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# SEC NEWS DIGEST

Issue 2000-181

September 20, 2000

#### **COMMISSION ANNOUNCEMENTS**

#### **PUBLICATION OF STAFF LEGAL BULLETIN NO. 11**

On September 19, 2000, the Division of Investment Management issued a staff legal bulletin on the applicability of the Investment Advisers Act of 1940 to financial advisors of issuers of municipal securities. The bulletin clarifies the circumstances under which financial advisors may advise issuers of municipal securities on the investment of their bond offering proceeds without being deemed to be investment advisers. The bulletin also explains when financial advisors may advise their clients about investments in specific money market accounts without being subject to the Advisers Act.

#### **COMMISSION MEETINGS**

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

#### CLOSED MEETING - WEDNESDAY, SEPTEMBER 27, 2000 - 11:00 A.M.

The subject matter of the closed meeting scheduled Wednesday, September 27, at 11:00 a.m. will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

#### OPEN MEETING - THURSDAY, SEPTEMBER 28, 2000 - 2:00 P.M.

The subject matter of the open meeting scheduled for Thursday, September 28, at 2:00 p.m. will be:

The Commission will hear oral argument on an appeal by the Division of Enforcement and the Office of the Chief Accountant from an initial decision of an administrative law judge in the matter of KPMG Peat Marwick LLP (Peat Marwick).

This case involves allegations that certain circumstances impaired Peat Marwick's independence from an audit client, Porta Systems Corp. (PORTA). The law judge found that only one of these circumstances -- Peat Marwick's loan to PORTA's president -impaired Peat Marwick's independence. Because generally accepted auditing standards (GAAS) require that auditors be independent from audit clients, the law judge concluded that Peat Marwick violated the requirement in Rule 2-02 of Regulation S-X that an accountant's report accurately "state whether the audit was made in accordance" with GAAS. The law judge also concluded that, because Peat Marwick was not independent at the time it certified financial statements filed by PORTA as part of its 1995 annual report, Peat Marwick caused PORTA to violate Section 13(a) of the Securities Exchange Act of 1934 and Rule 13a-1 thereunder. The law judge did not conclude, however, that Peat Marwick had engaged in improper professional conduct within the meaning of Rule 102(e) of the Commission's Rules of Practice. The law judge dismissed the proceeding insofar as it alleged that Peat Marwick engaged in improper professional conduct under Rule 102(e) and denied the Division's request for entry of a cease and desist order against Peat Marwick under Section 21C of the Exchange Act.

Among the issues likely to be argued are the following:

- 1. whether Peat Marwick's loan to PORTA's president was the only independence impairing circumstance involved in this case;
- 2. whether Peat Marwick acted recklessly with respect to any independence impairing circumstances involved in this case; and
- 3. whether, and what, sanctions are appropriate remedies in this case.

For further information, contact Rada L. Potts at (202) 942-0961.

## CLOSED MEETING - THURSDAY, SEPTEMBER 28, 2000 - 3:00 P.M.

The subject matter of the closed meeting scheduled for Thursday, September 28, at 3:00 p.m. will be: Post argument discussion.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

#### **ENFORCEMENT PROCEEDINGS**

## SEC BRINGS FRAUD CHARGES IN INTERNET MANIPULATION SCHEME

## Settlement Calls for Return of \$285,000 in Illegal Gains

The Commission today brought and settled civil fraud charges against Jonathan G. Lebed, age 15, for using the Internet to conduct a stock manipulation scheme that made total profits of \$272,826. Without admitting or denying the findings, Mr. Lebed settled with the Commission, agreeing to an administrative cease and desist order and to disgorge his illegal profits of \$272,826, together with prejudgment interest of \$12,174, for a total of \$285,000. This is the first time the Commission has brought charges against a minor.

Ronald C. Long, Administrator of the Philadelphia District Office said, "I implore investors to be highly skeptical of any advice they receive from the Internet. People should do thorough research before making investment decisions and verify all information before acting on it."

The Commission's Order finds that, on eleven separate occasions between August 23, 1999 (when Lebed was 14 years old) and February 4, 2000, Lebed, of Cedar Grove, New Jersey, engaged in a scheme on the Internet in which he purchased, through brokerage accounts, a large block of a thinly-traded microcap stock. Within hours of making the purchase, Lebed sent numerous false and/or misleading unsolicited e-mail messages, or "spam," primarily to various Yahoo! Finance message boards, touting the stock he had just purchased. Lebed then sold all of these shares, usually within 24 hours, profiting from the increase in price his messages had caused. In some instances, Lebed placed a sell limit order before the market closed on the day he purchased the stock to ensure that he would not miss the price increase of the stock while he was in school the next day. Lebed's profits on each trade ranged from more than \$11,000 to nearly \$74,000.

The Order finds that Lebed used multiple fictitious author names for the hundreds of identical messages he posted during each manipulation. The postings Lebed made to Internet website message boards included baseless price predictions and other false and/or misleading statements. For example, he claimed in one of his messages that a

company trading at \$2 per share would be trading at more than \$20 per share "very soon." Other postings claimed that a stock would be the "next stock to gain 1,000%," and was "the most undervalued stock ever." The posted messages always caused the price and volume of the touted stocks to increase dramatically. On the day that Lebed sold his shares, and realized his profit, the trading volume in the stock reached either record or near-record highs, in some cases reaching a 52-week high for both volume and price. (Press Rel. 2000-135; Rel. 33-7891; 34-43307; File No. 3-10291)

#### **CORRECTION**

The following is a corrected summary for an item that appeared in some copies of the September 5th issue of the Digest.

#### COMMISSION SETTLES ADMINISTRATIVE PROCEEDING AGAINST RICHARDT-ALYN & CO AND ITS PRINCIPALS, RICHARD AND ALAN FEINBERG

The Commission issued an Order Making Findings, Imposing Remedial Sanctions and Ordering Respondents to Cease And Desist. The Order alleges that during the period from in or about January 1994 to March 1995, in connection with certain OTC trades with four broker-dealers, information was provided that the transactions were completed at the national best bid or offer price (NBBO), and Respondents did not inform them that, on certain occasions, Richardt-Alyn purchased or sold the same stock on Selectnet at a price better than the NBBO. In this manner, Richardt-Alyn earned a total of \$17,477 in trading profits.

Administrative Law Judge Carol Fox Foelak issued an initial decision in this matter dated September 30, 1999. Subsequently, both sides filed Petitions for Review before the Commission. The Commission's Order sets aside the initial decision; censures the Respondents and orders them to cease and desist from violating Section 17(a)(2) and (3) of the Securities Act of 1933 and Section 17(a) of the Securities Exchange Act of 1934 and Rule 17a-3 thereunder; orders Richardt-Alyn to comply with an undertaking to pay \$17,477; and orders Richard and Alan Feinberg each to pay a civil money penalty in the amount of \$5,000. (Rel. 34-37727)

#### **INVESTMENT COMPANY ACT RELEASES**

#### THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES, ET AL.

An order has been issued pursuant to Section 26(b) of the Investment Company Act to The Equitable Life Assurance Society of the United States (Equitable), Separate Account No. 301 of Equitable, Separate Account No. 45 of Equitable, Separate Account No. 49 of Equitable, and Separate Account FP of Equitable (collectively, Applicants) approving the substitution of securities issued by the EQ Advisors Trust's Alliance Equity Index Portfolio for securities issued by the EQ Advisors Trust's BT Equity 500 Index Portfolio and currently held by those unit investment trusts. The order also exempts Applicants

pursuant to 17(b) of the Act from Section 17(a) of the Act to the extent necessary to permit certain in-kind redemptions of portfolio securities in connection with the substitution and the consolidation of certain subaccounts by certain of those unit investment trusts following the substitution. (Rel. IC-24643 – September 18)

## PROVIDENT MUTUAL LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act granting exemptive relief from Sections 2(a)(32), 22(c) and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder to Provident Mutual Life Insurance Company, Provident Mutual Variable Annuity Separate Account, Providentmutual Life and Annuity Company of America, Providentmutual Variable Annuity Separate Account, and 1717 Capital Management Company to permit the recapture of credits applied to purchase payments made under certain variable annuity contracts. (Rel. IC-24644 – September 19)

## FIRST AMERICAN INVESTMENT FUNDS, INC. AND U.S. BANK NATIONAL ASSOCIATION

A notice has been issued giving interested persons until October 10, 2000, to request a hearing on an application filed by First American Investment Funds, Inc. and U.S. Bank National Association for an order exempting applicants from Section 17(a) of the Investment Company Act. The order would permit a series of a registered open-end management investment company to acquire all of the assets, subject to the liabilities, of another series of the investment company. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24645 – September 19)

#### SEASONS SERIES TRUST, ET AL.

A notice has been issued giving interested persons until October 16, 2000, to request a hearing on an application filed by Seasons Series Trust, et al. for an order exempting applicants from Sections 12(d)(1)(A) and (B) of the Investment Company Act and from Section 17(a) of the Act. The order would permit certain registered unit investment trusts or open-end management investment companies to acquire shares of registered open-end management investment companies both within and outside the same group of investment companies. (Rel. IC-24646 – September 19)

#### SELF-REGULATORY ORGANIZATIONS

## PARTIAL ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial accelerated approval to a proposed rule change filed by the <u>Chicago Board Options Exchange</u> (SR-CBOE-00-37) relating to the reporting of options transactions within 90 seconds after execution. (Rel. 34-43250)

#### **DELISTING GRANTED**

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration Integrated Transportation Network Group Inc., Common Stock, \$.01 par value. (Rel. 34-43297)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 PARADIGM ADVANCED TECHNOLOGIES INC, 1 CONCORDE GATE #201, M3C 3N6, TORONTO ONTARIO, A6 (416) 929-6565 40,000,000 (\$120,000,000) COMMON STOCK. (FILE 333-45666 SEP. 13) (BR. 9)
- S-8 XPEDIAN INC, 6230 FARIVIEW RD, STE 102, CHARLOTTE, NC 28210 (704) 540-8138 1,000,000 (\$300,000) COMMON STOCK. (FILE 333-45670 SEP. 13) (BR. 5)
- S-8 BELMONT BANCORP, PO BOX 249, ST CLAIRSVILLE, OH 43950 (740) 695-3323 500,000 (\$1,421,900) COMMON STOCK. (FILE 333-45672 SEP. 13) (BR. 7)
- SB-2 WEDGE NET EXPERTS INC, 1706 WINDING RIDGE ROAD, KNOXVILLE, TN 37922 (865) 694-6468 85,000 (\$85,000) COMMON STOCK. (FILE 333-45678 SEP. 13) (BR. 9 NEW ISSUE)
- S-8 BANKATLANTIC BANCORP INC, 1750 E SUNRISE BLVD, FORT LAUDERDALE, FL 33304
  - (954) 760-5000 1,704,148 (\$6,901,799) COMMON STOCK. (FILE 333-45680 SEP. 13) (BR. 7)
  - S-8 SILICON LABORATORIES INC, 4635 BOSTON LN, AUSTIN, TX 78735 90,449 (\$444,733.16) COMMON STOCK. (FILE 333-45682 SEP. 13) (BR. 5)
  - S-1 EPOCH SECURITIES INC, 151 UNION ST, ICEHOUSE TWO, SAN FRANCISCO, CA 94111 (415) 315-3100 200,000 (\$10,000,000) COMMON STOCK. (FILE 333-45684 SEP. 13) (NEW ISSUE)

- S-8 PAN INTERNATIONAL HOLDINGS INC, 215 CRANWOOD DR, KEY BISCAYNE, FL 33149
  (305) 365-5825 5,000,000 (\$50,000) COMMON STOCK. (FILE 333-45686 SEP. 13) (BR. 9)
  - S-8 OAK HILL FINANCIAL INC, 14621 STATE RTE 93, JACKSON, OH 44640 (614) 286-3283 400,000 (\$6,475,000) COMMON STOCK. (FILE 333-45690 SEP. 13) (BR. 7)
- S-8 SBE INC, 4550 NORRIS CANYON ROAD, SAN RAMON, CA 94583 (510) 355-2000 108,956 (\$1,613,638) COMMON STOCK. (FILE 333-45692 - SEP. 13) (BR. 3)
- S-8 RIGHT START INC /CA, 5388 STERLING CENTER DR, WESTLAKE VILLAGE, CA 91361

  (818) 707-7100 150,000 (\$515,625) COMMON STOCK. (FILE 333-45694 SEP. 13) (BR. 2)
  - S-1 AKAMAI TECHNOLOGIES INC, 500 TECHNOLOGY SQ, CAMBRIDGE, MA 02139 (617) 250-3000 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-45696 SEP. 13) (BR. 8)
- S-8 RIGHT START INC /CA, 5388 STERLING CENTER DR, WESTLAKE VILLAGE, CA 91361

  (818) 707-7100 75,000 (\$257,812.50) COMMON STOCK. (FILE 333-45698 SEP. 13) (BR. 2)
  - S-4 TENET HEALTHCARE CORP, 3820 STATE ST, SANTA BARBARA, CA 93105 (805) 563-7000 400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-45700 SEP. 13) (BR. 1)
  - S-3 INTEGRATED SURGICAL SYSTEMS INC, 1850 RESEARCH PARK, DAVIS, CA 95616 (530) 792-2600 13,554,505 (\$11,385,784.20) COMMON STOCK. (FILE 333-45706 SEP. 13) (BR. 5)
- S-3 TAKE TWO INTERACTIVE SOFTWARE INC, 575 BROADWAY, 6TH FLOOR, NEW YORK, NY 10012 (212) 334-6633 2,016,747 (\$30,755,391.75) COMMON STOCK. (FILE 333-45708 SEP. 13) (BR. 3)
  - S-8 COST PLUS INC/CA/, 201 CLAY ST, P O BOX 23350, OAKLAND, CA 94607 (415) 893-7300 450,000 (\$16,101,700) COMMON STOCK. (FILE 333-45710 SEP. 13) (BR. 2)
  - S-8 MENLO ACQUISITION CORP, 100 MISTY LN, PARSIPPANY, NJ 07054 (650) 529-0730 525,000 (\$640,500) COMMON STOCK. (FILE 333-45712 SEP. 13) (BR. 5)
  - S-8 AMES DEPARTMENT STORES INC, 2418 MAIN ST, ROCKY HILL, CT 06067 (860) 257-2000 2,000,000 (\$12,600,000) COMMON STOCK. (FILE 333-45714 SEP. 13) (BR. 2)
  - S-8 AMES DEPARTMENT STORES INC, 2418 MAIN ST, ROCKY HILL, CT 06067 (860) 257-2000 500,000 (\$3,150,000) COMMON STOCK. (FILE 333-45716 SEP. 13) (BR. 2)

- S-8 AMES DEPARTMENT STORES INC, 2418 MAIN ST, ROCKY HILL, CT 06067 (860) 257-2000 300,000 (\$1,890,000) COMMON STOCK. (FILE 333-45718 SEP. 13) (BR. 2)
- SB-2 NEW MILLENNIUM MEDIA INTERNATIONAL INC, 101 PHILIPPE PARKWAY, SUITE 300,

  SAFETY HARBOR, FL 34695 (727) 797-6664 25,160,000 (\$17,612,000)

  COMMON STOCK. (FILE 333-45722 SEP. 13) (BR. 2)
  - S-8 WARPRADIO COM INC, 6535 S DAYTON ST, STE 3000, GREENWOOD VILLAGE, CO 80111 (303) 799-9118 3,500,000 (\$3,815,000) COMMON STOCK. (FILE 333-45726 SEP. 13) (BR. 9)
- S-3 SPECTRASITE HOLDINGS INC, 100 REGENCY FOREST DR, STE 400, CARY, NC 27511

  (919) 468-0112 \$25,000,000 COMMON STOCK. (FILE 333-45728 SEP. 13)
  (BR. 7)
- S-3 SPEEDUS COM INC, 140 58TH ST, STE 7E, BROOKLYN, NY 11220 (718) 567-4300
   1,015,176 (\$3,680,013) COMMON STOCK. (FILE 333-45730 SEP. 13) (BR. 7)
- S-8 EPRESENCE INC, 120 FLANDERS RD, WESTBORO, MA 01581 (508) 898-1000 318,700 (\$3,386,187.50) COMMON STOCK. (FILE 333-45732 SEP. 13) (BR. 3)
  - S-1 KYPHON INC, 1350 BORDEAUX DR, SUNNYVALE, CA 94089 (408) 548-6500 \$57,500,000 COMMON STOCK. (FILE 333-45734 SEP. 13) (NEW ISSUE)

  - S-4 FNB CORP \VA\, 105 ARBOR DR, CHRISTIANSBURG, VA 24068 (540) 381-4951 297,246 (\$7,411,192) COMMON STOCK. (FILE 333-45738 - SEP. 13) (BR. 7)
- S-3 HOUSEHOLD FINANCE CORP, 2700 SANDERS RD, PROSPECT HEIGHTS, IL 60070 (847) 564-5000 10,000,000,000 STRAIGHT BONDS. (FILE 333-45740 SEP. 13) (BR. 7)
  - S-8 PATTERSON DENTAL CO, 1031 MENDOTA HEIGHTS RD, ST PAUL, MN 55120 (612) 686-1600 700,000 (\$15,957,830) COMMON STOCK. (FILE 333-45742 SEP. 13) (BR. 1)
- S-8 VOICE MOBILITY INTERNATIONAL INC, 13777 COMMERCE PARKWAY, SUITE 180, RICHMOND, A1 00000 ((60) 4)--482- 5,428,815 (\$28,229,838) COMMON STOCK.

  (FILE 333-45744 SEP. 13) (BR. 37)
- S-8 EXTENSITY INC, 2200 POWELL ST, STE 400, EMERYVILLE, CA 94608 (510) 594-5700 800,000 (\$18,104,180.60) COMMON STOCK. (FILE 333-45748 SEP. 13) (BR. 3)

CHARMING SHOPPES INC, 450 WINKS LANE, BENSALEM, PA 19020 (215) 245-S-8 9100 - 2,000,000 (\$9,968,750) COMMON STOCK. (FILE 333-45750 - SEP. 14) (BR. 2) JNI CORP, 9775 TOWNE CENTRE DR, SAN DIEGO, CA 92121 (858) 535-3121 -1,400,000 (\$96,012,618) COMMON STOCK. (FILE 333-45752 - SEP. 14) (BR. 36)

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.
Item 2. Acquisition or Disposition of Assets.
Item 3. Bankruptcy or Receivership.
Item 4. Changes in Registrant's Certifying Accountant.
Item 5. Other Materially Important Events.
Item 6. Resignations of Registrant's Directors.
Item 7. Financial Statements and Exhibits

Item 7. Financial Statements and Exhibits.

Item 8. Change in Fiscal Year. Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: publicinfo@sec.gov. In most cases, this information is also available on the Commission's website:

	STATE		8K ITE	M NO.	
NAME OF ISSUER	CODE	1 2	3 4 5	678	9 DATE COMMENT
AAMES CAPITAL CORP	CA		X	Х	09/18/00
• • • • • • • • • • • • • • • • • • • •	DE		X	X	09/13/00
ADVANCE PARADIGM INC	DE	X		X	
ADVANCED ENERGY INDUSTRIES INC	DE			X	
ADVANCED FIBRE COMMUNICATIONS INC	DE		X	X	05/16/00
AFLAC INC	GA		X	X	09/19/00
AIRGAS INC	DE		X	X	09/19/00
ALCOA INC	PA		X		09/18/00
AMERICAN ELECTRIC AUTOMOBILE CO INC		X			09/18/00
AMERICAN EXPRESS CENTURION BANK	$\mathtt{UT}$		X	Х	09/15/00
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE		Х	X	09/15/00
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE		Х	Х	09/15/00
AMERICAN GAMING & ENTERTAINMENT LTD /DE	DE	хх	X	Х	09/01/00
AMKOR TECHNOLOGY INC	DE		X	X	09/11/00
ARROW ELECTRONICS INC	NY		X	X	. 09/18/00
BANK OF AMERICA MORTGAGE SECURITIES INC	DE		Х	Х	09/18/00
BAY VIEW SECURITIZATION CORP	DE			Х	09/15/00

NAME OF ISSUER	STATE CODE	1 2	8K ITEM 3 4 5 6		9 DATE COMMENT
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE		Х	Х	08/25/00
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE		Х	X	09/18/00
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE		Х	X	09/16/00
BILLING CONCEPTS CORP	DE		Х	X	09/15/00
BOEING CAPITAL CORP	DE		Х	X	09/13/00
BOWL AMERICA INC	MD		X		09/15/00
CARDINAL FINANCIAL CORP	VA	X		X	09/01/00
CATALINA LIGHTING INC	${ t FL}$	X		X	07/20/00 AMEND
CENTURA SOFTWARE CORP	CA		X	X	09/12/00
CHAMPION ENTERPRISES INC	MI		X	X	09/18/00
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY		Х	Х	09/15/00
CHASE FUNDING INC	NY		X	X	09/18/00
CHASE MANHATTAN CORP /DE/	DE		X	X	09/12/00
CHENIERE ENERGY INC	DE		X	X	09/15/00
COLONIAL DOWNS HOLDINGS INC	VA		X		09/18/00
COMMUNITY BANK SYSTEM INC	DE	X			09/13/00
COMPOSITE SOLUTIONS INC	$\mathtt{FL}$			X	07/21/00 AMEND
COM21 INC	DE	X			09/18/00 AMEND
CONSECO FINANCE CORP	DE			X	09/13/00
CONSECO FINANCE CORP	DE			X	09/15/00
CONSECO FINANCE SECURITIZATIONS COR	DE			X	09/13/00
CPS AUTO RECEIVABLES TRUST 1998-4	CA			X	09/15/00
CROWN ENERGY CORP	UT		X		08/30/00
CT COMMUNICATIONS INC /NC	NC		X	X	09/12/00
CYBERAMERICA CORP	NV		X	X	08/29/00 AMEND
CYPOST CORP	DE	X		X	05/26/00 AMEND
DAIMLERCHRYSLER AUTO TRUST 2000 A	DE		X	X	09/15/00
DAIMLERCHRYSLER AUTO TRUST 2000 A	DE		X	X	09/15/00
DAIMLERCHRYSLER AUTO TRUST 2000 A	DE		X	X	09/15/00
DAIMLERCHRYSLER AUTO TRUST 2000 B	DE		X	X	09/15/00
DESIGN PRODUCTS INC / UT	NV	X			09/15/00
DEUTSCHE MORTGAGE & ASSET RECEIVING CORP SERIES 2000-C1	DE		Χ	Χ	09/14/00
DEVON ENERGY CORP/DE	DE		X		09/19/00
DIGEX INC/DE	DE		X	X	09/06/00
DIGITALTHINK INC	CA		X	X	09/15/00
DRUGMAX COM INC	NV		X		09/14/00
DT INDUSTRIES INC	DE		X	Χ	09/19/00
E COMMERCE GROUP INC	NV	X		X	09/18/00 AMEND
EA ENGINEERING SCIENCE & TECHNOLOGY INC	DE		X		09/19/00
EARTHLINK INC	DE	X			09/12/00
EBAY INC	DE		X	X	09/19/00
EDG CAPITAL INC	NY	ХХ		X	09/13/00
EDUCATIONAL INSIGHTS INC	CA		X	Х	09/12/00
EDUTREK INT INC	GA		X	Х	09/08/00
EMPIRE FEDERAL BANCORP INC	DE		X	X	09/15/00
ENDOSONICS CORP	DE	X			09/19/00
ENHERENT CORP	DE		Х	X	09/18/00

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FOODVISION COM INC	DE			17	v				06/28/		
FORD MOTOR CO	DE	17	٠,		X				09/14/		
FORD MOTOR CO	DE	X					Х		07/08/		AMEND
GENTNER COMMUNICATIONS CORP	TU		X				X X		10/21/		AMEND
GILLETTE CO	DE					X			09/18/		
GLOBALSTAR LP	DE					X	X X		09/18/		
GLOBALSTAR TELECOMMUNICATIONS LTD	DE					X	X		09/10/		
GOLDMAN SACHS GROUP INC	DE					X	v				
GREENPOINT HOME EQUITY LOAN TRUST 2 000-1	DE					Х	Х		08/25/		
GREENPOINT MORTGAGE SECURITIES INC/	DE					X	X		09/14/		
GULFMARK OFFSHORE INC	DE					X			09/18/		
HANOVER FOODS CORP /PA/	PA					X			09/12/		
HARRIS CORP /DE/	DE						X		08/31/		AMEND
HEADWATERS INC	DE					Χ	X		09/06/		
HEALTHAXIS INC	PA					Χ	X		09/18/		
HEWLETT PACKARD CO	DE					X	X		09/18/		
HOME LOAN TRUST 2000 1 MORTGAGE PAS S THROUGH CERT SER 2000 A	NC					Х	Х		08/30/	00	
HONDA AUTO LEASE TRUST 1999A	DE					Х	X		08/31/	00	
HOUSEHOLD AUTOMOTIVE TRUST VI SERIE							Х		09/15/	00	
S 2000 3 HYBRIDON INC	DE					Х			09/12/	00	
ICG COMMUNICATIONS INC /DE/	DE					Х	Х		08/22/		
ICG COMMUNICATIONS INC /DE/	DE					Х			09/13/		
ICG COMMUNICATIONS INC /DE/	DE					Х			09/18/		
	DE					Х	Х		08/22/		
ICG FUNDING LLC	DE					X	**		09/13/		
ICG FUNDING LLC	DE					X			09/18/		
ICG FUNDING LLC						X	Х		08/22/		
ICG HOLDINGS CANADA CO /CO/	DE					X	7.		09/13/		
ICG HOLDINGS CANADA CO /CO/	DE					X			09/18/		
ICG HOLDINGS CANADA CO /CO/	DE					X	Х		08/22/		
ICG HOLDINGS INC	CO						Λ		09/13/		
ICG HOLDINGS INC	co					X			09/13/		
ICG HOLDINGS INC	CO					Λ			05/10/	50	

	STATE			8K ITEM	NO.		
NAME OF ISSUER	CODE			3 4 5 6		DATE C	OMMENT
ICG SERVICES INC	DE			X	Х	 08/22/00	
IEXALT INC	NV			X		09/14/00	
INFORMATION MANAGEMENT ASSOCIATES I	CT			X	X	09/18/00	
INTEGRATED FOOD RESOURCES INC	NV		X			09/14/00	
INTERCOM SYSTEMS INC	DE			X	X	09/12/00	
INTERMOUNTAIN RESOURCES INC	NV			X		09/08/00	
JABIL CIRCUIT INC	DE			X	X	09/19/00	
JAWS TECHNOLOGIES INC /NY	NV		Х		X	08/22/00	
LASON INC	DE			X	X	09/18/00	
LEHMAN T H & CO INC	DE			X		09/10/00	
LEVI STRAUSS & CO	DE			X	X	09/19/00	
MATHSOFT INC	MA			X	X	09/18/00	
MCMORAN EXPLORATION CO /DE/	DE			X		09/15/00	
MEASUREMENT SPECIALTIES INC	NJ			X		09/18/00	
MEDAMICUS INC	MN			Х	X	08/25/00	
MEDICAL DYNAMICS INC	CO			X		09/13/00	
MEDIQUIK SERVICES INC	DE	Χ	Х		X	09/01/00	
MEDIS TECHNOLOGIES LTD	DE			X		09/01/00	
MERCHANTS NEW YORK BANCORP INC	DE			Х	X	09/05/00	
METRIS MASTER TRUST	DE				Χ	09/19/00	
METRIS RECEIVABLES INC	DE				X	09/19/00	
METRISA INC	DE				Χ	07/06/00	AMEND
MLMI RESECURITIZATION PASS THROUGH	DE			Х	X	08/30/00	
CERT SERIES 2000-1A							
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE			X	X	08/30/00	
MLMI RESECURITIZATION PASS THROUGH	DE			Х	Х	08/30/00	
CERT SERIES 2000-1C	םם			Λ	71	00/30/00	
MLMI RESECURITIZATION PASS THROUGH	DE			Х	Х	08/30/00	
CERT SERIES 2000-1D	םם			71	71	00/30/00	
MONEY STORE INVESTMENT CORP	NJ			Х	Х	09/18/00	
MONEY STORE SBA ADJUSTABLE RATE CER				X	X	09/18/00	
TIFICATES 1998-1	- '						
MORGAN J P & CO INC	DE			X	X	09/12/00	
MORGAN J P COMMERCIAL MORTGAGE FINA NCE CORP	DĒ			Х	Х	09/18/00	
NATURADE INC	DE			X	X	08/31/00	
NETWORK SIX INC	RI	Χ				09/14/00	
NEWPORT NEWS SHIPBUILDING INC	DE			X	X	09/18/00	
NX NETWORKS INC	DE			X	X	09/19/00	
OSICOM TECHNOLOGIES INC	NJ	Χ				09/05/00	
PAGING NETWORK INC	DE	Χ		Х	X	09/07/00	
PARK PLACE ENTERTAINMENT CORP	DE			Х	X	09/12/00	
PARKER DRILLING CO /DE/	DE			Х	X	09/14/00	
PENTASTAR COMMUNICATIONS INC	DE			Х	X	09/01/00	
PEOPLES BANK CREDIT CARD MASTER TRU	CT			X		08/31/00	
PEOPLES SIDNEY FINANCIAL CORP	DE			Х	Х	09/15/00	
PHILADELPHIA SUBURBAN CORP	PA			Λ	X	09/13/00	
PINNACLE ENTERTAINMENT INC	DE			Х	X	09/15/00	
PINNACLE HOLDINGS INC	DE			X	X	08/04/00	
PLAY CO TOYS & ENTERTAINMENT CORP	DE	Х			Х	09/18/00	

	STATE			81	K I	I TE	EM	NO.				
NAME OF ISSUER	CODE	1	2	3	4	5	6	7 8	9	DATE	C(	OMMENT
PRUDENTIAL SECURITIES SECURED FINAN	DE	X	-					X		09/01/	00	
CING CORP										00/25/	^ ^	
QUOKKA SPORTS INC	DE					X		Х		09/15/		
REGENCY GROUP LTD INC	NV				X			X		09/18/		AMEND
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							Х		09/18/		
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DĒ							Х		09/18/	00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					Х		X		09/19/	00	
ROHN INDUSTRIES INC	DE							Х	Х	09/18/	00	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST SOYLAND 1993 B1	NY	X								09/15/	00	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST SOYLAND 1993 B2	NY	Χ								09/15/	00	
RYERSON TULL INC /DE/	DE					Х		Х		09/15/	00	
SALEM COMMUNICATIONS CORP /DE/	DE		Х					X		09/01/		
SANGUINE CORP	NV				Х					09/18/		
SCHULER HOMES INC	DE					Х		Х		09/12/	00	
SFAC NEW HOLDINGS INC	DE					Х				09/18/	00	
SFC NEW HOLDINGS INC	DE					Х				09/18/	00	
SHAW GROUP INC	LA		Х							09/18/	00	
SHELLS SEAFOOD RESTAURANTS INC	DE				Х			Х		09/13/	00	
SONUS COMMUNICATION HOLDINGS INC	DE				Х					09/15/	00	
SOONER HOLDINGS INC /OK/	OK							2	ζ.	09/11/	00	
SOUTHERN FINANCIAL BANCORP INC /VA/	VA		Х					Х		09/01/	00	
SUNGLOBE FIBER SYSTEMS CORP	NV					Х		Х		07/11/	00	AMEND
SVI HOLDINGS INC	NV					Х				09/19/	00	
SYNC RESEARCH INC	DE	Χ	Х			Х		ΧХ	ζ.	08/31/	00	
TANGIBLE ASSET GALLERIES INC	NV		Χ					X		09/05/	00	
TELTONE CORP	WA		Х					Х		09/20/	00	
TEXAS PETROCHEMICAL HOLDINGS INC	DE				Х			Х		09/18/	00	
TEXAS PETROCHEMICALS LP	TX				Х			Х		09/18/	00	
TIBCO SOFTWARE INC	DE		Х					X		09/05/	00	
TIME WARNER TELECOM INC	DE		Х					Χ		09/11/	00	
TRANSPRO INC	DE					Х		Х		09/12/	00	
TRICON GLOBAL RESTAURANTS INC	NC		Х							09/14/	00	
TSET INC	NV				Χ			X		09/06/	00	
U S WIRELESS DATA INC	CO					Х		Х		09/07/	00	
UAL CORP /DE/	DE					Х		Х		09/19/	00	
UNIMARK GROUP INC	ΤX		Х					Х		09/18/	00	
UNITED ARTISTS THEATRE CIRCUIT INC /MD/	MD	X								09/05/	00	
UNITED ARTISTS THEATRE CO	DE	Х								09/05/	00	
US DATA AUTHORITY INC	$\mathtt{FL}$	Χ								09/15/	00	
VERTEX PHARMACEUTICALS INC / MA	MA					Х		Х		09/19/	00	
VIALOG CORP	MΑ					Х		Х		09/18/	00	
VIRTUAL COMMUNITIES INC/DE/	DE					Х				09/19/	00	
WACKENHUT CORP	FL					Х		Х		09/19/	00	
WACKENHUT CORRECTIONS CORP	FL					Х		Х		09/19/		
WASHINGTON GROUP INTERNATIONAL INC	DE					Х				09/15/		
WATCHOUT INC	UT	Χ	Х					Х		09/09/		
WEBB INTERACTIVE SERVICES INC	CO							Х		09/13/		
WHX CORP	DE					X				09/18/		

	STATE		8 K	(	ITI	EM	N	ο.				
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
WINNERS EDGE COM INC	DE					X					09/18/	00
WORLD FINANCIAL NETWORK NATIONAL BA	OH					Х		X			09/15/	00
NK												
WORLDWIDEWEB INSTITUTE COM INC	${ t FL}$					Χ					09/01/	00
WORONOCO BANCORP INC	DE					Х		Х			09/18/	00
21ST CENTURY TECHNOLOGIES INC	NV				Χ						09/06/	00