SEC NEWS DIGEST

Issue 2000-52 March 20, 2000

COMMISSION ANNOUNCEMENTS

CHAIRMAN LEVITT TO TESTIFY

Chairman Levitt will testify before the Senate Appropriations Subcommittee on Commerce, Justice, State and the Judiciary concerning the Commission's appropriation for fiscal 2001 on Tuesday, March 21 at 10 00 a m, in Room S-146 of the Capitol Building

ENFORCEMENT PROCEEDINGS

COMMISSION INSTITUTES AND SETTLES PROCEEDING AGAINST DAVID ERICKSON FOR FRAUD IN CONNECTION WITH UNREGISTERED STOCK OFFERINGS

On March 17, the Commission instituted and settled a public administrative and cease and desist proceeding against David C. Erickson (Erickson), finding that Erickson committed fraud. Erickson was the president and a director of Face to Face Financial Inc., dba FTF Financial Corp. (FTF), a video conferencing company formerly headquartered in Orange County, California. From December 1995 to March 1998, FTF conducted four unregistered stock offerings, raising over \$4.3 million from approximately 400 investors nationwide.

In its order, the Commission found that Erickson gave FTF's salespeople sales scripts to use when contacting potential investors that he knew, or was reckless in not knowing, falsely represented that FTF was positioning its video conferencing computers at certain business locations, including well-known corporations and banks. In addition, the order found that Erickson failed to ensure that his 1993 bankruptcy was disclosed in FTF's offering documents. The order also found that Erickson authorized the purchase of lead lists that FTF's salespeople used to cold-call potential investors.

The Commission found that Erickson violated the antifraud provisions of Section 17(a) of the Securities Act of 1933 (Securities Act) and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and the securities registration provisions of

Sections 5(a) and 5(c) of the Securities Act. Erickson, without admitting or denying the Commission's findings, consented to an order that ordered him to cease and desist from committing or causing any violation and any future violation of those antifraud and securities registration provisions and that barred him from association with any broker or dealer, with the right to reapply for association after three years. (Rels. 33-7813: 34-42540; File No. 3-10159)

COMMISSION INSTITUTES AND SETTLES PROCEEDING AGAINST VINCENT SERHAN IN CONNECTION WITH UNREGISTERED STOCK OFFERINGS

On March 17, the Commission instituted and settled a public administrative and cease and desist proceeding against Vincent E. Serhan (Serhan), finding that Serhan committed securities registration violations. Serhan was an officer and director of Face to Face Financial Inc., dba FTF Financial Corp. (FTF), a video conferencing company formerly headquartered in Orange County, California. From December 1995 to March 1998, FTF conducted four unregistered stock offerings, raising over \$4.3 million from approximately 400 investors nationwide. In its order, the Commission found that Serhan reviewed FTF's offering documents, authorized payment for lead lists that FTF's salespeople used to cold-call potential investors, provided information to FTF's salespeople, which they used in their oral sales pitches, and paid FTF's salespeople.

The Commission found that Serhan violated the securities registration provisions of Sections 5(a) and 5(c) of the Securities Act of 1933. Serhan, without admitting or denying the Commission's findings, consented to an order that ordered him to cease and desist from committing or causing any violation and any future violation of those securities registration provisions and that suspended him from association with any broker or dealer for a period of three months. (Rels. 33-7814; 34-42541; File No. 10160)

SEC CHARGES OPERATOR OF INTERNET DAYTRADING WEBSITE WITH **SECURITIES FRAUD**

The Commission today announced the filing and settlement of civil fraud charges against David A Rudnick, operator of an Internet website that provided real-time daytrading stock recommendations on a subscription basis, and Rudnick's company, DynamicDaytrader.com The staff alleged that Rudnick and DynamicDaytrader violated the general and investment adviser antifraud provisions of the federal securities laws by posting false and misleading statements on the website The Commission's complaint alleges that, during the period from January 1998 to February 2000, Rudnick and DynamicDaytrader operated an Internet website that provided, on a subscription basis, real-time daytrading recommendations, comprised of the actual trades of a successful daytrader. The main feature of the website was a link to a real-time window referred to as the "Trading Floor." Rudnick led his subscribers to believe that they could profit or approximate the performance of the trader by merely mimicking his trades as posted to the Trading Floor. The complaint alleges that the trading recommendations posted on the Trading Floor were not real, but hypothetical and the prices posted for those trades were not attainable by either Rudnick or his subscribers, thus making the claimed profits unattainable. The complaint

further alleges that Rudnick also falsely claimed that the head trader's recommendations had generated high returns, including a claimed return of more than 747% for calendar year 1999 and that Rudnick also made false statements about his personal daytrading experience and the DynamicDaytrader organization. By virtue of his conduct, Rudnick was an investment adviser.

The complaint alleges that Rudnick's conduct violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and Sections 206(1) and 206(2) of the Investment Advisers Act On a neither admit nor deny basis, Rudnick has consented to the entry of a final judgment permanently enjoining him from future violations of those provisions, holding Rudnick and DynamicDaytrader jointly and severally liable for disgorgement of subscription fees in the amount of \$40,107, plus prejudgment interest, and imposing a civil monetary penalty against DynamicDaytrader in the amount of \$15,000 [SEC v. DynamicDaytrader com, LLC, et al , Civil Action No 00-85-PC, DME] (LR-16475)

INVESTMENT COMPANY ACT RELEASES

MORGAN STANLEY CAPITAL INVESTORS, L.P. AND MORGAN STANLEY DEAN WITTER & CO.

A notice has been issued giving interested persons until April 11, 2000, to request a hearing on an application filed by Morgan Stanley Capital Investors, L P and Morgan Stanley Dean Witter & Co for an order under Sections 6(b) and 6(e) of the Investment Company Act The order would exempt certain limited partnerships and limited liability companies (Partnerships) formed for the benefit of key employees of Morgan Stanley Dean Witter & Co and certain of its affiliates from certain provisions of the Act Each Partnership will be an "employees' securities company" as defined in Section 2(a)(13) of the Act The requested order would supersede an existing order (Rel IC-24340 – March 17)

BANKERS TRUST COMPANY, ET AL.

A notice has been issued giving interested persons until April 11, 2000, to request a hearing on an application filed by Bankers Trust Company, et al. for an order to amend an existing order exempting applicants from Sections 12(d)(1), 17(a), and 17(e) of the Investment Company Act and under Section 17(d) of the Act and Rule 17d-1 under the Act. The amended order would permit Deutsche Bank, A.G. to act as securities lending agent for and to accept fees from certain registered management investment companies based on a share of the revenue generated from securities lending transactions. The amended order also would modify one of the conditions of the existing order. (Rel. IC-24341 – March 17)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the <u>National Association</u> of <u>Securities Dealers</u>, through its wholly-owned subsidiary, The Nasdaq Stock Market, Inc (SR-NASD-99-77) relating to the Mutual Fund Quotation Service. Publication of the order in the <u>Federal Register</u> is expected during the week of March 20 (Rel 34-42537)

The Commission approved a proposed rule change submitted by the <u>Municipal Securities</u> <u>Rulemaking Board</u> (SR-MSRB-00-01) relating to supervision of correspondence with the public (Rel 34-42538)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933 The reported information appears as follows Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch; and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W, Washington, D.C. 20549 or at the following email box address publicinfo@sec gov> In most cases, this information is also available on the Commission's website <www.sec gov>.

- F-10 CERTICOM CORP, 200 MATHESON BOULEVARD WEST,
 MISSISSAUQA ONTARIO CA L5R 3LT, A6 (905) 507-4220 2,300,000
 (\$283,265,125) FOREIGN COMMON STOCK. (FILE 333-11586 MAR. 02) (BR. 3 NEW ISSUE)
- F-1 ARRAN ONE LTD, 22 GREENVILE STREET, ST HELIER JERSEY JE4 8PX, CHANNEL ISLANDS, X0 00000 (44-) 153-4-60 600,000 (\$600,000) STRAIGHT BONDS. (FILE 333-11606 MAR. 08) (BR. 8 NEW ISSUE)
- F-1 ARRAN ONE LTD, 22 GREENVILE STREET, ST HELIER JERSEY JE4 8PX, CHANNEL ISLANDS, X0 00000 (44-) 153-4-60 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-11608 MAR. 08) (BR. 8)
- F-1 HANARO TELECOM INC, KUJKE ELECTRONICS CENTER BLDG 24TH FLOOR, SEOCHO-DONG 1445-3 SEOCHO-KU 82-2 106, SEOUL KOREA 137-728, M4 00000 27,600,000 (\$467,544,000) FOREIGN COMMON STOCK. (FILE 333-11614 MAR. 08)

(BR. 3 - NEW ISSUE)

- F-6 HANARO TELECOM INC /ADR/, FOUR ALBANY STREET, NEW YORK, NY 10006 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-11616 MAR. 08) (BR. 99 NEW ISSUE)
- SB-2 UPTOWN RESTAURANT GROUP INC, 955 E JAVELINA AVENUE SUITE 106, MESA, AZ 85204 (480) 503-3363 \$8,601,662 COMMON STOCK. (FILE 333-32260 MAR. 13)

 (BR. 9)
- N-2 EV CLASSIC SENIOR FLOATING RATE FUND /MA/, 24 FEDERAL STREET, BOSTON, MA

 02110 (617) 482-8260 200,000,000 (\$1,974,000,000) COMMON STOCK. (FILE 333-32262 MAR. 13) (BR. 16)
 - N-2 EATON VANCE INSTITUTIONAL SENIOR FLOATING RATE FUND,
 EATON VANCE INSTITUTIONAL, 24 FEDERAL STREET, BOSTON, MA 02110
 (617) 482-8260 25,000,000 (\$248,000,000) COMMON STOCK. (FILE 333-32264
 MAR. 13) (BR. 16)
 - N-2 EATON VANCE ADVISERS SENIOR FLOATING RATE FUND, 24 FEDERAL ST, BOSTON, MA 02110 (800) 225-6265 25,000,000 (\$247,500,000) COMMON STOCK. (FILE 333-32266 MAR. 13) (BR. 16)
 - N-2 EATON VANCE PRIME RATE RESERVES, 24 FEDERAL ST, BOSTON, MA 02110 (617) 482-8260 200,000,000 (\$1,978,000,000) COMMON STOCK. (FILE 333-32268 MAR. 13) (BR. 16)
- S-8 CASCADE FINANCIAL CORP, 2828 COLBY AVE, EVERETT, WA 98201 (206) 259-8533
 635,452 (\$5,763,550) COMMON STOCK. (FILE 333-32272 MAR. 13) (BR. 7)
 - S-8 TEJAS BANCSHARES INC, TEJAS BANCSHARES, INC, 905 SOUTH FILMORE, SUITE 101, AMARILLO, TX 79101 (806) 373-7900 -1,333,333 (\$4,546,666) COMMON STOCK. (FILE 333-32284 - MAR. 13) (BR. 7)
 - S-8 E TWOMEDIA COM INC, 26 BROADWAY SUITE 1650, NEW YORK, NY 10004 (212) 679-2036 3,000,000 (\$200,000) COMMON STOCK. (FILE 333-32288 MAR. 13) (BR. 4)
 - SB-2 WAHOO CAPITAL VENTURES INC, 10 OFFICE PARK RD SUITE 222, HILTON HEAD ISLAND, SC 29928 (843) 086-5590 - 6,730,000 (\$16,702,000) COMMON STOCK. (FILE 333-32290 - MAR. 13) (BR. 9)
- S-8 THOUSAND TRAILS INC /DE/, 2711 LBJ FREEWAY, SUITE 200, DALLAS, TX 75234

 (972) 243-2228 140,000 (\$691,600) COMMON STOCK. (FILE 333-32292 MAR. 13) (BR. 8)
 - S-8 MATTEL INC /DE/, 333 CONTINENTAL BLVD, EL SEGUNDO, CA 90245
 (310) 252-2000 12,800,000 (\$154,846,000) COMMON STOCK. (FILE 333-32294

 MAR. 13) (BR. 5)
 - S-8 RENT A CENTER INC DE, 5700 TENNYSON PARKWAY, THIRD FLOOR, PLANO, TX 75024 (214) 419-2613 1,500,000 (\$23,250,000) COMMON STOCK. (FILE 333-32296 MAR. 13) (BR. 6)

- S-1 NETGENICS INC, 1717 EAST 9TH ST, SUITE 1600, CLEVELAND, OH 44114 (216) 861-4007 6,325,000 (\$82,225,000) COMMON STOCK. (FILE 333-32298 MAR. 13) (BR. 3)
- S-8 ESG RE LTD, SKANDIA INTERNATIONAL HOUSE, 16 CHURCH STREET, HAMILTON, BERMUDA, D0 (441) 295-2185 2,000,000 (\$8,250,000) COMMON STOCK. (FILE 333-32302 MAR. 13) (BR. 1)
- SB-2 INDEXONLY TECHNOLOGIES INC, 3823 HENNING DRIVE SUITE 217,
 BURNABY BRITISH COLUMBIA, CANADA V5C 6P3, A1 (604) 419-4401 5,000,000
 (\$10,000,000) COMMON STOCK. (FILE 333-32304 MAR. 13) (BR. 9)
- S-3 VIRAGEN INC, 865 S W 78TH AVENUE, TE 100, PLANTATION, FL 33324 (954) 233-8746 \$60,000,000 COMMON STOCK. (FILE 333-32306 MAR. 13) (BR. 1)
- S-2 MEDIX RESOURCES INC, 7100 E BELLEVIEW, SUITE 301, ENGLEWOOD, CO 80111 (303) 741-4828 16,553,268 (\$118,190,334) COMMON STOCK. (FILE 333-32308 MAR. 13) (BR. 8)
- S-1 PETOPIA COM INC, 1200 FOLSOM STREET, SAN FRANCISCO, CA 94103 \$100,000,000 COMMON STOCK. (FILE 333-32314 MAR. 13) (BR. 8)
- S-1 RIVALS COM INC, 71 COLUMBIA ST, STE 550, SEATTLE, WA 98104 (206) 381-6912 \$100,000,000 COMMON STOCK. (FILE 333-32316 MAR. 13) (NEW ISSUE)
- S-8 NETWORK APPLIANCE INC, 495 EAST JAVA DR, SUNNYVALE, CA 94089 (408) 822-6000 6,600,000 (\$1,399,200,000) COMMON STOCK. (FILE 333-32318 MAR. 13) (BR. 3)
- S-8 VAIL RESORTS INC, 137 BENCHMARK ROAD, C/O ANACONDA TOWER, AVON, CO 81620 (970) 845-2950 - 2,500,000 (\$39,225,000) COMMON STOCK. (FILE 333-32320 -MAR. 13) (BR. 5)
- S-8 TRAVELOCITY COM INC, 4200 BUCKINGHAM BLVD, MD 1400, FORT WORTH, TX 76155
 (817) 963-2923 250,000 (\$11,382,812.50) COMMON STOCK. (FILE 333-32326

 MAR. 13) (BR. 5)
- S-8 AMERICAN CLASSIC VOYAGES CO, TWO N RIVERSIDE PLZ, 2ND FLOOR, CHICAGO, IL
 60606 (312) 258-1890 3,000,000 (\$73,312,500) COMMON STOCK. (FILE 333-32328 MAR. 13) (BR. 5)
 - F-3 INDEPENDENT ENERGY HOLDINGS PLC, 43 DOMINION COURT, SOLIHULL WEST MIDLAN, A9 00000 4,680,500 (\$253,917,125) COMMON STOCK. (FILE 333-32336 MAR. 13) (BR. 2)

- PREDICTIVE SYSTEMS INC, 145 HUDSON STREET, NEW YORK, NY 10013 (212) 219-4400 - 7,405,600 (\$422,119,200) COMMON STOCK. (FILE 333-32338
 - MAR. 13) (BR. 3)
- ANSWERTHINK CONSULTING GROUP INC, 1001 BRICKELL BAY DRIVE, SUITE 3000, MIAMI, FL 33131 (305) 375-8005 - 978,644 (\$27,313,954.04) COMMON STOCK. (FILE 333-32342 - MAR. 13) (BR. 8)
- I MANY INC, 537 CONGRESS STREET, 5TH FLOOR, PORTLAND, ME 04101 (207) 774-3244 - \$86,250,000 COMMON STOCK. (FILE 333-32346 - MAR. 13)
- BEA SYSTEMS INC, 2315 NORTH FIRST ST, SUITE 105, SAN JOSE, CA 95131 (408) 743-4000 - 550,000,000 (\$550,000,000) COMMON STOCK. (FILE 333-32348
 - MAR. 13) (BR. 3)
 - AFFINITY INTERNATIONAL TRAVEL SYSTEMS INC, 100 SECOND AVE SOUTH, SUITE 1100 S, ST PETERSBURY, FL 33701 (727) 896-1513 - 29,103.094 (\$140,044,089) COMMON STOCK. (FILE 333-32352 - MAR. 13)
 - SUPERVALU INC, 11840 VALLEY VIEW RD, NULL, EDEN PRAIRIE, MN 55344 (612) 828-4000 - 45,000 (\$656,718.75) COMMON STOCK. (FILE 333-32354 -MAR. 14) (BR. 4)
 - SUPERVALU INC, 11840 VALLEY VIEW RD, NULL, EDEN PRAIRIE, MN 55344 (612) 828-4000 - 3,000,000 (\$43,781,250) COMMON STOCK. (FILE 333-32356 -MAR. 14) (BR. 4)
 - STAR SCIENTIFIC INC, 16 SOUTH MARKET STREET, PETERSBURG, VA 23803 (804) 861-0681 - 300,000 (\$2,025,000) COMMON STOCK. (FILE 333-32364 -MAR. 13) (BR. 5)
 - PAIN THERAPEUTICS INC, 250 EAST GRAND AVENUE, SUITE 70, SOUTH SAN FRANCISCO, CA 94080 (650) 624-8200 - \$75,000,000 COMMON STOCK. (FILE 333-32370 - MAR. 14)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant

- Item 1. Changes in Control of Registrant
 Item 2 Acquisition or Disposition of Assets
 Item 3 Bankruptcy or Receivership
 Item 4 Changes in Registrant's Certifying Accountant.
 Item 5 Other Materially Important Events
 Item 6 Resignations of Registrant's Directors
 Item 7 Financial Statements and Exhibits
 Item 8 Change in Fiscal Year
 Item 9 Regulation S Offerings

- Item 9. Regulation S Offerings

NAME OF ISSUER
ADVANCED MEDICAL PRODUCTS INC DE X X 03/13/00 ALTEON INC /DE DE X 03/15/00 AMERICAS POWER PARTNERS INC CO X X 03/31/00 AMEND AMKOR TECHNOLOGY INC DE X 03/10/00 AMEND ANNAPOLIS NATIONAL BANCORP INC MD X X 03/16/00 ARGENT CAPITAL CORP NV X X 03/08/00 ASPECT DEVELOPMENT INC DE X X 03/12/00 ASSET BACKED SECURITIES CORP DE X 03/17/00 AT&T CORP NY X X 03/17/00 AVIATION GROUP INC TX X X 03/17/00 BALTIMORE GAS & ELECTRIC CO MD X 03/17/00 BEAR STEARNS COMPANIES INC DE X X 03/17/00 BIOCONTROL TECHNOLOGY INC PA X 03/17/00 BLYTH INDUSTRIES INC DE X X 03/17/00 BLYTH INDUSTRIES INC DE X X 03/17/00
ALTEON INC / DE
AMERICAS POWER PARTNERS INC AMKOR TECHNOLOGY INC DE X 03/10/00 AMEND ANNAPOLIS NATIONAL BANCORP INC ARGENT CAPITAL CORP NV X X 03/16/00 ASPECT DEVELOPMENT INC DE X X 03/17/00 ASSET BACKED SECURITIES CORP NY X X 03/17/00 AT&T CORP NY X X 03/17/00 AVIATION GROUP INC TX X X 03/17/00 BEAR STEARNS COMPANIES INC BIOCONTROL TECHNOLOGY INC BLINI HUT INC BLYTH INDUSTRIES INC DE X X 03/17/00 X 03/17/00 X 03/17/00 X 03/17/00 X 03/17/00 X 03/17/00 X 03/17/00
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BLINI HUT INC NV X 02/17/00 BLYTH INDUSTRIES INC DE X X 03/16/00
BLYTH INDUSTRIES INC DE X X 03/16/00
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BUSH INDUSTRIES INC DE X X 02/29/00
CAPITAL SENIOR LIVING CORP DE X X 03/09/00
CARRIAGE SERVICES INC DE X 02/28/00
CENTRAL VERMONT PUBLIC SERVICE CORP VT X 03/17/00
CHASE FUNDING INC NY X X 03/16/00
CHATTOWN COM NETWORK INC DE X 03/16/00
CHICAGO TITLE CORP DE X X 03/16/00
CNA FINANCIAL CORP DE X 03/17/00
CONEXANT SYSTEMS INC DE X X 03/10/00
CONSTELLATION ENERGY GROUP INC MD X 03/17/00
CORNERSTONE PROPERTIES INC NV , X 03/03/00 AMEND
COUNTRYWIDE HOME EQUITY LN TR REV H DE X X 01/31/00
OME EQ LN A B CERT SE 99D
CROWN CENTRAL PETROLEUM CORP /MD/ MD X X 03/17/00
CSK AUTO CORP DE X X 10/01/99 AMEND
CYPRESS SEMICONDUCTOR CORP /DE/ DE X X 03/17/00
DONALDSON LUFKIN & JENRETTE INC /NY DE X X 03/15/00
DOUBLECLICK INC DE X X 03/17/00
DUKE WEEKS REALTY LIMITED PARTNERSH IN X 03/17/00
IP
DYCOM INDUSTRIES INC FL X X 03/17/00
DYNEGY INC /IL/ IL X X 02/01/00
ELDORADO BANCSHARES INC DE X 03/17/00
EMAGIN CORP NV X X X 03/15/00 AMEND
ENVIRO CLEAN OF AMERICA INC NV X X X 03/14/00
ENZON INC DE X 02/22/00
EPL TECHNOLOGIES INC CO X X 03/15/00
FARMER MAC MORTGAGE SECURITIES CORP DE X 03/13/00 AMEND
FINANCIAL PERFORMANCE CORP NY X 03/03/00
FIRST ECOM COM INC NV X 03/06/00

NAME OF ISSUER	STATE CODE			8K 3				DATE	COMMENT
FLEXPOINT SENSOR SYSTEMS INC	DE				 ×	 ·		03/03/0	0
FORD MOTOR CO	DE	Х	Х		••	••		03/17/0	
FRITZ COMPANIES INC	DE	••	••		Х			02/29/0	
FRONTIER FINANCIAL CORP /WA/	WA				X	Х		03/15/0	
GENISYS RESERVATION SYSTEMS INC	NJ	Х			X	X		02/17/0	
GENIUS PRODUCTS INC	NV	71		У	21	71		03/08/0	
GEOTEK COMMUNICATIONS INC	DE			21	Х			03/00/0	
GRACE DEVELOPMENT INC	CO				X			03/10/0	
GREENWICH CAPITAL ACCEPTANCE INC	DE				X	Х		03/17/0	
HEALTHCENTRAL COM	DE				X	X		03/15/0	
HEALTHSTAR CORP /UT/	DE				Х	X		09/23/9	
HINES HORTICULTURE INC	CA		Х		21	X		03/03/0	
HOMESERVICES COM INC	DE		Λ		Х	Λ		03/03/0	
HONDA AUTO RECEIVABLES 1997-A GRANT	CA				Х			03/17/0	
OR TRUST	CA				Λ			02/29/0	U
HONDA AUTO RECEIVABLES 1997-B GRANT OR TRUST	CA				Χ			02/29/0	0
HONDA AUTO RECEIVABLES 1999-1 OWNER TRUST	CA				Χ			02/29/0	0
HORTON D R INC /DE/	DE				Χ	Х		03/17/0	0
HOUSEHOLD AUTOMOBILE REVOLVING TRUS	DE					X		03/17/0	
HOUSEHOLD AUTOMOTIVE TRUST III SERI	DE					X		03/17/0	0
ES 1999-1 HOUSEHOLD AUTOMOTIVE TRUST IV SERIE	DE					Х		03/17/0	0
S 2000-1									
HYSEQ INC	NA				X	X		12/14/9	
IMMUNE RESPONSE INC	CO			X		X		03/17/0	
INFERENCE CORP /CA/	DE				Χ	X		03/17/0	
INFOUSA INC	DE					X		07/23/9	
INSCI STATEMENTS COM CORP	DE			X				03/13/0	
INTERNET CABLE CORP	NV					X		03/17/0	
ISG RESOURCES INC	TX		X			X		03/17/0	
I2 TECHNOLOGIES INC	DE				Χ			03/13/0	0 AMEND
JACKSONVILLE BANCORP INC	TX				Χ	X		03/15/0	0
KMC TELECOM HOLDINGS INC	DE				X			03/17/0	
LABRANCHE & CO INC	DE	:	X			X		03/02/0	0
LEAK X ENVIRONMENTAL CORPORATION	DE				Χ			03/16/0	0
LEVEL 8 SYSTEMS INC	DE				Х	X		03/17/0	0
LINC CAPITAL INC	DE				Χ	X		01/31/0	0
LOEWS CORP	DE				Х	X		03/17/0	0
LONE STAR TECHNOLOGIES INC	DE					X		01/03/0	O AMEND
MERCANTILE CREDIT CARD MASTER TRUST	NY				Х	X		03/08/0	
MERRILL LYNCH MORTGAGE INVESTORS IN	DE				X	X		03/16/0	
METRON TECHNOLOGY N V		•	X			X		03/03/0)
MGI PROPERTIES	MA		•		Х	X		03/05/0	
MONUMENT GALLERIES INC	CO	Х			••			03/03/0	
MOTOROLA INC	DE	41				X		01/05/0	
NATURAL HEALTH TRENDS CORP	FL						У	03/02/0	
NELX INC	KS					Х	Λ	03/02/00	
NET VALUE HOLDINGS INC	DE				Х	л Х		03/17/0	
NEW ENGLAND ELECTRIC SYSTEM	MA				X	x X		03/03/00	
					-•			, 0	

NAME OF ISSUER	STATE		2				NO 7	9	DATE	CC	MMENT
NORTH FORK BANCORPORATION INC	DE		X				х	 	03/13/0	0	
ODS NETWORKS INC	DE		Х				X		03/02/0	0	
OLSTEN CORP	DE					Χ	Χ		03/13/0	0	AMEND
OPHTHALMIC IMAGING SYSTEMS INC	CA					Х			01/29/0	0	
OREGON TRAIL FINANCIAL CORP	OR					Χ			03/08/0	0	
PEOPLES BANK CREDIT CARD MASTER TRU	CT	Χ							02/29/0	0	
PERFICIENT INC	DE						Х		01/03/0	0	AMEND
PHILADELPHIA CONSOLIDATED HOLDING C ORP	PA					Х	Х		02/11/0	0	
PHONE COM INC			Х				Х		03/04/0	0	
PROVIDENT BANK	OH					Χ	Х		03/15/0	0	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE					X	Х		03/30/0	0	
PS FINANCIAL INC	DE					Х	Х		02/10/0	0	
QUEPASA COM INC	NV					Х			03/09/0	0	
QUIKBIZ INTERNET GROUP INC	NV		Χ				X		08/20/9	9	
RAMTRON INTERNATIONAL CORP	DE					Х			03/03/0	0	
REAL GOODS TRADING CORP	CA	Χ							03/31/0	0	
RESIDENTIAL ACCREDIT LOANS INC	DE					Χ	Χ		03/06/0	0	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST SOYLAND 1993 B2	NY	Χ							03/15/0	0	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST SOYLAND 1993 B2	NY	Χ							03/15/0	0	
SAFEGUARD HEALTH ENTERPRISES INC	DE	Χ					Х		03/01/0	0	
SAFETY KLEEN CORP/	DE					Х			03/17/0	0	
SENSAR CORP /NV/	NV					Х			03/16/0	0	
SENTO CORP	UT		Х				Х		03/02/0	0	
SILVERZIPPER COM INC	NV		Х			Х	Х		03/17/0		
SOFTNET SYSTEMS INC	DE					Х	Х		12/13/9	9	
STERLING VISION INC	NY					Χ	Х		02/22/0	0	
STRATEGIC DISTRIBUTION INC	DE		Х				Х		03/02/0	0	
SUNSOURCE INC	DE		Х		1		Х		01/18/0	0	
SWANK INC	DE					Х	Х		03/16/0	0	
TAL WIRELESS NETWORKS INC	DE			Х			Х		02/29/0	0	
TELECOMMUNICATION PRODUCTS INC	CO	Χ							03/10/0		
TELECOMMUNICATION PRODUCTS INC	CO	Χ							03/10/0	0	
TELEHUBLINK CORP	DE				Χ		Χ		02/04/9	9	
TOUPS TECHNOLOGY LICENSING INC /FL	FL		Χ						12/28/9	8	AMEND
TRANSMONTAIGNE INC	DE		Χ				X		12/31/9	9	AMEND
	DE					X	X		03/17/0		
VIANET TECHNOLOGIES INC	ИV		X				Х		03/14/0		AMEND
VOYAGER NET INC	DE					X	X		03/13/0		
WELLINGTON PROPERTIES TRUST	MD						X		02/24/0		
WENDYS INTERNATIONAL INC	OH					X			03/17/0		
WESTAMERICA BANCORPORATION	CA	X							03/17/0		
WESTMORELAND COAL CO	DE					X			03/17/0		
ZEMEX CORP	DE					X			03/08/0	0	