# SEC NEWS DIGEST

Issue 2000-51 March 17, 2000

#### COMMISSION ANNOUNCEMENTS

# ORDER FOR THE NATIONAL GRID GROUP PLC, ET AL. AVAILABLE ON COMMISSION'S WEBSITE

On March 15, the Commission issued an order under the Public Utility Holding Company Act of 1935 approving, among other things, the acquisition of New England Electric Company, a registered public-utility holding company, by The National Grid Group plc, a UK holding company The Commission has not previously considered an acquisition of a domestic registered holding company by a foreign holding company that would require the foreign company to register under the Act *See* Holding Co Act Release No 27154, International Series Release No 1217 The order is available on the Commission's website at <a href="https://www.sec.gov">www.sec.gov</a> (Press Rel 2000-36)

### **ENFORCEMENT PROCEEDINGS**

# PAPA ORDERED TO DISGORGE FRAUDULENTLY OBTAINED INVESTOR FUNDS AND PAY MAXIMUM THIRD TIER PENALTY

The Commission announced that on March 8 the Honorable Stephen V Wilson, United States District Judge of the Central District of California, issued a Final Judgment of Disgorgement and Imposing Civil Penalties against Defendant Jonathan C Papa The Court ordered Papa to disgorge \$3,074,621 in fraudulently obtained investor funds, together with \$422,876 81 in prejudgment interest, and imposed the maximum \$110,000 third tier penalty permitted by law

Papa, the principal of Papa Holdings, Inc and four of its Restaurant Subsidiaries (Ponzu V, Inc, Ponzu VI, Inc, Express 1, Inc and Papa Exp 2, Inc), raised over \$21 million from hundreds of investors nationwide Papa represented that the proceeds from the Restaurant Subsidiaries would be used to develop, own and operate new restaurants, when in fact the money was actually used to fund losses at existing Papashon Restaurants Papa also falsely

represented that no officer, director or employee of Papa Holdings would receive commissions on the sale of its stock, when he in fact received a 40% commission on sales he made and an 8% override on sales made by others. [SEC v Jonathan C Papa, Papa Holdings, Inc , Ponzu V, Inc., Ponzu VI, Inc , Express 1, Inc. and Papa Exp 2, Inc , Civil Action No 99-05049 SVW, CWx, C.D Cal ] (LR-16470)

# LONG DISTANCE DIRECT HOLDINGS, INC. ORDERED TO FILE DELINQUENT REPORTS WITH THE COMMISSION

The Commission today announced that on March 13 the United States District Court for the District of Columbia entered a Final Judgment of Permanent Injunction finding that Long Distance Direct Holdings, Inc was delinquent in its obligation to file periodic reports with the Commission as required by the Securities Exchange Act of 1934 (Exchange Act) Section 13(a) and Rules 12b-25, 13a-1 and 13a-13 thereunder Specifically, the judgment finds that that LDDI failed to file its Annual Report on Form 10-K for the fiscal year ended December 31, 1998, and its Quarterly Reports on Form 10-Q for the fiscal quarters ended September 30, 1998 and March 31, June 30 and September 30, 1999 The Court also found that LDDI filed late its Annual Reports for December 31, 1995 and 1997, and its Quarterly Reports for the fiscal quarters ended March 31, 1996 and March 31 and June 30, 1998 and failed to file four Notifications of Late Filing for its delinquent reports The judgment compels LDDI to file its Annual Report on Form 10-K for the period ended December 31. 1998 and its Quarterly Reports on Form 10-Q for the periods ended March 31, 1999, June 30, 1999 and September 30, 1999, on or before April 30, 2000, and enjoins it from further violations of the referenced statutes and regulations LDDI consented to the entry of the judgment For more information, see LR-16403 [SEC v Long Distance Direct Holdings, Inc , Civil No 00-CV-0038, D D C ] (LR-16471)

# FORMER FUGITIVE INVESTMENT BANKER, EXTRADITED FROM AUSTRALIA, PLEADS GUILTY TO CRIMINAL CHARGES OF INSIDER TRADING

The U S Attorney's Office for the Southern District of New York announced that on March 14, 2000, Arjun Sekhri, a former investment banking associate at Salomon Smith Barney, Inc in New York City, pled guilty to criminal charges of insider trading. The criminal information to which Sekhri pled guilty charges Sekhri with conspiracy to commit securities fraud, securities fraud, and fraud in connection with a tender offer. Sekhri fled the United States around the time civil and criminal charges were filed against him in 1998. He was arrested in Australia and subsequently waived extradition.

The charges arise out of an insider trading scheme in which Sekhri misappropriated inside information from Salomon On April 1, 1998, the Securities and Exchange Commission filed an insider trading case involving Sekhri and others in the U.S District Court for the Southern District of New York The SEC's amended complaint, filed on May 19, 1998, charges Sekhri and seven other individuals to whom Sekhri supplied confidential information with illegal insider trading The SEC alleges that, from September 1997 through January 1998, Sekhri, Amolak Sehgal, Pratima Rajan, Fuad Dow, Gordon W. Cochrane, Martin L Thifault, Rohina Sharma, and Sharad Kapoor engaged in a highly

profitable insider trading scheme by collectively purchasing call options and/or common stock shortly before six major corporate announcements. The defendants reaped total profits of at least \$1 8 million from their illegal securities transactions.

The SEC alleges that Sekhri, the source of the inside information, was an investment banking associate at Salomon at the time of the trading Salomon provided investment banking services in each of the relevant corporate transactions and Sekhri worked specifically on at least one of those transactions. Sekhri tipped Dow, Sekhri's former college roommate Dow then tipped Cochrane and Thifault, all three of whom collectively purchased common stock and/or call options on the stock of MCI Communications Corp., Brooks Fiber Properties, Inc., Carson Pirie Scott & Co., Inc., Central and South West Corp., and Southern New England Telecommunications Corp. in advance of six different public announcements of significant mergers involving these companies The complaint alleges that Sekhri also tipped Sehgal, his father-in-law, in advance of at least four of these announcements, Rajan, his friend, in advance of at least three of these announcements, Kapoor, his friend and then a broker at Merrill Lynch, Pierce, Fenner & Smith, Inc in San Jose, California, in advance of six of these announcements; and Sharma, Kapoor's wife, in advance of at least four of these announcements. The complaint also seeks disgorgement from relief defendants Mahendar and Sharda Sekhri, Arjun Sekhri's parents, of assets transferred to them by the defendants

Without admitting or denying the SEC's allegations, Dow, Cochrane, and Thifault previously settled the SEC's insider trading charges by consenting to the entry of final judgments requiring, among other things, payment of almost \$2 million. In addition, Dow, Cochrane, and Thifault previously pled guilty to criminal charges of insider trading. Dow was sentenced to two years imprisonment and ordered to pay \$469,595 in restitution. Thifault was sentenced to five years' probation, to include one year of home confinement. Cochrane is awaiting sentencing. [U.S. v. Arjun Sekhri, 00 CR 236, RPP] (LR-16472)

COLORADO GRAND JURY INDICTS COLORADO SPRINGS STOCK BROKER. WILLIAM LEE JEFFERS, FOR SECURITIES FRAUD IN THE TRADING OF THE ACCOUNTS OF ELDERLY CLIENTS AND BRANCH MANAGER ROGER ANTHONY RAWLINGS FOR FAILING TO SUPERVISE JEFFERS

The Commission today announced that on March 10 the Attorney General of the State of Colorado obtained criminal indictments against William Lee Jeffers, a stock broker in the Colorado Springs, Colorado office of DE Frey and Company, and his branch manager, Roger A Rawlings, for securities fraud

The indictment alleges that Jeffers committed three separate felonies, contending that he excessively or inappropriately traded the accounts of three elderly D.E Frey clients of limited means, failing to disclose to them that he was utilizing margin trading to finance the trading and to generate trading commission for himself DE Frey and Company is a Denver-based broker-dealer with branch offices located throughout the country. The indictment alleges that in the case of one eighty-seven year old female, over a period of twenty-three months Jeffers generated in excess of \$25,000 in trading commissions while

the account actually suffered a decline in value of approximately \$29,000 Bond for Jeffers was set in the amount of \$25,000

The Colorado Grand Jury also indicted Roger A Rawlings, Jeffers' supervisor and then branch manager of D E. Frey's Colorado Springs branch office The indictment against Rawlings alleges a felony based upon his failure to supervise Jeffers, notwithstanding his knowledge that Jeffers' registration to sell securities in Colorado was subject to a special supervision requirement which required that Rawlings monitor Jeffers' trading activity on a daily basis Bond for Rawlings was set in the amount of \$10,000.

Trial on the indictments will be held in El Paso County, Colorado. The staff of the Securities and Exchange Commission rendered assistance to the State of Colorado in this matter [People of the State of Colorado v William Lee Jeffers and Roger Anthony Rawlings, Civil Action No 00CR976-5 (Jeffers) and 00CR 990-5 (Rawlings), (El Paso County, CO] (LR-16473)

# COURT FREEZES ASSETS LINKED TO SUSPICIOUS PURCHASES OF MORE THAN \$2.5 MILLION IN THE COMMON STOCK OF FINANCIAL SECURITY ASSURANCE HOLDINGS LTD.

The Commission announced that on March 16 the U S District Court for the Southern District of New York entered a Temporary Restraining Order freezing the accounts of certain unknown purchasers of the common stock of Financial Security Assurance Holdings Ltd (FSA), prohibiting the unknown persons from taking delivery of the stock or possession of the proceeds from the sale of the stock. The Commission alleges that the unknown purchasers engaged in illegal insider trading just before the announcement on March 14, 2000 that Dexia, a municipal lending corporation based in Brussels, Belgium, intended to acquire FSA in a friendly merger. FSA is a New York corporation that provides financial guaranty insurance on asset-backed and municipal obligations. The securities of FSA trade on the NYSE.

The Commission alleges that, within a 2 1/2 hour period beginning in the morning of March 9, 2000, a bank in Luxembourg operating as two banking entities, Banque Privee Edmond de Rothschild (Banque Privee) and Banque de Gestion Edmond de Rothschild (Banque Gestion), as well as three Israeli banks, Bank Leumi, Bank Hapoalim, and Israel Discount Bank, purchased approximately 53,500 shares of FSA common stock. According to the Commission, the five foreign banking entities placed their trades on behalf of persons or entities presently unknown, using six U S broker-dealers. Moreover, according to the Commission, at least two of the foreign banks, Banque Gestion and Bank Hapoalim, placed limit orders before the market opened on March 9 for the purchase of FSA stock at prices significantly higher than the previous day's closing price

The Commission's complaint and its application for an emergency temporary restraining order state that shortly after noon on March 9, 2000, an extraordinary increase in purchases of the thinly traded stock of FSA caused the NYSE to halt FSA's trading, citing an order imbalance The NYSE thereafter extended the trading halt pending the release of news

about FSA Later that afternoon, FSA publicly announced that it had been in discussions with an unnamed third party concerning the possible sale of FSA When trading in FSA resumed later on March 9, the stock re-opened at \$59 1/2, a substantial increase from the \$55 price at which FSA had traded before trading was halted

Three days later, on March 14, 2000, FSA and Dexia formally announced that they had signed a definitive agreement providing for Dexia to acquire FSA for \$76 in cash, a deal valued at approximately \$2.6 billion. According to the Commission, sell orders have been placed with respect to some of the 53,500 shares that the five foreign banks purchased on March 9, and some of the trades are due to settle on Friday, March 17. Significantly, according to the Commission, Banque Privee placed a limit order early on March 14, before the opening of trading and before the public announcement, to sell FSA at \$69 per share, a price significantly higher than the previous day's closing price of \$57.11/16. The Commission's filing states that the proceeds from the pending orders to sell FSA stock total about \$1 million.

In the pending lawsuit, the Commission alleges that the unknown defendants engaged in illegal insider trading in violation of Section 10(b) of the Securities Exchange Act and Rule 10b-5 The complaint seeks permanent injunctive relief, the disgorgement of all illegal profits, and the imposition of civil monetary penalties The Court's Temporary Restraining Order prohibits the removal of the FSA common stock, or the proceeds from the sales of the FSA common stock, from the U S brokerage accounts in which the securities or funds currently reside

The Commission acknowledges the assistance of the NYSE in this matter [SEC v Certain Unknown Purchasers of Common Stock of Financial Security Assurance Holdings Ltd , No 00-Civ-2029, SDNY] (LR-16474)

#### HOLDING COMPANY ACT RELEASES

# ALLIANT ENERGY CORPORATION, ET AL.

An order has been issued authorizing a proposal by Alliant Energy Corporation, a registered holding company, and its wholly owned non-utility subsidiary, Alliant Energy Resources, Inc (Resources), for Resources to purchase preferred stock of Capstone Turbine Corporation, a manufacturer of microturbines (Rel 35-27155)

#### ALLIANT ENERGY CORPORATION

A supplemental order has been issued authorizing Alliant Energy Corporation (Alliant Energy), a registered holding company, to issue shares of its common stock, purchased in the open market, as compensation paid to its nonemployee members of its board of directors and to amend its deferred compensation plan for its nonemployee members of its board of directors (Rel 35-27156)

# SELF-REGULATORY ORGANIZATIONS

# ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-00-05) relating to option trading permit auction procedures Publication of the proposal is expected in the Federal Register during the week of March 20. (Rel 34-42527)

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed a proposed rule change (SR-MSRB-00-04), which became effective upon filing with the Commission, relating to Rule G-37 on Political Contributions and Prohibitions on Municipal Securities Business Publication of the notice in the Federal Register is expected during the week of March 20 42533)

# APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the National Association of Securities Dealers (SR-NASD-99-75) to permit Electronic Communication Networks and Alternative Trading Systems to register as market makers in listed securities through Nasdag-provided quotation and trading facilities (Rel 34-42536)

# WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until April 5, 2000, to comment on the application of e-SIM Ltd to withdraw its Ordinary Shares, par value NIS 10 per share, from listing and registration on the American Stock Exchange (Rel 34-42534)

### **DELISTING GRANTED**

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration The Options Clearing Corporation, call and put option contracts respecting certain underlying securities (Rel 34-42335)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933 The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered. Name of the managing underwriter or depositor (if applicable),

File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

- S-1 ONI SYSTEMS CORP, 166 B BAYPOINTE PARKWAY, SAN JOSE, CA 95134 (408) 965-2600 \$115,000,000 COMMON STOCK. (FILE 333-32104 MAR. 10) (BR. 37 NEW ISSUE)
- S-3 SABRE HOLDING CORP, 4255 AMON CARTER BLVD, FORT WORTH, TX 76155 (817) 963-6400 (FILE 333-32106 MAR. 10) (BR. 3)
- S-8 SOLUTIA INC, 575 MARYVILLE CENTRE DRIVE, F O BOX 66760, ST. LOUIS, MO 63166 (314) 674-1000 500,000 (\$6,546,900) COMMON STOCK. (FILE 333-32112
  - MAR. 10) (BR. 2)
  - S-8 PLAYTEX PRODUCTS INC, 300 NYALA FARMS RD, WESTPORT, CT 06880 (203) 341-4000 2,000,000 (\$22,940,000) COMMON STOCK. (FILE 333-32114 MAR. 10) (BR. 2)
- S-8 CONCURRENT COMPUTER CORP/DE, 4375 RIVER GREEN PARKWAY, DULUTH, GA 30097

  (678) 258-4000 378,983 (\$6,300,593) COMMON STOCK. (FILE 333-32116 MAR. 10) (BR. 3)
  - S-8 METRETEK TECHNOLOGIES INC, WORLD TRADE CTR, 1675 BROADWAY STE 2150, DENVER, CO 80202 (303) 592-5555 500,000 (\$8,000,000) COMMON STOCK. (FILE 333-32118 MAR. 10) (BR. 8)
- S-8 BROADBASE SOFTWAPE INC, 170 CONSTITUTION IF, MEMLO FAPE, CA 94025 1,944,807 (\$153,702,699) COMMON STOCK. (FILE 333-32120 MAR. 10, BR. 8)
  - S-1 RCL TRUST 2000-1, PO BOX 6044, DEARBORN, MI 48121 (313) 594-7765 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-32122 MAR. 10) (BR. 38 NEW ISSUE)
  - S-4 U S WEST COMMUNICATIONS INC, 1801 CALIFORNIA ST, & TE 480, DENVER, CO 80202 (303) 896-3099 750,000,000 (\$750,000,000) STRAIGHT BONDS. (FILE 333-32124 MAR. 10) (BR. 7)
  - S-1 NAVIANT INC, 14 CAMPUS BLVD, STE 200, NEWTOWN SQ, PA 19073 \$50,000,000 COMMON STOCK. (FILE 333-32126 MAR. 10) (BR. 3)
- S-8 CREATIVE HOST SERVICES INC, 6335 FERRIS SQUARE, STES G-H, SAN DIEGC, CA
  92126 (619) 587-7300 280,000 (\$1,171,850) COMMON STOCK. (FILE 333-32128
- MAR. 10) (BR. 9)

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- S-8 SYNOPSYS INC, 700 E MIDDLEFIELD RD, MOUNTAIN VIEW, CA 94043 (650) 962-5000 2,200,000 (\$86,212,500) COMMON STOCK. (FILE 333-32130 MAR. 10) (BR. 3)
- S-8 OXIS INTERNATIONAL INC, 6040 N CUTTER CIRCLE STE 317, PORTLAND, OR 97217

  (503) 283-3911 1,125,000 (\$8,367,187.50) COMMON STOCK. (FILE 333-32132 MAR. 10) (BR. 1)
  - S-1 TYCOM LTD, ZURICH CENTER SECOND FL STE 201, 90 PITTS BAY ROAD PEMBROKE BERMUDA, PEMBRAKE, - \$1,000,000,000 COMMON STOCK. (FILE 333-32134 - MAR. 10) (BR. 36 - NEW ISSUE)
  - S-8 HOMEGROCER COM INC, 10230 NE POINTS DRIVE, KIRKLAND, WA 98033 (425) 201-7500 22,158,402 (\$212,436,738) COMMON STOCK. (FILE 333-32138 MAR. 10) (BR. 8)
  - S-8 VERTEX INDUSTRIES INC, 23 CAROL ST, PO BOX 996, CLIFTON, NJ 07014 (973) 777-3500 3,700,000 (\$44,400,000) COMMON STOCK. (FILE 333-32140 MAR. 10) (BR. 3)
  - S-3 NOVAVAX INC, **83**20 GUILFORD RD, SUITE C, COLUMBIA, MD 21046 (307: 854-3900 3,903,619 (\$39,524,142) COMMON STOCK. (FILE 333-32142 MAR. 10) (BR. 1)
  - S-3 LIFECORE BIOMEDICAL INC, 3515 LYMAN BLVD, CHASKA, MN 55318 (612) 368-4300 118,238 (\$1,263,668.63) COMMON STOCK. (FILE 333-32144 MAR. 10) (BR. 1)
  - S-1 BERKSHIRE HILLS BANCORP INC, 24 NORTH ST., PITTSFIELD, MA 01201 (413) 443-5601 10,326,069 (\$103,266,090) COMMON STOCK. (FILE 333-32146 MAR. 10) (BR. 7 NEW ISSUE)
- S-3 U S PLASTIC LUMBER CORP, 2300 W GLADES R'STE 440 W, BOCA RATON, FL 33431 (561) 394-3511 2,118,182 (\$20,527,377) COMMON STOCK. (FILE 333-32148 MAR. 10) (BR. 9)
  - S-8 GALILEO INTERNATIONAL INC, 9700 WEST HIGGINS ROAD, ROSEMONT, IL 60018 (847) 518-4000 1,500,000 (\$12,090,000) COMMON STOCK. (FILE 333-32150 MAR. 10) (BR. 3)
  - S-1 ACT TELECONFERENCING INC, 1658 COLE BLVD, STE 130, GOLDEN, CO 80401 (303) 235-9000 2,300,000 (\$35,362,500) COMMON STOCK. (FILE 333-32156 MAR. 10) (BR. 7)
  - S-4 CMGI INC, 100 BRICKSTONE SQUARE, STE B110, ANDOVER, MA 01810 (978) 684-3600 3,549,979 (\$449,150,709) COMMON STOCK. (FILE 333-32158 MAR. 10) (BR. 2)
- S-8 FTI CONSULTING INC, 2021 RESEARCH DR, ANNAPOLIS, MD 21401 (410) 224-8770
   150,000 (\$1,074,000) COMMON STOCK. (FILE 333-32160 MAR. 10) (BR. 8)

- S-8 CNBC BANCORP /OH, 100 EAST WILSON BRIDGE ROAD, STE 100, WORTHINGTON, OH

  43085 (614) 848-8700 199,460 (\$5,600,000) COMMON STOCK. (FILE 333-32162

   MAR. 10) (BR. 7)
  - S-3 GREAT PLAINS SOFTWARE INC, 1701 S W 38TH ST, FARGO, ND 58103 (701) 281-0550 946,646 (\$50,911,758) COMMON STOCK. (FILE 333-32164 MAR. 10) (BR. 3)
  - S-3 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 82,644 (\$8,853,652) COMMON STOCK. (FILE 333-32168 MAR. 10) (BR. 3)
  - S-4 MANZANO CORP, ALVARADO SQUARE, NEW MEXICO, ALBUQUERQUE, 87158 39,700,000 (\$618,923,000) COMMON STOCK. (FILE 333-32170 MAR. 10) (NEW ISSUE)
  - S-8 MANUGISTICS GROUP INC, 2115 E JEFFERSON ST, ROCKVILLE, MD 20852 (301) 984-5000 6,730,000 (\$198,672,875) COMMON STOCK. (FILE 333-32172

MAR. 10) (BR. 3)

- S-1 TRANSGENOMIC INC, 5600 SOUTH 42ND ST, OMAHA, NE 68107 (402) 738-5480 4,600,000 (\$64,400,000) COMMON STOCK. (FILE 333-32174 MAR. 10)
- S-1 PAPEREXCHANGE COM INC, 545 BOYLSTON STREET 8TH FLOOR, BCSTON, MA 02116 (617) 536-4310 \$115,000,000 COMMON STOCK. (FILE 333-32176 MAR. 10)
- S-8 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139 (607) 576-1999 844,573 (\$42,756,508) COMMON STOCK. (FILE 333-32178 MAR. 10) (BR. 1)
- S-3 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 227,202 (\$24,340,150) COMMON.STOCK. (FILE 333-32180 MAR. 10) (BR. 3)
- S-3 MARK SOLUTIONS INC, 1515 BROAD ST, PARKWAY TECHNICAL CENTER, BLOOMFIELD,

  NJ 07003 (973) 893-0500 296,500 (\$1,260,125) COMMON STOCK. (FILE 333-32182 MAR. 10) (BR. 6)
- S-1 DOVEBID INC, 1241 E HILLSDALE BLVD, FOSTER CITY, CA 94404 (650) 571-7400
  - \$80,000,000 COMMON STOCK. (FILE 333-32184 MAR. 10) (NEW ISSUE)
- S-3 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139 (607) 576-1999 2,875,000 (\$145,546,875) COMMON STOCK. (FILE 333-32186 MAR. 10) (BR. 1)
  - S-1 SKYDESK INC, 3550 GENERAL ATOMICS CT, BLDG 15, SAN DIEGO, CA 92121 (858) 455-3500 \$75,000,000 COMMON STOCK. (FILE 333-32188 MAR. 10) (NEW ISSUE)

- S-8 PMI GROUP INC, 601 MONTGOMERY ST, SAN FRANCISCO, CA 94111 (415) 788-7878
  - 100,000 (\$3,397,000) COMMON STOCK. 6,000,000 (\$6,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-32190 MAR. 10) (BR. 1)
  - S-1 LEISURE TRAVEL GROUP INC, 6 LEYLANDS PARK NOBS CROOK, COLDEN COMMON WINCHESTER SO21 1TH ENGLAN, COLDEN COMMON, (011) 441-7036 \$41,400,000 COMMON STOCK. (FILE 333-32192 MAR. 10) (NEW ISSUE)
  - S-8 BUY COM INC, 21 BROOKLINE, ALISO VIEJO, CA 92656 (949) 425-5230 25,672,043 (\$398,686,827.79) COMMON STOCK. (FILE 333-32194 MAR. 10) (BR. 2)
  - S-8 INFOSYS TECHNOLOGIES LTD, ELECTRONICS CITY HOSUR RD,
    BANGALORE KARNATAKA INDIA, (011) 918-0852 1,600,000 (\$885,555,200)
    FOREIGN COMMON STOCK. (FILE 333-32196 MAR. 10) (BR. 3)
  - S-8 CREO PRODUCTS INC, 3700 GILMORE WAY,
    BURNABY BRITISH COLOMBIA V5G 4MI CANADA, (604) 451-2700 3,500,000
    (\$123,305,000) COMMON STOCK. (FILE 333-32200 MAR. 10) (BR. 36)
  - S-8 ENGAGE TECHNOLOGIES INC, 100 BRICKSTONE SQUARE, ANDOVER, MA 01810 (978) 684-3884 411,762 (\$3,195,273.10) COMMON STOCK. (FILE 333-32202 MAR. 10) (BR. 8)
  - S-3 AURORA BIOSCIENCES CORP, 11010 TORREYANA ROAD, SAN DIEGO, CA 92121 (619) -40-4663 1,800,000 (\$150,354,000) COMMON STOCK. (FILE 333-32204 MAR. 10) (BR. 1)
  - S-1 SONUS NETWORKS INC, 5 CARLISLE RD, WESTFORD, MA 01886 (978) 692-8999 \$115,000,000 COMMON STOCK. (FILE 333-32206 MAR. 10) (NEW ISSUE)
  - S-1 STRATAGENE HOLDING CORP, 11011 N TORREY PINES ROAD, LA JOLLA, CA 92037 \$150,000,000 COMMON STOCK. (FILE 333-32208 MAR. 10) (NEW ISSUE)
- S-4 SOMERSET TRUST HOLDING CO, P.O. BOX 777, 151 WEST MAIN STREET, SOMERSET.
  - PA 15501 (814) 443-3661 612,500 (\$22,897,612) COMMON STOCK. (FILE 333-32210 MAR. 10) (NEW ISSUE)
  - S-1 EXCELERGY CORP, 3 CAMBRIDGE CENTER, CAMBRIDGE, MA 02142 (617) 452-1600 - \$86,250,000 COMMON STOCK. (FILE 333-32212 - MAR. 10)
- S-8 DEVON ENERGY CORP /OK/, 20 N BROADWAY STE 1500, OKLAHOMA CITY, OK 73102

  (405) 235-3611 32,611 (\$1,304,440) COMMON STOCK. (FILE 333-32214 MAR. 10) (BR. 4)
  - S-8 UNITED STATES ANTIMONY CORP, P O BOX 643, THOMPSON FALLS, MT 59873 (406) 827-3523 500,000 (\$365,000) COMMON STOCK. (FILE 333-32216 MAR. 10) (BR. 6)

- S-8 COPPER MOUNTAIN NETWORKS INC, 2470 EMBARCADERO WAY, PALO ALTO, CA 94303

  (650) 687-3300 130,563 (\$166,300.74) COMMON STOCK. (FILE 333-32218 MAR. 10) (BR. 7)
  - S-3 QUINTEL COMMUNICATIONS INC, ONE BLUE HILL PLAZA, PO BOX 1665,
    PEARL RIVER, NY 10965 (914) 620-1212 229,862 (\$1,616,218) COMMON STOCK.
    (FILE 333-32220 MAR. 10) (BR. 5)
  - N-2 SELIGMAN NEW TECHNOLOGIES FUND II INC, 100 PARK AVENUE, NEW YORK, NY 10017 (800) 221-2450 \$125,000,000 COMMON STOCK. (FILE 333-32222 MAR. 10)
  - S-1 U S INTERACTIVE INC/PA, 2012 RENAISSANCE BLVD., KING OF PRUSSIA, PA 19406 (610) 313-9700 - 3,650,000 (\$143,718,750) COMMON STOCK. (FILE 333-32224 - MAR. 10) (BR. 8)
- S-8 INAMED CORP, 5540 EKWILL STREET, SUITE D, SANTA BARBARA, CA 93111 (805) 692-5400 1,165,000 (\$49,039,218.75) COMMON STOCK. (FILE 333-32226 MAR. 10) (BR. 5)
- S-3 AT HOME CORP, 450 BROADWAY STREET, REDWOOD CITY, CA 94063 (650, 569-5000 500,000,000 (\$500,000,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-32228 MAR. 10) (BR. 3)
- S-3 POPMAIL COM INC, 4801 WEST 81 STREET, SUITE 112, BLOOMINGTON, MN 55437 (612) 837-9917 4,351,337 (\$21,147,497.82) COMMON STOCK. (FILE 333-32232 MAR. 10) (BR. 9)
- S-8 RICKS CABARET INTERNATIONAL INC, 505 NORTH BELT SUITE 630, HOUSTON, TM 77060 (281) 820-1181 642,500 (\$3,013,325) COMMON STOCK. (FILE 333-32234 MAR. 10) (BR. 5)
  - S-1 UBIQUITEL INC, 1 BALA PLAZA SUITE 402, BALA CYNWYD, PA 19004 (610) 660-9510 \$150,000,000 COMMON STOCK. FILE 333-32236 MAF. 10. (NEW ISSUE)
  - S-4 GREATER BAY BANCORP, 2860 WEST BAYSHORE ROAD, PALO ALTO, CA 94303 (415) 375-1555 3,280,000 (\$117,755,400) COMMON STOCK. (FILE 333-32238 MAR. 10) (BR. 7)
  - S-3 SPANISH BROADCASTING SYSTEM INC, 3191 CORAL WAY, MIAMI, FL 33145 (305) 441-6901 7,387,750 (\$147,533,367.50) COMMON STOCK. (FILE 333-32240 MAR. 13) (BR. 7)
  - S-8 LIBERTY PROPERTY TRUST, 65 VALLEY STREAM PKWY, STE 100, MALVERN, PA 19355 (610) 648-1700 2,466,465 (\$55,033,000) COMMON STOCK. (FILE 333-32244 MAR. 13) (BR. 8)
  - S-3 CALYPTE BIOMEDICAL CORP, 1440 FOURTH STREET, BERKELEY, CA 94710 (510) 749-5100 4,196,000 (\$16,406,360) COMMON STOCK. (FILE 333-32246 MAR. 13) (BR. 5)

- SB-2 HITCHIN POST INC, 42450 BOB HOPE DRIVE #225, RANCHO MIRAGE, CA 92270 (760) 773-9227 - COMMON STOCK. (FILE 333-32248 - MAR. 10) (BR. 9)
- SMARTAGE CORP, 3450 CALIFORNIA ST, SAN FRANCISCO, CA 94118 -\$90,000,000 COMMON STOCK. (FILE 333-32250 - MAR. 13)
- LASERSCOPE, 3052 ORCHARD DR, SAN JOSE, CA 95134 (408) 943-0636 -5,358,875 (\$14,736,906) COMMON STOCK. (FILE 333-32252 - MAR. 13) (BR. 5)
- ONEOK INC /NEW/, 100 WEST 5TH ST, TULSA, OK 74103 (918) 588-7000 -350,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 333-32254 - MAR. 13) (BR. 2)
- S-3 GERON CORPORATION, 230 CONSTITUTION DRIVE, MENLO PARK, CA 94025 (650) 473-7700 - 3,450,000 (\$194,062,500) COMMON STOCK. (FILE 333-32256
- VISIBLE GENETICS INC, 700 BAY ST, SUITE 1000, TORONTO ONTARIO CANA, A6 (212) 702-5700 - 2,300,000 (\$213,187,000) COMMON STOCK. (FILE 333-32258

MAR. 13) (BR. 5)

MAR. 13) (BR. 1)

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events

- Changes in Control of Registrant
- Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors.
- Financial Statements and Exhibits
- Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, NW, Washington, DC 20549 or at the following e-mail box address publicinfo@sec gov>
In most cases, this information is also available on the Commission's website <www.sec.gov>...

NAME OF ISSUER	STATE CODE		8K 2 3	1T 4 5		9	DATE	COMMENT
ACCELR8 TECHNOLOGY CORP	co				 		01/03/0	0
ACETO CORP	NY	Х					12/07/9	9
ACETO CORP	NY	Χ					03/16/0	0
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV				X		03/15/0	0
ADVANTA BANK CORP	UT			Х	X		03/15/0	0
AERIAL COMMUNICATIONS INC	DE			Х	X		03/15/0	0
AETHER SYSTEMS LLC	DE				X		02/03/0	O AMEND

NAME OF ISSUER	STATE CODE							DATE	COMMENT
	DE								0
AIRCRAFT FINANCE TRUST	DE							03/15/0	
ALCAN ALUMINIUM LTD /NEW					Χ	Х		03/15/0	
ALLEN TEST CO REL TEST 550	IM	Χ						04/27/9	8
ALLEN TEST CO REL TEST 550	MI	Χ						05/02/9	8
ALLEN TEST CO REL TEST 550	MI	Χ						05/02/9	8
ALLEN TEST CO REL TEST 550	MI	Χ						05/02/9	8
ALLEN TEST CO REL TEST 550	MI						X	05/02/9	8
ALLEN TEST CO REL TEST 550	IM	Χ						05/02/9	
ALLEN TEST CO REL TEST 550	IM							05/02/9	
ALLEN TEST CO REL TEST 550	MI							05/02/9	8
ALLEN TEST CO REL TEST 550	MI			X				05/02/9	8
ALLEN TEST CO REL TEST 550	IM			X				05/02/9	8
ALLEN TEST CO REL TEST 550	MI			X				05/02/9	8
ALLEN TEST CO REL TEST 550	IM			Z.				05/02/9	8 AMEND
ALLEN TEST CO REL TEST 550	IM	Х						05/02/9	8
ALLEN TEST CO REL TEST 550	MI	Х						05/02/9	8
ALLEN TEST CO REL TEST 550	IM	Х						05/02/9	8
ALLEN TEST CO REL TEST 550	MI	Х						05/02/9	8
ALLEN TEST CO REL TEST 550	MI.	Χ						05/02/9	8
ALLEN TEST CO REL TEST 550	MI	Х						02/07/9	9
ALLEN TEST CO REL TEST 550	MI						Х	02/07/9	9
ALLEN TEST CO REL TEST 550	MI	Х						03/01/9	9
ALLEN TEST CO REL TEST 550	MI	Х						03/01/9	9
	MI	Х						03/01/9	9
AMERICAN BANCSHARES INC \FL\	FL				Χ	X.		03/13/0	0
AMERICAN EXPRESS CENTURION BANK	UT				Х	Χ		03/15/0	0
AMERICAN EXPRESS CREDIT ACCOUNT MAS	DE				Χ	Χ		03/15/0	0
TER TRUST									
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE .				Х	Х		03/15/0	0
AMERICAN EXPRESS RECEIVABLES FINANC ING CORF II	DE -				X	Х		03/15/0	0
AMERICAN PLUMBING & MECHANICAL INC	DE		Х			X		03/01/0	0
AMERICAN SAFETY INSURANCE GROUP LTD		Χ						03/16/0	0
AMERICREDIT AUTOMOBILE RECEIVABLES	DE				Х	X		03/14/0	0
TRUST 1999-D									
AMERICREDIT AUTOMOBILE RECEIVABLES	DE				X	X		03/14/0	0
TRUST 2000-A									
ANAREN MICROWAVE INC	NY		X			X		02/29/0	0
APPLIED VOICE RECOGNITION INC /DE/	DE			Χ				03/15/0	0
ARCADIA RECEIVABLES FINANCE CORP	DE				Х	Χ		03/07/0	0
ARCADIA RECEIVABLES FINANCE CORP	DE				Х	X		03/07/0	0
ARCADIA RECEIVABLES FINANCE CORP	DE				Χ	Χ		03/07/0	0
ARETE INDUSTRIES INC	CO			Х		Χ		03/16/0	
ARQULE INC	DE				Х			01/11/0	0
ASHLAND INC	KY				Χ	Х		03/16/0	
ASSISTED LIVING CONCEPTS INC	NV				X	X		03/16/0	
ASSOCIATES CORPORATION OF NORTH AME RICA	DE					X		03/15/0	
BANC ONE ABS CORP	ОН				Х	Х		03/15/0	0
BANC ONE AUTO GRANTOR TRUST 1996-A	NY				X	X		03/15/0	
BANC ONE CREDIT CARD MASTER TRUST	NY				Х	Х		02/15/0	
BANC ONE CREDIT CARD MASTER TRUST	NY				X	X		03/15/0	

NAME OF ISSUER	STATE CODE		3 4 5 6		9 DATE COMMENT
BANK OF NEW ENGLAND CORP	<b></b> МА	NO IT	 TEMS		02/29/00
BEAR STEARNS COMPANIES INC	DE		Х	Х	02/25/00
BESICORP LTD	NY		X		03/15/00
BINGOGOLD COM INC	NV	Х			02/18/00
BIOFARM INC	NV		Х		01/28/00
BIOMATRIX INC	DE		Х	Х	03/06/00
BRADLEES INC	MA		X		03/15/00
BRT REALTY TRUST	MA		X		03/13/00
C COR NET CORP	PA		•	Х	02/18/00 AMEND
C ME RUN CORP	FL		Х		03/14/00
CADENCE DESIGN SYSTEMS INC	DE		X		03/15/00
CALENDAR CAPITAL INC	MN			Х	02/01/00 AMEND
CAPLAN CORP	DE	Х		••	03/01/00
CARMAX AUTO RECEIVABLES LLC	VA		Х	Х	03/15/00
CASINOVATIONS INC	NV		X	X	02/11/00
CC MASTER CREDIT CARD TRUST	MD			X	03/15/00
CC MASTER CREDIT CARD TRUST II	MD			X	03/15/00
CELSION CORP	MD		Х	21	03/14/00
CHAPTER COMMUNICATIONS HOLDINGS CAP	DE	Х	11	Х	01/01/00 AMEND
ITAL CORP	24	71		**	
CHARTER COMMUNICATIONS HOLDINGS LLC	DE	X		X	01/01/00 AMEND
CHESAPEAKE ENERGY CORP	OK		X	X	03/15/00
CHRONIMED INC	MN		X	X	03/13/00
CIRCUIT CITY CREDIT CARD MASTER TPU ST	DE		X	X	03/15/00
CISCO SYSTEMS INC	CA		Х		03/15/00
CIVIC BANCORP	CA	Х		Х	02/29/00
CLARION TECHNOLOGIES INC/DE/	DE	X			02/29/00
CLASSICS INTERNATIONAL ENTERTAINMEN	DE	X			12/31/99
T INC					
CLEARWORKS NET INC	DE			X	12/30/99 AMEND
CMI INDUSTRIES INC	DE	X		X	03/14/00
COMMONWEALTH BANCORP INC	PA		X	X	03/14/00
COMMUNITY FINANCIAL GROUP INC	TN		X	X	03/15/00
COMMUNITY FINANCIAL GROUP INC	TN		X	X	03/15/00
COPPER MOUNTAIN NETWORKS INC	DE	X		X	02/29/00
COVOL TECHNOLOGIES INC	DE		X	Χ	12/31/99 AMEND
CUSTOMER SPORTS INC	UT	X			03/01/00 AMEND
CWABS INC	DE		X	X	02/01/00
CYBEX COMPUTER PRODUCTS CORP	AL		X	Χ	03/07/00
D&N CAPITAL CORP	DE		X	Χ	03/10/00
DEERE JOHN RECEIVABLES INC	ĐE		X	X	03/16/00
DESERT HEALTH PRODUCTS INC	NΛ	X X	X	ХХ	03/15/00
DESIGN AUTOMATION SYSTEMS INC	ΤX	X		X	03/01/00
DISCOVER CARD MASTER TRUST I	DE		X	X	03/15/00
DISCOVER CARD TRUST 1993-B	DE		X	X	03/15/00
DISCOVER CARD TRUST 1993-B	DE		X	X	03/16/00
DISPLAY TECHNOLOGIES INC	NV		X	X	03/03/00
DREAM TEAM INTERNATIONAL INC		ХХ		X	02/29/00
EAGLE FOOD CENTERS INC	DE	X	Z	X	02/29/00
ECONNECT	NV		X		03/08/00
EL PASO ENERGY CORP/DE	DE		X	X	03/15/00
EMAGIN CORP	NV	ХХ	X	X	03/15/00
EMISPHERE TECHNOLOGIES INC	DE		X	Х	03/16/00

NAME OF ISSUER	STATE CODE			8K ITE		DATE	COMMENT
ENTEX INFORMATION SERVICES INC	DE			X	Х	 03/13/	00
ES&L BANCORP INC	DE		Х			03/31/	
EXCELSIOR HENDERSON MOTORCYCLE MANU FACTURING CO	MN			X	Х	03/10/	00
FAR EAST VENTURES INC	NV	Х			Х	02/08/	00 AMEND
FAR EAST VENTURES INC	NV		Х			03/02/	
FAR EAST VENTURES INC	NV			X		03/13/	00
FIFTH THIRD BANK AUTO TRUST 1996-A	OH				X	02/15/	00
FIFTH THIRD BANK AUTO TRUST 1996-B	OH				X	02/15/	00
FINGERHUT MASTER TRUST	DE				X	03/15/	00
FINGERHUT RECEIVABLES INC	DE				X	03/15/	00
FIRST BANK CORPORATE CARD MASTER TR	NY			X	Χ	03/16/	00
FIRST CHICAGO MASTER TRUST II	DE			X	Х	03/15/	00
FIRST NBC CREDIT CARD MASTER TRUST					X	03/10/	00
FIRST USA BANK NATIONAL ASSOCIATION				X	X	03/10/	00
FIRST USA BANK NATIONAL ASSOCIATION				Х	Y.	03/15/	00
FIRST USA CREDIT CARD MASTER TRUST	DE			X	X	03/10/	00
FLIGHTSERV COM	DE			X	X	03/09/	0 G
FLINTROCK FINANCIAL SERVICES INC	NV			X	X	03/16/	00
FNANB CREDIT CARD MASTER TRUST				X.	$\Sigma$	03/15/	00
FOCUS ENTERTAINMENT INTERNATIONAL I	FL		Х			03/01/	00
FORD CREDIT AUTO RECEIVABLES TWO L	DE			X	Х	03/15/	CO
FORD MOTOR CREDIT CO	DE			Х	X	03/15/	0.0
FORD MOTOR CREDIT CO	DE			X		03/16/	
FRANKLIN RECEIVABLES LLC	DE			Х		03/14/	
FUNDTECH LTD	22			х	••	03/16/	
FUTURELINK CORP	DE		Х		Х	01/31/	
GADZOOX NETWORKS INC	DE		X		Х	03/02/	
GE FINANCIAL ASSURANCES HOLDINGS IN			Х		X	04/01/	
GENZYME CORP	MA			Х	X.	03/06/	0.0
GOTO COM INC	DE		Χ		X		00 AMEND
GREAT PEE DEE BANCORP INC	DE		Χ		Χ	03/03/	
GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1		Χ				03/13/	
GT INTERACTIVE SOFTWARE CORP	DE			Х	Х	02/15/	0.0
HEALTHCENTRAL COM	2.2			Х			
HEALTHSTAR CORP /UT/	DE			X			
HEALTHSTAR CORP /UT/	DE			Х .,			
HISPANIC TELEVISION NETWORK INC	DE			Х	••	03/08/	
HITCOM CORP	DE			X		03/08/	
HITOX CORPORATION OF AMERICA	DE	Х				03/01/	
HON INDUSTRIES INC	IA			•	X	03/15/	
HONDA AUTO LEASE TRUST 1999A	DE			Х	. Х	02/29/	
HOUSEHOLD CONSUMER LOAN TRUST 1996-				71	Х	03/16/	
HOUSEHOLD CONSUMER LOAN TRUST 1996-	DE				X	03/16/	00
HOUSEHOLD CONSUMER LOAN TRUST 1997-	DE				Х	03/16/	00

	STATE			8	K	IT.	EM	NO				
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
HOUSEHOLD CONSUMER LOAN TRUST 1997-	DE							Х			03/16/0	0
2 HOUSEHOLD CREDIT CARD MASTER TRUST	DE							Х			03/16/0	0
I											00, 10, 0	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE							Х			03/16/0	0
HOUSEHOLD FINANCE CORP HOUSEHOLD CO	DE							Х			03/16/0	0
NSUMER LN TRUST 1995-1 / HOWMET INTERNATIONAL INC	DE					х					02/15/0	0
IEXALT INC	NV	v	v	v	v			Х	v		03/15/0	9 AMEND
ILLINOIS SUPERCONDUCTOR CORPORATION		Λ	Λ	Λ	Λ	X		X	Λ		03/14/0	
IMMUNE RESPONSE CORP	DE					X		X			12/08/9	
INFERENCE CORP /CA/	DE					Х		X			02/24/0	
INFORMATION HOLDINGS INC	DE					X		X			03/14/0	
INSWEB CORP	DB					X		71			03/01/0	
INTERNATIONAL REALTY GROUP INC	DE		Х			21		Х			03/01/0	
ITRON INC /WA/	WA	Х	21					21			03/01/0	
JABIL CIRCUIT INC	DE	11				Х		Х			03/16/0	
JPM CO	PA		Х					••			03/13/0	
KEEBLER FOODS CO	DE		••	Х							03/16/0	
KENILWORTH SYSTEMS CORP	NY	Х									05/17/9	
KEY PLASTICS LLC	MI					Χ		Х			03/13/0	
KMC TELECOM HOLDINGS INC	DE					Х					04/10/0	
KOALA CORP /CO/	CO		Х					Х			03/01/0	
LEAK X ENVIRONMENTAL CORPORATION	DE					Х					03/16/0	
LEARN2 COM INC	DE					Χ		Χ			03/10/0	0
LHS GROUP INC	DE					Χ		Χ			03/14/0	0
LIBERATE TECHNOLOGIES	DE		Х					Χ			03/03/0	0
LOGIMETRICS INC	DE					Χ		Χ			03/14/0	0
LOGIX COMMUNICATIONS ENTERPRISES IN C	OK					Χ		Χ			03/16/0	0
LONDON SOFTWARE INDUSTRIES INC		Χ									01/31/0	0
LONE STAR TECHNOLOGIES INC	DE					Х		X			03/08/0	0
MAGNA ENTERTAINMENT CORP						X,		Χ			03/15/0	0
MB SOFTWARE CORP	CO				Χ			Χ			03/15/0	0 AMEND
MEDIX RESOURCES INC	CO					Χ					03/06/0	0
MEREDITH CORP	IA					Х		Х			03/08/0	0
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE					Χ		Х			03/15/0	0
MICROSEMI CORP	DE		Χ					Х			02/29/0	0
MIDWAY AIRLINES CORP	DE					Х		Х			03/15/0	
MILLENIUM HOLDING GROUP INC /AZ/	NV					Х					03/15/0	
MIZAR ENERGY CO	CO				Х			Χ			03/14/0	
MODEM MEDIA POPPE TYSON INC	DE		Х					У.			02/10/0	
MORGAN STANLEY AIRCRAFT FINANCE	DE					Х					03/15/0	0
N-VIRO INTERNATIONAL CORP	DE					Χ					02/25/0	0
NASHUA CORP	DE				Χ			Χ			03/10/0	0
NASTECH PHARMACEUTICAL CO INC	DE					Χ		Χ			02/22/0	0
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE	X									03/10/0	0
NEIMAN MARCUS FUNDING CORP	DE					Х					03/10/0	0
NETTER DIGITAL ENTERTAINMENT INC	DE					X					03/15/0	
NETWORK SIX INC	RI	Х				*,					03/15/0	
NEWSTAR MEDIA INC	CA					Х					03/13/0	
THE THE PARTY AND THE TANK THE	O1-1					41					55/15/0	•

NAME OF ISSUER	STATE CODE	1	2	8K ITEM 3 4 5 6	NO. 78	9	DATE C	OMMENT
NEXTEL COMMUNICATIONS INC	DE			X	X		03/15/00	
NORTHEAST UTILITIES SYSTEM	MA		Х				03/01/00	
OHIO CASUALTY CORP	OH			X	X		03/01/00	
ONHEALTH NETWORK CO	WA			X			03/15/00	
ORTHALLIANCE INC	DE		Х		X		03/01/00	
PANHANDLE EASTERN PIPE LINE CO	DE			X	X		03/16/00	
PARK NATIONAL CORP /OH/	OH			X	Χ		03/15/00	
PARLEX CORP	ΑM		Х		X		03/15/00	
PE CORP	NY			X			03/14/00	
PEAPOD INC	DE				Χ		03/16/00	
PHOTRONICS INC	CT			X			03/15/00	
PINNACLE BUSINESS MANAGEMENT INC /N V/					X		03/03/00	AMEND
PRIME CAPITAL CORP	DE	Χ					03/16/00	
PRIMUS TELECOMMUNICATIONS GROUP INC				X.	X		03/10/00	
PRISM FINANCIAL COPP	DE				X		03/10/00	
PROJECT SOFTWARE & DEVELOPMENT INC	MA		Х		X		03/02/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM			X			03/07/00	
QUALCOMM INC/DE	DE		Х		X		03/01/00	
QUEST SOFTWARE INC	CA			Х	X		03/10/00	
REII INC	DE	Χ					12/31/00	
RESIDENTIAL ASSET FUNDING CORP				X			03/10/00	
RIMAGE CORP	MII		Х		X.		03/01/00	
RURAL METRO CORP /DE/	DE				X.		03/15/00	
RWD TECHNOLOGIES INC	MD			Х			03/01/00	
	DE			X			03/16/00	
SAKS CREDIT CARD MASTER TRUST					Х		03/15/00	
SALESLOGIX CORP	DE		X.		Х		12/31/99	
SCORE ONE INC	NV		••	Х	••		03/14/00	
SEAIR GROUP INC	ΝV			Х			03/06/00	
SEAL HOLDINGS CORP	DE		Х		Х		03/01/00	
SECOND BANCORP INC	ОН			X			03/15/00	
SEFRACOR INC /DE/	DΕ			X	Ж		03/08/00	
	MN		Х				03/15/00	
	TN			Х			03/14/00	
SIERRA HEALTH SERVICES INC		Х		••			03/15/00	
SL GREEN REALTY CORP	MD			X	Х		02/16/00	
SOCRATES TECHNOLOGIES CORP	DE		Х	X	X		03/10/00	
SONUS PHARMACEUTICALS INC	DE			X	X		03/14/00	
SOUTH STREET FINANCIAL CORP	NC			X	**		03/16/00	
SOUTHERN MINERAL CORP	NV		Х	••	Х		03/01/00	
SPORTSPRIZE ENTERTAINMENT INC/	NV		2.1	Х	X		03/01/00	
STARFEST INC	14.4			X	X		12/31/99	
STEWART ENTERPRISES INC	LA			. X	11		03/14/00	
STRUCTURED ASSET MORTGAGE INVESTMEN	DE			X			02/25/00	
TS INC	DL			Λ			02/23/00	
STRUCTURED ASSET SECURITIES CORP	DE			Х	Х		03/14/00	
SUNTERRA CORP	MD			X	X		03/14/00	
	DE			^	X		12/22/99	רואיםזאג
SUPERGEN INC SYS				Х	Λ		03/14/00	APPENT.
	CA		Х	Δ	Х		03/14/00	
TECHNISOURCE INC TELECORP PCS INC	FL DE		Λ	Х	X		02/28/00	
TELESERVICES INTERNET GROUP INC	FL			X	X		02/29/00	
TELIDENT INC /MN/	MN FL			X	Λ		03/13/00	
TEMPERATURE TIME / PHM/	1.114			Λ			00/10/00	

	STATE		_					NO.	•		
NAME OF ISSUER	CODE		2	3 	4	5 	· 6	78	- <del>-</del> -	DATE (	COMMENT
TELTRONICS INC	DE							Х		03/14/00	AMEND
TERRA INDUSTRIES INC	MD					Χ				03/15/00	)
TEXAS UTILITIES CO /TX/	TX					Χ				03/13/00	)
THAXTON GROUP INC	SC		Χ							03/15/00	)
TRANSFINANCIAL HOLDINGS INC	DE					Χ				03/16/00	)
TRANSPORTATION TECHNOLOGIES INDUSTR	DE	Χ								03/09/00	)
IES INC											
TXU EUROPE LTD						Χ				03/13/00	)
U S WEST INC /DE/	DE					Χ		X		03/15/00	)
U S WIRELESS CORP	DE				Χ					03/15/00	)
UNITED DIAGNOSTIC INC	DE				Χ			X		03/16/00	) AMEND
US AIRWAYS GROUP INC	DE					Х		X		03/15/00	)
US AIRWAYS INC	DE					Χ		X		03/15/00	)
USA BIOMASS CORP	DE		X					X		03/01/00	)
VERAMARK TECHNOLOGIES INC	DE							X		01/07/00	) AMEND
VOICE MOBILITY INTERNATIONAL INC	NV				Χ			X		03/10/00	)
VOLKSWAGEN CREDIT AUTO RECEIVABLES CORP	DE					Χ		Χ		03/14/00	)
WALLACE COMPUTER SERVICES INC	DE					Х		Х		03/16/00	)
WEIRTON STEEL CORP	DE		Χ					Х		03/13/00	
WOM INC	NY				Х					03/15/00	)
WOPLD FINANCIAL NETWORK NATIONAL BA	ОН					Χ		Χ		03/15/00	)
ИK											
WSI INTERACTIVE CORP						Χ		Χ		01/02/00	)
WSI INTERACTIVE CORP						Χ		Χ		03/14/00	)
XETA CORP	OK					Χ				02/29/00	)
YP NET INC	NV				Χ					03/13/00	}