# SEC NEWS DIGEST

Issue 2000-105

١

June 2, 2000

#### **COMMISSION ANNOUNCEMENTS**

#### PUBLIC APPEARANCES OF SENIOR COMMISSION OFFICIALS -- JUNE 2000

The following is a schedule for June 2000 of the public appearances of SEC officials, including the Chairman, Commissioners and senior staff members. For additional information on events hosted by groups other than the Commission, please call the contact numbers listed. As events are subject to change, please confirm them with the SEC's Office of Public Affairs or the sponsoring organizations.

When: Friday, June 2 Who: Richard Walker, Director of the Division of Enforcement; Paul Roye, Director of the Division of Investment Management; David Becker, General Counsel What: Rocky Mountain Securities Conference Where: Denver, CO Contact: SEC Denver Office, (303) 844-1000

When Monday, June 5 - Tuesday, June 6 Who: David Becker, General Counsel What: Director's College 2000 Where Palo Alto, CA Contact: Kevin Cool, (650) 723-3019

When. Monday, June 5 - Tuesday, June 6 Who: Paul Roye, Director of the Division of Investment Management What: NAVA 2000 Regulatory Affairs Conference Where: Washington, DC Contact: Christine Hudacko, (703) 707-8830 x15

When: Wednesday, June 7 Who: Commissioner Isaac Hunt What: SEC and the Future of Financial Reporting & Auditing Conference Where. New York, NY Contact Jack Friedman, (323) 939-2167 When Saturday, June 10 Who. Paul Maco, Director of the Office of Municipal Securities What GFOA's Governmental Debt and Fiscal Policy Committee Where Chicago, IL

Contact: Bert Waisanen, (202) 429-2750

When. Monday, June 12 - Tuesday, June 13
Who: Commissioner Laura Unger; David Martin, Director of the Division of Corporation Finance
What: Practicing Law Institute's Securities Law and the Internet
Conference
Where: New York, NY
Contact: Paula Badia, (212) 824-5749

When: Thursday, June 15 - Saturday, June 17 Who: Paul Roye, Director of the Division of Investment Management What: ALI-ABA Investment Company Regulation and Compliance Conference Where: Boston, MA Contact: Areetha Loatman, (215) 243-1619

When Monday, June 19 - Tuesday, June 20 Who Paul Roye, Director of the Division of Investment Management What: Glasser Legal Works; Investment Advisors Compliance Conference Where: New York, NY Contact: Steve Seemer, (973) 890-0008 x106

When: Monday, June 26 Who<sup>·</sup> Commissioner Laura Unger What American Conference Institute's Internet Securities Regulation Luncheon Where. New York, NY Contact Richard McNeil, (212) 352-3220 x233

# GREGG CORSO, SENIOR COUNSEL TO CHAIRMAN ARTHUR LEVITT, TO LEAVE THE COMMISSION AFTER 13 YEARS OF SERVICE

Gregg W. Corso, Senior Counsel to Securities and Exchange Commission Chairman Arthur Levitt, will leave the Commission today after 13 years of service. He will become a partner in the law firm of Cooley Godward, where he will spend time in the firm's Palo Alto, California and Reston, Virginia offices. A successor has not yet been named

Chairman Levitt said, "Gregg has been an indispensable adviser and a good friend His passion and brilliance were the driving forces behind so much of the Commission's most important policy initiatives His never-ending ideas and fidelity to the basic principles of financial reporting have left an indelible mark I wish him continued success in this next exciting stage of his career I will miss him greatly "

Mr. Corso said, "My departure brings me a measure of both pride and sadness pride in having had the privilege for the past thirteen years to be part of an organization so firmly committed to the public interest; sadness in realizing that I will leave so many friends and truly extraordinary and talented people Over the last few years, I've also had the particular pleasure of working closely with Arthur Levitt I thank him for being a terrific boss and, more importantly, for being my friend "

Mr. Corso, 38, has been Counsel to the Chairman since 1996, and was named Senior Counsel in 1999. In his position, Mr. Corso advised the Chairman on a variety of corporate finance, accounting, and corporate governance issues. Mr. Corso played a central role in the Commission's ongoing campaign to improve the quality of financial reporting and to maintain the independence of auditors. He was also instrumental in shaping the rules that improved disclosures relating to corporate audit committees From 1993 to 1996, he was Chief of the Office of Mergers and Acquisitions in the Division of Corporation Finance. Prior to that time, he served in a variety of positions in the Division of Corporation Finance beginning in 1986. In addition, Mr. Corso was a member of the Adjunct Faculty at the Georgetown University Law Center from 1994 to 1998

Mr. Corso received both a B S. and J.D from Boston University. (Press Rel. 2000-73)

### **ENFORCEMENT PROCEEDINGS**

# COURT ENTERS FINAL JUDGMENT AGAINST SAMUEL LANCE WHITE FOR HIS ROLE IN NORMANDY AMERICA OFFERING

The Commission announced that on April 18, 2000, the United States District Court for the Southern District of New York entered a final judgment against Samuel Lance White that permanently enjoins him from violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Exchange Act Rule 10b-5 White consented to entry of the judgment without admitting or denying the allegations of the Commission's complaint. Based on White's demonstrated inability to pay, the Court imposed no civil penalty against him.

The Commission's complaint, filed on September 26, 1996, charged White with participating in a fraudulent scheme involving a \$200 million initial public offering by Normandy America Inc., a Delaware reinsurance company whose business plan depended on the ability of its chief executive, Christopher K Bagdasarian, to successfully invest its reinsurance premium income in a portfolio of equity securities. Among other things, the complaint alleged that White helped fabricate an investment track record for Bagdasarian that was included in Normandy America's registration statement, provided false and misleading information to Normandy America's underwriters, and verified false information sent by Bagdasarian to Normandy America's lenders (See LR-15075, September 26, 1996) Contemporaneous with the filing of the Commission's complaint, White was also indicted by a grand jury in the United States

District Court for the Southern District of New York on related criminal charges A jury convicted White on all criminal charges in November 1997, and on June 30, 1999 the court sentenced him to 57 months imprisonment and ordered him to pay \$6,475,898 in restitution (U.S. v. Samuel Lance White, No. 96 Cr. 869, SDNY).

In a related matter, on June 1, 2000, the Commission issued an administrative order pursuant to Rule 102(e) of the Commission's Rules of Practice denying White the privilege of appearing or practicing before the Commission as an accountant based on his criminal conviction and the entry of the court's injunction against him. White consented to the issuance of the Commission's order without admitting or denying the matters set forth therein, except for admitting that the final judgments upon which the order was based were entered against him. [SEC v. Christopher K. Bagdasarian and Sam Lance White, Case No. 96-cv-7306, SDNY] (LR-16580; AAE Rel. 1264); (In the Matter of Samuel L. White, CPA - Rel. 34-42879; AAE Rel. 1263; File No. 3-10215)

## PETER MINTZ CONSENTS TO AN ORDER BARRING HIM FROM ASSOCIATION WITH ANY BROKER DEALER FOR TWELVE MONTHS

The Commission today issued a settled Order Instituting A Proceeding, Making Findings and Imposing Remedial Sanctions Pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 (Order) against Peter G. Mintz, one of several defendants in a civil action pending in the United States District Court for the Southern District of New York. Without admitting or denying the Commission's findings, Mintz consented to the Order, which is based on the prior entry of a District Court injunction and bars him from association with any broker or dealer for twelve months.

Mintz previously agreed to settle the District Court action, as it pertains to him, by consenting to the entry of a judgment, which the judge signed on May 22, 2000 The judgment permanently enjoins Mintz from violating Sections 9(a)(2) and 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5, thereunder These are, respectively, the anti-manipulation and anti-fraud provisions of the Exchange Act The judgment also orders Mintz to pay a \$30,000 civil penalty Mintz consented to the entry of the judgment without admitting or denying any allegations in the complaint, except as to jurisdiction

The Commission's complaint in the District Court action includes the following allegations: Between May 1992 and August 1992, Mintz and other defendants manipulated the price of the common stock of Phoenix Laser Systems, Inc, a now-defunct company that was in the business of developing a laser workstation to perform eye surgery. During this period, Mintz was associated with a registered broker-dealer headquartered in New York, New York. As part of the scheme to manipulate the price of Phoenix common stock, which traded on the American Stock Exchange, Mintz, at the direction of Phoenix's CEO and vice president, caused a number of purchases of Phoenix common stock to be executed in nominee accounts These purchases were executed during the day and often at or near the end of the trading day, in a manner that increased the price of the stock The end-of-day purchases frequently caused Phoenix's daily closing price to

be higher than it would have been in the absence of those purchases For further information see LR-15435 (Aug. 7, 1997), Rel. 34- 42416 (February 11, 2000). (Rel 34-42880, File No. 3-10216)

### CEASE AND DESIST ORDER ISSUED AGAINST MICHAEL GLASER

The Commission announced that on June 1 it issued an Order Making Findings and Imposing a Cease and Desist Order (Order) against Michael L. Glaser, of Tempe, Arizona. Glaser was president of a company that was a customer of Computone Corporation of Alpharetta, GA. The Order directs Glaser to cease and desist from committing or causing violations of the antifraud and reporting provisions of the securities laws. The Order finds that Glaser instructed a subordinate to sign and send a confirmation letter to Computone's auditors confirming that Glaser's company owed money to Computone. In fact, Glaser's company did not owe money to Computone. As a result Computone, among other things, overstated its sales revenue on an annual report filed with the Commission. (Rel. 34-42881; AAE Rel. 1265; File No..3-10042)

### CEASE AND DESIST ORDER ISSUED AGAINST DANNY AUERBACH

The Commission announced that on June 1 it issued an Order Making Findings and Imposing a Cease and Desist Order (Order) against Danny R. Auerbach, of Lawrenceville, Georgia. Auerbach was the national sales manager of Computone Corporation of Alpharetta, GA The Order directs Auerbach to cease and desist from committing or causing violations of the antifraud, books and records and reporting provisions of the securities laws. The Order finds that Auerbach submitted a sales order form based on product orders which he expected to receive in a subsequent quarter and which he knew had not been received in the current quarter As a result Computone, among other things, overstated its sales revenue on a quarterly report filed with the Commission. (Rel. 34-42882; AAE Rel. 1266; File No. 3-10042)

# FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF ENTERED AGAINST MARK SHKOLIR

The Commission announced today that on May 16, 2000, the United States District Court for the Southern District of New York entered a Final Judgment By Default against defendant Mark Shkolir (Shkolir). The Final Judgment permanently enjoins Shkolir from further violations of Section 17(a) of the Securities Act of 1933 (Securities Act), and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5, and directs Shkolir to pay a \$35,000 civil penalty.

The Final Judgment arises from a Complaint filed on December 8, 1997, in which the Commission alleged that since at least November 1997, Millennium Software Solutions, Inc. (Millennium) and its president, Shkolir, had raised hundreds of thousands of dollars by offering and selling Millennium common stock and warrants to investors by misrepresenting Millennium's financial condition and falsely stating that Charles Schwab & Co., a registered broker-dealer, was affiliated with the offering.

Millennium purportedly was in the business of developing solutions to computer problems arising from the arrival of the year 2000.

Shkolir failed to answer, plead or otherwise respond to the Commission's Complaint. On December 29, 1998, the Court entered a Final Judgment By Default against Millennium, permanently enjoining Millennium from further violations of Section 17(a) of the Securities Act, and Section 10(b) of the Exchange Act and Rule 10b-5, and directing Millennium to disgorge \$380,225 (the amount that it profited from its fraudulent conduct) plus prejudgment interest and to pay a \$380,225 civil penalty. For more information see LR-16039, LR-15603 and LR-15583. [SEC v. Millennium Software Solutions, Inc. and Mark Shkolir, 97 Civ. 9019, AKH, SDNY] (LR-16581)

### **INVESTMENT COMPANY ACT RELEASES**

### THE TORONTO DOMINION BANK, ET AL.

The Commission has issued a temporary order and notice of an application for a permanent order filed by The Toronto Dominion Bank et al., under Section 9(c) of the Investment Company Act with respect to a securities-related injunction entered in 1989. The notice gives interested persons until June 26, 2000 to request a hearing on the application. The temporary order exempts applicants from Section 9(a) of the Act until the Commission takes final action on the application for a permanent order or, if earlier, July 31, 2000. (Rel. IC-24486 – May 31)

#### **SELF-REGULATORY ORGANIZATIONS**

#### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE**

A proposed rule change (SR-MSRB-00-7) filed by the <u>Municipal Securities Rulemaking</u> <u>Board</u> consisting of technical amendments to Rules A-3, G-15, G-17, and G-18 has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934 Publication of the proposal is expected in the <u>Federal Register</u> during the week of May 29. (Rel 34-42830)

#### **PROPOSED RULE CHANGES**

The <u>National Association of Securities Dealers</u>, through its wholly owned subsidiary, the Nasdaq Stock Market, Inc., filed a proposed rule change (SR-NASD-00-17) to modify the minimum quotation sizes for securities quoted at a price exceeding \$200 per share in the OTC Bulletin Board (Rel 34-42852)

The <u>American Stock Exchange</u> filed a proposed rule change (SR-Amex-00-19) to establish an interim seat allocation program. Publication of the proposal is expected in the <u>Federal Register</u> during the week of June 5. (Rel. 34-42853)

The <u>Philadelphia Stock Exchange</u> filed a proposed rule change (SR-Phlx-00-07) to require the disclosure of securities accounts in which Exchange members and organizations have any financial interest or power to make investment decisions Publication of the proposal is expected in the <u>Federal Register</u> during the week of June 5 (Rel. 34-42860)

### ACCELERATED EFFECTIVENESS OF PROPOSED AMENDMENT

The Commission granted accelerated approval to a proposed Plan amendment submitted pursuant to Rule 11Aa3-2(c)(3)(i) under the Securities Exchange Act of 1934 by the Options Price Reporting Authority (SR-OPRA-00-05) adopting a temporary capacity allocation plan. (Rel. 34-42849)

### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE**

A proposed rule change (SR-CBOE-00-06) filed by the <u>Chicago Board Options</u> <u>Exchange</u> rescinding the transaction fee and the trade match fee has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice in the <u>Federal Register</u> is expected during the week of June 5 (Rel. 34-42850)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933 The reported information appears as follows Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, NW., Washington, DC 20549 or at the following e-mail box address<sup>-</sup> <publicinfo@sec gov> In most cases, this information is also available on the Commission's website. <www.sec gov>.

F-3 TV AZTECA SA DE CV, PERIFERICO SUR NO 4121, COL FUENTES DEL PEDREGAL, 14141 MEXICO DF, O5 (525) 420-1313 - 32,000,000 (\$18,062,500) FOREIGN COMMON STOCK. (FILE 333-12004 - MAY. 23) (BR. 7)

- F-6 PACIFIC CENTURY CYBERWORKS LTD /ADR/, 111 WALL ST, NEW YORK, NY 10043 - 150,000,000 (\$7,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE
  - 333-12010 MAY. 24) (BR. 99 NEW ISSUE)
- S-8 ELTEK LTD, SGOOLA INDUSTRIAL ZONE, P O B 159, PETACH TIKVA 49101 I, L3
- 495,333 (\$1,575,613.75) FOREIGN COMMON STOCK. (FILE 333-12012 MAY. 24) (BR. 5)
- S-8 MER TELEMANAGEMENT SOLUTIONS LTD, 10 HAKISHON ST, BNEI BRAK, ISRAEL,
- L3 - 650,000 (\$3,392,112.50) FOREIGN COMMON STOCK. (FILE 333-12014 - MAY. 24) (BR. 7)
  - S-8 AUTONOMY CORP PLC, ST JOHNS INNOVATION CENTRE COWLEY RD, 44-01223-421-220, CAMBRIDGE CB4 OWS ENGLAND, X0 00000 (212) 894-8600 -4,165,135 (\$183,608,717.84) FOREIGN COMMON STOCK. (FILE 333-12018 -MAY. 25) (BR. 6)
  - S-8 ALSTOM, 38 AVENUE LIEBER, 75116 PARIS FRANCE, I0 850,000 (\$21,556,000) FOREIGN COMMON STOCK. (FILE 333-12028 - MAY. 26) (BR. 6)

S-8 AVIATION GENERAL INC, 7200 N.W. 63RD STREET, HANGER 8 WILEY POST AIRPORT, BETHANY, OK 73008 (405) 495-8080 -1,250,000 (\$3,437,500) COMMON STOCK. (FILE 333-37910 - MAY. 26) (BR. 5)

- S-8 D&E COMMUNICATIONS INC, BROSSMAN BUSINESS COMPLEX, 124 EAST MAIN ST PO BOX 458, EPHRATA, PA 17522 (717) 733-4101 - 300,000 (\$6,056,250) COMMON STOCK. (FILE 333-37912 - MAY. 26) (BR. 7)
- S-3 A B WATLEY GROUP INC, 40 WALL STREET, NEW YORK, NY 10005 474,714 (\$8,663,530.50) COMMON STOCK. (FILE 333-37916 MAY. 26) (BR. 9)
- S-8 CONEXANT SYSTEMS INC, 4311 JAMBOREE RD, NEWPORT BEACH, CA 92660 (949) 221-4600 - 7,500,000 (\$250,781,250) COMMON STOCK. (FILE 333-

MAY. 26) (BR. 5)

37918 -

- S-3 COLONIAL REALTY LIMITED PARTNERSHIP, 2101 6TH AVENUE NORTH, SUITE
  750,
  BIRMINGHAM, AL 35202 (205) 250-8700 257,500,000 (\$257,500,000)
  - STRAIGHT BONDS. (FILE 333-37922 MAY. 26) (BR. 8) S-8 KAIRE HOLDINGS INC, 7348 BELLAIRE, NORTH HOLLYWOOD, CA 91605
  - (818) -25-5-49 14,900,000 (\$1,150,000) COMMON STOCK. (FILE 333-37926

MAY. 26) (BR. 1)

S-8 WILMINGTON TRUST CORP, RODNEY SQUARE NORTH, 1100 NORTH MARKET ST, WILMINGTON, DE 19890 (302) 651-1000 - 400,000 (\$19,362,520) COMMON STOCK.

(FILE 333-37928 - MAY. 26) (BR. 7)

SB-2 STOCKGROUP COM HOLDINGS INC, 500-750 W PENDER STREET, VANCOUVER BRITISH COLUMBIA, CANADA V6C 2T7, A2 (604) 331-0995 -5,487,630

(\$10,975,260) COMMON STOCK. (FILE 333-37938 - MAY. 26) (BR. 9)

S-8 DAIN RAUSCHER CORP, DAIN BOSWORTH PLAZA, 60 SOUTH SIXTH ST, MINNEAPOLIS,

MN 55402 (612) 371-7750 - 500,000 (\$29,109,375) COMMON STOCK. (FILE 333-37942 - MAY. 26) (BR. 7)

- S-3 INTERNATIONAL REMOTE IMAGING SYSTEMS INC /DE/, 9162 ETON AVE, CHATSWORTH, CA 91311 (818) 709-1244 - 2,520,826 (\$3,403,115.10) COMMON STOCK. (FILE 333-37946 - MAY. 26) (BR. 5)
- S-8 FREEMARKETS INC, 22ND FLOOR, ONE OLIVER PLAZA, 210 SIXTH AVENUE, PITTSBURGH, PA 15222 (412) 434-0500 - 176,299 (\$360,435) COMMON STOCK. (FILE 333-37948 - MAY. 26) (BR. 8)
- S-8 LANDMARK SYSTEMS CORP, 12700 SUNRISE VALLEY DR, RESTON, VA 20191 (703) 464-1300 - 1,500,000 (\$9,510,000) COMMON STOCK. TFILE 333-37950

MAY. 26) (BR. 3)

- S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST, TEWKSBURY, MA 01876 (978) 640-6789 - 500,000 (\$5,250,000) COMMON STOCK. (FILE 333-37952 - MAY. 26) (BR. 5)
- S-8 DEAN FOODS CO, 3600 N RIVER RD, FRANKLIN PARK, IL 60131 (847) 678-1680

- 3,900,000 (\$117,000,000) COMMON STOCK. (FILE 333-37954 - MAY. 26) (BR. 4)

S-8 WELLPOINT HEALTH NETWORKS INC /DE/, 21555 OXNARD STREET, WOODLAND HILLS,

CA 91367 (818) 703-4000 - 4,500,000 (\$330,890,625) COMMON STOCK.

10,000,000 (\$10,000,000) OTHER SECURITIES INCLUDING VOTING TRUST.

(FILE

333-37958 - MAY. 26) (BR. 1)

S-8 IMPAX LABORATORIES INC, 30831 HAYWARD AVE, HAYWARD, CA 94544 (215) 289-2220 - 2,400,000 (\$14,025,000) COMMON STOCK. (FILE 333-37968)

MAY. 26) (BR. 1)

37970

- MAY. 26) (BR. 4)

S-8 KOSS CORP, 4129 N PORT WASHINGTON AVE, MILWAUKEE, WI 53212 (414) 964-5000 - 323,030 (\$4,986,776) COMMON STOCK. (FILE 333-37986 -MAY. 26) (BR. 2)

S-8 LANDAMERICA FINANCIAL GROUP INC, 101 GATEWAY CENTRE PARKWAY, GATEWAY ONE, RICHMOND, VA 23235 (804) 267-8000 - 3,000,000 (\$52,500,000) COMMON STOCK. (FILE 333-37996 - MAY. 26) (BR. 1)

S-8 OCCIDENTAL PETROLEUM CORP /DE/, 10889 WILSHIRE BLVD, LOS ANGELES, CA 90024 (310) 208-8800 - 100,000 (\$2,334,400) COMMON STOCK. (FILE 333-

| S-3 GENERAL MAGIC INC, 420 N MARY AVE, SUNNYVALE, CA 94086 (408) 774- |
|---|
| 4000 -  |
| 5,715,891 (\$17,592,896.90) COMMON STOCK. (FILE 333-37998 - MAY. 26)  |
| (BR. 3)   |
|   |
| S-3 CRITICAL PATH INC, 320 FIRST STREET, SAN FRANCISCO, CA 94105      |
| (415) 808-8800 - 901,901 (\$28,297,143.88) COMMON STOCK. (FILE 333-   |
| 38000 -   |
| MAY, 26) (BR, 8)  |
|   |

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events.

- Item 1. Changes in Control of Registrant. Item 2. Acquisition or Disposition of Assets. Item 3 Bankruptcy or Receivership. Item 4 Changes in Registrant's Certifying Accountant. Item 5 Other Materially Important Events. Item 6 Resignations of Registrant's Directors Item 7 Financial Statements and Exhibits. Item 8 Change in Fiscal Year. Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W., Washington, D C 20549 or at the following e-mail box address cpublicinfo@sec gov>. In most cases, this information is also available on the Commission's website.

|                                     | STATE |    | 8K 1 |    |       | •       |         |         |
|-------------------------------------|-------|----|------|----|-------|---------|---------|---------|
| NAME OF ISSUER                      | CODE  | 12 | 34   | 56 | 5 7 U | 3 9<br> | DATE    | COMMENT |
| ACCLAIM ENTERTAINMENT INC           | DE    |    |      | х  | Х     |         | 05/30/0 | 0       |
| ACME ELECTRIC CORP                  | NY    |    |      | Х  | Х     |         | 05/26/0 | 00      |
| ADC TELECOMMUNICATIONS INC          | MN    | Х  |      |    | Х     |         | 05/17/0 | 0       |
| ADVANTA MORTGAGE LOAN TRUST 1998-4A | NY    |    |      | Х  | Х     |         | 05/31/0 | 00      |
| ADVANTA MORTGAGE LOAN TRUST 1998-4B | NY    |    |      | Х  | Х     |         | 05/31/0 | 0       |
| ADVANTA MORTGAGE LOAN TRUST 1998-4C | DE    |    |      | Х  | Х     |         | 05/31/0 | 00      |
| ADVANTA REVOLVING HOME EQUITY LOAN  | NV    |    |      | Х  | Х     |         | 05/31/0 | 0       |
| TRUST 2000 A                        |       |    |      |    |       |         |         |         |
| AETNA INC                           | СТ    |    |      | Х  |       |         | 05/31/0 | 0       |
| AFG RECEIVABLES CORP                | CA    |    |      | Х  |       |         | 05/15/0 | 0       |
| ALADDIN SYSTEMS HOLDINGS INC        | NV    |    |      | Х  | Х     |         | 05/18/0 | 0       |
| ALIGN RITE INTERNATIONAL INC        | CA    |    |      | Х  | Х     |         | 05/26/0 | 0       |
| ALLSCRIPTS INC /IL                  | DE    | Х  |      |    | Х     |         | 05/17/0 | 0       |
| AMC FINANCIAL INC                   | DE    |    |      | Х  | Х     |         | 06/01/0 | 0       |
| AMERICAN EXPRESS CENTURION BANK     | UT    |    |      | Х  | Х     |         | 05/15/0 | 0       |
| AMERICAN WATER WORKS CO INC         | DE    |    |      | Х  | Х     |         | 06/01/0 | 0       |
| AMERICOM USA INC                    | DE    |    |      | Х  | Х     |         | 05/18/0 | 0       |
| APPLIEDTHEORY CORP                  | DE    | Х  |      |    | Х     |         | 05/23/0 | 0       |
| ATI NETWORKS INC /CO/               | CO    |    | Х    |    |       |         | 12/31/9 | 9       |

|  | STATE    |   |   |    |   | TEM          |     |   |        |     |        |
|--|----------|---|---|----|---|--------------|-----|---|--------|-----|--------|
| NAME OF ISSUER                                   | CODE     | 1 | 2 | 3  | 4 | 56           | 78  | 9 | DATE   | CC  | OMMENT |
|  |          |   |   |    |   | · <b>-</b> · |     | • |        |     |        |
| AUTONATION INC /FL                               | DE       |   |   |    |   | Х            | X   |   | 05/31/ |     |        |
| BA MASTER CREDIT CARD TRUST /                    | ~ 7      |   |   |    |   |              | X   |   | 04/30/ |     |        |
| BAMS MORTGAGE PASS THROUGH CERTIFIC              | DE       |   |   |    |   | Х            | Х   |   | 04/25/ | 00  |        |
| ATES SERIES 1999-9                               |          |   |   |    |   |              |     |   |        | ~ ~ |        |
| BANK OF AMERICA CORP /DE/                        | DE       |   |   |    |   | Х            | Х   |   | 02/08/ |     |        |
| BARNETT AUTO TRUST 1997-A                        | DE       |   |   |    |   | Х            | Х   |   | 05/15/ |     |        |
| BELL MICROPRODUCTS INC                           | CA       |   |   |    |   | Х            | Х   |   | 05/17/ |     |        |
|  | PA       |   |   |    |   | Х            |     |   | 05/22/ |     | AMEND  |
| BLYTH INDUSTRIES INC                             | DE       |   |   |    |   | Х            | Х   |   | 05/257 |     |        |
| BOATMENS AUTO TRUST 1996-A                       | DE       |   |   |    |   | Х            | Х   |   | 05/15/ |     |        |
| BORON LEPORE & ASSOCIATES INC                    | DE       |   | Х |    |   |              | Х   |   | 05/17/ |     |        |
| BRANDMAKERS INC                                  | UT       |   |   |    | Х |              |     |   | 05/23/ | 00  |        |
| BREAKAWAY SOLUTIONS INC                          | DE       |   |   |    |   | Х            | Х   |   | 05/26/ | 00  |        |
| BROADWING INC                                    | OH       |   |   |    |   | Х            |     |   | 05/23/ | 00  |        |
| BROWN FORMAN CORP                                | DE       | Х |   |    |   |              |     |   | 05/31/ | 00  |        |
| BURNHAM PACIFIC PROPERTIES INC                   | MD       |   |   |    |   | Х            | Х   |   | 05/31/ | 00  |        |
| CAIS INTERNET INC                                | DE       |   |   |    |   |              | Х   | • | 05/31/ | 00  |        |
| CASINO MAGIC OF LOUISIANA CORP                   | LA       |   |   |    |   |              | Х   | • | 03/31/ | 00  |        |
| CATERPILLAR, FINANCIAL SERVICES CORP             | DE       |   |   |    |   | Х            | Х   |   | 06/01/ | 00  |        |
| CHASE COMMERCIAL MORTGAGE SECURITIE              | NY       |   |   |    |   |              | Х   |   | 05/19/ |     |        |
| S CORP   |          |   |   |    |   |              |     |   |        |     |        |
| CLASSIC BANCSHARES INC                           | DE       |   |   |    |   | х            | Х   |   | 05/30/ | 0.0 |        |
| CLEARWORKS NET INC                               | DE       |   |   |    |   | x            | ••  |   | 05/25/ |     |        |
| COLUMBUS ENERGY CORP                             | co       |   |   |    |   | x            | х   |   | 05/26/ |     |        |
| COMMERCIAL FEDERAL CORP                          | NE       |   |   |    |   | x            | X   |   | 05/30/ |     |        |
| COMPX INTERNATIONAL INC                          | DE       |   |   |    |   |              | X   |   | 05/30/ |     |        |
| CONDEV LAND GROWTH FUND 86 LTD                   | FL       |   |   |    |   | x            | x   |   | 06/01/ |     |        |
|  | РЦ<br>NY |   |   |    |   | л<br>Х       | Λ   |   |        |     |        |
| CONSOLIDATED EDISON INC                          | DE       |   |   | 37 |   | X            | 3.7 |   | 05/25/ |     |        |
| CONTIFINANCIAL CORP                              |          |   |   | Х  |   |              | X   |   | 05/17/ |     |        |
| CONTISECURITIES ASSET FUNDING CORP               | NY       |   |   | Х  |   | ••           | X   |   | 05/17/ |     |        |
| CORECOMM LTD                                     | D0       |   |   |    |   | X<br>        | X   |   | 05/31/ |     |        |
| CORPORATE BOND BACKED CERT TR SER 1<br>998-NSC 1 | DE       |   |   |    |   | Х            | Х   |   | 05/17/ |     |        |
| COUNTRYWIDE CREDIT INDUSTRIES INC                | DE       |   | Х |    |   |              |     |   | 02/29/ |     |        |
| CROSSROADS SYSTEMS INC                           | DE       |   |   |    |   |              | Х   |   | 03/21/ |     | AMEND  |
| CTC COMMUNICATIONS GROUP INC                     | DE       |   |   |    |   | Х            |     |   | 05/31/ |     |        |
| CULP INC   | NC       | Х |   |    |   |              |     |   | 04/30/ | 00  |        |
| CVI TECHNOLOGY INC                               | NV       |   |   |    |   | Х            |     |   | 05/25/ | 00  |        |
| CWMBS INC MORT PASS THR CERT SER 19              | DE       |   |   |    |   | Х            | Х   |   | 04/25/ | 00  |        |
| 99-6   |          |   |   |    |   |              |     |   |        |     |        |
| DEAN WITTER REALTY INCOME PARTNERSH              | DE       | Х |   |    |   |              |     |   | 05/23/ | 00  |        |
| IP III LP  |          |   |   |    |   |              |     |   |        |     |        |
| DELTA WOODSIDE INDUSTRIES INC /SC/               | SC       |   |   |    |   | Х            | Х   |   | 05/25/ | 00  |        |
| DERMA SCIENCES INC                               | PA       |   |   |    |   | х            |     |   | 05/19/ |     | AMEND  |
| DIAMOND OFFSHORE DRILLING INC                    | DE       |   |   |    |   | Х            | Х   |   | 06/01/ |     |        |
| DIGITAL LAVA INC                                 | DE       |   |   |    |   | x            | x   |   | 05/30/ |     |        |
| DISCOVER CARD MASTER TRUST I                     | DE       |   |   |    |   | X            | X   |   | 05/31/ |     |        |
| DISCOVER CARD MASTER TRUST I                     | DE       |   |   |    |   | л<br>Х       | x   |   | 05/31/ |     |        |
|  |          |   |   |    |   |              |     |   |        |     |        |
| DLJ MORTGAGE ACCEPTANCE CORP                     | DE       |   | v |    |   | Х            | X   |   | 05/31/ |     |        |
| DYNATECH CORP                                    | DE       |   | Х |    |   |              | Х   |   | 05/23/ |     |        |
| E PAWN COM INC                                   | NV       |   |   |    |   | Х            |     |   | 05/31/ |     |        |
| EARTHGRAINS CO /DE/                              | DE       |   |   |    |   |              | Х   |   | 03/18/ |     |        |
| EARTHGRAINS CO /DE/                              | DE       |   |   |    |   | Х            |     |   | 03/31/ |     |        |
| EN POINTE TECHNOLOGIES INC                       | DE       |   |   |    |   | Х            |     |   | 05/24/ | 00  |        |
|  |          |   |   |    |   |              |     |   |        |     |        |

|                                     | STATI    |    |   | 8K ITEM |        | _ |          |         |
|-------------------------------------|----------|----|---|---------|--------|---|----------|---------|
| NAME OF ISSUER                      | CODE     | 1  | 2 | 3456    | 78     | 9 | DATE     | COMMENT |
| ESB FINANCIAL CORP                  | <br>PA   |    |   | X       | x      |   | 05/16/0  |         |
| ESKIMO PIE CORP                     | VA       |    |   | X       | X      |   | 06/01/0  |         |
| FAIRCHILD SEMICONDUCTOR INTERNATION |          |    |   | X       | x      |   | 05/30/0  |         |
| AL INC                              |          |    |   |         | **     |   | 03/30/0  | 0       |
| FAIRPOINT COMMUNICATIONS INC        | DE       |    |   | х       |        |   | 05/25/0  | 0       |
| FINANCIAL ASSET SEC CORP MORT LN AS | DE       |    |   | x       | х      |   | 12/25/9  |         |
| BK CERT SER 1999 CB5                |          |    |   |         |        |   |          | -       |
| FINANCIAL ASSET SEC CORP MORT LN AS | DE       |    |   | Х       | Х      |   | 01/25/0  | 0       |
| BK CERT SER 1999 CB5                |          |    |   |         |        |   | •        |         |
| FINANCIAL ASSET SEC CORP MORT LN AS | DE       |    |   | Х       | Х      |   | 02/25/0  | 0       |
| BK CERT SER 1999 CB5                |          |    |   |         |        |   |          |         |
| FINANCIAL ASSET SEC CORP MORT LN AS | DE       |    |   | Х       | Х      |   | 03/25/0  | С       |
| BK CERT SER 1999 CB5                |          |    |   |         |        |   |          |         |
| FINANCIAL ASSET SEC CORP MORT LN AS | DE       |    |   | Х       | Х      |   | 04/25/0  | 0       |
| BK CERT SER 1999 CB5                |          |    |   |         |        |   |          |         |
| FINANCIAL ASSET SECURITIES CORP     | DE       |    |   | Х       | Х      |   | 05/31/0  |         |
| FIRST FORTIS LIFE INSURANCE CO      | NY       |    |   | Х       |        |   | 06/01/0  |         |
| FIRST HORIZON ASSET SECURITIES INC  | DE       |    |   | Х       | Х      |   | 05/25/0  |         |
| FIRST LITCHFIELD FINANCIAL CORP     | DE       |    |   | Х       |        |   | 05/24/0  |         |
| FIRST OMNI BANK CREDIT CARD MASTER  |          |    |   |         | Х      |   | 03/31/00 | )       |
| TRUST                               |          |    |   |         |        |   |          |         |
| FIRST PLACE FINANCIAL CORP /DE/     | DE       |    |   | Х       | Х      |   | 06/01/00 |         |
| FIRST SCIENTIFIC INC                | DE       |    |   | Х       | Х      |   | 05/16/00 |         |
| FIRST SECURITY CORP /UT/            | DE       |    |   |         | Х      |   | 05/23/00 |         |
| FIRST STERLING BANKS INC            | GA       |    |   | Х       |        |   | 05/24/00 |         |
| FORMICA CORP                        | DE       | X  | ζ |         | Х      |   | 05/26/00 |         |
| FORTIS BENEFITS INSURANCE CO        | MN       |    |   | Х       |        |   | 06/01/00 |         |
| FORTUNE NATURAL RESOURCES CORP      |          |    |   |         | Х      |   | 05/31/00 |         |
| GALEY & LORD INC                    | DE       |    |   | X       |        |   | 06/01/00 |         |
| GE CAPITAL MORTGAGE SERVICES INC    | NJ       |    |   |         | Х      |   | 05/24/00 |         |
| GENCOR INDUSTRIES INC               | DE       |    | , | Х       | v      |   | 05/30/00 |         |
| GETTY IMAGES INC                    | DE<br>DE | X  | 2 |         | X      |   | 03/21/00 |         |
| GLENOIT ASSET CORP<br>GLENOIT CORP  | DE       |    |   |         | X<br>X |   | 05/25/00 |         |
| GLOBAL WATER TECHNOLOGIES INC       | DE       |    |   | X       | Δ      |   | 05/30/00 |         |
| GRAPHIC PACKAGING INTERNATIONAL COR |          |    |   | X       |        |   | 05/09/00 |         |
| P                                   | 0        |    |   | Λ       |        |   | 03/03/00 | )       |
| GREAT PLAINS SOFTWARE INC           | MN       |    |   |         | х      |   | 03/20/00 | ) AMEND |
| HARLEY DAVIDSON CREDIT CORP         | NV       |    |   |         | X      |   | 05/31/00 |         |
| HEALTHEON WEBMD CORP                | DE       |    |   |         | X      |   | 05/31/00 |         |
| HIBERNIA CORP                       | LA       | Х  |   |         | **     |   | 05/30/00 |         |
| HOLLYWOOD ENTERTAINMENT NETWORK INC |          | xx | ĸ |         | х      |   | 05/23/00 |         |
| /CA                                 |          |    | - |         |        |   | 00,20,00 |         |
| HOUSEHOLD HOME EQUITY LOAN TRUST 19 | DE       |    |   |         | х      |   | 05/31/00 | )       |
| 99-1                                |          |    |   |         |        |   |          |         |
| HOUSEHOLD REVOLVING HOME EQUITY LOA | DE       |    |   |         | Х      |   | 05/31/00 | )       |
| N TRUST 1995-1                      |          |    |   |         |        |   | ,,       |         |
| HOUSEHOLD REVOLVING HOME EQUITY LOA | DE       |    |   |         | х      |   | 05/31/00 | 1       |
| N TRUST 1995-2                      |          |    |   |         |        |   | ,,       |         |
| HOUSEHOLD REVOLVING HOME EQUITY LOA | DE       |    |   |         | Х      |   | 05/31/00 | I       |
| N TRUST 1996-1                      |          |    |   |         |        |   |          |         |
| HOUSEHOLD REVOLVING HOME EQUITY LOA | DE       |    |   |         | Х      |   | 05/31/00 | I       |
| N TRUST 1996-2                      |          |    |   |         |        |   |          |         |
| HYDRON TECHNOLOGIES INC             | NY       |    |   | Х       | Х      |   | 05/31/00 |         |
|                                     |          |    |   |         |        |   |          |         |

| NAME OF ISSUER   | CODE   |   |    |   | 5 6   |       | 9 | DATE    | со     | MMENT |
|--|--------|---|----|---|-------|-------|---|---------|--------|-------|
| IMTEK OFFICE SOLUTIONS INC                                     | <br>DE |   |    |   | <br>X | <br>X |   | 05/30/  | <br>00 |       |
| IMX PHARMACEUTICALS INC  | UT     | Х | ζ  |   |       | Х     |   | 05/17/  |        |       |
| INNOVATIVE CLINICAL SOLUTIONS LTD                              | DE     |   |    |   | Х     | Х     |   | 05/31/  |        | AMEND |
| INSMED INC   |        |   |    |   | Х     | Х     |   | 05/31/  |        |       |
| INTELECT COMMUNICATIONS INC                                    | DE     |   |    |   | Х     | Х     |   | 05/30/  | 00     |       |
| INTERACTIVE GAMING & COMMUNICATIONS                            | DE     | X | <  |   |       |       |   | 03/31/  | 00     |       |
| CORP   |        |   |    |   |       |       |   |         |        |       |
| 12 TECHNOLOGIES INC  | DE     | X | ζ. |   |       | Х     |   | 03/27/  | 00     | AMEND |
| JDS UNIPHASE CORP /CA/   | DE     |   |    |   |       | Х     |   | 11/307  | 99     | AMEND |
| JENNA LANE INC   | NY     |   |    | Х |       |       |   | 05/24/  | 00     |       |
| KEY TECHNOLOGY INC   | OR     | Х | ζ  |   |       | Х     |   | 05/16/  | 00     |       |
| KLAMATH FIRST BANCORP INC                                      | OR     |   |    |   | Х     | Х     |   | 05/26/  | 00     |       |
| LA-Z-BOY INC   | MI     | Х |    |   |       |       |   | 04/29/  | 00     |       |
| LAMINAIRE CORP   | DE     |   |    | Х |       |       |   | 05/16/  | 00     |       |
| LASERSIGHT INC /DE   | DE     |   |    |   | Х     | Х     |   | 06/01/  | 00     |       |
| LIFEPOINT HOSPITALS INC  | DE     |   |    |   | Х     | Х     |   | 05/30/  |        |       |
| LIGHTTOUCH VEIN & LASER INC                                    | NV     | Х | ζ  |   |       | Х     | • | 03/31/  |        | AMEND |
| LIONBRIDGE TECHNOLOGIES INC /DE/                               | DE     | Х | 2  |   |       | Х     | • | 05/18/  |        |       |
| LONE STAR TECHNOLOGIES INC                                     | DE     |   |    |   |       | Х     |   | 01/03/  |        | AMEND |
| MAGNITUDE INFORMATION SYSTEMS INC                              | DE     |   |    |   | Х     |       |   | 05/18/  | 00     |       |
| MARK IV INDUSTRIES INC   | DE     |   |    |   | Х     | Х     |   | 05/19/  | 00     |       |
| MARSHALL & ILSLEY CORP/WI/                                     | WI     |   |    |   | Х     | Х     |   | 05/31/  | 00     |       |
| MBNA CORP  | MD     |   |    |   | Х     |       |   | 06/01/  | 00     |       |
| MCLEODUSA INC  | DE     |   |    |   | Х     | Х     |   | 05/31/  | 00     |       |
| MEDIX RESOURCES INC  | CO     |   |    |   | Х     |       |   | 05/23/  | 00     |       |
| MEDIX RESOURCES INC  | CO     |   |    |   | Х     |       |   | 05/24/  |        |       |
| MEDIX RESOURCES INC  | CO     |   |    |   | Х     |       |   | 05/30/  |        |       |
| MESTEK INC   | PA     |   |    |   | Х     | Х     |   | 06/01/  |        |       |
| MET COIL SYSTEMS CORP  | DE     |   |    |   | Х     | Х     |   | 06/01/  |        |       |
| METRO INFORMATION SERVICES INC                                 | VA     |   |    |   | Х     | Х     |   | 05/31/  |        |       |
| METRO-GOLDWYN-MAYER INC  | DE     |   |    |   | Х     | Х     |   | 05/26/  |        |       |
| METROCORP BANCSHARES INC                                       |        |   |    |   | Х     | Х     |   | 06/01/0 |        |       |
| MEXICAN RESTAURANTS INC  | ТX     |   |    |   | Х     | Х     |   | 05/23/0 |        |       |
| MGC COMMUNICATIONS INC   | NV     |   |    |   | Х     | Х     |   | 05/23/  |        |       |
| MIKRON INSTRUMENT CO INC                                       | NJ     |   |    | Х |       | Х     |   | 05/24/0 |        |       |
| MORTGAGE PASS THROUGH CERTIFICATE S                            | DE     |   |    |   | Х     | Х     |   | 03/28/0 | 00     |       |
| ERIES 2000-2   |        |   |    |   |       |       |   |         |        |       |
| MOTO PHOTO INC   | DE     | Х |    |   |       |       |   | 05/31/0 |        |       |
| NATIONSBANK AUTO OWNER TRUST 1996-A                            | DE     |   |    |   | Х     | Х     |   | 05/15/0 |        |       |
| NATIONSBANK OF DELAWARE NA                                     | DE     |   |    |   | Х     | Х     |   | 05/15/0 |        |       |
| NATIONSCREDIT GRANTOR TRUST 1996-1                             | DE     |   |    |   | Х     | Х     |   | 05/15/0 |        |       |
| NATIONSCREDIT GRANTOR TRUST 1997-1                             | DE     |   |    |   | Х     | Х     |   | 05/15/0 |        |       |
| NATIONSCREDIT GRANTOR TRUST 1997-2                             | DE     |   |    |   | Х     | Х     |   | 05/15/0 |        |       |
| NATIONWIDE FINANCIAL SERVICES INC/                             | DE     |   |    |   | Х     | Х     |   | 05/31/0 |        |       |
| NEOFORMA COM INC   | DE     |   |    |   | Х     | Х     |   | 05/24/0 |        |       |
| NEW CENTURY ENERGIES INC                                       | DE     |   |    |   | Х     |       |   | 05/18/0 |        |       |
| NEW CENTURY MORT SEC INC NEW CENT H<br>M EQ LN TR SER 2000-NC1 | DE     |   |    |   | Х     | Х     |   | 05/31/0 | 00     |       |
| NN BALL & ROLLER INC   | DE     |   |    |   | Х     |       |   | 05/23/0 | 00     |       |
| OHIO CASUALTY CORP   | OH     |   |    |   | Х     |       |   | 06/01/0 | 00     |       |
| ONEIDA LTD   | NY     |   |    |   | Х     |       |   | 05/30/0 | 00     |       |
| PACIFIC AEROSPACE & ELECTRONICS INC                            | WA     |   |    |   | Х     | Х     |   | 05/26/0 | 00     |       |
| PAWNBROKER COM INC   |        |   |    |   | Х     | Х     |   | 05/03/0 | 00     |       |
| PERSONNEL GROUP OF AMERICA INC                                 | DE     |   |    |   | Х     | Х     |   | 06/01/0 | 0      |       |
|  |        |   |    |   |       |       |   |         |        |       |

| NAME OF ISSUER                      | STATE<br>CODE |   | 2 |   | ITEM |   | 9 | DATE (   | COMMENT |
|-------------------------------------|---------------|---|---|---|------|---|---|----------|---------|
| PHILLIPS PETROLEUM CO               | DE            |   |   |   | Х    | х |   | 05/23/00 | )       |
| PHOTRONICS INC                      | CT            |   |   |   | х    |   |   | 05/26/00 | )       |
| PNC MORTGAGE ACCEPTANCE CORP        | MO            |   |   |   | Х    | Х |   | 05/26/0  | )       |
| PNC MORTGAGE SECURITIES CORP MORT P | DE            |   | Х |   |      |   |   | 05/31/00 | )       |
| ASS THRU CERT SER 2000 4            |               |   |   |   |      |   |   |          |         |
| POLY SEAL CORP                      | DE            |   | Х |   | Х    | Х |   | 05/09/00 |         |
| PRECISE TECHNOLOGY INC              | DE            |   |   |   | Х    | Х |   | 05/30/00 |         |
| PRIME RETAIL INC/BD/                | MD            |   |   |   | Х    | Х |   | 05/23/00 |         |
| PROGENICS PHARMACEUTICALS INC       | DE            |   |   |   | Х    |   |   | 05/22/00 |         |
| PRT GROUP INC                       | DE            |   |   |   | Х    | Х |   | 05/31/00 |         |
| PSS WORLD MEDICAL INC               | $\mathbf{FL}$ |   |   | Х |      |   |   | 05/31/00 |         |
| PYR ENERGY CORP                     | DE            |   |   |   | х    | Х |   | 05/30/00 |         |
| REALMARK PROPERTY INVESTORS LIMITED | DE            |   |   | Х |      | Х |   | 01/11/00 | AMEND   |
| PARTNERSHIP VI-B                    |               |   |   |   |      |   |   |          |         |
| REDOX TECHNOLOGY CORP               | DE            |   |   |   | х    | Х |   | 04/27/00 |         |
| REGENCY GROUP LTD INC               | NV            |   |   | Х |      |   |   | 03/16/00 |         |
| RESIDENTIAL FUNDING MORTGAGE SECURI | DE            |   |   |   | Х    | Х |   | 05/24/00 | AMEND   |
| TIES I INC                          |               |   |   |   |      |   |   |          |         |
| RIVER VALLEY BANCORP                | IN            |   |   | Х |      | Х |   | 05/18/00 |         |
| RIVERSIDE GROUP INC/FL              | FL            |   |   |   | Х    |   |   | 05/26/00 |         |
| ROGERS CORP                         | MA            | Х |   |   |      |   |   | 05/26/00 |         |
| SALOMON BROTHERS MORT SEC VII INC M | DE            |   |   |   | х    | Х |   | 05/25/00 |         |
| ORT PAS TH CE SER 2000-1            |               |   |   |   |      |   |   |          |         |
| SAXON ASSET SECURITIES CO           | VA            |   |   |   | Х    |   |   | 05/30/00 |         |
| SCHEIN PHARMACEUTICAL INC           | DE            |   |   |   | х    | Х |   | 05/31/00 |         |
| SCHLUMBERGER LTD /NY/               |               |   |   |   | Х    | Х |   | 05/31/00 |         |
| SCHWEITZER MAUDUIT INTERNATIONAL IN | DE            |   |   |   | Х    | Х |   | 05/31/00 |         |
| C                                   |               |   |   |   |      |   |   |          |         |
| SCIENTIFIC GAMES HOLDINGS CORP      | DE            |   |   |   | Х    | Х |   | 05/18/00 |         |
| SHOP AT HOME INC /TN/               | TN            |   |   |   | Х    |   |   | 05/31/00 |         |
| SIEBEL SYSTEMS INC                  | DE            |   | Х |   |      | Х |   | 06/01/00 |         |
| SILVER CINEMAS INTERNATIONAL INC    | DE            |   |   | Х |      | Х |   | 05/16/00 |         |
| SIMPLAYER COM LTD                   |               |   |   |   | Х    | Х |   | 05/30/00 |         |
| SMITH CORONA CORP                   | DE            |   | Х |   |      |   |   | 08/03/99 |         |
| SOUTHWESTERN PUBLIC SERVICE CO      | NM            |   |   |   | Х    |   |   | 05/18/00 |         |
| SPAN AMERICA MEDICAL SYSTEMS INC    | SC            |   |   | Х |      |   |   | 05/25/00 |         |
| SPLITROCK SERVICES INC              | DE            |   |   |   |      | Х |   | 05/31/00 |         |
| SS&C TECHNOLOGIES INC               | DE            |   |   |   |      | Х |   | 05/23/00 |         |
| STRUCTURED ASSET SECURITIES CORP    | DE            |   |   |   |      | Х |   | 05/23/00 |         |
| SUMMIT AUTONOMOUS INC               | MA            |   |   |   |      | Х |   | 05/26/00 |         |
| SYNOVUS FINANCIAL CORP              | GA            |   |   |   |      | Х |   | 05/31/00 |         |
| TAKE TWO INTERACTIVE SOFTWARE INC   | DE            |   |   |   |      | Х |   | 05/27/98 | AMEND   |
| TELEVIDEO INC                       | DE            |   |   |   | Х    | Х |   | 05/12/00 |         |
| TELIDENT INC /MN/                   | MN            |   | Х |   |      | Х |   | 05/18/00 |         |
| TOTAL SYSTEM SERVICES INC           | GA            |   |   |   |      | Х |   | 05/31/00 |         |
| TRAVELCENTERS OF AMERICA INC        | DE            |   |   |   |      | Х |   | 06/01/00 |         |
| TRW INC                             | OH            |   |   |   |      | Х |   | 05/23/00 |         |
| UAL CORP /DE/                       | DE            |   |   |   |      | Х |   | 05/23/00 |         |
| UAL CORP /DE/                       | DE            |   |   |   |      | Х |   | 05/31/00 |         |
| UNITED AUTO GROUP INC               | DE            |   |   |   |      | Х |   | 05/31/00 |         |
| UNITED COMPANIES FINANCIAL CORP     | LA            |   |   |   |      | Х |   | 05/30/00 |         |
| UNIVERSAL COMPRESSION HOLDINGS INC  | ΤX            |   |   |   |      | Х |   | 06/01/00 |         |
| UNIVERSAL COMPRESSION INC           | TX            |   |   |   |      | Х |   | 06/01/00 |         |
| UNOCAL CORP                         | DE            |   |   |   | Х    |   |   | 05/25/00 |         |
| •                                   |               |   |   |   |      |   |   |          |         |

| NAME OF ISSUER                      | CODE | 12 | 34 | 5     | 6 | 7 | 8 | 9 | DATE   | COMMENT  |
|-------------------------------------|------|----|----|-------|---|---|---|---|--------|----------|
| US FRANCHISE SYSTEMS INC/           | DE   |    |    | <br>X |   |   |   |   | 05/18/ | 00       |
| USX CORP                            | DE   |    |    | Х     |   | Х |   |   | 06/01/ | 00       |
| VALERO ENERGY CORP/TX               | DE   |    |    |       |   | Х |   |   | 05/15/ | 00 AMEND |
| VALLEY NATIONAL BANCORP             | NJ   |    |    | Х     |   | Х |   |   | 05/31/ | 00       |
| VECTREN CORP                        | IN   |    |    | Х     |   | Х |   |   | 05/31/ | 00       |
| VENCOR INC /NEW/                    | DE   |    |    | Х     |   | Х |   |   | 05/31/ | 00       |
| VISUAL NETWORKS INC                 | DE   | Х  |    | Х     |   | Х |   |   | 06/24/ | 00       |
| VSI ENTERPRISES INC                 | DE   | Х  |    |       |   | Х |   |   | 05/18/ | 00       |
| WAL MART STORES INC                 | DE   |    |    | Х     |   | Х |   |   | 06/01/ | 00       |
| WIDECOM GROUP INC                   | A6   | Х  |    |       |   |   |   |   | 05/25/ | 00       |
| WINSTAR COMMUNICATIONS INC          | DE   |    |    | Х     |   | Х |   |   | 03/03/ | 00       |
| WITTER DEAN REALTY INCOME PARTNERSH | DE   | Х  |    |       |   |   |   |   | 05/23/ | 00       |
| IP II LP                            |      |    |    |       |   |   |   |   |        |          |
| 1ST SOURCE CORP                     | IN   |    | Х  |       |   | Х |   |   | 06/01/ | 00       |
| 7 ELEVEN INC                        | ТX   |    |    | Х     |   | Х |   |   | 05/26/ | 00       |
|                                     |      |    |    |       |   |   |   |   |        |          |

• •

•

.

.

.