE		х	:	х		01/31/	00
AETNA INC	CT		x				02/01/	00
AFFILIATED MANAGERS GROUP INC	DE		х		x		01/18/	00
AFFILIATED MANAGERS GROUP INC	DE		х	:	х		02/02/	00
ALADDIN GAMING ENTERPRISES INC	NV		х				01/28/	00
ALADDIN GAMING HOLDING LLC	NV		х				01/28/	00
ALLIANT ENERGY CORP	WI		х	2	x		02/01/	00

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ALLIANT ENERGY CORP	WI	x	x	02/01/00
AMERICAN COLOR GRAPHICS INC	NY	x		02/02/00
AMERICAN EXPRESS CO	NY	x		02/02/00
AMERICAS SENIOR FINANCIAL SERVICES		x	х	02/03/00
INC	~~			
ANALYTICAL SURVEYS INC	CO	х	х 	01/27/00
ANDERSEN GROUP INC	CT	X	X 	02/01/00
ANNEX BUSINESS RESOURCES INC	NV	X	х 	01/26/00
APPLE ORTHODONTIX INC	100	XXX	X	01/26/00
AREA BANCSHARES CORP	KY	X	X	01/31/00
ATLANTIC CITY BOARDWALK ASSOCIATES	ŊJ	х	х	12/31/99
ATLANTIC PREFERRED CAPITAL CORP	MA	х		12/31/99
AUSTIN FUNDING COM CORP	NV	x	х	02/02/00
AVIGEN INC \DE	DE	х	Х	02/03/00
AVON PRODUCTS INC	NY	Х		01/2€/00
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE	х	х	09/25/99
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE	х	Х	09/25/99
BAKER HUGHES INC	DE	х		01/31/00
BANK OF AMERICA MORTGAGE SECURITIES		x	λ	09/25/99
INC				50 (00 (00
BENTLEY INTERNATIONAL INC	MO	X		02/03/00
BIOCONTROL TECHNOLOGY INC	PA	Х	v	02/02/00
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT		х	12/31/99
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT		х	12/31/99
BONE CARE INTERNATIONAL INC	WI	х		01/27/00
BOSTON CAPITAL TAX CREDIT FUND IV L	DE	х		09/02/98
P				
BSMS 1999-1	DE	х	х	09/25/99
BSMS 1999-1	DE	х	х	10′25/99
BURNHAM PACIFIC PROPERTIES INC	MD	х	х	02/03/00
C COR NET CORP	PA	Х	Х	01/20/00
CADMUS COMMUNICATIONS CORP/NEW	AV	х	х	12/31/00
CAERE CORF	DE	х	Х	01/15/00
CALIFORNIA WATER SERVICE GROUP	CA	Х	х	01'26 00
CANDIES INC	DE	Х	У	01/26 00
CAPSTEAD MORTGAGE CORP	MD		х	02/02/00
CAREMARK RX INC	DE	х	λ	C2 C1/OC
CARRAMERICA REALTY CORP	MD	х	Х	01/20/00
CBL & ASSOCIATES PROPERTIES INC	DE	х		12/31/99
CBL & ASSOCIATES FROPERTIES INC	DE	х		12/31/99
CELSION CORP	MD	х		02/03/00
CENDANT CORP	DE	х	х	
CHASE FUNDING INC	NY	х	х	01/25/00
CHASE MORTGAGE FINANCE CORP	DE	х	Х	01/25/00
CHINA PEREGRINE FOOD CORP	DE	х		01/06/00
CHL MORTGAGE PASS THROUGH TRUST 199 8 18	DE	х	Х	09/25/ <b>99</b>
CHL MORTGAGE PASS THROUGH TRUST 199 8 18	DE	х	x	10/25/99
CHL MORTGAGE PASS THROUGH TRUST 199 8 20	DE	x	Х	09/25/99
CHL MORTGAGE PASS THROUGH TRUST 199 8 20	DE	х	λ	10/25/99
CISCO SYSTEMS INC	CA		х	12/14/99 AMEND
CISTON BIOTECHNOLOGY INC	DE	х		07/08/99
COCA COLA ENTERPRISES INC	DE	x	х	
CACH LOUR BAILARAISES INC		A		

NAME OF ISSUER	CODE	123		789	DATE COMMENT
COLECCIONES DE RAQUEL INC	NV		х		12/10/99 AMEND
COLONIAL REALTY LIMITED PARTNERSHIP	DE		х		12/31/99
COMMERCE ONE INC	DE		х		02/02/00
COMMSCOPE INC	DE		х	х	02/02/00
COMMUNITY FINANCIAL CORP /DE/	VA		Х	х	12/06/99
COMPU DAWN INC	DE			х	<b>D1/08/99 AMEND</b>
CONNECTICUT ENERGY CORP	CT		х		01/28/00
CONNING CORP	MO		х	X	01/24/00
CONSECO FINANCE SECURITIZATIONS COR P	MN		х	х	02/01/00
COOPER COMPANIES INC	DE		х	х	01/31/00
COVEST BANCSHARES INC	DE		х	х	02/03/00
CPS SYSTEMS INC	ТX	2	κ.		01/19/00
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE		х	х	02/02/00
CRITICAL PATH INC	CA	x		х	01/19/00
CROWN CENTRAL PETROLEUM CORP /MD/	MD			x	02/01/00
CSP INC /MA/	MA				02/02/00
CWABS INC ASSET BACKED CERTIFICATES SERIES 1998-3	DE		х	х	09/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1998-3	DE		х	x	10/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999 4	DE		х	х	09/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-T22	DE		х	х	09/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-T22	DE		х	х	09/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-T22	DE		х	х	10/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-1	DE		х	x	09/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-1	DE		х	х	10/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-2	DE		х	х	<b>09/2</b> 5/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-2	DE		х	х	10/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-3	DE		х	х	09/25/99
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-3					10/25/99
EWABS INC ASSET BACKED CERTIFICATES SERIES 1999-3					11/25/99
CWABS INC ASSET-BACKED CERTIFICATES SERIES 1998-2					09/25/99
EWABS INC ASSET-BACKED CERTIFICATES SERIES 1998-2					10/25/99
CWMBS INC	DE				12/28/99
EWMBS INC	DE				01/28/00
CWMBS INC	DE		X	Х	02/03/00
CWMBS INC ASSET BACKED CERTIFICATES SERIES 1999-3			X	Х	04/25/99
CWMBS INC ASSET BACKED CERTIFICATES SERIES 1999-3			x :	x	09/25/99
WMBS INC ASSET BACKED CEFTIFICATES SERIES 1999-3			x :	x	10/25/99
WMBS INC ASSET BACKED CEPTIFICATES SERIES 1999-3			x	x	11/25/99

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CWMBS INC ASSET BACKED CERTIFICATES			x	12/25/99
SERIES 1999-3				
CWMBS INC ASSET BACKED CERTIFICATES		х	х	04/25/99
SERIES 1999-4 CWMBS INC ASSET BACKED CERTIFICATES		x	x	05/25/99
SERIES 1999-4		~	v	05/25/99
CWMBS INC ASSET BACKED CERTIFICATES		х	х	09/25/99
SERIES 1999-4				
CWMBS INC ASSET BACKED CERTIFICATES SERIES 1999-4		х	х	10/25/99
CWMBS INC ASSET BACKED CERTIFICATES		х	х	11/25/99
SERIES 1999-4				
CWMBS INC CHL MORTGAGE PASS THROUGH	DE	х	х	09/25/99
TRUST 1998-19 CWMBS INC CHL MORTGAGE PASS THROUGH	DE	х	x	10/25/99
TRUST 1998-19	02		~	10/20/00
CWMBS INC CHL MORTGAGE PASS THROUGH	DE	х	х	09/25/99
TRUST 1998-21				
CWMBS INC CHL MORTGAGE PASS THROUGH TRUSI 1998-21	DE	χ	х	10/25/95
CWMBS INC CHL MORTGAGE PASS THROUGH	DE	х	х	09/25/99
TRUST 1998-22				
CWMBS INC CHL MORTGAGE PASS THROUGH	DE	х	х	10/25/99
TRUST 1998-22 CWMBS INC CHL MORTGAGE PASS THROUGH	DE	х	х	10/25/99
TRUST 1998-23	DE,	~	v	10/23/99
CWMBS INC CHL MORTGAGE FASS THROUGH	DE	х	х	09/25/99
TRUST 1999-2				/ /
CWMBS INC MORT PASS THR CERT SER 19 98-15	DE	х	х	09/25/99
CWMBS INC MORT PASS THR CERT SEP 19	DE	х	х	09/25/99
98-16				
CWMBS INC MORT PASS THR CERT SER 19	DE	х	х	09/25/99
99-10 CWMBS INC MORT PASS THR CERT SER 19	DE	х	х	29/25/99
99-11	25	-		
CWMBS INC MORT PASS THE CERT SEE 19	DE	λ	λ	09 25/99
99-12				
CWMBS INC MORT PASS THE CEFT SER 19		Х	X	09/25/99
99-5 CWMBS INC MORT PASS THP CERT SER 19		х	λ	ll/25/99
99-5				
CWMBS INC MORT PASS THE CERT SEE 19	DE	Х	х	C9/25/99
99-6	DE	х	х	09/25/99
CWMBS INC MORT PASS THR CERT SER 19 99-7	DE	x	X	09/25/99
CWMBS INC MORT PASS THR CERT SER 19	DE	х	х	09/25/99
99-8				
CWMBS INC MORT PASS THR CERT SER 19	DE	х	х	09/25/99
99-9 CWMBS INC MORT PASS THRO CERT SERIE	DE	х	х	09/25/99
S 1998-17	- 2			·
CWMBS INC MORT PASS THRO CERT SERIE	DE	Х	х	10/25/99
S 1998-17	55	v	۰.	00/05/00
CWMBS INC MORTGAGE PASS THR CERT TR UST 1999 1	DE	х	λ	09/25/99
CWMBS INC RASTA 1999-A1 1999-A	DE	λ	х	09/25/99
CWMBS INC RASTA 1999-A2 1999-B	DE	х	Х	09,25/99
CWMBS INC RASTA 1999-A3 1999-C	DE	х	х	09/25/99
CWMBS INC RASTA 1999-A4 1999-D	DE	х	λ	09/25/99
CWMBS INC RASTA 1999-A5 1999-E		х	λ	09/25/99

NAME OF ISSUER		12	34	56	78	DATE	
CWMBS INC RASTA 1999-A5 1999-E				х	х	10/25/9	9
CWMBS INC RASTA 1999-A5 1999-E				x	х	10/25/9	9
CWMBS INC RASTA 1999-A5 1999-E					х	11/25/99	
CWMBS INC RASTA 1999-A5 1999-E				x	х	12/25/9	
CWMBS INC RASTA 1999-A6 1999-F				x	х	09/25/9	
CWMBS INC RASTA 1999-A6 1999-F				x	x	10/25/9	
CWMBS INC RASTA 1999-A8 1999-H	DE			x	х	09/25/9	
CWMBS INC RESIDENTIAL ASSET SEC TRU ST 1998-A12				х	X	09/25/9	
CWMBS INC RESIDENTIAL ASSET SEC TRU ST 1998-A12	DE			х	x	10/25/9	9
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A11	DE			X	x	09/25/99	)
CWMBS INC RESIDENTIAL ASSET SECURIT	DE			х	x	09/ <b>25/9</b> 9	)
IZATION TRUST 1998-A13 CWMBS INC RESIDENTIAL ASSET SECURIT	DE			х	x	10/25/99	•
IZATION TRUST 1998-A13 CWMBS INC RESIDENTIAL ASSET SECURIT	DE			x	x	09/25/99	)
IZATION TRUST 1998-A14 CWMBS INC RESIDENTIAL ASSET SECURIT	DE			x	x	10/25/99	)
IZATION TRUST 1998-A14 CWMBS INC RESIDENTIAL ASSET SECURIT	DE			x	x	09/25/99	)
IZATION TRUST 1998-A15 CWMBS INC RESIDENTIAL ASSET SECURIT				x	x	10/25/99	
IZATION TRUST 1998-A15							
CWMBS INC SERIES 1998-A1D	DE			х	х	09/25/99	1
DDI CAPITAL CORP/DYNAMIC DETAILS IN C				x		01/28/00	1
DELICIOUS BRANDS INC	DE			х	х	02/01/00	1
DETAILS INC	CA			х		01/28/00	)
DIGEX INC/DE	DE			х	Х	02/03/00	1
DIVERSIFIED MARKETING SERVICES INC	NV		x		х	01/31/00	
DSP GROUP INC /DE/	DE			X	х	01/24/00	
DYNEGY INC /IL/	IL				х	02/02/00	
EARTH SEARCH SCIENCES INC	UT			x		02/02/00	
EATON CORP	OH				X	02/02/00	
EL PASO ENERGY CORP/DE	DE				x	D1/27/00	
EL PASO TENNESSEE PIPELINE CO	DE				x	01/27/00	
ELEC COMMUNICATIONS CORP	NY	х			x	01/21/00	
EPICOR SOFTWARE CORP	DE				х	02/02/00	
EVERTRUST FINANCIAL GROUP INC				x		01/27/00	
FINANCIAL FEDERAL CORP	NV			х	••	02/02/00	
FINE AIR SERVICES CORP	DE	v	X		х	01/27/00	
FIRST FINANCIAL BANCORP /OH/	ОН	X				02/02/00	
FIRST WEST CHESTER CORP	PA	х			••	03/31/00	
FIRSTCOM CORP	TX				x	02/01/00	
FLEET BOSTON CORP FORD MOTOR CREDIT CO	RI			X	x	01/31/00	
FREMONT FUNDING INC	DE				Х	02/02/00	
FVNB CORP	DE			X	v	01/18/00	
	TX				X	02/01/00	
GENERAL MAGIC INC	DE				х	11/09/99	
GENERAL MOTORS CORP GEOTEC THERMAL GENERATORS INC	DE			х		02/01/00	
GOLDEN BOOKS FAMILY ENTERTAINMENT I	FL DE	х	х		X X	10 <b>/21</b> /99 02 <b>/</b> 02/00	
NC GOODRICH B F CO	NY			х	x	02/03/00	
GOTO COM INC	DE	x			x	01/31/00	
GREATER BAY BANCORP	CA	~			x	01/03/00	
GREATER BAY BANCORP	CA				x	01/03/00	
GROUP MAINTENANCE AMERICA CORP	TX				X	02/02/00	

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NAME OF ISSUER		T	23	4 5 0	/ 8	59	DATE	COMMENT
		• • •	•••				•••••	•••••
HIGH VOLTAGE ENGINEERING CORP HOME EQUITY LOAN ASSET BACKED TRUST	MA DE		х	х	X X		2/02/0 9/25/9	
SERIES SPMD 1998 A	DE			v	~	0	5/25/5	5
HOME EQUITY LOAN ASSET BACKED TRUST	DE			х	x	0	9/25/9	9
SERIES SPMD 1998 A	50			A	ň	v	,	
HOME EQUITY LOAN ASSET BACKED TRUST	DE			х	х	1	0/25/9	9
SERIES SPMD 1998 A	22					-	0,23,3	2
HON INDUSTRIES INC	IA		х			0	2/02/0	0
HUMAN GENOME SCIENCES INC	DE			х	х		2/01/0	
HUMANA INC	DE			x	x		2/03/0	
IMAGE SYSTEMS CORPORATION	MN	Х				0	8/11/9	9 AMEND
IMPERIAL INDUSTRIES INC	DE		х		х		1/19/0	
INDYMAC ABS INC HOME EQUITY LN AST	DE			х	х	1	0/25/9	9
BK CERT SE SPMD 1999-A								
INFORMATION MANAGEMENT ASSOCIATES I	CT			х	х	0	1/27/0	0
NC								
INSURANCE MANAGEMENT SOLUTIONS GROU	FL			х	х	1	C/14/9	9
P INC								
INTEGRATED HEALTH SERVICES INC	DE				2	K O	2,62/0	0
INTERMEDIA COMMUNICATIONS INC	DE			х	х	0	2/03/0	0
INTERNATIONAL TOTAL SERVICES INC	ОН			х	х	0	2/03/0	0
INTERNET STOCK MARKET RESOURCES INC	DE			Х		0	2/03/0	0
IPALCO ENTERPRISES INC	IN	х				0	2/03/0	0
JG INDUSTRIES INC/IL/	IL			х	х	0	2/02/0	0
KENETECH CORP	DE			х		0	2/03/0	0
KYZEN CORP	TN			х	х	С	2/01/0	0
LANDMARK BANCSHARES INC	KS			х		C	1/31/0	C
LEGGETT & PLATT INC	MO			Х		C	2/02/0	C
LENNOX INTERNATIONAL INC			х		Х	0	1/21/0	0
LEUCADIA NATIONAL CORP	NY			х	λ	C	2/01/0	C
LIBBEY INC	DE			λ		0	2/02/0	0
LJL BIOSYSTEMS INC	DE			х	Х	С	2 ' 02 / 0	0
LONE STAR TECHNOLOGIES INC	DE				х	C	1,03 0	0 AMEND
MARKEL CORP	VA			х	х	C	2 02,0	C.
MARKETING SPECIALISTS CORP	DE			λ	х	С	2/02,0	0
MASSACHUSETTS FINCORP INC	DE			λ	Х		2 103 /0	
MCDONALDS CORP	DE				х		1 '26 '0	
MCLEODUSA INC	DE			х	х	0	1/07/0	00
MEDQUIST INC	NJ			λ			1/31 0	
MFB CORP	IN			х	λ		1/14/0	
MONRO MUFFLER BRAKE INC	NY			λ	х		2 '22 / 9	
NBC INTERNET INC	DE			Х	х		2/01/0	
NEOSE TECHNOLOGIES INC	DE			х	х		1,/31/0	
NEW BRUNSWICK SCIENTIFIC CO INC	NJ		Х		х		2/02/0	
NEW CENTURY HOME EQUITY LOAN TRUST	DE			х	х	1	1/30/9	9
SERIES 1999-NCC								
NEW CENTURY HOME EQUITY LOAN TRUST	DE			Х	λ	1	2/31/9	9
SERIES 1999-NCC								
NEW HORIZON KIDS QUEST INC	MN			X			2/21/9	
NEWPORT NEWS SHIPBUILDING INC	DE			Х	Х		2/02/0	
NEXTEL COMMUNICATIONS INC	DE			х	х		2/03/0	
NEXTPATH TECHNOLOGIES INC	NV		Х		Х		1,21/0	
NISSAN AUTO RECEIVABLES CORP /DE	DE			X	X		2'02/0	
NORTHEAST PENNSYLVANIA FINANCIAL CO	DE			Х	х	C	2/01/0	10
RP						~	a	
NS GROUP INC	KY		х				2/01/0	
OCEANIC EXPLORATION CO	DE			X			1,31/0 2/02/0	
ON TECHNOLOGY CORP	DE			X			2/03/0	
OUTLOOK SPORTS TECHNOLOGY INC	DE			X			2/03/0	
PACIFIC INDUSTRIAL CORP	NV			X			1/31/0 2/01/0	
PALMETTO BANCSHARES INC	SC			Х		U	2/01/0	10

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NAME OF ISSUER	CODE	123	34	5 6	57	89	DATE	COMMENT
		• • • • • • •	• • • •				• • • • • • • •	•••••
PARLEX CORP	MA			X	X		02/02/0	0
PARTNERS FIRST RECEIVABLES FUNDING	DE			X	X		01/18/0	0
LLC								
PEEKSKILL FINANCIAL CORP	DE			X	Х		01/31/0	0
PEGASUS COMMUNICATIONS CORP	DE			х	x		11/19/9	9 AMEND
PERMANENT BANCORP INC	DE			x	x		02/01/0	0
PJ AMERICA INC	DE			x			02/02/0	0
PMA CAPITAL CORP	PA			х	X		02/02/0	0
POGO PRODUCING CO	DE			х			02/02/0	0
POLYVISION CORP	NY	x			x		01/21/0	0
POTOMAC ELECTRIC POWER CO	VA				X		02/03/0	0
PRE CELL SOLUTIONS INC/	со	х	х		х		12/01/9	8
PROFESSIONAL DETAILING INC	DE			х			02/02/0	0
PROMOTIONS COM INC	DE			х			01/31/0	
PROTEIN POLYMER TECHNOLOGIES INC	DE			х	х		01/27/0	
PROVIDIAN FINANCIAL CORP	DE			х	х		02/03/0	
PS FINANCIAL INC	DE			х	х		01/31/0	
PSC INC	NY	х					01/19/0	
OWEST COMMUNICATIONS INTERNATIONAL	DE			х	х		02/02/0	
INC	24						02,02,0	0
RASTA 1999-A7 1999-G				х	x		09/25/9	9
RASTA 1999-A7 1999-G				x	x		10/25/9	
REALMARK PROPERTY INVESTORS LIMITED	DE		х	4	x			0 AMEND
PARTNERSHIP			л		••		01/11/0	0 AMEND
	-		v		v		01/11/0	
REALMARK PROPERTY INVESTORS LIMITED	DE		Х		X		01/11/0	U AMEND
PARTNERSHIP VI-A								
REALMARK PROPERTY INVESTORS LTD PAR	DE		х		х		01/11/0	0 AMEND
TNERSHIP II							/ / -	
REALMARK PROPERTY INVESTORS LTD PAR	DE		х		Х		01/11/0	0 AMEND
TNERSHIP III								
REALMARK PROPERTY INVESTORS LTD PAR	DE		х		х		01/11/0	0 AMEND
TNERSHIP V								
REALMARK PROPERTY INVESTORS LTD PAR	DE		Х		х		01/11/0	0 AMEND
TNERSHIP-IV								
RELIASTAR FINANCIAL CORP	DE			x	x		02/01/0	0
RENT WAY INC	PA			X			01/18/0	
RMI NET INC	DE			X	x		11/19/9	9 AMEND
RMI NET INC	DE			X	x		11/24/9	9 AMEND
ROYAL HOLDINGS SERVICES LTD	NV	хх		X	X		12/21/9	8
SCIENTIFIC MEASUREMENT SYSTEMS INC/	TX	х	Х		X		09/05/9	9
TX								
SEMTECH CORP	DE			x	х		02/02/0	0
SERVICE MERCHANDISE CO INC	TN			Х			02/02/0	0
SHANECY INC	DE	х			Х		01/18/0	0
SKYLINE MULTIMEDIA ENTERTAINMENT IN	NY			х			12/30/9	9
С								
SMITH CORONA CORP	DE	х					02/02/0	0
SMS STUDENT LOAN TRUST 2000-A	DE			хÌ	х		02/02/0	
SOCRATES TECHNOLOGIES CORP	DE			х	х		12/31/9	Э
SPIGADORC INC	DE	х			х		01/19/0	
STAGE STORES INC	DE			x	х		02/03/0	
STATESIDE FUNDINGS INC	DE	хх	x		X		01/26/0	
STORAGE TECHNOLOGY CORP	DE			x	x		02/03/0	
SUNRISE INTERNATIONAL LEASING CORP	DE			x	x		01/31/0	
SYBASE INC	DE	х	•	••	x		01/20/00	
SYLVAN LEARNING SYSTEMS INC	MD	A		x	x		01/26/00	
TANDYCRAFTS INC	DE			x	x		02/02/00	
TELTREND INC								
	DE			X	X		02/03/00	
TITAN CORF	DE			x	X		02/03/00	
TOP AIR MANUFACTURING INC	IA		2	x	Х		01/25/00	j

NAME OF ISSUER	STATE CODE 1	8K ITEM NO. 23456789	DATE COMMENT
TRANSPORT CORPORATION OF AMERICA IN	MN	х х	01/17/00
C TRANSTEL PASS THROUGH TRUST		x	02/02/00
TRI COUNTY BANCORP INC	WY	x	01/28/99
U S INTERACTIVE INC/PA	DE	x x	02/02/00
UNITED THERAPEUTICS CORP	DE	X	01/18/00
USA GROUP SECONDARY MARKET SERVICES	DE	х	02/02/00 AMEND
INC			
VENATOR GROUP INC	NY	х х	01/25/00
WESTELL TECHNOLOGIES INC	DE	х х	02/03/00
XOMA LTD	AZ	х х	01/24/00 AMEND
XTRA CORP /DE/	DE	х	02/02/00
ZYDECO ENERGY INC	DE	х х	01/28/00