SEC NEWS DIGEST

Issue 2000-176

September 13, 2000

ENFORCEMENT PROCEEDINGS

FEDERAL JUDGE CONTINUES ASSET FREEZE IN SEC CASE INVOLVING EMULEX HOAX

The Commission announced that on September 12 Judge Virginia A. Phillips, Central District of California, continued the asset freeze and temporary restraining order against Mark S. Jakob (Jakob) of El Segundo, California she initially granted on August 31, 2000. The asset freeze and temporary restraining order were granted in response to the Commission's Complaint charging Jakob with perpetrating the August 25, 2000 Internet hoax involving a fake press release and Emulex Corp. of Costa Mesa, California. The Court's order, which continues the freeze of more than \$400,000 in assets held by Jakob, also enjoins him from violating the antifraud provisions of the federal securities laws, Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Additionally, the order prohibits Jakob from destroying or otherwise disposing of any documents, including computer records.

A hearing on this matter will be held on October 2, 2000 at U.S. District Court in Riverside, California, where the Court will consider the Commission's motion that the asset freeze and other relief remain in effect pending trial of this action. [SEC v. Mark S. Jakob, Civil Action No. EDCV-00-687 VAP, Mcx, C.D.Cal.] (LR-16697)

SEC FREEZES ASSETS OF BROKER WHO CONFESSED TO STEALING MORE THAN \$5 MILLION FROM HIS CLIENTS

The Commission announced that on September 7 Judge Joseph M. Hood of the U.S. District Court for the Eastern District of Kentucky entered an order of permanent injunction against Regald B. Smith (Smith) of Pikeville, Kentucky, pursuant to Smith's consent, without admitting or denying the Commission's charges, enjoining Smith from violating the antifraud provisions of the federal securities laws, freezing Smith's assets, ordering him to account for and disgorge his ill-gotten gains and pay civil penalties in amounts to be determined, provide the Commission with expedited discovery and prohibiting the destruction of documents.

Smith, age 55, was a broker in charge of Stifel's Nicolaus & Company, Incorporated's Pikeville, Kentucky office. The order was entered in response to the Commission's Complaint and other supporting documents filed September 6th, charging Smith with perpetrating an 18-month scheme in which he stole, through the sale of fictitious securities, more than \$5 million from least 6 investors who were his brokerage clients. On August 28, 2000, Smith admitted to Stifel officials that he conducted the scheme and took various actions, including asking a bank officer to falsify records, to conceal his actions. [SEC v. Regald B. Smith, Civil Action No. 7:00 cv 358, Eastern District of Kentucky, Hood, J.] (LR-16698)

CORRECTION

Some copies of the September 12th issue of the Digest included a summary entitled "CIVIL ACTION AGAINST SYSTEM SOFTWARE ASSOCIATES, INC., ROGER COVEY AND JOSEPH SKADRA". In the first paragraph of the summary, the name of the company should have read System Software Associates, Inc.

INVESTMENT COMPANY ACT RELEASES

HARTFORD LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until October 6, 2000, to request a hearing on an application filed by Hartford Life Insurance Company, Hartford Life and Annuity Insurance Company, Hartford Life Insurance Company Separate Account Two, Hartford Life Insurance Company Separate Account Seven, Putnam Capital Manager Trust Separate Account, Hartford Life and Annuity Insurance Company Separate Account Seven, Putnam Capital Manager Trust Separate Account Two, and Hartford Securities Distribution Company, Inc. (Applicants). The Applicants seek an order pursuant to Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder, to permit the recapture of credits applied to purchase payments made under certain variable annuity contracts. (Rel. IC-24639 – September 11)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-CBOE-00-29) filed by the <u>Chicago Board Options Exchange</u> relating to the market performance responsibilities of the Exchange's Modified Trading System Appointments Committee has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication is expected in the <u>Federal Register</u> during the week of September 11. (Rel. 34-43273)

A proposed rule change filed by the <u>Boston Stock Exchange</u> relating to the Intermarket Trading System (SR-BSE-00-06) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. (Rel. 34-43280)

PROPOSED RULE CHANGES

The Options Clearing Corporation filed a proposed rule change (SR-OCC-99-15) to allow OCC to make a change in its by-laws that would allow certain affiliates of a clearing member to be designated as non-customers under the Commission's hypothecation rules. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43276)

The Options Clearing Corporation filed a proposed rule change (SR-OCC-00-07) to allow OCC to clarify its existing policies on minimum adjustment increments and rounding and to set new minimum adjustment increment and rounding provisions with respect to decimal strike prices. The proposed rule change also states OCC's intention to restate existing strike prices in decimals when decimal strike prices are introduced for new series in the same class of options. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43277)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the <u>Chicago Board Options Exchange</u> (SR-CBOE-99-44) relating to revision of limits for introducing a new series of index options. (Rel. 34-43281)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 NETIA HOLDINGS SA, UL POLECZKI 13, AMSTERDAM, WARSAW, R9 02822 (011) 482-2648 - 608,466 (\$13,367,998.02) FOREIGN COMMON STOCK. (FILE 333-12464 - SEP. 01) (BR. 7)

- S-8 GILAT SATELLITE NETWORKS LTD, GILAT HOUSE YEGIA KAPAYIM STREET,
 DANIV PARK, KIRYAT ARYE PETAH TIKVA 49130, L3 (703) 734-9401 4,750,000
 (\$347,640,625) FOREIGN COMMON STOCK. (FILE 333-12466 SEP. 01) (BR. 7)
- F-3 M SYSTEMS FLASH DISK PIONEERS LTD, P O BOX 58036, BLDG NO 1 NEVE SHARET,

 TEL AVIV ISRAEL, L3 61580 (516) 424-4345 1,062,534 (\$58,058,658.93)

 FOREIGN COMMON STOCK. (FILE 333-12470 AUG. 31) (BR. 3)
- S-8 AVENTIS, 25 QUAI PAUL DOUMER, 92408 COURBEVOIE CEDEX, FRANCE, I0 (331) 476-8123 68,000 (\$5,002,250) DEPOSITARY RECEIPTS FOR COMMON STOCK.

 (FILE 333-12472 SEP. 01) (BR. 2)
 - F-3 ALCATEL, 54 RUE LA BOETIE, 2288 BH, PARIS FRANCE 75008, IO 00000 (331) 407-6101 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-12476 SEP. 05) (BR. 7)
 - S-3 PUBLIC SERVICE CO OF NORTH CAROLINA INC, 400 COX RD, PO BOX 1398, GASTONIA, NC 28053 (704) 864-6731 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 333-45206 SEP. 06) (BR. 2)
- SB-2 SUPREME HOSPITALITY, 41919 SKYWOOD DR, TEMECULA, CA 92591 (909) 506-3435
 1,000,000 (\$6,300,000) PREFERRED STOCK. (FILE 333-45210 SEP. 06)
 (BR. 9)
- S-8 KMART CORP, 3100 W BIG BEAVER RD, TROY, MI 48084 (248) 643-1000 5,770,000 (\$40,043,800) COMMON STOCK. (FILE 333-45212 SEP. 06) (BR. 2)
- S-8 WINLAND ELECTRONICS INC, 1950 EXCEL DR, MANKATO, MN 56001 (507) 625-7231
 300,000 (\$581,250) COMMON STOCK. (FILE 333-45216 SEP. 06) (BR. 5)
- S-3 IGENE BIOTECHNOLOGY INC, 9110 RED BRANCH RD, COLUMBIA, MD 21045 (410) 997-2599 - 46,002,000 (\$4,600,280) COMMON STOCK. (FILE 333-45218 - SEP. 06) (BR. 2)
- S-8 CORDIANT COMMUNICATIONS GROUP PLC /ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 - 6,818,503 (\$31,919,117.17) FOREIGN COMMON STOCK. (FILE 333-45222 - SEP. 06) (BR. 2)
- S-4 CITIZENS & NORTHERN CORP, THOMPSON ST, RALSTON, PA 17763 (717) 265-6171
 990,640 (\$13,072,485.44) COMMON STOCK. (FILE 333-45224 SEP. 06)
 (BR. 7)
 - S-4 VP MERGER PARENT INC, RT 66 P O BOX C, CAAMOUNT INDUSTRIAL PARK, RANDOLPH, VT 05060 (802) 728-3600 12,498,043 (\$46,086,533.56) COMMON STOCK. (FILE 333-45226 SEP. 06) (NEW ISSUE)

- S-8 PLATO LEARNING INC, POPLAR CREEK OFFICE PLAZA, 1721 MOON LAKE BOULEVARD,
 HOFFMAN ESTATES, IL 60194 (847) 781-7800 700,000 (\$9,428,090)
 COMMON STOCK. (FILE 333-45228 SEP. 06) (BR. 5)
- S-8 PLATO LEARNING INC, POPLAR CREEK OFFICE PLAZA, 1721 MOON LAKE BOULEVARD,
 HOFFMAN ESTATES, IL 60194 (847) 781-7800 200,000 (\$2,693,740)
 COMMON STOCK. (FILE 333-45230 SEP. 06) (BR. 5)
 - S-8 WEBVAN GROUP INC, 310 LAKESIDE DRIVE, FOSTER CITY, CA 94404 (650) 627-3000 10,868,105 (\$41,582,876.20) COMMON STOCK. (FILE 333-45242 SEP. 06) (BR. 8)
 - S-8 CROWN AMERICAN REALTY TRUST, PASQUERILLA PLAZA, JOHNSTOWN, PA 15907 (814) 536-4441 200,000 (\$1,206,300) COMMON STOCK. (FILE 333-45244 SEP. 06) (BR. 8)
 - S-8 TASTY FRIES INC, 650 SENTRY PKWY STE ONE, BLUE BELL, PA 19422 (610) 941-2109 500,000 (\$225,000) COMMON STOCK. (FILE 333-45248 SEP. 06) (BR. 4)
- S-3 HA LO INDUSTRIES INC, 5980 W TOUHY AVE, NILES, IL 60714 (708) 647-2300
 2,100,000 (\$10,437,000) COMMON STOCK. (FILE 333-45252 SEP. 06)
 (BR. 2)
 - S-4 IMMERSION CORP, 2158 PARAGON DR, SAN JOSE, CA 95131 (408) 467-1900 1,998,433 (\$32,974,144.50) COMMON STOCK. (FILE 333-45254 SEP. 06) (BR. 3)
- S-3 GMAC COMMERCIAL MORTGAGE SECURITIES INC, 200 WITMER ROAD, HORSHAM, PA

 19044 (410) 884-2000 1,000,000 (\$1,000,000) EQUIPMENT TRUST

 CERTIFICATES.

 (FILE 333-45256 SEP. 06) (BR. 8)
 - S-8 PARADIGM GENETICS INC, 104 ALEXANDER DRIVE, ADMINISTIVE BLDG #6, RESEARCH TRIANGLE PA, NC 27709 (919) 544-5578 3,272,849 (\$31,257,575) COMMON STOCK. (FILE 333-45258 SEP. 06) (BR. 1)
 - S-8 AIRSPAN NETWORKS INC, CAMBRIDGE HOUSE OXFORD ROAD,
 UXBRIDGE MIDDLESEX UB81UN, UNITED KINGDOM, (011) 441-8954 4,000,000
 (\$70,500,000) COMMON STOCK. (FILE 333-45260 SEP. 06) (BR. 7)
 - S-8 AIRSPAN NETWORKS INC, CAMBRIDGE HOUSE OXFORD ROAD,
 UXBRIDGE MIDDLESEX UB81UN, UNITED KINGDOM, (011) 441-8954 500,000
 (\$8,812,500) COMMON STOCK. (FILE 333-45262 SEP. 06) (BR. 7)
 - S-2 NASTECH PHARMACEUTICAL CO INC, 45 DAVIDS DR, HAUPPAUGE, NY 11788 (631) 273-0101 1,299,000 (\$7,703,070) COMMON STOCK. (FILE 333-45264 SEP. 06) (BR. 1)
 - S-3 DRUGSTORE COM INC, 13920 SOUTHEAST EASTGATE SUITE 300, BELLEVUE, WA 98005 (425) 881-5131 13,114,407 (\$78,590,845) COMMON STOCK. (FILE 333-45266 SEP. 06) (BR. 1)

- SB-2 EQUIDYNE CORP, 13 COLUMBIA DR STE 5, AMHERST, NH 03031 (603) 880-6300 242,500 (\$829,375) COMMON STOCK. (FILE 333-45268 SEP. 06) (BR. 5)
- F-3 ASM INTERNATIONAL N V, JAN VAN EYCKLAAN 10, 3723 BC BILTHOVEN, THE NETHERLANDS, P7 (602) 243-4221 5,463,414 (\$140,000,000) COMMON STOCK.

(FILE 333-45270 - SEP. 06) (BR. 5)

- S-3 HUMAN GENOME SCIENCES INC, 9410 KEY W AVE, ROCKVILLE, MD 20850 (301) 309-8504 (FILE 333-45272 SEP. 06) (BR. 1)
- S-3 NPS PHARMACEUTICALS INC, 420 CHIPETA WAY SUITE 240, SALT LAKE CITY, UT

 84108 (801) 583-4939 3,450,000 (\$152,662,500) COMMON STOCK. (FILE 333-45274 SEP. 06) (BR. 1)
- S-8 KING PHARMACEUTICALS INC, 501 FIFTH ST, BRISTOL, TN 37620 (423) 989-8000
 840,000 (\$20,753,550) COMMON STOCK. (FILE 333-45276 SEP. 06) (BR. 1)
- S-2 FTI CONSULTING INC, 2021 RESEARCH DR, ANNAPOLIS, MD 21401 (410) 224-8770
 5,117,500 (\$47,797,450) COMMON STOCK. (FILE 333-45278 SEP. 06)
 (BR. 8)
- S-8 EQUINIX INC, 901 MARSHALL STREET, REDWOOD CITY, CA 94063 (650) 298-0400
 16,412,821 (\$214,351,416.08) COMMON STOCK. (FILE 333-45280 SEP. 06)
 (BR. 7)
- S-3 ATMEL CORP, 2325 ORCHARD PKWY, SAN JOSE, CA 95131 (408) 441-0311 4,600,000 (\$85,698,000) COMMON STOCK. (FILE 333-45282 SEP. 06) (BR. 5)
- S-8 KING PHARMACEUTICALS INC, 501 FIFTH ST, BRISTOL, TN 37620 (423) 989-8000
 3,918,490 (\$34,732,961) COMMON STOCK. (FILE 333-45284 SEP. 06)
 (BR. 1)
- S-3 ALBANY MOLECULAR RESEARCH INC, 21 CORPORATE CIRCLE, ALBANY, NY 12203 (518) 464-0279 4,715,000 (\$163,993,594) COMMON STOCK. (FILE 333-45286 SEP. 06) (BR. 1)
 - S-8 MOLECULAR DEVICES CORP, 1311 ORLEANS DR, SUNNYVALE, CA 94089 (408) 747-1700 897,196 (\$38,096,571) COMMON STOCK. (FILE 333-45288 SEP. 06) (BR. 5)
- S-8 BETA OIL & GAS INC, 901 DOVE STREET, STE 230, NEWPORT BEACH, CA 92660 (714) 752-5212 20,000 (\$240,000) COMMON STOCK. (FILE 333-45298 SEP. 07) (BR. 4)

TECO ENERGY INC, TECO PLAZA, 702 N FRANKLIN ST, TAMPA, FL 33602 S-3 (813) 228-4111 - \$350,000,000 STRAIGHT BONDS. (FILE 333-45302 - SEP. 07) (BR. 2)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.
Item 3. Bankruptcy or Receivership.
Item 4. Changes in Registrant's Certifying Accountant.
Item 5. Other Materially Important Events.

Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits. Item 8. Change in Fiscal Year. Item 9. Regulation S Offerings.

	STATE		8K	. II	EM	NO			
NAME OF ISSUER	CODE	1 2	3 	4 5	6	7 1	8 9	DATE	COMMENT
ACCEPTANCE INSURANCE COMPANIES INC	DE			×				09/11/0	00
ACTERNA CORP	DE			×		X		08/30/0	00
ADAMS OUTDOOR ADVERTISING INC	MN					Х		09/11/0	00 AMEND
ADAMS OUTDOOR ADVERTISING LTD PARTN ERSHIP	MN					Х		09/11/0	00 AMEND
ADATOM COM INC	DE			×		Х		09/08/0	00
AFC MORTGAGE LOAN ASSET BACKED NOTE				X				04/25/0	00
S SERIES 2000-1									•
ALZA CORP	DE			×				09/12/0	00
AMERICAN EXPRESS RECEIVABLES FINANC	DE			>		Х		09/06/0	00
ING CORP II									
AMERICAN TOWER CORP /MA/	DE	X				Х		08/31/0	00
AMFM OPERATING INC	DE	X				X		08/30/0	00
ANKER COAL GROUP INC	DE			Х		X		09/12/0	00
APERIAN INC	UT			>		X		09/07/0	
APPLIED DIGITAL SOLUTIONS INC	MO					X		06/30/0	00 AMEND
ARIBA INC	DE	X				X		08/28/0	00
ASI ENTERTAINMENT INC	DE			X				09/07/0	00
AT&T LATIN AMERICA CORP	DE	X		>		Х		08/28/0	
A1 INTERNET COM INC	NV	X				X		07/29/0	00
BANC ONE HELOC TRUST 1998-1	OH			>	ζ.	X		02/22/0	
BANC ONE HELOC TRUST 1998-1	OH			>	2	Х		03/20/0	
BANC ONE HELOC TRUST 1998-1	OH			>		X		04/20/0	
BANC ONE HELOC TRUST 1998-1	OH			}		X		05/22/0	
BANC ONE HELOC TRUST 1998-1	OH			}		X		06/20/0	00

	STATE			8 F	7 1	ייר ז	εм	NO.				
NAME OF ISSUER	CODE		2					7 8	9	DATE	СО	MMENT
BANC ONE HELOC TRUST 1998-1	ОН					Х		Х		07/20/0		
BAY VIEW CAPITAL CORP	DE					Х		X		09/11/0		
BEMIS CO INC	MO		X					X		08/31/0		
BEYOND COM CORP	DE					Х		X		09/11/0		
BIOSOURCE INTERNATIONAL INC	DE					X		X		09/07/0		
BORON LEPORE & ASSOCIATES INC	DE							X		06/29/0		AMEND
BOTTOMLINE TECHNOLOGIES INC /DE/	DE		X					X		08/28/0	00	
CABLETRON SYSTEMS INC	DE					Х		X		08/29/0		
CAMBIO INC	DE				Х			X		09/06/0		
CAPSTAR BROADCASTING PARTNERS INC	DE		X					X		08/30/0		
CAPTAINS MANAGEMENT CORP				Х						05/15/0		AMEND
CARAUSTAR INDUSTRIES INC	NC					Х		X		09/12/0		
CARPENTER W R NORTH AMERICA INC	DE					Х		X		09/12/0		
CELLNET DATA SYSTEMS INC	DE			Х				X		09/12/0		
CELLNET FUNDING LLC	DE			X				Χ		09/12/0	00	
CHANGE TECHNOLOGY PARTNERS INC	DE					Χ		Χ		09/12/0	0	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY					Х		Х		09/08/0	0	
CHASE MORTGAGE FINANCE CORP	DE		Х					X		08/30/0	00	
CHIRON CORP	DE					Х		Х		09/08/0	00	
CITIZENS COMMUNITY BANCORP INC	${ t FL}$					Х				09/08/0	00	
CNH CAPITAL RECEIVABLES INC						Х		Х		09/12/0	00	
COMMODORE APPLIED TECHNOLOGIES INC	DE		Х			Х		Х		08/30/0		
COMPUTONE CORPORATION	DE							Х		06/28/0		AMEND
COREL CORP	A6					Х		X		09/06/0		
COVAD COMMUNICATIONS GROUP INC	DE					Х		Х		09/12/0		
COX RADIO INC	DE							Х		09/05/0		
CROWN AMERICAN REALTY TRUST	MD					Х		••		09/11/0		
CWMBS INC	DE					Х		Х		07/28/0		
CYBERBOTANICAL INC	NV	Х	x					Х		09/12/0		
CYBERONICS INC	DE	••	••			Х		Х		08/21/0		
CYTRX CORP	DE					Х		Х		07/18/0		
DEVON ENERGY CORP/DE	DE		Х			••		Х		08/29/0		
DIALYSIS CORP OF AMERICA	FL		**			Χ		••		09/12/0		
DIAMOND TECHNOLOGY PARTNERS INC	DE		Х			••		Х		09/11/0		
DIRECT CONNECT INTERNATIONAL INC	DE					Х		2.		09/08/0		
DIRECTCOM INC	DE		Х			11				09/11/0		AMEND
DITECH CORP	DE		X					Х		07/25/0		
DOLLAR FINANCIAL GROUP INC	NY		X					**		09/12/0		1 11 12112
	VA		77			Х		Х		09/06/0		
DOMINION RESOURCES INC /VA/	VA VA					X		X		09/06/0		
DOMINION RESOURCES INC /VA/	DE					X		X		09/10/0		
DURA PHARMACEUTICALS INC								Λ		08/22/0		
E PRIME AEROSPACE CORP	CO	17				Х				07/01/0		AMENIA
EASTERN CO	CT	Х				3,7				09/12/0		AMEND
ECHOSTAR COMMUNICATIONS CORP	NV					X						
ECOGEN INC	DE		٠,			X		v		09/11/0		
EL PASO ENERGY PARTNERS LP	DE		X					X		08/30/0		
ELITE TECHNOLOGIES INC /TX/	TX				X					09/08/0		******
EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES /NY/	NY					Х				08/30/0	JÜ	AMEND
EXPLORE TECHNOLOGIES INC	NV		Χ			Х	Χ	X		07/24/0	00	AMEND
FIBERCORE INC	NV					X				09/08/0	00	
FIRST AMERICAN HEALTH CONCEPTS INC	AZ				Χ					09/08/0	00	

	STATE			81	K]	T T	ΞM	NO.			
NAME OF ISSUER	CODE	1	2					7 8	9	DATE	COMMENT
FIRSTCITY FINANCIAL CORP	DE		- - -			 х		 х		08/25/0	0
FORD MOTOR CREDIT CO	DE					Х		X		09/11/0	
FOUR OAKS FINCORP INC	NC				Х			Х		09/11/0	
FREEPORT MCMORAN COPPER & GOLD INC	DE					Х				09/12/0	
FRONTIER ADJUSTERS OF AMERICA INC	AZ				Х			Х		09/06/0	
GELTEX PHARMACEUTICALS INC	DE					Х		Х		09/11/0	
GENERAL CABLE CORP /DE/	DE		Х					Х		08/25/0	
GENERAL MOTORS ACCEPTANCE CORP	DE					Х				09/12/0	
GENZYME CORP	MA					Х		Х		09/11/0	
GOAMERICA INC	DE							Х		06/28/0	0 AMEND
GOLDMAN SACHS GROUP INC	DE					Х		Х		09/11/0	
GREENPOINT HM EQ LN TR 1999-2 REV H	DE					Х		Х		08/15/0	0
M EQ ASS BK NOT SE 1999-2											
GST TELECOMMUNICATIONS INC	DE		Х					X		09/11/0	0
HADRON INC	NY					Х				08/24/0	0
HAWAIIAN ELECTRIC CO INC	HI					Х				09/01/0	0
HAWAIIAN ELECTRIC INDUSTRIES INC	ΗI					Х				09/01/0	0
HEALTHCOMP EVALUATION SERVICES CORP	NV					Х				09/12/0	0
HELLER FINANCIAL INC	DE					Х		Х		06/25/0	0
HEWLETT PACKARD CO	DE					X		X		09/11/0	
HORTON D R INC /DE/	DE					X		Х		09/06/0	
IGO CORP			Х					X		08/29/0	
ILLUMINET HOLDINGS INC	DE					Х		Х		09/12/0	
IMMUNOGEN INC	MA					Х		Х		09/06/0	
INDUSTRIAL HOLDINGS INC	ΤX		Х					Х		08/25/0	0
INFOWAVE SOFTWARE INC						Х		X		09/08/0	0
INTELEFILM CORP	MN					Х		X		06/11/0	0
INTERFOODS OF AMERICA INC	NV				Х			X		09/08/0	O AMEND
INTERNET COM CORP	DE		Х					X		09/08/0)
INTERNET COMMERCE CORP	DE					Х		Х		09/08/0)
JAWS TECHNOLOGIES INC /NY	NV		Х			Х		X		08/25/0	
JLK DIRECT DISTRIBUTION INC	PA					Х		X		09/11/0	0
JLK DIRECT DISTRIBUTION INC	PA					Х				09/12/0)
JWGENESIS FINANCIAL CORP /	${ t FL}$					Х		Х		08/31/0)
KANSAS CITY SOUTHERN INDUSTRIES INC	DE					Х		X		09/11/0	
KELLYS COFFEE GROUP INC	СО		Х					X		09/12/00)
KENNAMETAL INC	PA					Х		X		09/11/00	
KENNAMETAL INC	PA					Х				09/12/00	
KINETIKS COM INC	DE					Х				09/11/00	
KING PHARMACEUTICALS INC	TN					Х		X		09/12/00)
KROGER CO	OH					Х		X		09/12/00	
LABORATORY CORP OF AMERICA HOLDINGS	DE					Х		X		08/24/00	
LCA VISION INC	DE					Х		Х		09/06/00	
LEAP WIRELESS INTERNATIONAL INC	DE					Х				09/08/00	
LEVEL 8 SYSTEMS INC	DE					Х		Х		08/23/00	
LOEWEN GROUP INC						Х		Х		07/31/00)
MARVEL ENTERPRISES INC	DE					Х		X		08/22/00	
MATRITECH INC/DE/	DE					Х		X		08/24/00	
MDU RESOURCES GROUP INC	DE					X		X		09/11/00	
MEDIX RESOURCES INC	CO					Х		-		09/30/00	
MELLON BANK PREMIUM FINANCE LOAN MA	NY					X		Х		09/11/00	
STER TRUST	-							-		==, =,	
MONONGAHELA POWER CO /OH/	ОН					X		X		09/12/00)

	STATE			8K I	тем	NO.			
NAME OF ISSUER	CODE	1	2	3 4			9	DATE	COMMENT
MONTANA POWER CO /MT/	MT	 х						08/25/0	0
MORGAN STANLEY DEAN WITTER CAPITAL	DE	Λ			Х	Х		09/07/0	
1 INC SERIES 2000-PRIN	מע				7.	71		03/01/0	· ·
N TANDEM TRUST	CA		Х			Х		08/31/0	0
	DE		41			X			0 AMEND
NETERGY NETWORKS INC NHANCEMENT TECHNOLOGIES INC	DE			Х		Х		09/06/0	
NOVOSTE CORP /FL/	FL			**	Х	X		09/11/0	
ON POINT TECHNOLOGY SYSTEMS INC	NV			Х	**	X			0 AMEND
ONYX ACCEPTANCE FINANCIAL CORP	DE				Х	••		08/30/0	
OPTION ONE MORT ACCEPT CORP ASSET B			х		X	Х		09/11/0	
ACKED CERT SERIES 2000-3	22								
PARKWAY PROPERTIES INC	MD	Х						09/11/0	0
PEAPOD INC	DE				Х	Х		09/12/0	
PHARMOS CORP	NV				X			09/01/0	
PINNACLE GLOBAL GROUP INC	TX				••	Х			0 AMEND
PIVOTAL CORP	111		Х			X		09/26/0	
PJ AMERICA INC	DE		**		Х	••		09/12/0	
POLYONE CORP	БЦ				X	Х		09/07/0	
PRESIDENTIAL LIFE CORP	DE	Х			••	••		09/06/0	
PRISON REALTY TRUST INC	MD	••			Х	Х		09/11/0	
PROVENCE CAPITAL CORP INC	FL	Х						08/28/0	
OUESTAR CORP	UT				Х			09/11/0	
RAVEN INDUSTRIES INC	SD				X	Х		08/28/0	
RENTECH INC /CO/	co				Х			08/28/9	
REPUBLIC GROUP INC	DE				X	Х			0 AMEND
RESIDENTIAL ASSET MORTGAGE PRODUCTS	DE				Х	Х		09/11/0	0
INC									
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				X	X		09/11/0	0
TIES II INC									
SAFE TECHNOLOGIES INTERNATIONAL INC	DE				X			09/11/0	
SAPIENT CORP	DE		Χ			X		08/28/0	
SECURITY FINANCIAL BANCORP INC	DE				X	X		09/11/0	
SFAC NEW HOLDINGS INC	DE				X	Χ		09/12/0	
SFC NEW HOLDINGS INC	DE				X	X		09/12/0	
SI DIAMOND TECHNOLOGY INC	ΤX			X		Χ		09/06/0	
SIERRA PACIFIC POWER CO	NV				X	Х		09/12/0	
SIERRA PACIFIC RESOURCES	NV				X	Х		09/12/0	
SILK BOTANICALS COM INC	${ t FL}$			X	•	X			00 AMEND
SONIC FOUNDRY INC	MD	X	X			X		08/28/0	
SOUTHWESTERN ENERGY CO	AR				X	Х		09/11/0	
ST JOE CO	\mathtt{FL}				X	X		09/07/0	
STAN LEE MEDIA INC	CO		X			X		09/11/0	
STEROIDOGENESIS INHIBITORS INTERNAT IONAL INC	NV			Х	Х	X		07/20/0	00 AMEND
STEVENS INTERNATIONAL INC	DE				Х			08/31/0	0.0
STRATEGIC CAPITAL RESOURCES INC	DE		Х		**			08/31/0	
SUPERIOR BANK FSB AFC MORT LN ASSET			21		Х			07/25/0	
BACKED NOTES SER 2000 2								0.,20,	
SUPERIOR ENERGY SERVICES INC	DE		Х					09/12/0	00
SUPPLY CHAIN SERVICES INC	DE	X	X		хх	X		08/28/0	
SYLVAN LEARNING SYSTEMS INC	MD	27	23	Λ	X	X		09/07/	
SYMBOLLON CORP	DE				X	••		09/11/0	
SI CORP /DE/	DE				X	Х		09/08/	
DI CORE / DE/	713				••			55,507	

NAME OF ISSUER	STATE CODE	1	2			TEM 5 6		9	DATE	COMMENT
TANDYCRAFTS INC	DE					X	X		09/12/0	0
TARGETED GENETICS CORP /WA/	WA					X	X		08/08/0	0
TECHNOLOGY FUNDING MEDICAL PARTNERS	DE	X							09/12/0	0
I L P	5.5	.,							00/10/0	0
TECHNOLOGY FUNDING PARTNERS III L P	DE	X							09/12/0	_
TECHNOLOGY FUNDING SECURED INVESTOR S I	CA	X							09/12/0	
TECHNOLOGY FUNDING SECURED INVESTOR S II	CA	X							09/12/0	O
TECHNOLOGY FUNDING SECURED INVESTOR S III	CA	X							09/12/0	0
TECHNOLOGY FUNDING VENTURE CAPITAL FUND VI LLC	DE	X							09/12/0	0
TECHNOLOGY FUNDING VENTURE PARTNERS IV	DE	X							09/12/0	0
TECHNOLOGY FUNDING VENTURE PARTNERS V	DE	X							09/12/0	0
TELIGENT INC	DE		Х						09/11/0	n
THOMAS & BETTS CORP	TN		21			Х	Х		08/28/0	
TIMELINE INC	WA		Х			••	X			0 AMEND
TOKHEIM CORP	IN		••	Х					09/11/0	
TRUSTMARK CORP	MS			-		Х			09/12/0	0
U S INTERACTIVE INC/PA	DE					Х	Х		09/08/0	0
UNITED DEFENSE INDUSTRIES INC	DE		Х				Х		09/06/0	0
UNIVERSITY BANCORP INC /DE/	DE				Х		Х		09/12/0	0
US AIRWAYS GROUP INC	DE					Х	X		09/12/0	0
US AIRWAYS INC	DE					X	X		09/12/0	0
VENTAS INC	DE					X	X		09/08/0	0
VESTA INSURANCE GROUP INC	DE	Х							09/11/0	0 AMEND
WATSON PHARMACEUTICALS INC	NV		Х				Χ		08/28/0	0
WEBMD CORP	DE		Х				X		09/12/0	0
WELLS FARGO ASSET SECURITIES CORP	DE					X	Χ		09/12/0	0
WESBANCO INC	WV							Χ	09/11/0	0
WESTERN INTERNATIONAL PIZZA CORP	NV				Χ				04/20/0	0 AMEND
WOODS EQUIPMENT CO	DE				Х		Χ		09/01/0	0
WORLD ACCESS INC /NEW/	DE		Х				X		12/07/9	9 AMEND
WORLD OMNI AUTO RECEIVABLES LLC	DE					X	X		07/19/0	0
WORLDPORT COMMUNICATIONS INC	DE	Х							08/24/0	0
XML GLOBAL TECHNOLOGIES INC	CO						X		06/28/0	0 AMEND
ZORAN CORP \DE\	DE						X		06/28/0	0 AMEND
3 D SYSTEMS CORP	DE				Χ		X		09/05/0	0