SEC NEWS DIGEST

Issue 2000-194

October 10, 2000

ENFORCEMENT PROCEEDINGS

WHX CORPORATION - CHARGES DISMISSED

Charges against WHX Corporation (WHX) were dismissed after a hearing before an administrative law judge. WHX is a holding company with subsidiaries that manufacture steel products and invest in securities. WHX had been charged with violations under the Williams Act in connection with its failed 1997 takeover bid for Dynamics Corporation of America.

Williams Act requirements are intended to provide enough time and information for shareholders to make informed decisions about takeover bids and to eliminate discriminatory treatment among those who desire to tender shares. The Williams Act is for the protection of investors and does not favor either the takeover bidder or existing management of the target.

WHX was charged with improperly excluding some shareholders from its tender offer because, initially, it offered to purchase only shares accompanied by voting rights. The law judge found that WHX had informed the Commission of the voting rights condition and attempted to convince the Commission of its validity. The Commission informed WHX that it was not convinced and WHX removed the condition promptly, a few days after the offer commenced. No harm was done during those few days. The law judge concluded that this conduct did not violate the rules and subject WHX to a sanction.

WHX was also charged with making material changes to the offer on the last day without extending it for five business days as required. WHX allegedly changed the offer by waiving three conditions related to the target's anti-takeover defenses when it let the offer expire and bought tendered stock. The law judge concluded, however, that the conditions had already been removed or were inapplicable when the offer expired, so there was no violation. The first, an impairing transaction condition had been removed after management of the target and a friendly bidder undertook such transactions. WHX removed that condition from its offering materials, increased the number of shares it offered to buy, raised the price, and extended the offer's duration. The other two conditions, a poison pill condition and a state anti-takeover provision, were not applicable when the offer closed, since WHX then owned 13% of the target's stock – under a 20% threshold. The law judge noted that no shareholder of the target complained about WHX's conduct of the offer. (Initial Decision No. 173; File No. 3-9634)

COMMISSION REMANDS PALMWORKS, INC.'S PROCEEDING TO THE NASD

The Commission has remanded the proceeding involving PalmWorks, Inc. to the National Association of Securities Dealers, Inc. in order to allow it to make a determination regarding the eligibility of PalmWorks' securities for continued quotation on the OTCBB.

The Commission had ordered a stay of the NASD's action delisting PalmWorks' securities from the OTCBB pending its determination of the company's application for review but noted in its order that the NASD could request that the proceeding be remanded to it for resolution pursuant to appropriate existing NASD procedures. On September 19, 2000, the NASD informed the Commission that it would allow a NASD hearing panel to determine whether PalmWorks' securities should continue to be quoted on the OTCBB and moved for the PalmWorks proceeding be remanded to it for resolution. The Commission has granted the remand order. (Rel. 34-43423; File No. 3-10200)

COMMISSION GRANTED EMERGENCY RELIEF IN CONNECTION WITH \$156 MILLION INTERNET OFFERING FRAUD

On October 4, the Honorable David O. Carter, United States District Judge of the Central District of California, issued a temporary restraining order halting an ongoing fraud by Ernest F. Cossey (Cossey) and Gary W. Williams (Williams), entities controlled by them (TLC Entities), and Thomas G. Cloud (Cloud) and his company, who were using the Internet to sell the fraudulent securities. The Court: (1) ordered the defendants to immediately cease their fraudulent activities; (2) placed a freeze on the defendants' assets; (3) appointed a temporary receiver over those assets for 10 days pending a hearing to determine the full extent of their fraudulent conduct; (4) ordered an accounting from the defendants; and (5) granted other relief.

The Commission's complaint, filed on October 3, 2000, alleges that since 1998, the TLC entities have raised at least \$156 million from more than 2,600 investors, including numerous senior citizens, purportedly for the purpose of investing in distressed real estate. In fact, Cossey, Williams and the TLC Entities are currently operating an undisclosed Ponzi scheme using client funds to make interest payments. In addition, Cossey and Williams have misused at least \$28.3 million in investor funds. Cloud and his company, Cloud & Associates Consulting, Inc. (C&A) falsely claimed C&A had received no commissions when, in fact, C&A has received at least \$1 million in commissions through July 2000.

The Commission obtained an order temporarily restraining Cossey, Williams, the TLC Entities, C&A and Cloud from committing securities fraud in violation of Section 17(a) of the Securities Act of 1933 (Securities Act), Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder. The defendants (except Williams) were also temporarily restrained from committing violations of the securities registration provisions of Sections 5(a) and 5(c) of the Securities Act. In addition to the interim relief granted today, the Commission seeks a final judgment against Cossey, Williams, entities they control, Cloud & Associates and Cloud enjoining them from future violations of Sections 5(a) and 5(c) of the Securities Act (except for Williams), Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, ordering them to disgorge all ill-gotten gains, and assessing civil penalties against them. [SEC v. TLC Investments and Trade Co., et al., Civil Action No. SACV 00-960 DOC, EEx, C.D. Cal.] (LR-16754)

INTERNET OFFERING ACTION FILED AGAINST ROBERT F. MOORE D/B/A THE KINGDOM OF ENENKIO

The Commission filed an action against Robert F. Moore, individually and doing business as The Kingdom of Enenkio, of Honolulu, Hawaii. The complaint, filed in the United States District Court for the District of Hawaii, alleges that Moore, a resident of Honolulu, Hawaii, is holding himself out to be the head of State of The Kingdom of Enenkio. The complaint alleges that Moore is currently conducting a \$1 billion offering of "Enenkio Gold War bonds" on an Internet Web site (www.Enenkio.org), through email solicitations sent worldwide, and in postings to various Internet bulletin boards. Enenkio asserts ancestral tribunal rights to Wake Island and atolls in the Marshall Islands chain and claims an intention to develop its territories. According to the complaint, the Kingdom of Enenkio is not recognized in any international forum as a sovereign state nor is it a corporate or statutory entity. The complaint alleges that Moore's offering materials represent that the bonds will pay a compound interest rate of ten percent after 5 years. The complaint also alleges that Moore represents that the bonds are "backed by gold reserves, guarantees, real property or other assets." However, according to the complaint, Moore has no gold reserves and no security, real property or otherwise, exists for the bonds. The complaint further alleges that the bonds have not been registered with the Commission and no exemption for registration applies.

Judge Susan Mollway granted an emergency temporary restraining order against Moore. Judge Mollway's order enjoined Moore from violating the antifraud and securities registration provisions of the federal securities laws, required Moore to provide an accounting, and froze Moore's assets pending determination of the matter on the merits. [SEC v. Robert F. Moore, individually and d/b/a The Kingdom of Enenkio, No. CV-0000651-SOM, D. Haw.] (LR-16758)

INVESTMENT COMPANY ACT RELEASES

WM VARIABLE TRUST, ET AL.

A notice has been issued giving interested persons until October 30 to request a hearing on an application filed by WM Variable Trust (Trust), and WM Advisors, Inc. (Adviser), for an order pursuant to Section 6(c) of the Investment Company Act for exemptions from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder. Applicants seek exemptive relief to the extent necessary to permit shares of any current or future investment portfolios of the Trust and shares of any other investment company or portfolio that is designed to fund insurance products and for which Adviser or any of its affiliates may serve in the future as investment adviser, investment manager, investment sub-adviser, manager, principal underwriter, sponsor or administrator (Future Trusts) to be sold to and held by separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and unaffiliated life insurance companies; qualified pension and retirement plans outside of the separate account context; and the Trust's or Future Trusts' investment advisor (representing seed money investments). (Rel. IC-24679 – October 5)

VANGUARD INDEX FUNDS, ET AL.

A notice has been issued giving interested persons until October 31 to request a hearing on an application filed by Vanguard Index Funds, *et al.* for an order exempting applicants from Sections 2(a)(32), 17(a)(1) and (a)(2), 18(f)(1), 18(i), 22(d), and 24(d) of the Investment Company Act and from Rule 22c-1 under the Act. The order would permit each of certain registered open-end management investment companies whose portfolios consist of the component securities of certain indices to issue a new class of shares with limited redeemability. The requested order would permit transactions in the shares of the new classes at negotiated prices in the secondary market and would allow dealers to sell the shares to secondary market purchasers unaccompanied by a prospectus, when prospectus delivery is not required by the Securities Act of 1933. The requested order also would permit certain affiliated persons of the investment companies in connection with the purchase and redemption of aggregations of shares of the new classes. (Rel. IC-24680 – October 6)

HOLDING COMPANY ACT RELEASES

DOMINION RESOURCES, INC., ET AL.

A supplemental order has been issued authorizing a proposal by Dominion Resources, Inc., a registered holding company, and its wholly owned subsidiary, Consolidated Natural Gas Company, also a registered holding company, to sell Virginia Natural Gas to AGL Resources, Inc. for a purchase price of \$550 million. (Rel. 35-27241)

DOMINION RESOURCES, INC., ET AL.

An order has been issued authorizing a proposal by Dominion Resources, Inc., a registered holding company, and its wholly owned subsidiary, Consolidated Natural Gas Company (CNG), also a registered holding company, for CNG to pay dividends out of paid-in-capital, and for DRI to restructure its nonutility businesses. (Rel. 35-27242)

SCOTTISH POWER PLC, ET AL.

A notice has been issued giving interested persons until October 31 to request a hearing on a proposal by Scottish Power plc, a foreign registered public utility holding company, its electric utility subsidiary, PacifiCorp, and their respective subsidiaries, to engage in various financing transactions and intrasystem transactions. (Rel. 35-27244)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The <u>Philadelphia Stock Exchange</u> filed a proposed rule change (SR-Phlx-00-52) to amend the procedures for hearing of appeals in disciplinary matters. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 9. (Rel. 34-43415)

The <u>National Association of Securities Dealers</u> filed a proposed rule change (SR-NASD-00-50) relating to the definition of "Public Offering" for purposes of Nasdaq's Shareholder Approval Rules. Publication of the proposal is expected in the <u>Federal</u> <u>Register</u> during the week of October 9. (Rel. 34-43420)

APPROVAL TO PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the <u>National Association of Securities Dealers</u>, through its wholly owned subsidiary The Nasdaq Stock Market, Inc., (SR-NASD-00-16) amending the minimum listing requirements for the inclusion and maintenance of funds in the Mutual Fund Quotation Service. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 9. (Rel. 34-43417)

DELISTING GRANTED

An order has been issued granting the application of the <u>Philadelphia Stock Exchange</u> to strike from listing and registration call and put option contracts issued by <u>The Options</u> <u>Clearing Corporation</u> with respect to certain underlying securities. (Rel. 34-43424)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-3 MTR CORP, 8 WANG HOI RD, KOWLOON BAY, HONG KONG, K3 (212) 664-1666 -750,000,000 (\$750,000,000) STRAIGHT BONDS. (FILE 333-12612 - SEP. 26) (BR. 5)

S-8 GLOBAL LIGHT TELECOMMUNICATIONS INC, 1033 999 WEST HASTINGS ST, VANCOUVER BC CANADA, A1 - 4,000,000 (\$34,688,165.23) FOREIGN COMMON

STOCK.

99

(FILE 333-12616 - SEP. 25) (BR. 7)

- F-3 EDP ELECTRICIDADE DE PORTUGAL SA, AVENIDA JOSE MAIHOA, LOTE A 13, 1070 LISBON PORTUGAL, S1 (351) 726-3013 - 60,000,000 (\$195,600,000) FOREIGN COMMON STOCK. (FILE 333-12620 - SEP. 27) (BR. 2)
- F-6 REMGRO LTD /ADR/, BANK OF NEW YORK, ONE WALL ST, NEW YORK, NY 10286 (212) 495-1727 - 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12622 - SEP. 27) (BR.

- NEW ISSUE)

S-8 WARNER CHILCOTT PLC, LINCOLN HOUSE, LINCOLN PLACE, DUBLIN 2 IRELAND, L2 00000 (011) 353-1709 - 7,238,532 (\$85,848,989.52) FOREIGN COMMON STOCK.

(FILE 333-12634 - SEP. 29)

S-8 WARNER CHILCOTT PLC, LINCOLN HOUSE, LINCOLN PLACE, DUBLIN 2 IRELAND, L2 00000 (011) 353-1709 - 4,825,437 (\$57,229,682.82) FOREIGN COMMON STOCK. (FILE 333-12636 - SEP. 29) (NEW ISSUE)

S-8 NORTEL NETWORKS CORP, 8200 DIXIE ROAD, SUITE 100, BRAMPTON ONT CANA, A6 00000 (905) 863-1103 - 1,055,730 (\$69,583,164.30) FOREIGN COMMON STOCK.

(FILE 333-12644 - SEP. 29) (BR. 7)

S-3 NVIDIA CORP/CA, 3535 MONROE STREET, SANTA CLARA, CA 95051 (408) 615-2500

- \$200,000,000 STRAIGHT BONDS. (FILE 333-47102 - OCT. 02) (BR. 5)

S-8 CORVIS CORP, 7015 ALBERT EINSTEIN DR, COLUMBIA, MD 21046 (443) 259-4000 - 94,311,463 (\$2,772,894,616) COMMON STOCK. (FILE 333-47104 - OCT. 02)

(BR. 7)

- S-3 EMC CORP, 35 PARKWOOD DRIVE, HOPKINTON, MA 01748 (508) 435-1000 -2,020,000 (\$199,475,000) COMMON STOCK. (FILE 333-47112 - OCT. 02) (BR. 3)
 - S-3 ADVANCED ENERGY INDUSTRIES INC, 1625 SHARP POINT DR, FT COLLINS, CO 80525 (970) 221-4670 - 2,100,000 (\$71,074,500) COMMON STOCK. (FILE 333-47114 - OCT. 02) (BR. 5)
 - S-8 VIRATA CORP, 2933 BUNKER HILL LN, STE 201, SANTA CLARA, CA 95054 (408) 566-1000 - 622,293 (\$3,715,548) COMMON STOCK. (FILE 333-47118 -OCT. 02) (BR. 5)
 - S-1 APBIOTECH, AMERSHAM PLACE LITTLE CHALFONT, BUCKINGHAMSHIRE, ENGLAND HP7 9NA, - \$100,000,000 COMMON STOCK. (FILE 333-47120 - OCT.

02)

(NEW ISSUE)

S-8 BUILDING MATERIALS HOLDING CORP, ONE MARKET PLAZA, STEUART TOWER 2650, SAN FRANCISCO, CA 94105 (415) 227-1650 - 200,000 (\$1,700,000) COMMON

STOCK.

(FILE 333-47122 - OCT. 02) (BR. 6)

S-8 MISSISSIPPI VALLEY BANCSHARES INC, 700 CORPORATE PLZ DR, ST LOUIS, MO 63105 (314) 268-2580 - 300,000 (\$7,725,000) COMMON STOCK. (FILE 333-

47124

- OCT. 02) (BR. 7)

- S-8 PALM INC, 5470 GREAT AMERICA PARKWAY, SANTA CLARA, CA 95052 (408) 326-9000 - 35,500,000 (\$1,828,250,000) COMMON STOCK. (FILE 333-47126 - OCT. 02) (BR. 3)
- S-8 NETSPEAK CORP, 902 CLINT MOORE RD STE 104, BOCA RATON, FL 33487 (561) 977-4001 - 1,500,000 (\$15,990,000) COMMON STOCK. (FILE 333-47130

OCT. 02) (BR. 3)

S-8 PROCTER & GAMBLE CO, ONE PROCTER & GAMBLE PLZ, CINCINNATI, OH 45202 (513) 983-1100 - 500,000 (\$30,625,000) COMMON STOCK. (FILE 333-47132 -OCT. 02) (BR. 2)

S-8 HEALTHNET INTERNATIONAL INC, STE 301 1201 WEST PENDER ST, VANCOUVER, BC CN V6A4C3, A1 00000 (604) 508-4243 - 500,000 (\$465,000) COMMON STOCK.

(FILE 333-47134 - OCT. 02) (BR. 9)

- PROCTER & GAMBLE CO, ONE PROCTER & GAMBLE PLZ, CINCINNATI, OH 45202 S-8(513) 983-1100 - 400,000 (\$24,500,000) COMMON STOCK. (FILE 333-47136 -OCT. 02) (BR. 2)
- EROOM TECHNOLOGY INC, 725 CONCORD AVENUE, CAMBRIDGE, MA 02138 S-1 (617) 497-6300 - \$70,000,000 COMMON STOCK. (FILE 333-47138 - OCT. 02)
- MERCURY INTERACTIVE CORPORATION, 1325 BORREGAS AVENUE, SUNNYVALE, CA S - 894089 (408) 822-5200 - 2,000,000 (\$300,500,000) COMMON STOCK. (FILE 333-47140 - OCT. 02) (BR. 3)

TELETECH HOLDINGS INC, 1700 LINCOLN STREET, SUITE 1400, DENVER, CO S-8 80203 (303) 894-4000 - 5,200,000 (\$125,612,500) COMMON STOCK. (FILE 333-47142 -OCT. 02) (BR. 8)

SOUTHERN UNION CO, 504 LAVACA ST 8TH FL, AUSTIN, TX 78701 (512) 477-S-8 5852 - 494,101 (\$9,573,202) COMMON STOCK. (FILE 333-47144 - OCT. 02) (BR.

2)

HEADHUNTER NET INC, 333 RESEARCH COURT, STE 200, NORCROSS, GA 30092 S-8 (770) 300-9272 - 3,143,775 (\$15,857,269) COMMON STOCK. (FILE 333-47146

OCT. 02) (BR. 2)

VIZACOM INC, GLENPOINTE CENTER EAST, 300 FRANK W BURR BOULEVARD, S-3TEANECK, NJ 07666 (201) 928-1001 - 311,518 (\$448,586) COMMON STOCK. (FILE

333-47148 - OCT. 02) (BR. 3)

- TERAYON COMMUNICATION SYSTEMS, 2952 BUNKER HILL LN, SANTA CLARA, CA S-895054 (408) 727-4400 - 4,223,968 (\$171,405,030) COMMON STOCK. (FILE 333-47154 - OCT. 02) (BR. 7)
- KEYNOTE SYSTEMS INC, 2855 CAMPUS DRIVE, SAN MATEO, CA 94403 S-3(650) 522-1000 - 806,750 (\$20,023,535) COMMON STOCK. (FILE 333-47156 -OCT. 02) (BR. 8)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant. Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits. Item 8. Change in Fiscal Year.

- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website:

NAME OF ISSUER	STATE CODE	1	2			ITEM 56		9	DATE CO	MMENT
ADC TELECOMMUNICATIONS INC	MN					Х	Х		10/06/00	
ALPINE AIR EXPRESS INC/DE	DE		Х				Х		09/21/00	
AMAZON NATURAL TREASURES INC	NV					Х			06/21/00	
AMERICAN PLUMBING & MECHANICAL INC	DE				Х				09/29/00	
ANALOG DEVICES INC	MA					Х	Х		09/26/00	
APW LTD						Х	Х		09/26/00	
ASSOCIATES FIRST CAPITAL CORP	DE					Х			10/07/00	
BECKMAN COULTER INC	DE					Х	Х		10/05/00	
BSB BANCORP INC	DE					Х	Х		10/04/00	
BUILDING MATERIALS CORP OF AMERICA	DE					Х			10/05/00	
BUILDING MATERIALS INVESTMENT CORP						Х			10/05/00	
BUILDING MATERIALS MANUFACTURING CO						Х			10/05/00	
CABOT MICROELECTRONICS CORP	DE					Х	Х		09/29/00	
CERES GROUP INC	DE						Х		07/25/00	AMEND
CHAPARRAL RESOURCES INC	DE	Х				Х	Х		09/21/00	
CHASE FUNDING INC	NY					Х	Х		09/25/00	
CHASE MANHATTAN BANK CHASE CREDIT C	DE					Х	Х		10/03/00	
ARD OWNER TRUST 2000 3									00/05/00	
CHASE MORTGAGE FINANCE CORP	DE					Х	Х		09/25/00	
CHEMICAL FINANCIAL CORP	MI.					Х			10/06/00	
CNA FINANCIAL CORP	DE					X			10/06/00	
COMMUNICATIONS INSTRUMENTS INC	NC					Х	Х		10/02/00	
CONTISECURITIES ASSET FUNDING CORP						Х	X		09/25/00	
COOPERATIVE BANKSHARES INC	NC				X	K	X		09/21-00	
CORECOMM LTD /DE/	DE					Х	Х		10/06/00	
COURIER CORP	MA		X				Х		09/22/00	
CRITICAL PATH INC	CA		Х				Х		10/06/00	
CSX TRADE RECEIVABLES CORP	DE					Х	Х		09/25/00	
DAVITA INC	DE					Х	Х		10/05/00	
DELTA PETROLEUM CORP/CO	CO		Х			Х	Х		09/29/00	
DYNAGEN INC	DE					Х	Х		10/06/00	
DYNEGY INC /IL/	IL					Х	Х		10/02/00	
EDGE TECHNOLOGY GROUP INC	DE		Χ				Х		09/22/00	
EDUCATIONAL VIDEO CONFERENCING INC	DE		Х				Х		09/22/00	
ESPERION THERAPEUTICS INC/MI	DE		Х				Х		09/21/00	
E4L INC	DE					Х	Х		09/20/00	
FLEXEMESSAGING COM INC/NEW	ID					Х	Х		10/06/00	
FMC CORP	DE					Х			10/03/00	
FORESTRY INTERNATIONAL INC	CO	Х				Х			05/12/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ					Х	Х		09/25/00)
GPU INC /PA/	PA					Х	Х		10/05/00)
HAMILTON MCGREGOR INTERNATIONAL INC	C NY					х	Х		09/08/00)
HEALTHCOMP EVALUATION SERVICES COR			P	ζ		X	X		06/26/00	
HELEN OF TROY LTD			•			Х	X		10/05/00	

NAME OF ISSUER	STATE CODE	1	2	8K I 3 4	TEM 5 6	1 NO. 5 7 8	9	DATE	C	OMMENT
HNC SOFTWARE INC/DE	DE				Х	Х		09/29/		
HOMESEEKERS COM INC	NV		Х					07/21/	00	AMEND
HOMESEEKERS COM INC	NV				Х	Х		10/06/	00	
HOMETEACH COM INC	NV	Х						10/06/	00	
HORIZON FINANCIAL SERVICES CORP	DE				Х	Х		10/06/	00	
	HI			Х				05/31/	00	AMEND
HUDSON RIVER BANCORP INC	DE				Х	Х		09/28/	00	
I TRAX COM INC	DE			•	х	x		10/06/	00	
IEXALT INC	NV					X		09/27/	00	AMEND
INFOGRAMES INC			х			х		10/20/		
INNOVEDA INC	DE									
INTERNATIONAL COSMETICS MARKETING C					Х			09/27/		
INVERNESS MEDICAL TECHNOLOGY INC/DE	DE				Х	х		10/04/	00	
JCC HOLDING CO	DE				Х	х		09/27/		
KEYSPAN CORP	NY				X			10/06/		
LAMSON & SESSIONS CO			x			x		09/22/		
LASER MORTGAGE MANAGEMENT INC			11			X		07/11/		
LIBERTY DIGITAL INC	DE					X		09/25/		
					Δ	x				
LINDAL CEDAR HOMES INC /DE/					v			09/14/		
LIQUI BOX CORP	ОН				X			• •		
LOEWS CORP	DE				х	X		10/06/		
MARKET HUB PARTNERS STORAGE LP		Х				Х		09/18/		
MCNAUGHTON APPAREL GROUP INC	DE					X X		10/04/		
MEDIS TECHNOLOGIES LTD	DE							10/04/		
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				Х	Х		08/31/	00	
MERRILL LYNCH & CO INC	DE			•	Х	Х		10/06/	00	
MILLENNIUM PHARMACEUTICALS INC	DE				Х	Х		10/05/	00	
MIVI BIOMEDICAL TECHNOLOGY		Х						10/06/	00	
MONTANA POWER CO /MT/	MT		Х					09/29/	00	
MORGAN STANLEY ABS CAPITAL I INC MS DWCC HELOC TRUST 2000-1	DE					Х		09/25/	00	
MORTON INDUSTRIAL GROUP INC	GA				Х			09/20/	00	
NBT BANCORP INC	DE				Х	Х		10/04/	99	
NEFF CORP	DE	Х						10/04/	00	
NELX INC	KS			х		х		10/02/		
NETLOJIX COMMUNICATIONS INC	DE		Х			X		09/22/		
NIGHTINGALE INC	UT		••		Х	••		10/06/		
NORTON MOTORCYCLES INC	co	х			11			09/27/		
		Δ		x	х	v		10/05/		
OMEGA ENVIRONMENTAL INC	DE					X				
PACIFIC GULF PROPERTIES INC	MD				Х	X		10/06/		
PACIFICNET COM INC	DE					Х		07/27/		AMEND
PAN PACIFIC RETAIL PROPERTIES INC	MD				Х	Х		10/06/		
PEGASUS AIRCRAFT PARTNERS II L P	DE				Х			10/05/		
PIVOTAL CORP			Х			Х		09/26/		AMEND
PNC MORTGAGE ACCEPTANCE CORP	MO				Х	Х		10/06/		
RADIO ONE INC	DE				Х	Х		10/06/		AMEND
RANKIN AUTOMOTIVE GROUP INC	LA		Х			х		09/25/		
RAYONIER INC	NC				Х	Х		09/22/		
RED HAT INC	DE		Х			Х		07/27/		AMEND
ROCKY MOUNTAIN FINANCIAL ENTERPRISE S INC	CO	Х			X	ХХ		10/06/	00	
SAKS INC	TN				Х	Х		10/06/	00	

NAME OF ISSUER	STATE CODE	12			TEM 5 6			9	DATE	C01	MMENT
SALOMON BROTHERS MORT SEC VII INC M ORT PAS TH CE SER 2000-1	DE				х	Х			09/25/	00	
SANGSTAT MEDICAL CORP	DE				Х	Х			10/04/	00	
SARATOGA INTERNATIONAL HOLDINGS COR	NV	Х				Х			10/31/	00	
SOFTNET SYSTEMS INC	DE				Х	Х			10/03/	00	
SOUTHERN MICHIGAN BANCORP INC	MI				Х	Х			10/04/	00	
SPARTA SURGICAL CORP	DE			Х		Х			10/06/		
SPECIAL DEVICES INC /DE	DE	Х							09/21/		
STANLEY WORKS	CT				Х				09/29/		
STEELTON BANCORP INC	PA			Х		Х			09/20/		AMEND
STORAGE COMPUTER CORP	DE				Х	Х			09/29/		
STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 2000-BC1	NY					Х			09/25/	00	
SWISS ARMY BRANDS INC	DE	Х							09/30/	00	AMEND
SYSTEMS WEST INC	CA				Х				09/22/	00	
TAKE TWO INTERACTIVE SOFTWARE INC	DE	Σ	Ζ			Х			10/02/	00	
TOYOTA MOTOR CREDIT CORP	CA	Σ	Χ						10/01/	00	
TRAVELCENTERS OF AMERICA INC	DE				Х	Х			10/02/	00	
TRITON ENERGY LTD	E9				Х	Х			10/05/	00	
U S ENERGY SYSTEMS INC	DE						Х		09/22/	00	
UNION BANKSHARES INC	VT				Х				10/04/	00	
UNITED FINANCIAL HOLDINGS INC	DE				Х				10/06/	00	
VARIFLEX INC	DE				Х	Х			10/06/	00'	
VISKASE COMPANIES INC	DE	2	ζ						09/30/	00'	
VORNADO OPERATING CO	DE				Х	Х			10/06/	00'	
WESTERN WIRELESS CORP	WA				Х	Х	2		10/03/	00	
YORK GROUP INC \DE\	DE				Х	Х	<u> </u>		09/27/	00	

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