# SEC NEWS DIGEST

## Issue 2000-172

September 7, 2000

# COMMISSION ANNOUNCEMENTS

#### **COMMISSION MEETINGS**

The Securities and Exchange Commission will hold the following meetings during the week of September 11, 2000.

# PUBLIC HEARINGS – WEDNESDAY, SEPTEMBER 13, 2000 – 8:45 P.M.

The Commission will hold public hearings on Wednesday, September 13, 2000 at 8:45 a.m. at the Pace Downtown Theatre at Pace University, located at Spruce Street between Park Row and Gold Street (across from City Hall Park) in New York City. The hearings will concern the Commission's proposed rule amendments concerning auditor independence. The purpose of the hearings is to give the Commission the benefit of the views of interested members of the public regarding the issues raised and questions posed in the Proposing Release (33-7870). For further information, contact: John M. Morrissey, Deputy Chief Accountant or W. Scott Bayless, Associate Chief Accountant, Office of the Chief Accountant at (202) 942-4400.

# CLOSED MEETING - THURSDAY, SEPTEMBER 14, 2000 - 11:00 A.M.

The subject matters of the closed meeting scheduled Thursday, September 14, 2000 will be institution and settlement of injunctive actions; and institution and settlement of administrative proceedings of an enforcement nature

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact the Office of the Secretary at (202) 942-7070.

# **RULES AND RELATED MATTERS**

# AMENDMENTS TO FOIA AND PRIVACY ACT RULES AND CONFIDENTIAL TREATMENT RULE 83

The Commission amended its procedures for requesting confidential treatment of records submitted to the Commission when no other procedures are applicable. Among other things, the amendments set 10 years as the expiration date of such confidential treatment requests. The Commission also amended its procedures for requesting information under the Freedom of Information Act and for requesting, amending, or correcting records about individuals under the Privacy Act of 1974. Release No. 34-43239, FOIA-191, and PA-30, File No. S7-14-99 (September 1, 2000).

The amendments (a) conform the procedures to current statutory and case law and administrative practice, (b) correct clerical errors, (c) reflect staff and public comments on proposed amendments that were announced on April 14, 1999, and (d) take effect 30 days after publication in the <u>Federal Register</u>. FOR DETAILS, CALL: Betty A. Lopez, FOIA/Privacy Act Officer, at (202) 942-4320, or Elizabeth T. Tsai, FOIA/Privacy Act Staff Attorney, at (202) 942-4326. (Rels. 34-43239, FOIA-191, PA-30, File No. S7-14-99)

# **ENFORCEMENT PROCEEDINGS**

# URSU PERMANENTLY SUSPENDED FROM APPEARING OR PRACTICING BEFORE THE COMMISSION

On September 6, the Commission suspended Theodore Ursu III (Ursu) from appearing or practicing before the Commission based on his conviction of a felony within the meaning of Rule 102(e) of the Commission's Rules of Practice. The Order finds that on October 22, 1998, Ursu, a certified public accountant, was convicted, pursuant to his guilty plea, of one count of violating 18 U.S.C. §1001, in United States of America v. Theodore Ursu III, No. 98 CR 265, N.D. Ohio. The criminal information upon which Ursu's conviction was based charged that in August and September, 1995, Ursu, the senior financial officer of ABS Industries, Inc. (ABS), was responsible for preparing the financial figures to be contained in ABS's Form 10-Q for the third quarter of the 1995 fiscal year. The information further alleged that in reckless disregard for the truth of the financial figures and the resulting Form 10-Q, Ursu caused the third quarter sales of ABS to be increased by a substantial amount, thereby creating a materially false impression of the corporation's financial health in violation of 18 U.S.C. § 1001. The Order permanently suspends Ursu from appearing or practicing before the Commission. Ursu consented to the entry of the Order without admitting or denying the Commission's findings, except as to jurisdiction and his criminal conviction, which were admitted. (Rel. 34-43249, AAER-1298, File No. 3-10279)

# CANADIAN INVESTMENT ADVISER F.W. THOMPSON PAYS \$100,000 TO SETTLE SEC HOT IPO ALLOCATION ACTION

The Securities and Exchange Commission today filed an administrative proceeding against F.W. Thompson, a Canadian investment adviser registered with the Commission, and its principal, Frederick Thompson, for failing to allocate equitably among its clients shares from initial public offerings (IPOs). According to the Commission's Order, from January 1996 through August 1997, F.W. Thompson, located in Ontario, Canada, and its principal allocated shares from IPOs, including "hot IPOs," through an *ad hoc* process that favored certain clients over others. Both Canadian and U.S. clients were affected by this allocation favoritism. F.W. Thompson had no written procedures, guidelines or policies governing IPO allocations, and failed to disclose to its clients its allocation practices.

Without admitting or denying the Commission's findings, F.W. Thompson and Frederick Thompson agreed to the entry of an order censuring each of them and requiring each to cease and desist from committing or causing any violation of Section 206(2) of the Investment Advisers Act. F.W. Thompson additionally agreed to pay a civil penalty of \$100,000.

This matter was discovered during an on-site compliance examination conducted jointly by the Commission and the Ontario Securities Commission (OSC). The Commission wishes to acknowledge the assistance of the OSC. The Commission's staff regularly conducts examinations of registered advisers located outside of the U.S. in coordination with securities regulators in those jurisdictions. (Rel. IA-1895, File No. 3-10280)

# SEC SETTLES FRAUD CASE AGAINST JAN KIRK, FORMER FERROFLUIDICS CFO

On September 1, the U.S. District Court for the Southern District of New York entered a final judgment against Jan R. Kirk, the former chief financial officer of Ferrofluidics Corporation (SEC v. Ferrofluidics Corp., Ronald Moskowitz, Jerome R. Allen, Jan R. Kirk, Stephen P. Morin, Bruce S. Moody, and the 1991 RPM Irrevocable Trust, 97 Civ. 7174, RMB, S.D.N.Y.). The judgment enjoins him from violating the antifraud provisions and certain reporting, internal controls, and record-keeping provisions of the federal securities laws. Without admitting or denying the Commission's allegations, Kirk consented to the entry of the judgment, which bars him from acting as an officer or director of a public company.

In its complaint, filed on September 25, 1997, the Commission alleged that from early 1991 through June 1993, former Ferrofluidics CEO and chairman Ronald Moskowitz devised and, with the assistance of members of the company's senior management and others, including Kirk, implemented a broad-ranging scheme to defraud the investing public and enrich himself by materially inflating the company's revenues and earnings and by making numerous other materially false and misleading disclosures about the company's business. As part of the scheme, Kirk recruited three private purchasers and

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orchestrated their phony stock purchases for a sham private placement. Kirk ultimately received \$23,000 in kickbacks for his efforts. Kirk also helped prepare and disseminate a series of materially false and misleading public statements concerning sales of the company's products to domestic and foreign companies. Based on the falsely reported profitability of the company, Kirk received a year-end bonus of \$27,500. He also failed to file a Schedule 13D with respect to Ferrofluidics shares that he and Moskowitz controlled as a voting group, after he agreed with Moskowitz to exercise warrants and vote against a shareholder's proposal regarding executive compensation.

The judgment enjoins Kirk from violating Sections 17(a) of the Securities Act, Sections 10(b), Section 13(d) of the Exchange Act, and Rules 10b-5, 13b2-1, 13b2-2, 13d-1 thereunder. The judgment also bars him from acting as an officer or director of any public company and orders him to disgorge \$50,500 plus prejudgment interest. Based on Kirk's financial condition, disgorgement was waived and no penalty was assessed. Proceedings continue against the remaining defendants, Bruce S. Moody and the 1991 RPM Irrevocable Trust.

In a related criminal proceeding (U.S. v. Jan R. Kirk, 97 Cr. 1032, MBM, S.D.N.Y. 1999), Kirk was sentenced to five years in prison on two counts, conspiracy to violate the securities laws and conspiracy to obstruct justice. On May 25, 2000, the U.S. Court of Appeals for the Second Circuit affirmed the criminal judgment and sentence as to Kirk (U.S. v. Ronald Moskowitz and Jan R. Kirk, Nos. 99-1745, L, 99-1769, CON, MBM, 2000 U.S. App.).

The Commission previously instituted five related administrative proceedings: In the Matter of Paul Y. Okuda, Stephen A. Thorpe, and David J. Chester, File No. 3-9345 (July 1, 1997), In the Matter of Helen Chalut and Saleem Noorani, File No. 3-9344 (July 1, 1997); In the Matter of Sheldon S. Traube and George F. Sweeney, File No. 3-9283 (March 27, 1997); In the Matter of Kedar Gupta, Alvan Chorney, and Herbert Moskowitz, File No. 3-9435 (September 26, 1997); In the Matter of Dickinson & Co. and T. Marshall Swartwood, File No. 3-9321 (May 28, 1997). All of these matters have been settled. In related criminal proceedings, former Ferrofluidics CEO and chairman Ronald Moskowitz was sentenced to eight years in prison (U.S. v. Ronald Moskowitz, 97 Cr. 1122, MBM, S.D.N.Y. 1999), and former Ferrofluidics consultant Jerome Allen was sentenced to six months in prison (U.S. v. Robert Jerome Allen, 97 Cr. 979 & 98 Cr. 884, MBM, S.D.N.Y. 2000). [SEC v. Ferrofluidics Corp., Ronald Moskowitz, Jerome R. Allen, Jan R. Kirk, Stephen P. Morin, Bruce S. Moody, and the 1991 RPM Irrevocable Trust, 97 Civ. 7174, RMB, S.D.N.Y.] (LR-16689)

# FEDERAL REGULATORS AND CRIMINAL AUTHORITIES COORDINATE ACTIONS TO HALT ILLEGAL INTERNET STOCK OFFERING SCHEME

On September 6, Judge John Rainey granted the Commission's *Ex Parte* Application for Temporary Restraining Order and Other Equitable Relief against the Houston Texans NFL Football Team Holding Co. (HTHolding Co.) and Edgar A. Guilbeau (Guilbeau), and entered orders freezing their assets. HTHolding Co. and Guilbeau had falsely

claimed that they were affiliated with the National Football League (NFL) and the Houston NFL football franchise, and had sought to solicit investments in the franchise. Simultaneously with the filing of the Commission's action, the Houston office of the Federal Bureau of Investigation executed a search warrant on Guilbeau's residence. Guilbeau, age 50, resides at 6421 Kelly Dr., Pearland, Texas. Guilbeau is the president and director of HTHolding Co.

The complaint alleges that Guilbeau and HTHolding Co. schemed to defraud investors in the offer of stock by, among other things, Designing the website <u>www.houstontexansnfl.com</u> to mislead investors into believing that they were purchasing an interest in the recently-formed Houston NFL football franchise; using the name, "Houston Texans NFL Football Team Holding Company" and using the NFL Shield and other NFL trademarks on the website to create the impression that the purported team was an NFL-sanctioned franchise; creating the illusion that HTHolding Co. was a prosperous entity conducting legitimate business affairs by advising potential investors that its business address was 5300 N. Braeswood, Suite 282, Houston, Texas, when in reality this is merely a mail drop box and 282 is simply the number of the box; failing to disclose that HTHolding Co. has no affiliation with the NFL or the legitimate Houston NFL franchise; failing to disclose that HTHolding Co. does not own an NFL football franchise; and failing to disclose that the offering is not registered with the Commission.

The Commission would like to acknowledge the significant assistance of the Houston FBI office in both bringing the Commission's action and in coordinating the execution of its search warrant. The Commission would also like to acknowledge the assistance of the Texas State Securities Board in the investigation of this matter.

The complaint charges that Guilbeau and HTHolding violated the antifraud provisions found in Section 17(a), as well as the registration provisions found in Section 5(c), of the Securities Act of 1933, and seeks a temporary restraining order, preliminary and permanent injunctions, an asset freeze, accounting, disgorgement with prejudgment interest and civil penalties. The complaint also seeks an order prohibiting the movement, alteration and destruction of books and records and an order expediting discovery. [SEC v. Houston Texans NFL Football Team Holding Co. and Edgar A. Guilbeau, Civil Action No. H-00-3072] (LR-16690)

# **INVESTMENT COMPANY ACT RELEASES**

#### **PROPEL, INC.**

A notice has been issued giving interested persons until October 2, 2000, to request a hearing on an application filed by Propel, Inc., for an order under the Investment Company Act that would permit applicant and its controlled companies to engage in certain foreign telecommunications ventures without being subject to the provisions of the Act. (Rel. IC-24633 - September 6)

# **HOLDING COMPANY ACT RELEASES**

## DOMINION RESOURCES, ET AL.

A notice has been issued giving interested persons until September 22, 2000 to request a hearing on a proposal by Dominion Resources, Inc., a registered public utility holding company, and its subsidiary Consolidated Natural Gas Company, also a registered holding company, for authority to pay dividends out of paid-in-capital and to reorganize and restructure their nonutility businesses. (Rel. 35-27225)

# SELF-REGULATORY ORGANIZATIONS

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission has granted accelerated approval to a proposed rule change and Amendment No. 1 filed by the <u>Philadelphia Stock Exchange</u> (SR-Phlx-00-49) under Rule 1 9b-4 under the Exchange Act relating to the reporting of options transactions. Publication of the proposal is expected in the <u>Federal Register</u> during the week of September 4. (Rel. 34-43243)

# DELISTING

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration ARC International Corporation, Common Stock, no par value. (Rel. 34-43245)

## **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 AMERICAN BEVERAGE CO-AMBEV /ADR/, ONE WALL ST, NEW YORK, NY 10286 – 200,000,000 (\$10,000,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE

333-12436 - AUG. 28) (BR. 99)

- F-6 AMERICAN BEVERAGE CO-AMBEV /ADR/, ONE WALL ST, NEW YORK, NY 10286 -10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12438 - AUG. 28) (BR. 99 - NEW ISSUE)
- F-6 UNITED MICROELECTRONICS CORP /ADR/, 111 WALL ST, NEW YORK, NY 10043 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12446 - AUG. 29) (BR. 99 - NEW ISSUE)

F-3 ISG INTERNATIONAL SOFTWARE GROUP LTD, INDUSTRIAL PARK, TECHNION CITY,

HAIFA ISRAEL, L3 32000 (508) 651-3888 - 300,000 (\$3,732,000) FOREIGN COMMON STOCK. (FILE 333-12450 - AUG. 30) (BR. 3)

- F-10 NORTH AMERICAN PALLADIUM LTD, 111 RICHMOND ST W STE 916, TORONTO ONTARIO CANADA M5H 2G4, A6 - \$54,000,000 FOREIGN COMMON STOCK. (FILE 333-12454 - AUG. 31) (BR. 4)
- S-8 ELBIT VISION SYSTEMS LTD, PO BOX 140, YOQNEAM, ISRAEL 20692, L3 2,065,720 (\$2,396,235.20) FOREIGN COMMON STOCK. (FILE 333-12456 - AUG.

30)

(BR. 5)

- S-8 CIMATRON LTD, 11 GUSH ETZION ST, GIVAT SHMUEL, ISRAEL 51905, L3
  (972) 531-2121 896,250 (\$2,016,562.50) FOREIGN COMMON STOCK. (FILE
  333-12458 AUG. 30) (BR. 3)
- S-4 MEDICAL TECHNOLOGY VENTURES INC, 1133 WEST OCEAN DRIVE, P O BOX 510-099, KEY COONY BEACH, FL 33051 (305) 743-4334 - 254,795 (\$4,356.99)

COMMON STOCK. (FILE 333-44866 - AUG. 31) (NEW ISSUE)

S-8 UNITED RETAIL GROUP INC/DE, 365 WEST PASSAIC ST, ROCHELLE PARK, NJ 07662

(201) 845-0880 (FILE 333-44868 - AUG. 31) (BR. 2)

S-8 COMMUNITY HEALTH SYSTEMS INC/, 155 FRANKLIN ROAD SUITE 400, BRENTWOOD,

TN 37027 (615) 373-9600 - 5,562,791 (\$83,754,289.58) COMMON STOCK. (FILE

333-44870 - AUG. 31) (BR. 1)

333-44874 - AUG. 31) (BR. 4)

S-3 LASALLE HOTEL PROPERTIES, 4800 MONTGOMERY LANE, SUITE M25, BETHESDA,
MD
20814 (301) /94-1-15 - 1,065,948 (\$15,424,268) COMMON STOCK. (FILE
333-44872 - AUG. 31) (BR. 8)

S-3 ROWAN COMPANIES INC, 5450 TRANSCO TWR, 2800 POST OAK BLVD, HOUSTON, TX 77056 (713) 621-7800 - 340,035 (\$10,796,111) COMMON STOCK. (FILE S-8 METWOOD INC, 819 NAFF ROAD, BOONES MILL, VA 24065 (801) 274-1011 - 100,000 (\$10,350) COMMON STOCK. (FILE 333-44878 - AUG. 31) (BR. 4)

SB-2 GREAT EXPECTATIONS & ASSOCIATES INC, 501 S CHERRY ST, STE 610, DENVER,

CO 80246 (303) 320- - 2,000,000 (\$1,000,000) COMMON STOCK. (FILE 333-44882 - AUG. 31) (BR. 9)

S-8 MEDICINES CO/ MA, ONE CAMBRIDGE CTR, CAMBRIDGE, MA 02142 (617) 225-9099

- 4,873,759 (\$92,299,248) COMMON STOCK. (FILE 333-44884 - AUG. 31) (BR. 1)

SB-2 EAGLE CAPITAL INTERNATIONAL LTD, 1900 CORPORATE BLVD, SUITE 400, BACA RATON, FL 33431 (801) 569-0400 - 4,750,500 (\$4,750,500) COMMON STOCK.

(FILE 333-44886 - AUG. 31) (BR. 1)

S-3 THCG INC, 650 MADISON AVENUE 21ST FLOOR, NEW YORK, NY 10022 (212) 223-0440 - 2,926,467 (\$11,705,868) COMMON STOCK. (FILE 333-44888)

AUG. 31) (BR. 7)

S-8 EURONET SERVICES INC, ZSIGMOND 10, BUDAPEST, K5 (212) 664-7666 -2,050,405 (\$4,387,866.70) COMMON STOCK. (FILE 333-44890 - AUG. 31) (BR. 7)

S-8 IPRINT COM INC, 1450 ODDSTAD ROAD, REDWOOD CITY, CA 94063 (650) 296-6500

- 3,728,548 (\$14,655,386.65) COMMON STOCK. (FILE 333-44894 - AUG. 31) (BR. 8)

- S-8 INFINITY INC, 211 WEST 14TH STREET, SUITE 260, CHANUTE, KS 66720 (316) 431-6200 - 140,000 (\$435,680) COMMON STOCK. (FILE 333-44898 -AUG. 31) (BR. 4)
- S-11 WELLS REAL ESTATE INVESTMENT TRUST INC, 6200 THE CORNERS PARKWAY, SUITE 250, NORCROSS, GA 30092 (770) 449-7800 - 140,000,000 (\$1,410,000,000) COMMON STOCK. 5,000,000 (\$4,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-44900 - AUG. 31) (BR. 8)
- S-8 PICK UPS PLUS INC, 3532 IRVIN SIMPSON RD, MASON, OH 45040 (513) 398-4344
  - 500,000 (\$375,000) COMMON STOCK. (FILE 333-44902 AUG. 31) (BR. 9)
  - S-8 CARRIER ACCESS CORP, 5395 PEARL PARKWAY, BOULDER, CO 80301 (303) 442-5455 - 29,514 (\$1,295,074.32) COMMON STOCK. (FILE 333-44904)

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AUG. 31) (BR. 7)

SB-2 TEKRON INC, 13123 POWAY ROAD, POWAY, CA 92064 (619) 692-5868 -4,095,000 (\$409,500) COMMON STOCK. (FILE 333-44906 - AUG. 31) (BR. 9)

S-8 FIRST ENTERTAINMENT HOLDING CORP, 5495 MARION STREET, DENVER, CO 80216

(303) 382-1500 - 5,000,000 (\$325,000) COMMON STOCK. (FILE 333-44910 - AUG. 31) (BR. 5)

- S-8 MERRILL LYNCH & CO INC, 4 WORLD FINANCIAL CTR, NEW YORK, NY 10080
  (212) 449-1000 500,000,000 (\$500,000,000)
  OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-44912 AUG. 31)
  (BR. 7)
- S-8 NESS ENERGY INTERNATIONAL INC /NV/, 4201 EAST INTERSTATE 20, WILLOW PARK, TX 76087 (254) 442-3968 - 50,000 (\$51,000) COMMON STOCK. (FILE 333-44914 - AUG. 31) (BR. 4)

S-4 ITURF INC, ONE BATTERY PARK PLZ, NEW YORK, NY 10004 (212) 742-1640 -27,844,294 (\$47,892,186) COMMON STOCK. (FILE 333-44916 - AUG. 31) (BR. 2)

N-2 PILGRIM PRIME RATE TRUST, TWO RENAISSANCE SQ, 40 N CENTRAL STE 1200, PHOENIX, AZ 85004 (602) 417-8100 - 10,800 (\$270,000,000) PREFERRED STOCK.

(FILE 333-44918 - AUG. 31) (BR. 17)

SB-2 ALPINE AIR EXPRESS INC/DE, 24351 PASTO RD, STE B, DANA POINT, CA 92629 (949) 489-2400 - 828,908 (\$4,144,540) COMMON STOCK. (FILE 333-44920 -

AUG. 31) (BR. 9)

S-8 GOVERNMENT TECHNOLOGY SERVICES INC, 3901 STONECROFT BLVD, CHANTILLY, VA 20151 (703) -50-2-20 - 500,000 (\$1,657,500) COMMON STOCK. (FILE 333-

44922

- AUG. 31) (BR. 3)

S-3 AMERICREDIT FINANCIAL SERVICES INC, C/O AMERICREDIT FINANCIAL SERVICES INC, 801 CHERRY STREET SUITE 3900, FORT WORTH, TX 76102 (817) 302-7000 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-44924 - AUG. 31) (BR. 8)

S-3 HOME PROPERTIES OF NEW YORK INC, 850 CLINTON SQ, ROCHESTER, NY 14604 (716) 546-4900 - 1,868,341 (\$52,663,862) COMMON STOCK. (FILE 333-44928

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AUG. 31) (BR. 8)

S-4 AMERICA WEST AIRLINES INC, 4000 E SKY HARBOR BLVD, STE 2100, PHOENIX, AZ

85034 (602) 693-0800 - 253,327,000 (\$253,327,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-44930 - AUG. 31) (BR. 5)

S-3 PATTERSON ENERGY INC, 4510 LAMESA HWY, P O DRAWER 1416, SNYDER, TX 79549 (915) 573-1104 - 1,170,122 (\$37,224,506) COMMON STOCK. (FILE 333-44932)

AUG. 31) (BR. 4)

S-8 WESTERN MULTIPLEX CORP, 1196 BORREGAS AVE, SUNNYVALE, CA 94089 (408) 542-5200 - 13,137,727 (\$119,454,271.86) COMMON STOCK. (FILE 333-44934 - AUG. 31) (BR. 7)

S-8 WATCHOUT INC, 20283 STATE ROAD 7, SUITE #400, BOCA RATON, FL 33498

(561) 482-9420 - 985,000 (\$310,275) COMMON STOCK. (FILE 333-44936 - AUG. 31) (BR. 4)

SB-2 AMERICAS SENIOR FINANCIAL SERVICES INC, 15544 N W 77TH CT, MIAMI LAKES,

FL 33016 (305) 828-2599 - 1,226,590 (\$1,226,590) COMMON STOCK. (FILE 333-44938 - AUG. 31) (BR. 9)

S-8 IDIAL NETWORKS INC, 16990 DALLAS PARKWAY SUITE 106, SUITE 106, DALLAS,

TX 75248 (949) 248-9561 - 1,460,000 (\$730,000) COMMON STOCK. (FILE 333-44940 - AUG. 31) (BR. 7)

S-3 MEDTOX SCIENTIFIC INC, 402 WEST COUNTY ROAD D, ST PAUL, MN 55112 (612) 636-7466 - 1,319,382 (\$15,148,568) COMMON STOCK. (FILE 333-44942)

AUG. 31) (BR. 1)

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- S-3 PAPER WAREHOUSE INC, 7630 EXCELSIOR BLVD, MINNEAPOLIS, MN 55416 (612) 936-1000 - \$1,875,000 COMMON STOCK. (FILE 333-44944 - AUG. 31) (BR. 2)
- SB-2 MIGRATEC INC, 11494 LUNA ROAD, SUITE 100, DALLAS, TX 75234 (972) -96-9-03 - \$67,465,492 COMMON STOCK. (FILE 333-44946 - AUG. 31) (BR. 5)
- S-8 ZEMEX CORP, CT TOWER, BCE PLACE, 161 BAY ST, STE 3750 P O BOX 703, TORONTO ONTARIO M5J 2S1, A6 (416) 365-8080 - 100,000 (\$725,000) COMMON STOCK. (FILE 333-44950 - AUG. 31) (BR. 4)
- S-3 CNET NETWORKS INC, 150 CHESTNUT ST, SAN FRANCISCO, CA 94111 (415) 364-8000 - 78,042 (\$2,614,407) COMMON STOCK. (FILE 333-44952 -AUG. 31) (BR. 5)
- S-8 FEI CO, 7451 NE EVERGREEN PWY, HILLSBORO, OR 97124 (503) 690-1500 -600,000 (\$18,000,000) COMMON STOCK. (FILE 333-44954 - AUG. 31) (BR. 5)

S-3 VOXWARE INC, 168 FRANKLIN CORNER RD, LAWRENCEVILLE, NJ 08648

(609) 514-4100 - 3,578,000 (\$9,280,437.50) COMMON STOCK. (FILE 333-44958 -

AUG. 31) (BR. 3)

S-3 DIAMOND OFFSHORE DRILLING INC, 15415 KATY FREEWAY, HOUSTON, TX 77094 (713) 492-5300 - 402,178,000 (\$402,178,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-44960 - AUG. 31) (BR. 4)

S-3 SYSTEMONE TECHNOLOGIES INC, 8305 NW 27TH ST, STE 107, MIAMI, FL 33122

(305) 593-8015 - 1,864,712 (\$5,244,502.49) COMMON STOCK. (FILE 333-44962 -AUG. 31) (BR. 6)

S-8 ROBINSON NUGENT INC, 800 E EIGHTH ST, PO BOX 1208, NEW ALBANY, IN 47151 (812) 945-0211 - 1,000,000 (\$16,937,500) COMMON STOCK. (FILE 333-44966 -AUG. 31) (BR. 5)

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SB-2 TELESERVICES INTERNET GROUP INC, 100 SECOND AVE SOUTH, STE 1000, ST PETERSBURG, FL 33701 (727) 895-4410 - 13,460,755 (\$16,825,943.75) COMMON STOCK. (FILE 333-44968 - AUG. 31) (BR. 8)

S-8 AVANT CORP, 46871 BAYSIDE PKWY, FREMONT, CA 94538 (408) 738-8881 -2,500,000 (\$35,078,125) COMMON STOCK. (FILE 333-44970 - AUG. 31) (BR. 3)

S-8 ROBINSON NUGENT INC, 800 E EIGHTH ST, PO BOX 1208, NEW ALBANY, IN 47151 (812) 945-0211 - 1,000,000 (\$16,937,500) COMMON STOCK. (FILE 333-44972

AUG. 31) (BR. 5)

S-3 GEMSTAR TV GUIDE INTERNATIONAL INC, 135 NORTH LOS ROBLES AVE, STE 800, PASADENA, CA 91101 (818) 792-5700 - 88,942 (\$6,890,226) COMMON STOCK.

(FILE 333-44974 - AUG. 31) (BR. 2)

S-1 INTELLON CORP, 5100 W SILVER SPRINGS BLVD, OCALA, FL 34482
(352) 237-7416 - \$86,250,000 COMMON STOCK. (FILE 333-44976 - AUG. 31)
(NEW ISSUE)

S-8 ACLARA BIOSCIENCES INC, 1288 PEAR AVENUE, MOUNTAIN VIEW, CA 94043 (650) 210-1200 - 6,397,782 (\$202,439,383) COMMON STOCK. (FILE 333-

44978 -

AUG. 31) (BR. 5)

- S-8 CHOLESTECH CORPORATION, 3347 INVESTMENT BLVD, HAYWARD, CA 94545 (510) 732-7200 - 790,000 (\$5,577,400) COMMON STOCK. (FILE 333-44980 -AUG. 31) (BR. 5)
- SB-2 THEHEALTHCHANNEL COM INC, 3101 W COAST HIGHWAY, SUITE 175, NEWPORT BEACH, CA 92663 (714) 962-0259 - 122,897,555 (\$38,098,242.05) COMMON STOCK. (FILE 333-44982 - AUG. 31) (BR. 9)

S-8 EZENIA INC, NORHTWEST PARK, 63 THIRD AVE, BURLINGTON, MA 01803 (617) 863-2000 - 3,563,130 (\$11,915,106.72) COMMON STOCK. (FILE 333-

44984

- AUG. 31) (BR. 3)

S-3 CALIFORNIA MICRO DEVICES CORP, 215 TOPAZ ST, MILPITAS, CA 95035 (408) 263-3214 - 1,725,000 (\$41,348,250) COMMON STOCK. (FILE 333-44986

AUG. 31) (BR. 5)

- S-8 NIKU CORP, 305 MAIN STREET, REDWOOD CITY, CA 94063 (650) 298-4600 77,671 (\$1,459,439) COMMON STOCK. (FILE 333-44988 AUG. 31) (BR. 8)
- S-3 HOTJOBS COM LTD, 406 WEST 31ST STREET, 9TH FLOOR, NEW YORK, NY 10001 (212) 699-5300 - 6,382,655 (\$105,122,327.85) COMMON STOCK. (FILE 333-44990 - AUG. 31) (BR. 6)

S-8 SEYCHELLE ENVIRONMENTAL TECHNOLOGIES INC /CA, 1046 CALLE RECODO, UNIT B,

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SAN CLEMENTE, CA 92673 (949) 234-1999 - 300,000 (\$150,000) COMMON STOCK. (FILE 333-44992 - AUG. 31) (BR. 9)

F-3 AMDOCS LTD, C/O TOWER HILL HOUSE, LE BORDAGE GYL 3QT, ST PETER PORT, E7

00000 (314) 821-3242 - 2,703,292 (\$174,362,334) FOREIGN COMMON STOCK. (FILE 333-44994 - AUG. 31) (BR. 3)

S-8 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -488,040 (\$22,952,521.20) COMMON STOCK. (FILE 333-44998 - AUG. 31) (BR.

3)