SEC NEWS DIGEST

Issue 2000-218

November 14, 2000

COMMISSION ANNOUNCEMENTS

MEDIA ADVISORY – SEC STAFF TO CONDUCT TWO MEDIA BRIEFINGS ON RULEMAKINGS TO BE CONSIDERED AT OPEN COMMISSION MEETING ON NOVEMBER 15, 2000

What: The Securities and Exchange Commission will conduct two back-to-back media briefings on rulemakings that the Commission will consider for adoption at its meeting on Wednesday, November 15, 2000 at 10:00 a.m. EST.

When: November 14, 2000

--Market Structure Rulemakings -- 5:00 p.m. EST --Auditor Independence Rulemaking - 5:30 p.m. EST

Who: Market Structure Rulemakings:

-- Annette L. Nazareth, Director, Division of Market Regulation

--Robert L.D. Colby, Deputy Director, Division of Market Regulation

Auditor Independence Rulemaking:

-- David M. Becker, General Counsel

--Lynn E. Turner, Chief Accountant, Office of the Chief Accountant

Where: U.S. Securities and Exchange Commission 450 Fifth Street, NW

Washington, DC Room 1C50

Embargo: Information from the briefings is embargoed until November 15, 2000 at 10:00 a.m. EST.

Other: Credentialed media only; pen and pad only; no cameras; no tape recording for broadcast.

Teleconference: Call 202.942.0020 to receive the teleconference call-in number. (Press Rel. 2000-170)

ENFORCEMENT PROCEEDINGS

COMPLAINT FILED AGAINST JERRY WOMACK

On November 13, the Commission filed an action in federal district court in Los Angeles against Jerry A. Womack. The Commission alleges that Womack committed securities fraud in offering and selling \$19 million in securities to about 400 investors nationwide, between August 1997 and June 1999. The complaint alleges that Womack represented to investors that he would invest their money in the stock market and that he had retained traders on the floor of the New York Stock Exchange to assist in his trading. Womack also represented to investors that the trading activity was yielding profits. In fact, Womack utilized only about a quarter of the investors' money for trading and suffered a net loss on that trading. The complaint further alleges that Womack misused the majority of investor funds for personal and unrelated expenses and to pay some investors their purported profits and principal. The complaint charges Womack with violating the registration provisions of the Securities Act, and the antifraud provisions of the Exchange Act and the Investment Advisers Act and seeks an injunction, the payment of disgorgement, prejudgment interest and civil penalties. [SEC v. Jerry A. Womack, Civil Action No. CV 00-1105 GLT, EEx, C.D. Cal.] (LR-16798)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-NASD-00-65) filed by the <u>National Association of Securities Dealers</u> relating to Rule 10334 of the NASD Code of Arbitration Procedure has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 13. (Rel. 34-43535)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-NASD-00-48) submitted by the National Association of Securities Dealers requiring public disclosure of an issuer's receipt of a delisting notice. Publication of the notice in the Federal Register is expected during the week of November 13. (Rel. 34-43536)

APPLICATION FOR EXEMPTION FROM CLEARING AGENCY REGISTRATION

Global Joint Venture Matching Services – US, LLC has filed an application for exemption from clearing agency registration (File No. 600-32) in order to provide matching services. Publication of the notice is expected in the <u>Federal Register</u> during the week of November 13. (Rel. 34-43540)

PROPOSED RULE CHANGE

The Depository Trust Company filed a proposed rule change (SR-DTC-00-12) under Section 19(b)(1) of the Exchange Act. The proposed rule change would allow DTC to combine its TradeSuite family of institutional trade processing services with the institutional trade processing services offered by Thomson Financial ESG in a proposed joint venture between The Depository Trust & Clearing Corporation (DTCC), Thomson Information Services Inc., and Interavia, A.G. Publication of the proposal is expected in the Federal Register during the week of November 13. (Rel. 34-43541)

JOINT INDUSTRY PLAN

The Commission is proposing pursuant to Section 12(f) of the Securities Exchange Act to expand the number of eligible securities that may be traded by a national securities exchange pursuant to the Joint Transaction Reporting Plan for the Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis to include all Nasdaq/National Market Securities. Publication of the proposal is expected in the Federal Register during the week of November 13. (Rel. 34-43545)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-8 HARRIS INTERACTIVE INC, 135 CORPORATE WOODS, ROCHESTER, NY 14623 (716) 272-8400 - 1,500,000 (\$5,400,000) COMMON STOCK. (FILE 333-49336 NOV. 06) (BR. 8)

- S-8 ASDAR INC, 1750 BARBARA LANE, LEUCADIA, CA 92024 (760) 436-1273 474,402 (\$355,802) COMMON STOCK. (FILE 333-49338 NOV. 06) (BR. 8)
- S-8 SUNOCO INC, TEN PENN CENTER, 1801 MARKET ST, PHILADELPHIA, PA 19103 (215) 977-3000 8,000,000 (\$8,000,000)
 OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-49340 NOV. 06) (BR. 4)
- S-8 SUNOCO INC, TEN PENN CENTER, 1801 MARKET ST, PHILADELPHIA, PA 19103 (215) 977-3000 5,000,000 (\$5,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-49342 NOV. 06) (BR. 4)
- S-8 PROVIDENT BANCORP INC/NY/, 400 RELLA BLVD, MONTEBELLO, NY 10901 (914) 369-8040 579,600 (\$8,987,681) COMMON STOCK. (FILE 333-49344 NOV. 06) (BR. 7)
- S-8 AFFILIATED RESOURCES CORP, 3050 POST OAK BLVD, STE 1080, HOUSTON, TX 77056 (281) 334-0405 6,730,000 (\$1,076,800) COMMON STOCK. (FILE 333-49348 NOV. 06) (BR. 9)
- S-8 ZORAN CORP \DE\, 3112 SCOTT BOULEVARD, SUITE 255, SANTA CLARA, CA
 95054
 (408) 919-4111 2,153,541 (\$99,481,761.50) COMMON STOCK. (FILE 33349350
 NOV. 06) (BR. 5)
 - S-8 PAMECO CORP, 1000 CENTER PL, NORCROSS, GA 30093 (770) 798-0700 1,429,166 (\$3,126,300.62) COMMON STOCK. (FILE 333-49352 NOV. 06) (BR. 6)
- S-8 3TEC ENERGY CORP, TWO SHELL PLZ, 777 WALKER STE 2400, HOUSTON, TX
 77002
 (713) 222-6275 3,000,000 (\$42,000,000) COMMON STOCK. (FILE 333-49354
 NOV. 06) (BR. 4)
- S-8 POLYMEDICA CORP, 11 STATE ST, WOBURN, MA 01801 (617) 933-2020 1,200,000 (\$68,856,000) COMMON STOCK. (FILE 333-49356 NOV. 06) (BR. 1)
- S-8 EURBID COM INC, 21 PATRICK ST, KILKENNY CO, KILKENNY IRELAND, L2
 77094
 (353) 167-9022 10,000,000 (\$1,400,000) COMMON STOCK. (FILE 333-49358
 NOV. 06) (BR. 5)
 - SB-2 IVES HEALTH CO INC, 817 NORTH J M DAVIS, CLAREMORE, OK 74017 (918) 283-1226 4,571,429 (\$2,400,000) COMMON STOCK. (FILE 333-49360 NOV. 06) (BR. 9)
 - S-8 INTERNET COMMERCE CORP, 805 THIRD AVE, STE 622, NEW YORK, NY 10022 (212) 271-7640 172,907 (\$990,757.11) COMMON STOCK. (FILE 333-49364 NOV. 06) (BR. 3)

- S-3 TRANSMEDIA NETWORK INC /DE/, 11900 BISCAYNE BLVD, STE 460, MIAMI, FL 33181 (305) 892-3300 2,935,511 (\$8,072,655.25) PREFERRED STOCK. 8,136,580 (\$18,307,305) COMMON STOCK. (FILE 333-49366 NOV. 06) (BR. 8)
- S-8 II GROUP INC, 7000 W PALMETTO PARK RD, SUITE 501, BOCA RATON, FL 33433 (561) 620-9202 450,000 (\$1,309,500) COMMON STOCK. (FILE 333-49368 NOV. 06) (BR. 9)
- SB-2 MERLIN SOFTWARE TECHNOLOGIES INTERNATIONAL INC, SUITE 201 4199 LOUGHEED,

 BURNABY BC CANADA V5G 4E1, A6 (702) 866-2500 4,595,000 (\$4,452,555) COMMON STOCK. (FILE 333-49370 NOV. 06) (BR. 9)
- S-8 INTERNET COMMERCE CORP, 805 THIRD AVE, STE 622, NEW YORK, NY 10022 (212) 271-7640 2,349,018 (\$13,459,873.14) COMMON STOCK. (FILE 333-49372 NOV. 06) (BR. 3)
- S-4 FOREST OIL CORP, 1600 BROADWAY, 2200 COLORADO STATE BANK BLDG,
 DENVER,
 CO 80202 (303) 812-1400 \$647,399,849 COMMON STOCK. (FILE 333-49376 NOV. 06) (BR. 4)
- S-4 PROASSURANCE CORP, 100 BROOKWOOD PLACE, BIRMINGHAM, AL 35209 (205) 877-4400 34,751,435 (\$461,871,392) COMMON STOCK. (FILE 333-49378 NOV. 06) (NEW ISSUE)
 - S-8 BACH-HAUSER INC, 2080 E FLAMINGO RD, STE 112, LAS VEGAS, NV 89119 (702) 866-2500 220,000 (\$748,000) COMMON STOCK. (FILE 333-49380 NOV. 06) (BR. 6)
 - S-8 INTERFERON SCIENCES INC, 783 JERSEY AVE, NEW BRUNSWICK, NJ 08901 (908) 249-3250 4,975,000 (\$5,124,250) COMMON STOCK. (FILE 333-49386 NOV. 06) (BR. 1)
- SB-1 I TRACK INC, 44990 HEYDRENREICH, CLINTON TOWNSHIP, MI 48038 (519) 869-2677 - 5,000,000 (\$1,500,000) COMMON STOCK. (FILE 333-49388 NOV. 06)
- S-8 MACROVISION CORP, 1341 ORLEANS DR, SUNNYVALE, CA 94089 (408) 743-8600 -126,000 (\$8,481,060) COMMON STOCK. (FILE 333-49390 - NOV. 06) (BR. 5)
 - S-8 ALLIANCE CAPITAL MANAGEMENT HOLDING LP, 1345 AVE OF THE AMERICAS, NEW YORK, NY 10105 (212) 969-1000 (FILE 333-49392 NOV. 06) (BR. 7)
 - SB-2 LIONHEART WS INC, 3665 RUFFIN ROAD, SUITE 115, SAN DIEGO, CA 92123 (888) 566-9879 1,000,000 (\$100,000) COMMON STOCK. (FILE 333-49394 NOV. 06) (NEW ISSUE)

- S-8 COMMUNICATION INTELLIGENCE CORP, 275 SHORELINE DR 6TH FL, STE 520, REDWOOD SHORES, CA 94065 (650) 802-7888 10,412,237 (\$28,308,269.34) COMMON STOCK. (FILE 333-49396 NOV. 06) (BR. 3)
- S-8 MIGRATEC INC, 11494 LUNA ROAD, SUITE 100, DALLAS, TX 75234 (972) -96-9-03 7,000,000 (\$4,922,400) COMMON STOCK. (FILE 333-49398 NOV. 06) (BR. 5)
- S-3 RIBOZYME PHARMACEUTICALS INC, 2950 WILDERNESS PLACE, BOULDER, CO 80301 (303) 449-6500 - \$61,590,000 COMMON STOCK. (FILE 333-49400 - NOV. 06) (BR. 1)
- S-8 LML PAYMENT SYSTEMS INC, 1680 1140 WEST PENDER ST,
 VANCOUVER, BRITISH COLUMBIA, CANADA V6E 4GI, A1 00000 (604) 689-4440 2,500,000 (\$13,750,000) COMMON STOCK. (FILE 333-49402 NOV. 06) (BR. 8)
- S-8 MACROVISION CORP, 1341 ORLEANS DR, SUNNYVALE, CA 94089 (408) 743-8600 4,500,000 (\$302,895,000) COMMON STOCK. (FILE 333-49404 NOV. 06) (BR. 5)
- SB-2 BLACK MOUNTAIN GOLD INC, 528 FON DU LAC DR, EAST PEORIA, IL 61611 (309) 699-8725 10,000,000 (\$50,000,000) COMMON STOCK. (FILE 333-49406 NOV. 07)
- S-8 NETERGY NETWORKS INC, 2445 MISSION COLLEGE BLVD, SANTA CLARA, CA
 95054
 (408) 727-1885 4,121,287 (\$25,572,726) COMMON STOCK. (FILE 333-49410
 NOV. 07) (BR. 5)
- S-4 DOUBLECLICK INC, 450 W 33RD ST, 16TH FL, NEW YORK, NY 10001 (212) 683-0001 6,520,230 (\$122,254,313) COMMON STOCK. (FILE 333-49412 NOV. 07) (BR. 2)
- S-3 NETERGY NETWORKS INC, 2445 MISSION COLLEGE BLVD, SANTA CLARA, CA
 95054
 (408) 727-1885 3,555,303 (\$14,054,558) COMMON STOCK. (FILE 333-49414
 NOV. 07) (BR. 5)
- S-4 CAREER EDUCATION CORP, 2895 GREENSPOINT, SUITE 600, HOFFMAN ESTATES, IL
 60195 (847) 781-3600 1,216,000 (\$42,894,000) COMMON STOCK. (FILE 333-49416 NOV. 07) (BR. 8)
 - S-3 ARDEN REALTY INC, 11601 WILSHIRE BOULEVARD FOURTH FLOOR, E TOWER STE 700, LOS ANGELES, CA 90025 (310) 966-2600 - 290,000 (\$6,751,200) COMMON STOCK. (FILE 333-49418 - NOV. 07) (BR. 8)

- S-8 P&O PRINCESS CRUISES PLC, 77 NEW OXFORD ST, LONDON WC1A 1PP ENGLAND, X0
 00000 3,200,000 (\$13,576,000) FOREIGN COMMON STOCK. (FILE 333-12742

 OCT. 25) (BR. 5 NEW ISSUE)
- F-10EF INCO LTD, ROYAL TRUST TOWER, 145 KING ST W STE 1500, TORONTO ONTARIO CAN, A6 M5H 4 (416) 361-7511 5,872,324 (\$15,170,174.46) FOREIGN COMMON STOCK. (FILE 333-12748 OCT. 30) (BR. 6)
- S-8 DIVERSINET CORP, 160 NASHDENE RD, SCARBOROUGH ONTARIO, A6 5,700,000 (\$32,062,500) FOREIGN COMMON STOCK. (FILE 333-12792 OCT. 31) (BR. 5)
- F-1 TELEKOM AUSTRIA AG, SCHWARTZENBERGPLATZ 3, 1010 VIENNA AUSTRIA, C4 00000 50,000,000 (\$504,000,000) FOREIGN COMMON STOCK. (FILE 333-12794 OCT. 31) (BR. 7 NEW ISSUE)
 - F-6 TELEKOM AUSTRIA AG /ADR/, ONE WALL STREET, NEW YORK, NY 10286 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12796 OCT. 31) (BR. 99 NEW ISSUE)
- F-6 ALL NIPPON AIRWAYS CO LTD/ADR, SECURITY PACIFIC NAT'L TRUST CO NY,
 4 RECTOR ST, NEW YORK, NY 10006 (212) 978-5009 10,000,000 (\$500,000)
 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12798 NOV. 01) (BR. 99)
- S-8 TALISMAN ENERGY INC, 888 3RD STREET SW SUITE 3400, CALGARY ALBERTA CANA,
 A0 (403) 237-1234 1,000,000 (\$31,906,250) FOREIGN COMMON STOCK.

 (FILE
 333-12812 NOV. 02) (BR. 4)
- S-8 OPTIBASE LTD, 2 GAV YAM CENTER, 7 SHENKAR ST, HERZLIYA 46725 ISRAE, L3
 1,278,398 (\$20,769,147.40) FOREIGN COMMON STOCK. (FILE 333-12814 OCT. 31) (BR. 3)
 - F-1 STEWART W P & CO LTD, C/O WP STEWART & CO INC, 527 MADISON AVE, NEW YORK, NY 10022 (441) 295-8585 \$263,967,205 COMMON STOCK. (FILE 333-49420 NOV. 07) (BR. 7)
- S-8 HARTMARX CORP/DE, 101 N WACKER DR, CHICAGO, IL 60606 (312) 372-6300 2,563,011 (\$6,894,500) COMMON STOCK. (FILE 333-49422 NOV. 07) (BR. 2)
- S-8 LIGHTNING ROD SOFTWARE INC, 7301 OHMS LANE, SUITE 600, MINNEAPOLIS, MN

 55439 (612) 837-4000 1,048,925 (\$4,156,614) COMMON STOCK. (FILE 333-49426 NOV. 07) (BR. 3)

- S-8 FORTUNE NATURAL RESOURCES CORP, 515 WEST GREENS ROAD, SUITE 720, HOUSTON, TX 77067 (713) 872-1170 100,000 (\$35,862) COMMON STOCK.
- 333-49428 NOV. 07) (BR. 4)
- S-1 GMP COMPANIES INC, ONE EAST BROWARD BLVD, STE 1701, FORT LAUDERDALE, FL 33301 (954) 745-3510 \$172,500,000 COMMON STOCK. (FILE 333-49430 NOV. 07) (BR. 1)
- S-3 RF MICRO DEVICES INC, 7628 THORNDIKE ROAD, GREENSBORO, NC 27409 (336) 678-5555 300,000,000 (\$217,875,000) COMMON STOCK. (FILE 333-49432
- NOV. 07) (BR. 5)
- S-3 TXU CORP /TX/, ENERGY PLAZA, 1601 BRYAN ST, DALLAS, TX 75201 (214) 812-4600 275,000,000 (\$275,000,000) COMMON STOCK. (FILE 333-49434 NOV. 07) (BR. 2)
- S-8 FIRST STERLING BANKS INC, 676 CHASTAIN ROAD, KENNESAW, GA 30144 (770) 422-2888 175,703 (\$2,042,547.38) COMMON STOCK. (FILE 333-49436 NOV. 07) (BR. 7)
- S-8 PENN VIRGINIA CORP, 100 MATSONFORD ROAD SUITE 200, ONE RADNOR CORPORATE CENTER, RADNOR, PA 19087 (610) 687-8900 - 40,000 (\$1,098,800) COMMON STOCK. (FILE 333-49438 - NOV. 07) (BR. 4)
- S-8 WORLDNET RESOURCES GROUP INC, 140 WEST 9000 SOUTH SUITE 3, SANDY, UT 84070 (702) 866-5800 2,500,000 (\$937,500) COMMON STOCK. (FILE 333-49440 NOV. 07) (BR. 9)
 - S-3 PHOTON DYNAMICS INC, 6325 SAN IGNACIO AVENUE, SAN JOSE, CA 95119 (408) 226-9900 1,295,000 (\$46,205,600) COMMON STOCK. (FILE 333-49444 NOV. 07) (BR. 5)
 - S-8 WIND RIVER SYSTEMS INC, 500 WIND RIVER WAY, ALAMEDA, CA 94501 (510) 748-4100 126,298 (\$120,353) COMMON STOCK. (FILE 333-49446 NOV. 07) (BR. 3)
- S-8 SPARTAN STORES INC, 850 76TH ST SW, P O BOX 8700, GRAND RAPIDS, MI 49518

 (616) 878-2000 25,000 (\$169,750) COMMON STOCK. (FILE 333-49448 NOV. 07) (BR. 4)
 - S-3 WIND RIVER SYSTEMS INC, 500 WIND RIVER WAY, ALAMEDA, CA 94501 (510) 748-4100 1,244,940 (\$50,768,653) COMMON STOCK. (FILE 333-49450 NOV. 07) (BR. 3)

- S-8 OPTICAL COMMUNICATION PRODUCTS INC, 20961 KNAPP STREET, CHATSWORTH, CA
 91311 (818) 701-164 20,658,440 (\$256,315,926.27) COMMON STOCK.

 (FILE
 333-49452 NOV. 07) (BR. 5)
- S-8 WAVERIDER COMMUNICATIONS INC, 255 CONSUMERS ROAD SUITE 500, TORONTO, ONTARIO, A6 00000 (416) 502-3200 3,000,000 (\$10,770,000) COMMON STOCK.

 (FILE 333-49454 NOV. 07) (BR. 7)
 - S-8 MEDTOX SCIENTIFIC INC, 402 WEST COUNTY ROAD D, ST PAUL, MN 55112 (612) 636-7466 700,964 (\$8,439,606) COMMON STOCK. (FILE 333-49456 NOV. 07) (BR. 1)
 - S-3 WAVERIDER COMMUNICATIONS INC, 255 CONSUMERS ROAD SUITE 500, TORONTO, ONTARIO, A6 00000 (416) 502-3200 1,000,000 (\$3,590,000) COMMON STOCK. (FILE 333-49458 NOV. 07) (BR. 7)
 - S-8 INTERLAND INC, 34 PEACHTREE ST NW, STE 1400, ATLANTA, GA 30303 (404) 720-8301 540,000 (\$2,497,500) COMMON STOCK. (FILE 333-49460 NOV. 07) (BR. 1)
 - S-2 TRAVELERS LIFE & ANNUITY CO, ONE TOWER SQUARE,
 FINANCIAL SERVICES LEGAL DIVISION, HARTFORD, CT 06183 (203) 277-7379 200,000,000 (\$200,000,000) VARIABLE ANNUITY ISSUES. (FILE 333-49462 NOV. 07) (BR. 20)
- S-8 WAVERIDER COMMUNICATIONS INC, 255 CONSUMERS ROAD SUITE 500, TORONTO, ONTARIO, A6 00000 (416) 502-3200 6,000,000 (\$21,540,000) COMMON STOCK.

 (FILE 333-49464 NOV. 07) (BR. 7)
 - S-3 CELLEGY PHARMACEUTICALS INC, 349 OYSTER POINT BLVD, SUITE 200, S SAN FRANCISCO, CA 94404 (650) 626-2200 2,143,870 (\$16,730,205) COMMON STOCK. (FILE 333-49466 NOV. 07) (BR. 1)
- SB-2 INTERNATIONAL TECH CORP, 341 PROMONTORY DRIVE WEST, NEWPORT BEACH, CA
 92660 (949) 675-7909 2,000,000 (\$2,000,000) COMMON STOCK. (FILE 333-49468 NOV. 07) (BR. 9 NEW ISSUE)
 - S-8 SMITH GARDNER & ASSOCIATES INC, 1615 SOUTH CONGRESS STREET, DELRAY BEACH, FL 33445 (407) 241-9505 1,000,000 (\$3,375,000) COMMON STOCK. (FILE 333-49470 NOV. 07) (BR. 3)
- S-3 MDU RESOURCES GROUP INC, SCHUCHART BUILDING, 918 EAST DIVIDE AVENUE, BISMARCK, ND 58501 (701) 222-7900 4,500,000 (\$128,531,250) COMMON STOCK.

(FILE 333-49472 - NOV. 07) (BR. 2)

S-8 DALECO RESOURCES CORP, 983 OLD EAGLE SCHOOL ROAD, SUITE 615, WAYNE, PA

19087 (610) 293-9400 - 300,000 (\$150,000) COMMON STOCK. (FILE 333-49474 NOV. 07) (BR. 4)

- S-8 VEECO INSTRUMENTS INC, TERMINAL DR, PLAINVIEW, NY 11803 (516) 349-8300 150,000 (\$10,111,500) COMMON STOCK. (FILE 333-49476 NOV. 07) (BR. 5)
- S-8 FOODVISION COM INC, 2275 NORTHWEST PARKWAY SUITE 150 Å, MARIETTA, GA 30067 (770) 690-8385 300,000 (\$42,000) COMMON STOCK. (FILE 333-49480 NOV. 07) (BR. 9)
- S-8 ABOUT COM INC, 220 E 42ND ST, 24TH FL, NEW YORK, NY 10017 (212) 849-2000 - 39,968 (\$1,165,200) COMMON STOCK. (FILE 333-49482 - NOV. 07) (BR. 3)
- S-3 MDU RESOURCES GROUP INC, SCHUCHART BUILDING, 918 EAST DIVIDE AVENUE, BISMARCK, ND 58501 (701) 222-7900 378,350 (\$10,806,621.88) COMMON STOCK.

 (FILE 333-49484 NOV. 07) (BR. 2)
 - S-3 ASPEN TECHNOLOGY INC /DE/, TEN CANAL PARK, CAMBRIDGE, MA 02141 (617) 949-1000 124,206 (\$4,921,663) COMMON STOCK. (FILE 333-49486 NOV. 07) (BR. 3)
- S-8 PRO TECH COMMUNICATIONS INC, 3311 INDUSTRIAL 25TH ST, FORT PIERCE, FL 34946 (407) 464-5100 1,500,000 (\$1,125,000) COMMON STOCK. (FILE 333-49488 NOV. 07) (BR. 7)
- S-4 CORIXA CORP, 1124 COLUMBIA STREET SUIE 200, SEATTLE, WA 98104 (206) 667-5711 20,977,967 (\$810,929,537) COMMON STOCK. (FILE 333-49490 NOV. 07) (BR. 1)
- S-8 TUMBLEWEED COMMUNICATIONS CORP, 700 SAGINAW DR, REDWOOD CITY, CA 94063 (650) 216-2000 300,000 (\$5,748,000) COMMON STOCK. (FILE 333-49492 NOV. 07) (BR. 8)
- S-8 TRANSMETA CORP, 3940 FREEDOM CIRCLE, 415-413-1880, SANTA CLARA, CA 95054 (408) 919-3000 - 31,033,300 (\$391,759,446) COMMON STOCK. (FILE 333-49494 -NOV. 07) (BR. 5)
- S-3 SONIC FOUNDRY INC, 1617 SHERMAN AVE, MADISON, WI 53704 (608) 256-3133 635,994 (\$3,676,045) COMMON STOCK. (FILE 333-49496 NOV. 07) (BR. 9)
- S-3 COMMERCE ONE INC, 4440 ROSEWOOD DR, PLEASANTON, CA 94588 (925) 520-6000
 367,993 (\$24,816,527.93) COMMON STOCK. (FILE 333-49498 NOV. 08)
 (BR. 3)