

# SEC NEWS DIGEST

Issue 2000-226

**November 27, 2000** 

#### **COMMISSION ANNOUNCEMENTS**

#### DAVID FIELDER NAMED COUNSEL TO THE CHAIRMAN

Chairman Levitt today named David Fielder Counsel to the Chairman. Mr. Fielder succeeds Estee Levine who was recently named Director of the Office of Congressional and Intergovernmental Affairs. As Counsel to the Chairman, Mr. Fielder will advise the Chairman on investment management and corporation finance issues.

Chairman Levitt said, "I am pleased to welcome Dave to my staff. His experience in the private sector and at the Commission will be a valuable resource. I look forward to his insightful counsel."

Mr. Fielder joined the Commission's staff in February 1997 where he was a Senior Counsel in the Division of Enforcement. In February 1999, he moved to the Division of Investment Management, first as Senior Counsel on the Task Force for Adviser Regulation and then as Advisor to the Director.

Prior to joining the Commission, Mr. Fielder worked as a litigation associate at Kellogg, Huber, Hansen, Todd, and Evans in Washington, DC. Prior to that he served at the White House as Special Assistant Counsel to the President.

Mr. Fielder, 35, earned his J.D. from the University of Michigan Law School, his M.S. from Pennsylvania State University, and his B.A. from Washington University. (Press Rel. 2000-178)

#### SELF-REGULATORY ORGANIZATIONS

### AMENDMENTS TO PROPOSED RULE CHANGE

The National Association of Securities Dealers filed Amendment Nos. 2 and 3 to a proposed rule change (SR-NASD-99-65) pursuant to Section 19(b)(2) of the Securities

Exchange Act of 1934. The amendments relate to the creation of a corporate bond trade reporting and transaction dissemination facility and to the elimination of Nasdaq's Fixed Income Pricing System. Publication of notice of the amendments in the <u>Federal Register</u> is expected during the week of November 27. (Rel. 34-43616)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

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AXA, 25 AVE MATIGNON, PARIS FRANCE, IO (212) 554-4489 -
$3,000,000,000
      STRAIGHT BONDS. (FILE 333-12872 - NOV. 17) (BR. 1)
        NEWS CORP LTD, 2 HOLT ST, SYDNEY NSW AUSTRALIA, C3 (212) 852-7000 -
      42,056,151 ($386,496,027.69) FOREIGN PREFERRED STOCK.
                                                            (FILE 333-12878
     NOV. 15) (BR. 5)
        COULTER PHARMACEUTICALS INC, 600 GATEWAY BOULEVARD, SOUTH SAN
FRANCISCO,
      CA 94080 (650) -55-3-20 - 850,000 ($29,750,000) COMMON STOCK.
                                                                     (FILE
      333-48940 - OCT. 30) (BR. 1)
  S-4
        NOVELLUS SYSTEMS INC, 4000 N FIRST ST, SAN JOSE, CA 95134 (408) 943-
9700
      - 9,750,589 ($290,642,546) COMMON STOCK. (FILE 333-50258 - NOV. 20)
      (BR. 5)
         BEACON POWER CORP, 6D GILL ST, WOBUM, MA 01801 - 9,781,867
      ($58,691,202) COMMON STOCK. (FILE 333-50260 - NOV. 20) (BR. 2)
        QUEBECOR WORLD INC, 612 ST JACQUES ST, MONTREAL QUEBEC CANA, E6
      (514) 954-0101 - 2,000,000 ($45,750,000) COMMON STOCK. (FILE 333-50264
     NOV. 20) (BR. 5)
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S-8 PUBLIC STORAGE INC /CA, 701 WESTERN AVE, STE 200, GLENDALE, CA 91201 (818) 244-8080 - 2,500,000 (\$54,406,350) COMMON STOCK. (FILE 333-50270 NOV. 20) (BR. 8)

- S-8 ECONNECT, 2500 VIA CABRILLO MARINA, STE 112, SAN PEDRO, CA 90731 (310) 541-4393 14,600,000 (\$5,318,600) COMMON STOCK. (FILE 333-50272 NOV. 20) (BR. 5)
- S-8 PS BUSINESS PARKS INC/CA, 701 WESTERN AVE, SUITE 200, GLENDALE, CA 91201

  (818) 244-8080 1,000,000 (\$26,640,000) COMMON STOCK. (FILE 333-50274

  NOV. 20) (BR. 8)
  - S-8 CENTRAL VALLEY COMMUNITY BANCORP, 600 POLLASKY AVE, CLOVIS, CA 93612 (559) 298-1775 195,200 (\$3,109,466) COMMON STOCK. (FILE 333-50276 NOV. 20) (BR. 7)
  - S-8 TASTY FRIES INC, 650 SENTRY PKWY STE ONE, BLUE BELL, PA 19422 (610) 941-2109 - 500,000 (\$225,000) COMMON STOCK. (FILE 333-50284 - NOV. 20) (BR. 4)
- S-8 GUINNESS TELLI-PHONE CORP, 655 REDWOOD HIGHWAY, SUITE 273, MILL VALLEY,

  CA 94941 (415) -38-9-94 2,000,000 (\$1,560,000) COMMON STOCK. (FILE 333-50286 NOV. 20) (BR. 7)
  - S-8 COMPUTER ACCESS TECHNOLOGY CORP, 2403 WALSH AVENUE, SANTA CLARA, CA 95051 (408) 727-6600 5,125,000 (\$74,312,500) COMMON STOCK. (FILE 333-50288 NOV. 20) (BR. 5)
  - S-8 ADEPT TECHNOLOGY INC, 150 ROSE ORCHARD WAY, SAN JOSE, CA 95134
    (408) 432-0888 2,400,000 (\$63,600,000) COMMON STOCK. (FILE 333-50292
    NOV. 20) (BR. 5)
  - S-8 BLACKROCK INC /NY, 345 PARK AVENUE 29TH FLOOR, NEW YORK, NY 10154 (212) 754-5560 500,000 (\$23,187,500) COMMON STOCK. (FILE 333-50294 NOV. 20) (BR. 6)
  - S-8 ADEPT TECHNOLOGY INC, 150 ROSE ORCHARD WAY, SAN JOSE, CA 95134 (408) 432-0888 1,000,000 (\$26,500,000) COMMON STOCK. (FILE 333-50296 NOV. 20) (BR. 5)
- S-8 TRANSATLANTIC HOLDINGS INC, 80 PINE ST, NEW YORK, NY 10005
  (212) 770-2000 1,500,000 (\$140,062,500) COMMON STOCK. (FILE 33350298 NOV. 20) (BR. 1)
- S-8 DRKOOP COM INC, 7000 NORTH MOPAC, C/O EMPOWER HEALTH CORP, AUSTIN, TX

  78731 (512) 726-5110 30,000,000 (\$20,563,663.80) COMMON STOCK. (FILE 333-50300 NOV. 20) (BR. 1)
  - S-3 UNIVERSAL COMPRESSION HOLDINGS INC, 4440 BRITTMOORE RD, HOUSTON, TX 77041 (713) 466-4103 900,726 (\$29,273,595) COMMON STOCK. (FILE 333-50302 NOV. 20) (BR. 6)

- S-8 FIRST COMMUNITY FINANCIAL CORP /NC/, 708 SOUTH CHURCH STREET, P O BOX 1837, BURLINGTON, NC 27216 (336) 227-3631 188,079 (\$3,258,402) COMMON STOCK. (FILE 333-50304 NOV. 20) (BR. 7)
  - S-3 SYMPHONIX DEVICES INC, 2331 ZANKER ROAD, SAN JOSE, CA 95131 (408) 232-0710 6,397,632 (\$28,189,566) COMMON STOCK. (FILE 333-50306 NOV. 20) (BR. 1)
  - S-3 IMAGINON INC /DE/, 1313 LAUREL STREET, SUITE 1, SAN CARLOS, CA 94070 (650) 596-9300 19,875,984 (\$6,833,364) COMMON STOCK. (FILE 333-50308 NOV. 20) (BR. 3)
- S-1 DIADEXUS INC, 3303 OCTAVIUS DR, SANTA CLARA, CA 95054 (408) 496-6600 1,000,000 (\$100,000,000) COMMON STOCK. (FILE 333-50318 - NOV. 20)
- S-8 UBS AG, BAHNHOFSTRASSE 45, ZURICH SWITZERLAND, V8 00000 8,795,000 (\$1,200,077,750) FOREIGN COMMON STOCK. 200,000,000 (\$200,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-50320 NOV. 20) (BR. 7)
- S-8 CHECKFREE CORP \GA\, 4411 E JONES BRIDGE RD, NORCROSS, GA 30092 (770) 441-3387 4,000,000 (\$214,880,000) COMMON STOCK. (FILE 333-50322 NOV. 20) (BR. 8)
- S-8 FREMONT GENERAL CORP, 2020 SANTA MONICA BLVD, STE 600, SANTA MONICA, CA
  90404 (310) 315-5500 7,000,000 (\$31,941,000) COMMON STOCK. (FILE 333-50326 NOV. 20) (BR. 1)
- S-8 FREMONT GENERAL CORP, 2020 SANTA MONICA BLVD, STE 600, SANTA MONICA, CA
  90404 (310) 315-5500 2,000,000 (\$9,126,000) COMMON STOCK. (FILE 333-50328 NOV. 20) (BR. 1)
- S-3 GENTA INCORPORATED /DE/, 99 HAYDEN AVE, SUITE 200, LEXINGTON, MA
  02421
  (781) 860-5150 6,723,193 (\$56,205,893) COMMON STOCK. (FILE 333-50330
  NOV. 20) (BR. 1)
- S-3 BLUEFLY INC, 42 WEST 39TH ST, NEW YORK, NY 10018 (212) 944-8000 8,547,009 (\$20,000,000) COMMON STOCK. (FILE 333-50336 NOV. 20) (BR. 9)
- S-8 ORASURE TECHNOLOGIES INC, 8505 SW CREEKSIDE PLACE, BEAVERTON, OR 97008

  (503) 641-6115 6,185,400 (\$33,134,434) COMMON STOCK. (FILE 333-50340 NOV. 20) (BR. 5)

CREATIVE HOST SERVICES INC, 6335 FERRIS SQUARE, STES G-H, SAN DIEGO, CA 92126 (619) 587-7300 - 853,638 (\$4,777,573) COMMON STOCK. (FILE 333-50342 - NOV. 20) (BR. 5)

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3. Bankruptcy or Receivership.

Item 4. Changes in Registrant's Certifying Accountant.

Item 5. Other Materially Important Events.

Item 6. Resignations of Registrant's Directors.

Item 7. Financial Statements and Exhibits.

Item 8. Change in Fiscal Year.

Item 9. Regulation FD Disclosure.

NAME OF ISSUER	STATE CODE		8K ITEM 3 4 5 6		DATE COMMENT
ALLEN TEST INC NEW NAME 2	IN	Х			01/16/98
ALLEN TEST INC NEW NAME 2	IN		X		12/31/98
ALLEN TEST INC NEW NAME 2	IN		X		12/31/98
ALLEN TEST INC NEW NAME 2	IN		X		12/31/98
ALLEN TEST INC NEW NAME 2	IN		X		12/31/98
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NAME OF ISSUER	CODE		3 4	5	6	7 8	9	DATE	COMMENT
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ALLEN TEST INC NEW NAME 2	IN	X						12/31/	00
ALLEN TEST INC NEW NAME 2	IN	X						12/31/	00
APPLE ORTHODONTIX INC				Х		Х		11/03/	0.0
BRAZOS SPORTSWEAR INC /DE/	DE			Х		X		11/08/	0.0
CENTRAL MINERA CORP			X					10/31/0	00
NEXEN INC	A0	NO :	ITEM	S				10/31/	00
OPEN TEXT CORP				Χ				10/30/	00
OPEN TEXT CORP				X				11/16/	00
PHOENIX MEDICAL TECHNOLOGY INC	DE			Χ		X		11/14/	0.0
PHOENIX MEDICAL TECHNOLOGY INC	DE			X		X		11/14/	00
ROBERDS INC	ОН			X		X		10/20/	00