SEC NEWS DIGEST

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March 24, 2000

ENFORCEMENT PROCEEDINGS

TUSCHNER & COMPANY, INC. BROKER-DEALER REGISTRATION REVOKED

On March 21, the Commission entered an Order Instituting Public Administrative Proceedings Pursuant to Section 15(b) and 19(h) of the Securities and Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions (Order) against Tuschner & Company, Inc. (Tuschner & Company), based on the entry of a permanent injunction against Tuschner & Company in the United States District Court, District of Minnesota. Tuschner & Company consented to entry of the order without admitting or denying the Commission's findings, except as to jurisdiction and to the entry of the permanent injunction, which were admitted.

The Order finds that Tuschner & Company was a registered with the Commission as a broker or dealer from 1994 through 1999. On March 9, 2000, in the case of SEC v. Nicholas A. Zahareas, et. al., (Case No. 97-2859), the Honorable David Doty, United States District Judge for the District of Minnesota, entered an Order of Permanent Injunction against Tuschner & Company, pursuant to its consent and without Tuschner & Company's admitting or denving the allegations in the Commission's Complaint, enjoining Tuschner & Company from violating Section 15(b)(6)(B)(ii) of the Exchange Act. The Complaint alleged that Tuschner & Company associated with Nicholas A. Zahareas (Zahareas) from June 1996 to December 1997, without the consent of the Commission, when it knew, or reasonably should have known, that Zahareas was a person subject to an order barring him from association with a broker or dealer. The complaint further alleged that, as a result of the wrongful association, Tuschner & Company earned approximately \$210,000.00 in gross commissions from sales to customers obtained through its association with Zahareas. For further information see [SEC v. Nicholas A. Zahareas, et al., 97-CV-2859, DSD/JMM, D. Minn.] (LR-16478). (Rel. 34-42556; File No. 3-10165)

PITTS AND HANSON ORDERED TO CEASE AND DESIST

The Commission announced that Janet Hanson and Jill Pitts (Respondents), former employees of ABS Industries, Inc (ABS), were ordered to cease and desist in administrative proceedings based on their causing ABS and four other former employees to violate certain provisions of the federal securities laws. The Order finds that ABS, its Chief Executive Officer (Chief Executive Officer), its Chief Accounting Officer, the Corporate Controller for both of ABS' subsidiaries, and the General Manager of one of ABS' subsidiaries engaged in a scheme to defraud the market by prematurely recording sales and accounts receivable from purported "bill and hold" transactions in ABS' 1994 Form 10-K and 1995 Forms 10-Q in violation of Sections 10(b), 13(a), 13(b)(2)(A), 13(b)(2)(B), and 13(b)(5) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-1, 13a-13, 13b2-1 and 13b2-2 thereunder The Order finds that Respondents caused the violations of Sections 13(a), 13(b)(2)(A), 13(b)(2)(B) and 13(b)(5) and Rules 12b-20, 13a-1, 13a-13, and 13b2-1 and orders them to cease and desist from committing or causing any violation and any future violation of these provisions Respondents consented to the entry of the Order, without admitting or denying the Commission's findings, except as to jurisdiction and their previous employment with ABS, which were admitted (Rel 34-42569, AAE Rel 1240, File No 3-10166)

INITIAL DECISION FINAL WITH RESPECT TO BRIAN SCHMIDT, DARLAN GORDON, WILLIAM AVENT, AND JOHN DILWORTH II

The Commission has announced that the law judge's initial decision in this matter is final with respect to Brian A Schmidt, Darlan E Gordon, William Avent, and John Aristotle Dilworth II The law judge found that Schmidt, Gordon, Avent, and Dilworth willfully violated Securities Act Section 17(a) in connection with a purported Treasury Bill leasing scheme

The law judge ordered Schmidt, Gordon, Avent, and Dilworth to cease and desist from committing any violations or future violations of Section 17(a) of the Securities Act of 1933 He further required Gordon, Avent, and Dilworth to disgorge, jointly and severally, \$300,000, plus prejudgement interest Schmidt was barred from association with any broker or dealer and ordered to pay a civil penalty of \$100,000. (Rel 34-42570, File No 3-9402)

COMMISSION SEEKS EMERGENCY RELIEF IN CONNECTION WITH MANIPULATION SCHEME OVER THE INTERNET

The Commission announced that on March 23 in the United States District Court for the Central District of California, it filed a complaint and sought a temporary restraining order against eConnect and its president, Thomas S Hughes (Hughes) The complaint and emergency application seek to temporarily and permanently restrain eConnect and Hughes from violating the antifraud provisions of the federal securities laws

The complaint alleges that, since February 28, 2000, eConnect issued press releases that made false and misleading claims that: (1) eConnect and its joint venture partner had a unique licensing arrangement with PalmPilot; and (2) a subsidiary of eConnect had a strategic alliance with a brokerage firm concerning a system that would permit cash transactions over the Internet. The complaint further alleges that the fraudulent press releases misrepresented eConnect's potential business opportunities, thereby causing a

dramatic rise in the price of eConnect stock from \$1.39 on February 28 to a high of \$21.88 on March 9, 2000, on heavy trading volume. The Commission suspended trading in eConnect's stock on March 13. The complaint alleges that despite the trading suspension and the Commission's related investigation, eConnect and Hughes continued to issue false and misleading statements concerning eConnect's business opportunities.

The Commission seeks permanently to enjoin Defendants from further violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission also seeks civil penalties against the defendants. [SEC v. eConnect and Thomas S. Hughes, Civil Action No. CV 00-02959 AHM, C.D. Cal.] (LR-16481)

SEC CHARGES GIDVANI IN INSIDER TRADING CASE

On March 23, the Commission filed a complaint in the United States District Court for the Southern District of Texas against Parvin Gidvani (Gidvani) of Houston, Texas The complaint alleges that Gidvani engaged in insider trading in advance of a public announcement concerning the securities of Owen Healthcare, Inc (Owen) According to the complaint, Gidvani, had access to material, nonpublic information concerning the acquisition of Owen by Cardinal Healthcare, Inc. The complaint alleges that Gidvani, while in possession of that information, traded in securities of Owen and realized illegal profits of \$23,500 It further alleges that Gidvani recommended Owen to two of his friends The two friends also traded in securities of Owen and realized profits of \$41,250 and \$39,250, respectively [SEC v Parvin Gidvani, Civil Action No H-00-1013, SDTX] (LR-16482)

PUBLISHERS OF TOUT SHEET AGREE TO PAY \$325,000 IN PENALTIES AND DISGORGEMENT

The Commission announced the settlement with Michael St. John Hall, Kathleen Bradford Hall, and the Arrowhead Financial Group, Inc. (Arrowhead), publishers of the *Arrowhead Financial Growth Newsletter* (Arrowhead Newsletter) from June 1996 to July 1997. The case involved the touting of the securities of small-cap companies in return for undisclosed compensation. Without admitting or denying the allegations of the complaint the defendants agreed to the entry of a permanent injunction enjoining them from future violations of the anti-touting and antifraud provisions of the federal securities laws. The defendants further agreed to pay a civil penalty of \$305,000, to disgorge \$15,000 in subscription fees, and to pay \$5,000 in prejudgment interest.

The complaint, filed in the United States District Court for the District of Colorado, alleges that the defendants mailed the Arrowhead Newsletter to as many as 60,000 investors a month. The newsletter touted the stocks of a total of 50 small-cap issuers who paid consideration in stock and cash for this service. Each of the 11 newsletters failed to disclose, or disclosed inadequately, that the Halls had received consideration in exchange for promoting the featured securities, under Section 17(b) of the Securities Act of 1933. Further, the complaint alleges that the Halls violated Section 10(b) of the Securities Exchange Act of 1934 by representing the Arrowhead Newsletter as an objective research

report drafted by qualified analysts, when, in fact, the newsletter was simply a paid tout sheet.

For further information, please contact Richard Sauer, Assistant Director, Division of Enforcement, Securities and Exhange Commission, at (202) 942-4777. [SEC v. Kathleen Bradford Hall, Michael St. John Hall, and Arrowhead Financial Group, Civil Action No. 98-WM-2053, D. Colo.] (LR-16483)

INVESTMENT COMPANY ACT RELEASES

ORDERS OF DEREGISTRATION UNDER THE INVESTMENT COMPANY ACT

Orders have been issued under Section 8(f) of the Investment Company Act declaring that each of the following has ceased to be an investment company

Putnam High Quality Bond Fund [File No 811-4617] (Rel IC-24347 - March 22, 2000) Kemper Europe Fund [File No 811-7479] (Rel IC-24348 - March 22, 2000) BayFunds [File No 811-6296] (Rel IC-24349 - March 22, 2000) Dreyfus Premier Insured Municipal Bond Fund [File No 811-7682] (Rel IC-24350 - March 22, 2000) Carillon Investment Trust [File No 811-5293] (Rel IC-24351 - March 22, 2000) The Griffin Funds, Inc [File No 811-7948] (Rel IC-24352 - March 22, 2000) Colorado Double Tax-Exempt Bond Fund, Inc [File No 811-8023] (Rel IC-24353 - March 22, 2000) Pegasus Funds [File No 811-5148] (Rel IC-24354 - March 22, 2000)

Liquid Capital Income Trust [File No 811-2528] (Rel IC-24355 - March 22, 2000) **Carnegie Government Securities Trust** [File No 811-3037] (Rel. IC-24356 - March 22, 2000) Carnegie Tax Free Income Trust [File No 811-3446] (Rel IC-24357 - March 22, 2000) Carnegie Tax Exempt Income Trust [File No. 811-4538] (Rel IC-24358 - March 22, 2000) The Chancellor Targeted Health Care Fund, Inc [File No 811-6633] (Rel IC-24359 - March 22, 2000) Marketvest Funds (formerly Court Street Funds) [File No 811-7383] (Rel IC-24360 - March 22, 2000) Marketvest Funds, Inc (formerly Court Street Funds, Inc) [File No 811-7385] (Rel IC-24361 - March 22, 2000)

FARM BUREAU LIFE VARIABLE ACCOUNT III

An order has been issued under Section 8(f) of the Investment Company Act declaring that Farm Bureau Life Variable Account III has ceased to be an investment company (Rel IC-24362 – March 22)

BAKER, FENTRESS & COMPANY

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A notice has been issued giving interested persons until April 17, 2000, to request a hearing on an application filed by Baker, Fentress & Company for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company (Rel IC-24363 – March 23)

CIRSA BUSINESS CORPORATION, S.A.

A notice has been issued giving interested persons until April 17, 2000, to request a hearing on an application filed by Cirsa Business Corporation. S A (Cirsa) for an order under Section 6(c) of the Investment Company Act exempting a special purpose vehicle (SPV) from all provisions of the Act. The order would permit SPV to sell certain debt securities and use the proceeds to finance the business activities of Cirsa and its operating subsidiaries (Rel IC-24364, International Series Rel 1218 – March 23)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change (SR-PCX-98-30) filed by the <u>Pacific</u> <u>Exchange</u> regarding the Exchange's procedures and restrictions on telephone use on the Options Trading Floor. (Rel 34-42557)

The Commission approved a proposed rule change and issued notice of filing and granted accelerated approval to Amendment Nos. 1 and 2 to a proposed rule change submitted by the <u>Chicago Board Options Exchange</u> (SR-CBOE-99-21) relating to the Exchange's Firm Quote Rule (Rel 34-42558)

The Commission approved a proposed rule change submitted by the <u>Philadelphia Stock</u> <u>Exchange</u> (SR-Phlx-99-46) relating to registration of trading floor personnel Publication of the order in the <u>Federal Register</u> is expected during the week of March 27 (Rel 34-42564)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The <u>Chicago Stock Exchange</u> filed a proposed rule change (SR-CHX-00-06) to amend its membership dues and fees schedule The rule change has become effective pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934 and paragraph (f)(2) of Rule 19b-4 thereunder Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 27 (Rel 34-42561)

The <u>Philadelphia Stock Exchange</u> filed a proposed rule change (SR-Phlx-00-18) increasing the Exchange's Examination Fee The rule change has become effective pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934 Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 27. (Rel 34-42562)

The <u>Chicago Stock Exchange</u> filed a proposed rule change (SR-CHX-00-03) relating to the administration of its Floor Membership Examination The rule change has become effective pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934 Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 27 (Rel 34-42567)

PROPOSED RULE CHANGES

<u>The Options Clearing Corporation</u> filed a proposed rule change (SR-OCC-99-16) to eliminate OCC's authority to prohibit exercises by put holders who would be unable to deliver the underlying stock in a short squeeze situation and, in lieu thereof, would give OCC the same authority to protect put holders as OCC already has to protect call holders Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 27 (Rel 34-42563)

The <u>Chicago Stock Exchange</u> filed a proposed rule change (SR-CHX-99-31) to define the phrase "pre-opening orders" related to dual trading systems issues Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 27. (Rel 34-42566)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-CHX-99-24), and Amendment No. 1 thereto, filed by the <u>Chicago Stock Exchange</u> relating to price improvement for securities that trade in minimum variations of 1/64th of \$1 00 Publication of the order is expected in the <u>Federal Register</u> during the week of March 27 (Rel 34-42565)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, NW, Washington, DC 20549 or at the following e-mail box address cpublicinfo@sec gov> In most cases, this information is also available on the Commission's website <www.sec gov>

- S-8 HSBC HOLDINGS PLC \, HSBC CENTER, BUFFALO, NY 14203 5,000,000
 (\$56,625,000) FOREIGN COMMON STOCK. (FILE 333-11664 MAR. 15) (BR. 7)
- F-6 CIBA SPECIALTY CHEMICALS HOLDING INC /ADR/, 60 WALL ST, C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-3250 -100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-11666 - MAR. 16) (BR. 99)
- S-8 INFINEON TECHNOLOGIES AG, ST MARTIN STRASSE, D-81541, MUNICH, GERMANY, 19 - 1,012,500 (\$41,229,000) FOREIGN COMMON STOCK. (FILE 333-11670 -MAR. 16) (BR. 5)
- S-8 OPTISYSTEMS SOLUTIONS LTD, 3B NETANYAHU ST, OR YEHUDA 60376 ISRAEL, L3 - 200,000 (\$1,500,000) FOREIGN COMMON STOCK. (FILE 333-11674 - MAR. 17) (BR. 3)
- S-1 INTERNET PICTURES CORP, 1009 COMMERCE PARK DR, OAK RIDGE, TN 37830
 (865) 482-3000 11,500,000 (\$484,437,500) COMMON STOCK. (FILE 333-32680
 MAR. 17) (BR. 8)
- S-8 UNIVERSAL ACCESS INC, 100 N RIVERSIDE PLAZA SUITE 2200, CHICAGO, IL 60606 (312) 660-5000 - 21,672,079 (\$166,047,865) COMMON STOCK. (FILE 333-32682 - MAR. 17) (BR. 7)

- S-3 THORATEC LABORATORIES CORP, 6035 STONERIDGE DR, PLEASANTON, CA 94588
 (925) 847-8600 5,175,000 (\$81,506,250) COMMON STOCK. (FILE 333-32684 MAR. 17) (BR. 5)
- S-8 TIREX CORP, 3828 ST PATRICK, MONTREAL QUEBEC, A8 H4E1A (514) 878-0727 -3,509,759 (\$2,632,319.25) COMMON STOCK. (FILE 333-32686 - MAR. 17) (BR. 5)
- S-8 WAMEX HOLDINGS INC, 3040 NOSTRAND AVENUE, NONE, MARINE PARK, NY 11229
 (718) -67-7-41 285,000 (\$4,239,375) COMMON STOCK. (FILE 333-32688 MAR. 17) (BR. 9)
- S-1 AUGUST TECHNOLOGY CORP, 4900 WEST 78TH STREET, BLOOMINGTON, MN 55435 (952) 820-0080 - \$45,000,000 COMMON STOCK. (FILE 333-32692 - MAR. 17)
- S-8 INTERTAN INC, 3300 HGWY #7, STE 904, CONCORD ONTARIO CANADA, TX 76102
 (905) 760-9701 210,000 (\$2,205,000) COMMON STOCK. (FILE 333-32694 MAR. 17) (BR. 2)
- S-8 FLUOR CORP/DE/, ONE ENTERPRISE DRIVE, ALISO VIEJO, CA 92656
 (949) 34-9-20 3,700,000 (\$100,362,500) COMMON STOCK. (FILE 333-32696 MAR. 17) (BR. 6)
- S-8 FINISAP CORP, 1308 MOFFETT PARK DR, SUNNYVALE, CA 94089 (408) 548-1000
 6,933,007 (\$618,869,155.56) COMMON STOCK. (FILE 333-32698 MAR. 17)
 (BR. 5)
- S-8 PCORDER COM INC, 5001 PLAZA ON THE LAKE, AUSTIN, TX 78746 (512) 684-1100 - 250,000 (\$6,390,000) COMMON STOCK. (FILE 333-32700 - MAR. 17) (BR. 2)
 - S-8 RUBBER TECHNOLOGY INTERNATIONAL INC /FL, 3185 E. WASHINGTON BLVD., LOS ANGELES, CA 90023 (323) -26-8-68 - 800,000 (\$128,000) COMMON STOCK. (FILE 333-32702 - MAR. 17) (BR. 9)
 - S-8 INFOCALL COMMUNICATIONS CORP, 8000 TOWERS CRESCENT DRIVE, SUITE 640, VIENNA, VA 22182 (703) 734-5650 - 1,000,000 (\$1,000,000) COMMON STOCK. 'FILE 333-32704 - MAR. 17) (BR. 9)
 - S-8 ADVANCED MEDICAL PRODUCTS INC, 6 WOODCROSS DR, COLUMBIA, SC 29212 (803) 407-3044 - 120,000 (\$360,000) COMMON STOCK. (FILE 333-32706 -MAR. 17) (BR. 5)
 - S-8 EAGLE POINT SOFTWARE CORP, 4131 WESTMARK DF, DUBUQUE, IA 52002 (319) 556-8392 - 1,000,000 (\$6,602,781.25) COMMON STOCK. (FILE 333-32708

MAR. 17) (BR. 3)

- S-8 DALLAS SEMICONDUCTOR CORP, 4401 S BELTWOOD PKWY, DALLAS, TX 75244
 (214) 450-0400 4,883,220 (\$188,614,372.50) COMMON STOCK. (FILE
 333-32710 MAR. 17) (BR. 5)
- S-8 EAGLE POINT SOFTWARE CORP, 4131 WESTMARK DR, DUBUQUE, IA 52002 (319) 556-8392 - 50,000 (\$331,250) COMMON STOCK. (FILE 333-32712 -MAR. 17) (BR. 3)

- S-4 CORNING INC /NY, ONE RIVERFRONT PLAZA, CORNING, NY 14831 (607) 974-9000
 13,422,896 (\$2,008,400,814) COMMON STOCK. (FILE 333-32714 MAR. 17)
 (BR. 6)
- S-8 METRICOM INC / DE, 980 UNIVERSITY AVE, LOS GRATOS, CA 95030
 (408) 399-8200 511,457 (\$5,306,366.38) COMMON STOCK. (FILE 333-32718 MAR. 17) (BR. 7)
- S-8 FORCENERGY INC, 2730 SW 3RD AVE STE 800, MIAMI, FL 33129 (305) 856-8500
 3,000,000 (\$30,000,000) COMMON STOCK. (FILE 333-32720 MAR. 17)
 (BR. 4)
- S-3 ONHEALTH NETWORK CO, 808 HOWELL STREET, STE 400, SEATTLE, WA 98101 (206) 583-0100 - 650,000 (\$4,143,750) COMMON STOCK. (FILE 333-32722 -MAR. 17) (BR. 3)
- S-3 ONHEALTH NETWORK CO, 808 HOWELL STREET, STE 400, SEATTLE, WA 98101 (206) 583-0100 - 1,171,934 (\$7,471,079.25) COMMON STOCK. (FILE 333-32724

MAR. 17) (BR. 3)

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S-8 DIGITAL LAVA INC, 13160 MINDANO WAY, SUITE 350, MARINA DEL REY, CA 90292 (888) 222-5282 - 1,000,000 (\$6,374,891) COMMON STOCK. (FILE 333-32726 MAR. 17) (BR. 9)

- S-8 METRICOM INC / DE, 980 UNIVERSITY AVE, LOS GRATOS, CA 95030
 (408) 399-8200 1,600,000 (\$78,219,080 COMMON STOCK. (FILE 333-32728 MAR. 17) (BR. 7)
- S-1 CONCOURS GROUP INC, SUITE 151 3 KINGWOOD PLACE, 800 ROCKMEAD DRIVE, KINGWOOD, TX 77339 (281) 359-3464 - \$57,500,000 COMMON STOCK. (FILE 333-32730 - MAR. 17)
- S-3 MOLECULAR DEVICES CORP, 1311 ORLEANS DR, SUNNYVALE, CA 94089
 (408) 747-1700 \$150,000,000 COMMON STOCK. (FILE 333-32734 MAR. 17)
 (BR. 5)
- F-1 MIH LTD, ABBOT BLD. MOUNT STREET, TORTOLA, ROAD TOWN, BRITISH VIRGIN ISLANDS, (284) 494-5471 - 4,194,459 (\$338,828,398) FOREIGN COMMON STOCK. (FILE 333-32736 - MAR. 17) (BR. 7)
- S-1 TELCOBUY COM INC, 127 WELDON PKWY, ST. LOUIS, MI 63043 (314) 919-1400 -\$100,000,000 COMMON STOCK. (FILE 333-32738 - MAR. 17) (NEW ISSUE)

S-8 ABLEAUCTIONS COM INC, 1963 LOUGHEED HIGHWAY, V3K 3T8, COQUITLAN BC CANADA, (604) 521-2253 - 3,070,000 (\$20,894,875) COMMON STOCK.

(FILE 333-32740 - MAR. 17) (BR. 9)

S-8 NETIVATION COM INC, 806 CLEARWATER LOOP SUITE N, POST FALLS, ID 83854 (208) 777-4203 - 867,155 (\$7,370,817) COMMON STOCK. (FILE 333-32742 -MAR. 17) (BR. 9)

- S-8 AMERICAN INTERNATIONAL PETROLEUM CORP /NV/, 440 MADISON AVE STE 3203, NEW YORK, NY 10022 (212) 956-3333 - 423,000 (\$529,000) COMMON STOCK. (FILE 333-32746 - MAR. 17) (BR. 4)
- S-1 BEATNIK INC, 2600 EL CAMINO REAL, SAN MATEO, CA 94403 (650) 696-9400 -\$63,250,000 COMMON STOCK. (FILE 333-32752 - MAR. 17)
- S-1 MEDIAPLEX INC, 131 STEUART STREET, FOURTH FL CA, SAN FRANCISCO, CA
 94105
 (650) 496-7590 6,325,000 (\$411,125,000) COMMON STOCK. (FILE 333-32754 -

(650) 496-7590 - 6,325,000 (\$411,125,000) COMMON STOCK. (FILE 333-32754 - MAR. 17) (BR. 8)

- S-3 CURAGEN CORP, 555 LONG WHARF DRIVE, 11TH FL, NEW HAVEN, CT 06511 150,000,000 (\$150,000,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-32756 - MAR. 17) (BR. 1)
- S-3 IRVINE SENSORS CORP/DE/, 3001 REDHILL AVE, COSTA MESA, CA 92626
 (714) 549-8211 4,331,644 (\$38,984,796) COMMON STOCK. (FILE 333-32758 MAR. 17) (BR. 5)
- S-3 GLOBAL TELESYSTEMS GROUP INC, 4121 WILSON BLVD, 8TH FLOOR, ARLINGTON, VA 22203 (703) 918-4500 - 3,700,857 (\$100,617,050) COMMON STOCK. (FILE 333-32760 - MAR. 17) (BR. 7)
 - F-1 TRINTECH GROUP PLC, TRINTECH BUILDING, SOUTH COUNTY BUSINESS PARK LEOPARDSTOWN, DUBLIN 18 IRELAND, L2 00000 -3,450,000 (\$443,773,500) FOREIGN COMMON STOCK. (FILE 333-32762 - MAR. 17) (BR. 3)
 - S-3 AURORA BIOSCIENCES CORP, 11010 TORREYANA ROAD, SAN DIEGO, CA 92121 (619) -40-4663 - 3,565,000 (\$248,213,125) COMMON STOCK. (FILE 333-32764 -MAK. 17) (BR. 1)

S-3 EPIMMUNE INC, 5820 NANCY RIDGE DRIVE, SAN DIEGO, CA 92121 ((85) 8) -860-- 1,200,000 (\$12,993,750) COMMON STOCK. (FILE 333-32766 - MAR. 17) (BR. 1)

- S-8 ONDISPLAY INC, 12667 ALCOSTA BLVD, SUITE 300, SAN RAMON, CA 94583 6,635,277 (\$391,258,749) COMMON STOCK. (FILE 333-32768 MAR. 17) (BR. 5)
- S-4 HANMI FINANCIAL CORP, 3660 WILSHIRE BLVD SUITE PH-A, LOS ANGELES, CA 90010 (213) 382-2200 - 7,500,000 (\$84,375,000) COMMON STOCK. (FILE 333-32770 - MAR. 17) (NEW ISSUE)
- S-3 GLOBAL TECHNOLOGIES LTD, 1811 CHESTNUT STREET, SUITE 120, PHILADELPHIA, PA 19103 (215) 972-8191 - 1,850,232 (\$33,082,148.16) COMMON STOCK. (FILE 333-32772 - MAR. 17) (BR. 6)
- S-3 METROCALL INC, 6677 RICHMOND HWY, ALEXANDRIA, VA 22306 (703) 660-6677 -40,000 (\$511,200) COMMON STOCK. (FILE 333-32774 - MAR. 17) (BR. 7)
- S-3 AVANIR PHARMACEUTICALS, 9393 TOWNE CENTRE DR, STE 200, SAN DIEGO, CA 93121 (858) 410-2600 - 5,523,288 (\$18,834,412) COMMON STOCK. (FILE 333-32776 - MAR. 17) (BR. 1)

- S-1 DIGIMARC CORP, ONE CENTERPOINE DR, STE 500, LAKE OSWEGO, OR 97035
 (503) 968-2908 3,450,000 (\$226,182,000) COMMON STOCK. (FILE 333-32778 MAR. 17) (BR. 3)
- S-1 ROSETTA INPHARMATICS INC, 12040 115TH AVE NE, SUITE 210, KIRKLAND, WA 98034 (425) 823-7336 - \$115,000,000 COMMON STOCK. (FILE 333-32780 -MAR. 17)
- S-8 STRATASYS INC, 14950 MARTIN DRIVE, EDEN PRAIRIE, MN 55344 (612) 937-3000
 - 1,250,000 (\$10,116,114) COMMON STOCK. (FILE 333-32782 - MAR. 17)
 (BR. 3)
 - S-1 PROTECTIVE LIFE INSURANCE CO, 2801 HIGHWAY 280 SOUTH, BIRMINGHAM, AL 35223 (205) 879-9230 - \$500,000,000 VARIABLE ANNUITY ISSUES. (FILE 333-32784 - MAR. 17) (BR. 20)
 - SB-2 SOUTHERNBANK HOLDINGS INC, 2090 BUFORD HIWHWAY, SUITE 2A, BUFORD, GA 30518 (578) 482-4488 - 1,437,500 (\$14,375,000) COMMON STOCK. (FILE 333-32786 - MAR. 17) (NEW ISSUE)
- S-8 INHALE THERAPEUTIC SYSTEMS INC, 150 INDUSTRIAL RD., SAN CARLOS, CA
 94070
 (650) 631-3100 1,500,000 (\$135,468,750) COMMON STOCK. (FILE 333-32788 MAR. 17) (BR. 1)
 - S-4 SURGE COMPONENTS INC, 1016 GRAND BLVD, DEER PAPF, NY 11729
 (516) 595-1818 29,154,734 (\$127,816,642.40) COMMON STOCK. (FILE
 333-32790 MAF. 17. (ER. 5)
 - S-3 SALOMON SMITH BARNEY HOLDINGS INC, 388 GREENWICH ST, 28TH FLOOR, NEW YORK, NY 10013 (212) 816-6000 (FILE 333-32792 - MAR. 17) (BR. 7)
 - S-1 BUCA INC /MN, 1300 NICOLLET MALL, SUITE 3043, MINNEAPOLIS, MN 55403
 (612) 288-2382 3,833,753 (\$56,787,467) COMMON STOCK. (FILE 333-32794 MAR. 17) (BR. 5)
 - S-1 EVOLVE SOFTWARE INC, 615 BATTERY STREET, SUITE 400, SAN FRANCISCO, CA 94111 (415) 439-4011 - \$65,000,000 COMMON STOCK. (FILE 333-32796 -MAR. 20)
 - N-2 NORTH AMERICAN SENIOR FLOATING RATE FUND INC, 286 CONGRESS ST, C/O CYPRESSTREE INVESTMENTS, BOSTON, MA 02110 (617) 368-3535 - 20,000,000 (\$198,000,000) COMMON STOCK. (FILE 333-32798 - MAR. 17) (BR. 18)
 - S-11 DM MORTGAGE INVESTORS LLC, 2901 EL CAMINO AVENUE, LAS VEGAS, NV 89102
 (702) 227-0965 100,000,000 (\$100,000,000)
 LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-32800 MAR. 17) (NEW ISSUE,
 - S-3 DEALER AUTO RECEIVABLES CORP, 230 WEST MONRCE STREET, CHICAGO, IL 60606
 (312) 452-1250 10,000,000 (\$10,000,000) EQUIPMENT TRUST CEPTIFICATES.
 (FILE 333-32802 MAR. 20) (NEW ISSUE)
 - S-1 OPEN SOLUTIONS INC, 300 WINDING BROOK DRIVE, GLASTONBURY, CT 66033 (860) 652-3155 - \$60,000,000 COMMON STOCK. (FILE 333-32804 - MAR. 20) (BR. 3)

242

- S-8 FIDELITY NATIONAL FINANCIAL INC /DE/, 17911 VON KARMAN AVE, STE 300, IRVINE, CA 92614 (949) 622-5000 - 3,195,948 (\$39,696,838) COMMON STOCK. (FILE 333-32806 - MAR. 20) (BR. 1)
- S-1 BUILDNET INC, 4813 EMPEROR BOULEVARD SUITE 130, DURHAM, NC 27703 (919) 941-6269 - \$230,000,000 COMMON STOCK. (FILE 333-32808 - MAR. 20)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

Item 1 Changes in Control of Registrant

- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, NW, Washington, DC 20549 or at the following e-mail box address publicinfo@sec gov>
In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE		-				NO. 78	DATE	COMMENT
A CONSULTING TEAM INC	NY						Х	03/20/0	0
	DE						Х	03/20/0	0
ABSOLUTEFUTURE COM	NV			Х				03/22/0	0
	NV				Х			03/20/0	0
ACCIDENT PREVENTION PLUS INC	NV			X'			Х	03/20/0	0
ADPADS INC	CC	Х			Х	Х	Х	03/22/0	0
AFG RECEIVABLES CORP	CA				Х			03/15/0	0
AIMRITE HOLDINGS CORP					Х			01/03/0	0
ALCAN ALUMINIUM LTD /NEW							Х	03/22/0	0 AMEND
ALL STAR GAS CORP	MO				Х			03/22/0	0
ALLIED RESEARCH CORP	DE						Х	03/10/0	0
ALLMERICA FINANCIAL CORP	DE				Х		Х	03/21/0	0
ALTA GOLD CO/NV/	,NV		Х		Х	Х	Х	03/07/0	0
AMBAC FINANCIAL GROUP INC	DE				Х		Х	03/21/0	0
AMERI-FIRST FINANCIAL GROUP INC	DE	Х			Х	Х	Х	03/23/0	0
AMERICAN GREETINGS CORP	OH	Х					Х	03/09/0	0
AMERICAN PACIFIC CORP	DE				Х			03/14/0	0
AMERON INTERNATIONAL CORP	DE				Х			03/22/0	0
ANNAPOLIS NATIONAL BANCORP INC	MD			Х			Х	03/16/0	0 AMEND
ANTEX BIOLOGICS INC	DE				Х		Х	03/15/0	0
APPLIED MICRO CIRCUITS CORP	DE				Х		Х	03/10/0	0
ASSET BACKED SECURITIES CORP	DE				Х		Х	03/22/0	0
ATLANTIC CITY ELECTRIC CO	NJ				Х			03/22/0	0
AVAX TECHNOLOGIES INC	DE				Х		Х	03/23/0	0
BANKILLINOIS FINANCIAL CORP	DE				Х		Х	03/21/0	0

STATE 8K ITEM NO.

	STATE			8K ITEM				
NAME OF ISSUER	CODE	1 :	2	3456	78	9	DATE	COMMENT
BANYAN CORP /OR/	OR			Х	Х		03/22/0	
BAY VIEW SECURITIZATION CORP	DE				Х		03/15/0	
BAY VIEW SECURITIZATION CORP	DE				Х		03/15/0	
BEACON CAPITAL PARTNERS INC	MD			Х	Х		03/20/0	
BIGHUB COM INC	FL			Х			02/03/0	
BINGHAM FINANCIAL SERVICES CORP	MI			Х	Х		03/17/0	0
BIRCH TELECOM INC /MO	DE			Х	Х		03/23/0	0
BLANCH E W HOLDINGS INC	DE			Х	Х		03/20/0	0
BOWL AMERICA INC	MD			Х			03/23/0	0
BUFFALO CAPITAL VIII LTD	CO	Х					02/22/0	0
CAMERON ASHLEY BUILDING PRODUCTS IN	GA			Х	Х		03/22/0	0
С								
CAPITAL GROWTH INC	NV			X.	Х		03/23/0	0
CAPITAL TRUST INC	MD			Х	Х		03/08/0	
CAPITOL REVOLVING HOME EQUITY LOAN				X	•••		03/20/0	
TRUST 1996-1	1110			11			00/20/0	
	DE				v		01/18/0	
CAREINSITE INC	DE		X		X			
CCB FINANCIAL CORP	NC			Х	Х		03/17/0	
CELERITY SOLUTIONS INC	DE			Х	Х		03/22/0	
CELERITY SYSTEMS INC	DE			Х	Х		10/26/9	
CELL THERAPEUTICS INC	WA				Х		01/24/0	0 AMEND
CENTENNIAL COMMUNICATIONS CORP /DE	DE		X				03/22/0	0
CENTEX HOME EQUITY LOAN TRUST 2000-	DE			X	Х		03/15/0	0
A								
CENTURA BANKS INC	NC			Х	Х		03/17/0	0 AMEND
CHAPARRAL RESOURCES INC	DE			Х	Х		02/14/0	0
CHARMING SHOPPES INC	PA				Х		01/07/0	0 AMEND
CHASE CREDIT CARD MASTER TRUST	NY			Х	X.		03/15/0	
CHASE CREDIT CARD MASTER TRUST	NY			X	X		03/15/0	
CHASE CREDIT CARD MASTER TRUST CHASE CREDIT CARD MASTER TRUST	NY	•		X	X		03/15/0	
				X			03/15/0	
CHASE MANHATTAN AUTO GRANTOR TRUST	NY			2.	X		03/13/0	U
1996-B				••			00/15/0	<u>_</u>
CHASE MANHATTAN AUTO OWNER TRUST 19	DE			Х	Х		03/15/0	0
96-C								-
CHASE MANHATTAN AUTO OWNER TRUST 19	DE			Х	Х		03/15/0	0
97-A								
CHASE MANHATTAN AUTO OWNER TRUST 19	DE			Х	Х		03/15/0	0
97-B								
CHASE MANHATTAN AUTO OWNER TRUST 19	DE			Х	Х		03/15/0	0
98-A								
CHASE MANHATTAN AUTO OWNER TRUST 19	DE			X	Х		03/15/0	0
98-B								
CHASE MANHATTAN AUTO OWNER TRUST 19	DE			Х	Х		03/15/0	0
98-C								
CHASE MANHATTAN BANK /NY/	NY			Х	Х		03/15/0	0
				X	X		03/15/0	
CHASE MANHATTAN BANK /NY/	NY						03/15/0	
CHASE MANHATTAN BANK /NY/	NY			X	X			
CHASE MANHATTAN BANK /NY/	NY			Х	X		03/15/0	
CHASE MANHATTAN BANK /NY/	ΝY			Х	Х		03/15/0	
CHASE MANHATTAN BANK /NY/	NY			Х	Х		03/15/0	
CHASE MANHATTAN BANK USA	DE			Х	Х		03/15/0	0
CHASE MANHATTAN BANK USA	DE			Х	Х		03/15/0	0
CHASE MANHATTAN BANK USA	DE			Х	Х		03/15/0	0
CHASE MANHATTAN BANK USA	DE			Х	Х		03/15/0	0
	-							

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STATE

8K ITEM NO.

NAME OF TREUED	STATE		SK ITEM		0		COLOGINE
NAME OF ISSUER	CODE	2 I 	3456	/ 8 		DATE	Comment
CHASE MANHATTAN BANK USA	DE		Х	х		03/15/0	0
CHASE MANHATTAN BANK USA	DE		X	x		03/15/0	
CHASE MANHATTAN BANK USA	DE		Х	X		03/15/0	
CHASE MANHATTAN BANK USA	DE			Х		03/15/0	0
CHASE MANHATTAN BANK USA	DE		Х	Х		03/15/0	0
CHASE MANHATTAN BANK USA	DE		Х	Х		03/15/0	0
CHASE MANHATTAN BANK USA	DE		Х			03/15/0	0
CHASE MANHATTAN BANK USA CHASE CRED	DE		Х	Х		03/15/0	0
IT CARD OWNER TR 1999-3							
CHASE MANHATTAN CORP /DE/	DE			Х		03/21/0	
CHASE MANHATTAN CREDIT CARD MASTER	DE		Х	Х		03/15/0	0
TRUST	111			17		00/15/0	•
CHASE MANHATTAN GRANTOR TRUST 1996-	NY		Х	Х		03/15/0	0
A CHASE MANHATTAN HOME EQUITY LOAN TR	NY		Х	х		03/15/0	0
UST 1995-1	14 1		Δ	Δ		03/13/0	0
CHASE MANHATTAN MARINE OWNER TRUST	NY		х	х		03/15/0	0
1997-A	14 1		43	Δ		05/15/0	0
CHASE MANHATTAN RV OWNER TRUST 1997	DE		Х	Х		03/15/0	0
-A	20					00, 10, 0	0
CHASE MORTGAGE FINANCE CORP	DE	Х		Х		02/24/0	0
CHATTOWN COM NETWORK INC	DE		Х			03/16/0	
CHEC FUNDING LLC						03/15/0	
CHECKFREE HOLDINGS CORP \GA\	DE		Х	Х		03/16/0	0
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		Х			02/29/0	0
1996-1							
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		Х			02/29/0	0
1996-2							
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		Х			02/29/0	0
1997-1							
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		Х			02/29/0	0
1997-2						00/00/0	<u>_</u>
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		X			02/29/0	0
1997-3 CHEVY CHASE AUTO RECEIVABLES TRUST	MD		X			02/29/0	0
1997-4	MD		~			02/29/0	0
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		X			02/29/0	n
1992-1	110		1.			02/25/0	0
CHEVY CHASE AUTO RECEIVABLES TRUST	MD		Х			02/29/0	n
1998-2			••			02,23,0	0
CHEVY CHASE HOME LOAN TRUST 1996-1	MD		Х			02/29/0	0
CHEVY CHASE HOME LOAN TRUST 1997-1	MD		X			02/29/0	
CITIZENS BANCORP	IN		Х	Х		03/21/0	
CITIZENS UTILITIES CO	DE			Х		12/31/9	
CLICKACTION INC	DE	Х				03/22/0	
CNH RECEIVABLES INC	DE		Х	Х		03/15/0	
COMMERCE ONE INC	DE			Х		01/07/0) AMEND
COMMERCE ONE INC	DE			Х		01/07/0	D AMEND
COMMERCIAL BANKSHARES INC	FL		Х			03/22/0	
COMPASS AEROSPACE CORP	DE		Х	Х		03/09/0	
CONCENTRA OPERATING CORP	NV		Х	Х		03/21/0	
CONECTIV	DE		Х			03/22/0	
CORRECTIONS SERVICES INC	FL		Х	X		02/15/0	
COVAD COMMUNICATIONS GROUP INC	DE		Х	Х		03/08/00	J

STATE 8K ITEM NO.

	STATE			81	K 1	TEM	NO.			
NAME OF ISSUER	CODE	1	2	3	4	56	78	9	DATE	COMMENT
COVOL TECHNOLOGIES INC	DE					Х			03/15/0	00
CREDENCE SYSTEMS CORP	DE					Х	Х		03/23/0	0
CWMBS INC	DE					Х	Х		02/25/0	
CYTOTHERAPEUTICS INC/DE	DE					X			03/16/0	
DAY INTERNATIONAL GROUP INC	DE						Х			9 AMEND
DELMARVA POWER & LIGHT CO /DE/						х	••		03/22/0	
DEUTSCHE FLOORPLAN RECEIVABLES L P						X	Х			9 AMEND
DHB CAPITAL GROUP INC /DE/	DE		Х			11	X		03/10/0	
DIAMOND HOME SERVICES INC	DE		23			Х	X		02/24/0	
DISCOVER CARD MASTER TRUST I						X	x			
	DE		.,			Λ			03/14/0	
DIVERSIFIED CORPORATE RESOURCES INC			Х				Х		03/07/0	
DOMINION RESOURCES INC /VA/	VA						Х			0 AMEND
DOVER INVESTMENTS CORP	DE	Х							03/23/0	
	NJ					Х	Х		03/22/0	
DYNAMIC HOMES INC						Х	Х		03/20/0	
	DE		Х				Х		03/10/0)0
EDISON BROTHERS STORES INC	DE					Х	Х		03/20/0	0
EGAIN COMMUNICATIONS CORP			Х				Х		03/07/0	0
EGLOBE INC	DE					Х			03/17/0)0
EMAGIN CORP	NV				Х		Х		03/16/0	00
EMONS TRANSPORTATION GROUP INC	DE					Х	Χ		03/21/0	00
ESB FINANCIAL CORP	PA					X	Х		03/21/0	00
—	OH					Χ	Х		03/22/0	
-	DΈ					Z	X		03/21/0	
	IA					Ζ.			03/15/0	
FIRST CHARTER CORP /NC/	NC					ž.	Х		03/21/0	
FIRST COMMUNITY FINANCIAL GROUP INC						X	X		03/21/0	
						X	x		03/20,0	
FIRST DECATUR BANCSHARES INC	DE					Y	X		03/21/0	
FIRST NATIONAL BANK OF ATLANTA										
FIRST NATIONAL BANK OF ATLANTA							X)C AMEND
FIRST SECURITY CORP /UT/	DE					Х	X		03/22/0	
FIRST SIERRA RECEIVABLES II INC							X		03/01/0	
FIRST SIERRA RECEIVABLES III INC	DE	Х					Х		03/01/0	
FIRST UNION DIRECT BANK N A						Х	Х		03/15/0	
FIRST UNION MASTER CREDIT CARD TRUS						Х	Х		03/15/0)0
Т										
FLAG TELECOM HOLDINGS LTD						Х	Х		03/17/0	
FLOTEK INDUSTRIES INC/CN/		Х	Х	Х	Х	Х			03/22/0	0 AMEND
FOOTSTAR INC	DE					Х	Х		03/07/0	0
GALAXY ENTERPRISES INC /NV/	NV					Х	Х		03/21/0	00
GENERAL MOTORS CORP	DE						Х		03/22/0	00
GENESEE CORP	NY	Х							03/23/0	00
GENZYME CORP	MA					Х	Х		02/02/0	
GEOGRAPHICS INC	WY				Х		Х			0 AMEND
	GA					Х	Х		03/23/0	
GEORGIA PACIFIC CORP	DE						X		03/12/0	
GLOBAL SPORTS INC	KS					Х	x		03/20/0	
GOLD BANC CORP INC				Х		17	x		03/20/0	
GRAND COURT LIFESTYLES INC	DE			Λ		v				
GREATER BAY BANCORP	CA					X	X		03/21/0	
GREENPOINT CREDIT LLC	DE					Х	Х		03/16/0	
HEALTHCENTRAL COM	DE					Х	Х			00 AMEND
HEALTHEON WEBMD CORP	DE						Х			0 AMEND
HERSHA HOSPITALITY TRUST	MD		Х				Х		03/08/0	
HI SHEAR INDUSTRIES INC	DE					Х			03/22/0	00

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STATE

8K ITEM NO.

NAME OF ISSUER	CODE	1	2					DATE	co	MMENT
HIGH COUNTRY BANCORP INC					x		X	 03/20/0	00	
HISPANIC TELEVISION NETWORK INC	DE			Х	5		Х	03/08/0	00	AMEND
HOLIDAY RV SUPERSTORES INC	FL				Х			03/20/0	00	
HOUSEHOLD DIRECT COM INC	CO	Х	Х	Х	Χ	Х	Х	03/09/0	00	
HUDSON UNITED BANCORP	NЈ				Х		Х	03/21/0	00	
INCYTE PHARMACEUTICALS INC	DE	Х						03/24/0		
INTELEFILM CORP	MN						Х	03/23/0		
INTELLIGROUP INC	NJ				Х			03/14/0		
INTERNATIONAL BANCSHARES CORP								03/23/0		
INTERNATIONAL FLAVORS & FRAGRANCES INC	NY				Х		Х	03/22/0	00	
INTERNATIONAL SPORTS WAGERING INC					Х		Х	03/17/0		
INTERVEST BANCSHARES CORP	DE		Х					03/10/0		
INTERVEST CORPORATION OF NEW YORK								03/10/0		
IPALCO ENTERPRISES INC	IN	Х						03/23/0		
IPSWICH BANCSHARES INC	MA							03/15/0		
KENETECH CORP	DE							03/22/0		
KEYSTONE FINANCIAL INC							17	03/23/0		
KFX INC	DE			.,			Х	03/07/0		
KS BANCORP INC	NC			Ζ.	,			03/16/0		
LASER VISION CENTERS INC							X	03/16/0		
	IN	v			Х		Х	03/21/0		A MORENED
MARREIING SERVICES GROUP INC MATRIX BANCORP INC	NV CO	Х			v			03/23/0		AMEND
MAIRIX BANCORF INC MEDICAL MANAGER CORP/NEW/	DE	х			Х			03/23/0		
MEDICAL MANAGER CORF/NEW/ MEDISYS TECHNOLOGIES INC	UT	Λ			v		х	03/16/0		
MERTILL LYNCH MORTGAGE INVESTORS IN					X		X	03/15/0		
C	55						.,	00/15/0		
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE				Х		Х	03/15/0	0	
MERRIMAC INDUSTRIES INC	NJ				Х		Х	03/22/0	0	
MHM SERVICES INC	DE				Х			03/22/0	0	
MICROSTRATEGY INC	DE				Х		Х	03/20/0	00	
MILACRON INC	DE			'	Х		Х	03/10/0		
ML MACADAMIA ORCHARDS L P	DE		Х				Х	03/22/0		
MMCA AUTO OWNEP TRUST 1999-1	DE						Х	02/28/0		
MMCA AUTO OWNER TRUST 1999-2	DE						Х	02/28/0		
MORGAN STANLEY DEAN WITTER & CO	DE				Х		Х	03/23/0		
MORGAN STANLEY TANGIBLE ASSET FUND L P	NY				Х			03/07/0	0	
MOTOROLA INC	DE				Х			03/23/0		
MOTOROLA INC	、 DE				Х			03/23/0		AMEND
MOVADO GROUP INC	NY				Х		Х	03/21/0		
NASHUA CORP	DE				Х			03/21/0	0	
NATIONAL STEEL CORP	DE				Х		Х	03/22/0		
NETZEE INC	GA		Х				Х	03/07/0		
NEW ENGLAND ELECTRIC SYSTEM	MA				Х		Х	03/22/0		
NEW HORIZON KIDS QUEST INC	MN				Х			03/15/0		
NEWPORT NEWS SHIPBUILDING INC	DE				Х		Х	03/23/0		
NEWSEDGE CORP	DE				Х		Х	03/14/0		
NMT MEDICAL INC	DE				Х		Х	03/20/0		
NOBLE ONIE INC	NV		Х				Х	03/09/0		
NORTEL NETWORKS CORP					Х			03/21/0		
NORTH COAST ENERGY INC / DE/	DE		Х				Х	03/17/0	0	

STATE 8K ITEM NO.

NAME OF ISSUER		1						DATE		MMENT
NORTHLAND CRANBERRIES INC /WI/			х				х	03/08/	00	
NORWEST ASSET SECURITIES CORP	DE				Х		Х	03/23/	00	
NOVA NATURAL RESOURCES CORP	CO	Х						03/31/	00	
ONVIA COM INC	WA				X		Х	03/14/	00	
ORTEL CORP/DE/	DE				Х		Х	03/01/	00	
OSICOM TECHNOLOGIES INC	NJ	Х						02/23/	00	
OXBORO MEDICAL INC	MN			3	X		Х	03/16/	00	
	DE							03/06/		
PENTASTAR COMMUNICATIONS INC	DE				Х		Х	03/17/	00	
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT					Х		01/31/	00	
PLAY BY PLAY TOYS & NOVELTIES INC	ΤX				Х		Х	03/21/		
PREMIER LASER SYSTEMS INC	CA		Х	Х	Х			03/10/		
PROGRESS FINANCIAL CORP	DE			Х				01/27/		
PROVIDENT BANK	OH				Х		Х			
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE	Х					Х	03/01/	00	
PSINET INC	NY				Х		Х	03/22/	00	
QRS CORP	DE		Х					03/24/		
QUEST NET CORP REGENCY GROUP LTD INC REINHOLD INDUSTRIES INC/DE/	FL						Х	03/22/	00	AMEND
REGENCY GROUP LTD INC	NV			2	X			03/16/		
FEINHOLD INDUSTRIES INC/DE/	DE		Х				Х	03/09/	00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS	DE				Х		X	03/23/	00	
RESIDENTIAL ASSET SECURITIES CORP	DE				Х		Х	03/23/	00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC					Х		Х	03/22/	(00	
RESIDENTIAL FUNDING MORTGAGE SECUFI TIES II INC	DE				Х			03/23/	00	
SBS TECHNOLOGIES INC	NM				Х			03/17/	00	
SECURITY FINANCIAL CORP /OH/	DE							03/22/	′0C	
SMITH INTERNATIONAL INC	DE				Х		Х	03/22/	00	
SOUTH JERSEY FINANCIAL CORP INC	DE						X	03/15/	00'	
SOUTHSHORE CORP /CO	CO		Х						′CO	
STAMPS COM INC	DE				Х		X	03/07/	00	
STAMPS COM INC STARBASE CORP STC BROADCASTING INC STEWART & STEVENSON SERVICES INC	DE		Х					03/08/		
STC BROADCASTING INC	DE							03/14/		
STEWART & STEVENSON SERVICES INC	ΤX				X		Х	03/22/	(CO	
STRUCTURED ASSET SEC CORP COM MORT PAS THR CERTS SER 2000-C1	DE				X		Х	03/20,	′00	
SUIZA FOODS CORP	DE						Х			AMEND
SUPERIOR ENERGY SERVICES INC	DE				X		Х	03/22/	/ C O	
SYSCO CORP	DE				Σ			03/10/	<i>'</i> 00	
TAKE TWO INTERACTIVE SOFTWARE INC	DE		Х				Х	03/14/	00	
TCI SATELLITE ENTERTAINMENT INC	DĒ				Х			03/16/	00	
THINKING TOOLS INC	DE	Х	Х				Х	03/07,	00	
TITANIUM METALS CORP	DE				Х		Х	03/22/	00	
TOUPS TECHNOLOGY LICENSING INC /FL	FL		Х							AMEND
TUBOSCOPE INC /DE/	DE		-		X		Х	03/22,		
U S INTERACTIVE INC/PA	DE		Х				Х	03/08,		
UACSC AUTO TRUSTS UACSC 1999-C OWNE					Σ		x	03/08,		
R TRUST AUTO REC BAC NOTE UACSC AUTO TRUSTS UACSC 1999-D OWNE R TRUST AUTO REC BAC NOTE	DE				Х		Х	03/08,	/00	

NAME OF ISSUER	STATE CODE	12		ITE 4 5 (о. 8	9	DATE	Comment
UACSC 2000-A OWNER TRUST AUTO REC B ACKED NOTES	NY			x	x			03/08/0	0
UICI	DE			Х	Х			03/17/0	0
UMB FINANCIAL CORP	MO			Х				03/21/0	0
UNITED AUTO GROUP INC	DE			Х	Х			03/22/0	0
UNITED ILLUMINATING CO	СТ			Х				03/17/0	0
UNITY BANCORP INC /DE/	DE			Х	Х			03/17/0	0
US HOMECARE CORP	NY			Х				04/14/0	0
USA NETWORKS INC	DE			Х	Х			03/22/0	0
USA NETWORKS INC	DE			Х	Х			03/23/0	0 AMEND
VACU DRY CO	CA			Х				03/10/0	0
VITRIA TECHNOLOGY INC			2	X	Х			03/23/0	0
VOICENET INC	DE			Х				03/10/0	0
VOICESTREAM WIRELESS CORP /DE	DE			Х				03/22/0	0
WARNEF LAMBERT CO	DE			Х	Х			03/21/0	0
WEBB INTERACTIVE SERVICES INC	CO				Х			01/07/0	0 AMEND
WESLEY JESSEN VISIONCARE INC	DE			Х	Х			03/19/0	0
WESTMORELAND COAL CO	DE			Х				03/22/0	0
WSI INTERACTIVE CORP				Х	Х			03/21/0	0
WSI INTERACTIVE CORP				Х	Х			03/22/0	0
ZIONS BANCORPORATION /UT/	UT			Х	Х			03/22/0	0

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