

# SEC NEWS DIGEST

Issue 2000-235

December 8, 2000

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## COMMISSION ANNOUNCEMENTS

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### STATEMENT BY CHAIRMAN ARTHUR LEVITT

On December 7, Chairman Arthur Levitt made the following statement concerning an NASD Board decision:

The NASD Board's decision today to support greater disclosure of conflicts of interest by analysts who make stock recommendations in print or on television is an important and commendable step. The Commission looks forward to developing rules with the NASD and the New York Stock Exchange in short order that will establish a fair and workable disclosure regime that promotes public confidence.

Knowing whether an analyst's employer underwrote a recommended stock or whether his or her firm has a significant position in a recommended stock is important information. Investors deserve to know what possible incentives an investment services professional may have in making a particular recommendation. This can only improve the credibility of those who make recommendations to the public – credibility that has come under attack in recent months. (Press Rel. 2000-183)

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## ENFORCEMENT PROCEEDINGS

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### IN THE MATTER OF CHARLES KIRBY, ET AL.

An administrative law judge has ordered Charles F. Kirby, Gene C. Geiger, and Alfred Peeper to cease and desist from committing or causing any violations or any future violations of Sections 5(a) and 5(c) of the Securities Act of 1933. The judge rejected Respondents' arguments that they participated in offers to sell and sales of Golden Eagle, International, Inc. securities that were exempt from registration. Based on findings that Respondents violated Sections 5(a) and 5(c), Judge Murray barred Mr. Kirby and Mr. Geiger from association with a broker or dealer and from participation in a penny stock offering with the right to reapply after five years, ordered Mr. Kirby and

Mr. Geiger to disgorge \$31,352.60 and \$15,202.48, respectively, with prejudgment interest, and ordered Mr. Kirby to pay a civil penalty of \$200,000 and Mr. Geiger to pay a civil penalty of \$300,000. Judge Murray dismissed the failure to supervise charges against Edward H. Price who supervised Mr. Kirby and Mr. Geiger at Spencer Edwards, Inc. a broker-dealer in Englewood, Colorado. (Initial Decision No. 177; File No. 3-9602)

## **IN THE MATTER OF UNITED STOCK TRANSFER INC. AND JOHN DONNELL**

On December 7, the Commission instituted a public administrative and cease and desist proceeding against United Stock Transfer, Inc. (United), a registered transfer agent in Englewood, Colorado, and its president, John J. Donnelly (Donnelly), a resident of Highlands Ranch, Colorado. The Division of Enforcement alleges that from November 1991 through May 2000, United repeatedly violated various rules applicable to transfer agents. The Division also alleges that, among other violations, United failed to: (i) turnaround items presented for transfer in a timely manner; (ii) maintain proper records relating to letters of appointment, routine items presented for transfer, non-routine items and turnaround time; and (iii) maintain a proper control book for each issuer and keep each issue in balance. The Division further contends that Donnelly willfully aided and abetted United in these and other violations.

The Commission instituted public administrative and cease and desist proceedings against United and Donnelly (collectively, Respondents) to determine whether: (i) the allegations against Respondents are true and to afford Respondents an opportunity to establish any defense to such allegations; (ii) any remedial action is appropriate in the public interest against Respondents pursuant to Sections 17A(c)(3) and 17A(c)(4) of the Exchange Act; (iii) pursuant to Section 21C of the Exchange Act, Respondents should be ordered to cease and desist from committing or causing any violations and any future violations of Sections 17(a)(3), 17A(d)(I) and 17(f)(2) of the Exchange Act and Rules 17Ac2-1, 17Ac2-2, 17Ad-2, 17Ad-5, 17Ad-6, 17Ad-7, 17Ad-10, 17Ad-13, 17Ad-15, 17Ad-16, 17Ad-17 and 17f-2 thereunder; and (iv) civil penalties should be ordered against Respondents pursuant to Section 21B of the Exchange Act. (Rel. 34-43687; File No. 3-10378)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **TELCO FINANCE N.V.**

A notice has been issued giving interested persons until January 2, 2001, to request a hearing on an application filed by Telco Finance N.V. for an order under Section 6(c) of the Investment Company Act exempting applicant from all provisions of the Act. The order would permit applicant to sell certain debt securities and use the proceeds to finance the business activities of Telsim Mobile Telekomünikasyon Hizmetleri A.S. (Rel. IC-24786; International Series Rel. 1239 – December 7)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGES

The American Stock Exchange filed a proposed rule change (SR-Amex-00-57) to increase the maximum order size eligible for automatic execution on Auto-Ex to one hundred contracts. Publication of the proposal is expected in the Federal Register during the week of December 11. (Rel. 34-43660)

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-00-01) to permit EMCC to accept financial statements from an applicant prepared in accordance with International Accounting Standards or United Kingdom Generally Accepted Accounting Principles without requiring the applicant to provide a discussion of the material variations of such accounting principles from United States Generally Accepted Accounting Principles. Publication of the proposal is expected in the Federal Register during the week of December 11. (Rel. 34-43675)

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-00-04) to allow EMCC to establish membership criteria for brokers or dealers that are regulated by the Securities and Futures Authority Limited (SFA) and that act as inter-dealer brokers. Publication of the proposal is expected in the Federal Register during the week of December 11. (Rel. 34-43680)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the New York Stock Exchange to revise its fee schedule for Equity Transaction Fees (SR-NYSE-00-50) has become immediately effective under Section 19(b)(3)(A)(ii) of the Securities Exchange Act of 1934. (Rel. 34-43664)

A proposed rule change (SR-CBOE-00-34) filed by the Chicago Board Options Exchange permitting the implementation of the Exchange's Rapid Opening System in conducting rotations in options on the S&P 100 Index has become effective upon filing with the Commission. Publication of the proposal is expected in the Federal Register during the week of December 11. (Rel. 34-43666)

A proposed rule change (SR-CBOE-00-63) filed by the Chicago Board Options Exchange permitting the use of the Exchange's AutoQuote System or a Proprietary Autoquote System in the operation of the Exchange's Rapid Opening System has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of December 11. (Rel. 34-43667)

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-00-46) regarding the listing and trading of exchange traded funds. Publication of the proposal is expected in the Federal Register during the week of December 11. (Rel. 34-43679)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 GEMPLUS INTERNATIONAL SA /ADR/, 111 WALL STREET, NEW YORK, NY 10043  
(212) -65-7-51 - 100,000,000 (\$5,000,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12896 - NOV. 22)  
(NEW ISSUE)
- F-6 TELEPIZZA SA /ADR/, ONE WALL STREET, NEW YORK, NY 10286 (212) -49-5-  
17  
- 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-12914 - NOV. 27) (NEW ISSUE)
- F-6 TELENOR ASA /ADR/, 60 WALL STREET, NEW YORK, NY 10260 (212) -64-8-32  
-  
50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-12920 - NOV. 28) (NEW ISSUE)
- F-6 ERSTE BANK DER OESTERREICHISCHEN SPARKASSEN AG /ADR/, ONE WALL  
STREET,  
NEW YORK, NY 10286 (212) -49-5-17 - 10,000,000 (\$500,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12922 - NOV. 28)  
(NEW ISSUE)
- F-6 ELSEVIER NV, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043  
(212) 657-7321 - 100,000,000 (\$5,000,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12924 - NOV. 29) (BR.  
99)

F-9 GULF CANADA RESOURCES LTD, ONE NORWEST CTR, 1700 LINCOLN STE 5000,  
DENVER, CO 80203 (303) 813-3800 - 750,000,000 (\$750,000,000)  
STRAIGHT BONDS. (FILE 333-12928 - NOV. 29) (BR. 4)

S-8 OMNICOMM SYSTEMS INC, 3250 MARY STREET, SUITE 402, MIAMI, FL 33133  
(718) 469-3132 - 599,500 (\$1,050,001) COMMON STOCK. (FILE 333-51038 -  
DEC. 01) (BR. 8)

S-4 COMERICA INC /NEW/, 411 W LAFAYETTE, 500 WOODWARD AVE 31ST FL,  
DETROIT,  
MI 48226 (313) 222-9743 - 20,080,000 (\$1,021,502,496) COMMON STOCK.  
(FILE  
333-51042 - DEC. 01) (BR. 7)

S-8 PROVIDENCE CAPITAL VI INC, 735 BROAD STREET, SUITE 800, SUITE 800,  
CHATTANOOGA, TN 37402 (423) -26-5-50 - 2,105,200 (\$7,999.76) COMMON  
STOCK.  
(FILE 333-51050 - DEC. 01) (BR. 9)

S-8 PROVIDENCE CAPITAL VII INC, 735 BROAD STREET, SUITE 800, SUITE 800,  
CHATTANOOGA, TN 37402 (423) -26-5-50 - 2,105,200 (\$7,999.76) COMMON  
STOCK.  
(FILE 333-51052 - DEC. 01) (BR. 9)

S-8 PROVIDENCE CAPITAL X INC, 735 BROAD STREET, SUITE 800, SUITE 800,  
CHATTANOOGA, TN 37402 (423) -26-5-50 - 2,105,200 (\$7,999.76) COMMON  
STOCK.  
(FILE 333-51054 - DEC. 01) (BR. 9)

SB-2 ADVANCED OPTICS ELECTRONICS INC, 8301 WASHINGTON NE #4, STE F,  
ALBUQUERQUE, NM 87113 (505) 797-7878 - 67,029,396 (\$16,757,349)  
COMMON STOCK. (FILE 333-51056 - DEC. 01) (BR. 9)

SB-2 EMAIL MORTGAGE COM INC, 5650 GREENWOOD PLAZA BLVD #201,  
GREENWOOD VILLAGE, CO 80111 (303) 575-1155 - 1,000,000 (\$1,000,000)  
COMMON STOCK. (FILE 333-51058 - DEC. 01) (BR. 7 - NEW ISSUE)

S-8 TRIMFAST GROUP INC, 777 S HARBOUR ISLAND BLVD 260, TAMPA, FL 33602  
(813) 275-0050 - 38,000 (\$22,800) COMMON STOCK. (FILE 333-51062 - DEC.  
01)  
(BR. 1)

S-8 STAAR SURGICAL COMPANY, 1911 WALKER AVE, MONROVIA, CA 91016  
(818) 303-7902 - 250,000 (\$3,467,500) COMMON STOCK. (FILE 333-51064 -  
DEC. 01) (BR. 5)

S-8 CONECTIV, 800 KING ST, P O BOX 231, WILMINGTON, DE 19899 (302) 429-  
3114  
- 300,000 (\$5,700,000) COMMON STOCK. (FILE 333-51068 - DEC. 01) (BR.  
2)

S-3 NAPRO BIOTHERAPEUTICS INC, 6304 SPINE RD, UNIT A, BOULDER, CO 80301  
(303) 530-3891 - 2,213,334 (\$15,631,671) COMMON STOCK. (FILE 333-51070  
-  
DEC. 01) (BR. 1)

S-8 MULTIMEDIA GAMES INC, 7335 S LEWIS AVE, STE 204, TULSA, OK 74136  
(918) 494-0576 - 888,000 (\$5,661,000) COMMON STOCK. (FILE 333-51072 -  
DEC. 01) (BR. 5)

S-8 COMMONWEALTH BIOTECHNOLOGIES INC, 601 BIOTECH DRIVE, RICHMOND, VA  
23235  
(800) 735-9224 - 360,000 (\$1,789,200) COMMON STOCK. (FILE 333-51074 -  
DEC. 01) (BR. 9)

S-3 DCH TECHNOLOGY INC, 27811 AVE HOPKINS, STE 6, VALENCIA, CA 91355 -  
7,000,000 (\$27,125,000) COMMON STOCK. (FILE 333-51076 - DEC. 01) (BR.  
9)

S-3 COMMONWEALTH BIOTECHNOLOGIES INC, 601 BIOTECH DRIVE, RICHMOND, VA  
23235  
(800) 735-9224 - 868,552 (\$4,316,704) COMMON STOCK. (FILE 333-51078 -  
DEC. 01) (BR. 9)

S-8 TEKTRONIX INC, 14200 SW KARL DRIVE, BEAVERTON, OR 97070 (503) 627-  
7111  
- 1,500,000 (\$38,500,000) COMMON STOCK. (FILE 333-51080 - DEC. 01)  
(BR. 5)

S-8 NETCOMMERCE INC, 15280 ADDISON RD, SUITE 250, ADDISON, TX 75001  
(972) 503-8984 - 9,800,000 (\$490,000) COMMON STOCK. (FILE 333-51082 -  
DEC. 01) (BR. 3)

S-3 A CONSULTING TEAM INC, 200 PARK AVE S, SUITE 901, NEW YORK, NY 10003  
(212) 979-8228 - 1,000,000 (\$2,682,500) COMMON STOCK. (FILE 333-51084  
-  
DEC. 01) (BR. 8)

S-8 INTEGRATED MEASUREMENT SYSTEMS INC /OR/, 9525 SW GEMINI DR,  
BEAVERTON,  
OR 97008 (503) 626-7117 - 750,000 (\$10,951,200) COMMON STOCK. (FILE  
333-51086 - DEC. 01) (BR. 5)

S-8 BELDEN INC, 7701 FORSYTH BLVD STE 800, ST LOUIS, MO 63105 (314) 854-  
8000  
- 1,200,000 (\$27,862,500) COMMON STOCK. (FILE 333-51088 - DEC. 01)  
(BR. 6)

S-8 PALL CORP, 2200 NORTHERN BLVD, EAST HILLS, NY 11548 (516) 484-5400 -  
4,000,000 (\$80,875,000) COMMON STOCK. (FILE 333-51090 - DEC. 01) (BR.  
6)

S-3 REGIS CORP, 7201 METRO BLVD, MINNEAPOLIS, MN 55439 (612) 947-7000 -  
355,532 (\$5,732,954) COMMON STOCK. (FILE 333-51094 - DEC. 01) (BR. 8)

S-8 CELEXX CORP, 7251 W PALMETTER PARK ROAD, SUITE 208, BOC RATON, FL  
33433  
(561) 395-1920 - 1,400,000 (\$411,600) COMMON STOCK. (FILE 333-51096 -  
DEC. 01) (BR. 9)

S-8 BACKWEB TECHNOLOGIES LTD, 2077 GATEWAY PL, SUITE 500, SAN JOSE, CA  
95110  
(408) 933-1763 - 4,522,084 (\$33,209,054) COMMON STOCK. (FILE 333-51098  
-  
DEC. 01) (BR. 3)

S-8 ALLIED HOLDINGS INC, 160 CLAIRMONT AVE, STE 200, DECATUR, GA 30030  
(404) 370-1100 - 4,000,000 (\$4,000,000) COMMON STOCK. (FILE 333-51102  
-  
DEC. 01) (BR. 5)

S-8 ALLIED HOLDINGS INC, 160 CLAIRMONT AVE, STE 200, DECATUR, GA 30030  
(404) 370-1100 - 1,120,754 (\$5,913,107.90) COMMON STOCK. (FILE 333-  
51104 -  
DEC. 01) (BR. 5)

S-8 IMPERIAL INDUSTRIES INC, 1259 NORTHWEST 21ST ST, POMPANO BEACH, FL  
33069  
(954) 917-4114 - 600,000 (\$246,300) COMMON STOCK. (FILE 333-51106 -  
DEC. 01) (BR. 6)

S-8 E-NET FINANCIAL COM CORP, 3200 BRISTOL STREET, SUITE 710, COSTA  
MESA, CA  
92626 (714) 557-2222 - 1,000,000 (\$828,100) COMMON STOCK. (FILE 333-  
51108  
- DEC. 01) (BR. 7)

S-8 PINNACLE SYSTEMS INC, 280 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043  
(650) 237-1600 - 8,566,372 (\$82,451,330.50) COMMON STOCK. (FILE 333-  
51110  
- DEC. 01) (BR. 5)

S-8 CISCO SYSTEMS INC, 170 W TASMAN DR, SAN JOSE, CA 95134 (408) 526-  
4000 -  
777,432 (\$4,641,269.04) COMMON STOCK. (FILE 333-51114 - DEC. 01) (BR.  
3)

S-8 MATRITECH INC/DE/, 330 NEVADA ST, NEWTON, MA 02160 (617) 661-6660 -  
1,250,000 (\$4,375,000) COMMON STOCK. (FILE 333-51116 - DEC. 01) (BR.  
1)

S-3 CISCO SYSTEMS INC, 170 W TASMAN DR, SAN JOSE, CA 95134 (408) 526-  
4000 -  
2,896,708 (\$149,643,935.28) COMMON STOCK. (FILE 333-51118 - DEC. 01)  
(BR. 3)

S-3 ANDRX CORP /DE/, 4001 SW 47 AVE STE 201, FORT LAUDERDALE, FL 33314  
(954) 584-0300 - 250,000 (\$14,720,000) COMMON STOCK. (FILE 333-51122 -  
DEC. 01) (BR. 1)

SB-2 UNITREND INC, 4665 W BANCROFT STREET, SUITE 15, TOLEDO, OH 43615  
(419) 536-2090 - 4,000,000 (\$40,000,000) COMMON STOCK. (FILE 333-51124  
-  
DEC. 01) (BR. 3)

S-8 ALLIANT ENERGY CORP, 222 WEST WASHINGTON AVENUE, MADISON, WI 53703  
(608) 252-3110 - 16,400,000 (\$28,550,000) COMMON STOCK. (FILE 333-  
51126 -  
DEC. 01) (BR. 2)

S-8 IKON OFFICE SOLUTIONS INC, PO BOX 834, VALLEY FORGE, PA 19482  
(610) 296-8000 - 10,000,000 (\$30,625,000) COMMON STOCK. (FILE 333-  
51134 -  
DEC. 01) (BR. 3)

S-8 PLC SYSTEMS INC, 10 FORGE PK, FRANKLIN, MA 02038 (508) 541-8800 -  
317,672 (\$168,763.25) COMMON STOCK. (FILE 333-51136 - DEC. 01) (BR. 5)

S-8 CORPAS INVESTMENTS INC, 1640 FIFTH STREET, SUITE 218, SANTA MONICA,  
CA  
90401 (310) 392-5640 - 1,032,500 (\$516,250) COMMON STOCK. (FILE 333-  
51144  
- DEC. 01) (BR. 9)

S-8 BRITTON & KOONTZ CAPITAL CORP, 500 MAIN ST, P O BOX 1407, NATCHEZ,  
MS  
39120 (601) 445-5576 - 47,124 (\$636,174) COMMON STOCK. (FILE 333-51148  
-  
DEC. 01) (BR. 7)

S-3 VIRATA CORP, 2933 BUNKER HILL LN, STE 201, SANTA CLARA, CA 95054  
(408) 566-1000 - 930,733 (\$13,849,308) COMMON STOCK. (FILE 333-51150 -  
DEC. 01) (BR. 5)

S-3 SPIEKER PROPERTIES INC, 2180 SAND HILL RD, STE 200, MENLO PARK, CA  
94025  
(650) 854-5600 - 763,002 (\$40,486,793) COMMON STOCK. (FILE 333-51152 -  
DEC. 01) (BR. 8)

S-4 APARTMENT INVESTMENT & MANAGEMENT CO, COLORADO CENTER TOWER TWO,  
2000 S COLORADO BLVD STE 2-1000, DENVER, CO 80222 (303) 757-8101 -  
6,415,040 (\$181,979,157) COMMON STOCK. (FILE 333-51154 - DEC. 01) (BR.  
8)

S-4 PACIFIC WEBWORKS INC, 180 SOUTH 300 WEST SUITE 400, SALT LAKE CITY,  
UT  
84101 (801) 578-9020 - 2,800,000 (\$2,948,132.80) COMMON STOCK. (FILE  
333-51156 - DEC. 01) (BR. 3)

S-4 INVERNESS MEDICAL TECHNOLOGY INC/DE, 200 PROSPECT STREET, WALTHAM,  
MA  
02453 (781) 647-3900 - 1,900,000 (\$59,779,347) COMMON STOCK. (FILE  
333-51160 - DEC. 04) (BR. 36)

S-8 COMPUTER TASK GROUP INC, 800 DELAWARE AVE, BUFFALO, NY 14209  
(716) 882-8000 - 2,000,000 (\$7,250,000) COMMON STOCK. (FILE 333-51162  
-  
DEC. 01) (BR. 3)



## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES MORTGAGE TRUST 2000-1	CA					X		X			11/27/00	
ALLEGHENY TECHNOLOGIES INC	DE					X		X			12/06/00	
ALLSTATE FINANCIAL CORP /DE/	VA				X						09/30/00	
AMERICA WEST HOLDINGS CORP	DE							X		X	12/06/00	
AMERICAN BUSINESS FINANCIAL SERVICE S INC /DE/	DE					X		X			11/09/00	
AMERICAN GENERAL CORP /TX/	TX					X		X			11/30/00	
AMERICAN SOUTHWEST FINANCIAL SECURI TIES CORP	AZ	X									11/25/00	
AMERISERVE FOOD DISTRIBUTION INC /D E/	DE		X					X			11/30/00	
AMERISOURCE HEALTH CORP/DE	DE					X		X			12/07/00	
AMERISTAR CASINOS INC	NV					X		X			12/05/00	
AMM HOLDINGS INC	DE		X			X		X			12/07/00	AMEND
ANALOG DEVICES INC	MA							X			12/07/00	
ANCHOR HOLDINGS INC	DE		X			X		X			12/07/00	AMEND
APPLIED GRAPHICS TECHNOLOGIES INC	DE					X		X			12/04/00	
APPLIED GRAPHICS TECHNOLOGIES INC	DE					X		X			12/06/00	
AREMISSOFT CORP /DE/	DE	X									12/07/00	
ARMSTRONG WORLD INDUSTRIES INC	PA			X							12/06/00	
ATLANTIC CITY BOARDWALK ASSOCIATES LP	NJ					X		X			08/31/00	
ATWOOD OCEANICS INC	TX					X					12/06/00	
AUBURN NATIONAL BANCORPORATION INC	DE					X		X			12/06/00	
AUTOZONE INC	NV							X		X	12/05/00	
BANCWEST CORP/HI	DE					X		X			12/04/00	
BANK OF AMERICA CORP /DE/	DE					X		X		X	12/06/00	
BANK OF AMERICA CORP /DE/	DE					X		X		X	12/06/00	AMEND
BANYAN CORP /OR/	OR		X								12/31/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE					X		X			11/27/00	
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE					X		X			11/27/00	
BEI TECHNOLOGIES INC	DE					X					11/21/00	
BICO INC/PA	PA					X					12/06/00	
BIOLABS INC			X								09/08/00	AMEND
BIOLABS INC						X					11/28/00	
BLYTH INC	DE					X		X			11/30/00	
BMW AUTO LEASING LLC								X			11/27/00	
BMW MANUFACTURING LP								X			11/27/00	
BMW VEHICLE LEASE TRUST 2000-A								X			11/27/00	
BURLINGTON NORTHERN SANTA FE CORP	DE					X		X			12/07/00	
BURNHAM PACIFIC PROPERTIES INC	MD					X					12/05/00	
CABLEVISION SYSTEMS CORP /NY	DE					X		X			12/08/00	
CALIFORNIA SOFTWARE CORP	NV					X					10/10/00	
CAPITAL ONE MASTER TRUST	VA					X		X			11/15/00	
CARCO AUTO LOAN MASTER TRUST	DE					X		X			12/06/00	
CAREMARK RX INC	DE					X		X			11/29/00	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								06/25/00	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								07/25/00	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								09/25/00	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								10/25/00	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								11/27/00	
CATERPILLAR INC	DE							X			11/22/00	
CDX COM INC	CO	X	X								11/22/00	
CHASE MANHATTAN AUTO OWNER TRUST 20 00-A	DE					X		X			12/07/00	
CHASE MANHATTAN BANK USA	DE					X		X			12/07/00	
COLONIAL BANCGROUP INC	DE							X		X	12/06/00	
COMMONWEALTH TELEPHONE ENTERPRISES INC /NEW/	PA					X					12/06/00	
COMMUNITY BANK SYSTEM INC	DE					X		X			11/29/00	
COMMUNITY INDEPENDENT BANK INC	PA					X		X			11/30/00	
COM21 INC	DE		X					X			07/30/00	AMEND
CONNECTICUT WATER SERVICE INC / CT	CT							X			12/06/00	
CORILLIAN CORP			X					X			12/07/00	
CORRECTIONAL SERVICES CORP	DE	X									11/10/00	
COURIER CORP	MA		X					X			09/22/00	AMEND
CWABS INC	DE					X		X			11/30/00	
CWMBS INC	DE					X		X			11/29/00	
CYGNE DESIGNS INC	DE					X		X			12/05/00	
DAIMLERCHRYSLER WHOLESALE RECEIVABL ES LLC	DE					X		X			12/06/00	
DESERT HEALTH PRODUCTS INC	AZ							X			09/29/00	
DETROIT EDISON CO	MI					X					12/05/00	
DISCOVER CARD MASTER TRUST I	DE					X		X			12/07/00	
DOW JONES & CO INC	DE							X		X	12/06/00	
DTE ENERGY CO	MI					X					12/05/00	
DYNAMOTIVE TECHNOLOGIES CORP								X			12/06/00	
DYNATEC INTERNATIONAL INC	UT		X								12/06/00	
E COM TECHNOLOGIES CORP	NV					X		X			12/01/00	
E STAMP CORP	DE					X		X			11/27/00	
E XACT TRANSACTIONS LTD	CO					X					11/17/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
EAGLE WIRELESS INTERNATIONAL INC	TX					X		X			11/29/00	
EASTPORT REDS INC /UT		X	X					X			12/01/00	
ECOLAB INC	DE					X		X		X	12/07/00	
EL PASO ENERGY CORP/DE	DE					X		X			12/06/00	
ELCOTEL INC	DE					X					11/29/00	
EMPIRE DISTRICT ELECTRIC CO	KS			X							12/07/00	
ENVIRONMENTAL POWER CORP	DE							X		X	11/20/00	
ETOYS INC	DE					X					11/28/00	
EVERLERT INC	NV	X									05/11/00	AMEND
EVOKE COMMUNICATIONS INC	DE					X		X			11/27/00	
FAIR ISAAC & COMPANY INC	DE					X					12/07/00	
FARMLAND INDUSTRIES INC	KS					X					12/06/00	
FCNB CORP	MD			X				X			12/01/00	
FINANCIAL SERVICES VEHICLE TRUST								X			11/27/00	
FINISAR CORP	CA		X			X		X			11/20/00	
FIRST AVIATION SERVICES INC	DE					X					11/30/00	
FIRST AVIATION SERVICES INC	DE					X					12/06/00	
FIRST COMMUNITY BANCSHARES INC /NV/	NV									X	12/07/00	
FIRST LIBERTY BANK CORP	PA					X		X			11/29/00	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC					X		X			12/06/00	
FITZGERALDS GAMING CORP	NV		X								12/05/00	
FLUOR CORP	DE					X		X	X		11/30/00	
FORD CREDIT AUTO RECEIVABLES TWO L P	DE					X		X			11/21/00	
FOREST OIL CORP	NY					X		X			12/07/00	
FRONTIER ADJUSTERS OF AMERICA INC	AZ			X				X			11/20/00	AMEND
GALAGEN INC	DE					X		X			12/06/00	
GE CAPITAL COM MORT CORP COM MORT P AS THR CERT SER 2000-1	DE					X		X			12/06/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X		X			11/27/00	
GEMSTAR TV GUIDE INTERNATIONAL INC	DE								X		11/28/00	
GENEREX BIOTECHNOLOGY CORP	DE					X					10/04/00	AMEND
GENESEE & WYOMING INC	DE								X		12/06/00	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE					X		X			12/06/00	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-1	DE					X		X			11/15/00	
GREENPOINT MORTGAGE SECURITIES INC/	DE					X		X			09/19/00	
GREENWICH CAPITAL ACCEPTANCE INC	NY					X		X			12/03/00	
GREENWICH CAPITAL ACCEPTANCE INC	NY					X		X			12/04/00	
GREENWICH CAPITAL ACCEPTANCE INC	NY					X		X			12/05/00	
HARRAHS ENTERTAINMENT INC	DE					X					12/07/00	
HASBRO INC	RI					X		X			12/06/00	
HEALTH PAK INC	DE	X						X			12/04/00	
HENLEY HEALTHCARE INC	TX					X		X			11/22/00	
HEWLETT PACKARD CO	DE								X		12/06/00	
HOME CITY FINANCIAL CORP	OH	X									12/01/00	
INDYMAC ABS INC	DE					X		X			11/01/00	
INFOCAST CORP /NV	NV					X					12/01/00	
INFOSPACE INC	DE					X		X			11/03/00	
INLAND RETAIL REAL ESTATE TRUST INC	MD					X					11/21/00	
INVESTORS FINANCIAL SERVICES CORP	DE					X		X			11/28/00	
IRIDEX CORP	DE					X		X			12/06/00	

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		1	2	3	4	5	6	7	8	9		
JACKPOT ENTERPRISES INC	NV					X	X				12/06/00	
JFAX COM INC	DE	X					X				11/29/00	
JMB INCOME PROPERTIES LTD VII	IL					X		X			11/30/00	
KELLWOOD CO	DE					X	X				12/01/00	
KEY COMPONENTS LLC	DE	X					X				12/06/00	
KEYCORP STUDENT LOAN TRUST 1999 B	DE					X	X				11/27/00	
KEYCORP STUDENT LOAN TRUST 2000-A	OH					X	X				11/27/00	
KEY3MEDIA GROUP INC	DE					X		X			12/06/00	
KINDER MORGAN ENERGY PARTNERS L P	DE					X	X				12/07/00	
KULICKE & SOFFA INDUSTRIES INC	PA	X					X				11/24/00	
LANDMARK SYSTEMS CORP	VA					X	X				12/04/00	
LATIN AMERICAN CASINOS INC	DE					X					11/17/00	
LEADINGSIDE INC	DE	X					X				11/21/00	
LONG ISLAND PHYSICIAN HOLDINGS CORP	NY					X					09/30/00	
LYNCH MERRILL MTG INV INC CBAS MTG	DE					X	X				06/26/00	
LN AS BK CRT SER 2000-CB1												
LYNCH MERRILL MTG INV INC CBAS MTG	DE					X	X				09/25/00	
LN AS BK CRT SER 2000-CB1												
MACROVISION CORP	DE								X		12/07/00	
MAJESTIC STAR CASINO LLC	IN							X	X		11/22/00	
MANUFACTURERS SERVICES LTD	DE								X		12/07/00	
MANUGISTICS GROUP INC	DE					X	X				12/06/00	
MATLACK SYSTEMS INC	DE					X					06/29/00	
MCDERMOTT INTERNATIONAL INC	R1					X	X				12/06/00	
MED WASTE INC	DE					X	X				12/05/00	AMEND
MEDCARE TECHNOLOGIES INC	DE					X	X				12/06/00	
MELLON FINANCIAL CORP	PA					X	X				11/30/00	
MERRILL LYNCH & CO INC	DE					X	X				12/06/00	
METAL MANAGEMENT INC	DE					X		X			11/30/00	
MICROTEL INTERNATIONAL INC	DE	X					X				09/22/00	AMEND
MILLION DOLLAR SALOON INC	NV					X					12/01/00	
MINNESOTA MINING & MANUFACTURING CO	DE					X					11/17/00	
MINNESOTA MINING & MANUFACTURING CO	DE					X	X				12/05/00	
MOLL INDUSTRIES INC	DE	X				X	X				12/07/00	AMEND
MORGAN STANLEY DEAN WITTER CAPITAL	DE	X									11/23/00	
1 INC SERIES 2000-PRIN												
MORTGAGE PASS THROUGH CERTIFICATE S	DE					X	X				12/01/00	
ERIES 2000-7												
NATIONAL HEALTH INVESTORS INC	MD					X					12/04/00	
NBC INTERNET INC	DE	X					X				11/22/00	
NEBCO EVANS HOLDING CO	DE	X					X				11/30/00	
NETWORK COMMERCE INC	WA					X	X				11/30/00	
NEW ENGLAND POWER CO	MA					X					12/05/00	
NEW ENGLAND POWER CO	MA					X					12/05/00	
NICOLE INDUSTRIES INC	NV	X									07/31/00	AMEND
NUCO2 INC /FL	FL					X	X				12/07/00	
OLIN CORP	VA						X	X			12/04/00	
OMEGA ENVIRONMENTAL INC	DE			X		X	X				12/04/00	
PACIFIC GULF PROPERTIES INC	MD	X					X				11/22/00	
PARTSBASE COM INC	TX						X	X			10/26/00	
PEPSICO INC	NC					X	X				12/02/00	
PERMA FIX ENVIRONMENTAL SERVICES IN	DE	X									12/04/00	
C												
PIONEER COMMERCIAL FUNDING CORP /NY	NY					X					12/06/00	

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		1	2	3	4	5	6	7	8	9		
PLAINS ALL AMERICAN PIPELINE LP	DE					X	X				11/30/00	
PLAINS RESOURCES INC	DE					X	X				11/29/00	
PNC MORT SEC CORP COM MORT PASS THR CERT SER 2000-C1	MO					X	X				11/15/00	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-1	DE					X	X				12/01/00	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-3	DE					X	X				12/01/00	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-5	DE					X	X				12/01/00	
PNC MORTGAGE SECURITIES CORP MORT P ASS THRO CERT SER 2000-2	DE					X	X				12/01/00	
PNC MORTGAGE SECURITIES CORP MORT P ASS THROU CERT SER 2000 6	DE					X	X				12/01/00	
PNC MORTGAGE SECURITIES CORP MORT P ASS THRU CERT SER 2000 4	DE					X	X				12/01/00	
PNW CAPITAL INC	DE							X			12/06/00	
PROVINCE HEALTHCARE CO	DE					X					11/20/00	
PURINA MILLS INC/ QUAKER OATS CO	DE NJ					X	X				12/01/00 12/02/00	
REGISTER COM INC	DE					X					12/06/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			11/22/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X				12/04/00	
RHINO ENTERPRISES GROUP INC	NV					X					11/30/00	
SALOMON BROTHERS COMMERCIAL MORT TR 2000-C3	DE					X	X				12/05/00	
SALOMON BROTHERS MORT SEC VII INC M ORT PAS TH CE SER 2000-1	DE					X	X				11/27/00	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE					X	X				11/29/00	AMEND
SCOTTS COMPANY	OH		X					X			01/21/99	AMEND
SEITEL INC	DE				X			X			12/01/00	
SEMICONDUCTOR LASER INTERNATIONAL C ORP	DE							X			12/06/00	
SENSAR CORP /NV/	NV					X	X				12/04/00	
SITESTAR CORP	NV		X					X			11/22/00	
SIZZLER INTERNATIONAL INC	DE					X	X				11/30/00	
SMTC CORP	DE		X					X			11/22/00	
SOCRATES TECHNOLOGIES CORP	DE					X	X				12/04/00	AMEND
SOUTHERN ENERGY INC	DE		X					X			10/30/00	AMEND
SPIEGEL CREDIT CORP III	DE					X	X				12/05/00	
SPIEGEL MASTER TRUST	DE					X	X				12/05/00	
STARMET CORP	MA		X								11/22/00	
STORAGE TECHNOLOGY CORP	DE					X	X				12/07/00	
STRUCTURED ASSET SEC CORP COMM MORT PAS THR CERT SER 2000 C5	DE					X	X				12/04/00	
STYLECLICK INC	DE		X								12/07/00	
SUMMA INDUSTRIES/	CA		X					X			12/01/00	
SUNBEAM CORP/FL/	DE				X			X			12/06/00	
SUPPLY CHAIN SERVICES INC	DE		X					X			11/27/00	
TCPI INC	FL					X					12/06/00	
TECHLABS INC						X					12/05/00	

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	CODE	1	2	3	4	5	6	7	8	9		
TRAVELNOWCOM INC	FL					X		X			11/29/00	
TRIAD HOSPITALS HOLDINGS INC	DE					X		X			11/29/00	
TRIAD HOSPITALS INC	DE					X		X			11/29/00	
TRUSTCO BANK CORP N Y	NY					X					12/06/00	
UNITED THERAPEUTICS CORP	DE					X					12/05/00	
UNOCAL CORP	DE					X					12/08/00	
USA NETWORKS INC	DE					X		X			12/07/00	
USEC INC	DE					X					12/06/00	
USX CORP	DE							X	X		12/06/00	
VENTRO CORP	DE					X		X			12/06/00	
VERITAS SOFTWARE CORP /DE/	DE					X					11/22/00	
VERSO TECHNOLOGIES INC	MN		X			X		X			11/22/00	
VIASYSTEMS GROUP INC	DE					X		X			11/30/00	
VIDEO NETWORK COMMUNICATIONS INC	DE					X					11/30/00	
VIRTUAL COMMUNITIES INC/DE/	DE					X		X			12/05/00	
VISTEON CORP	DE					X		X			12/05/00	
WADE COOK FINANCIAL CORP	UT					X		X			11/28/00	AMEND
WAVO CORP	IN					X		X			11/29/00	
WAVO CORP	IN					X					12/06/00	
WAYPOINT FINANCIAL CORP	PA					X		X			12/06/00	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			11/29/00	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			12/06/00	
WISER OIL CO	DE					X		X			11/20/00	
WORLD ACCESS INC /NEW/	DE					X		X			12/06/00	
WORLDBID CORP	NV					X		X			11/06/00	AMEND
WRP CORP	MD					X					11/30/00	
WTAA INTERNATIONAL INC /FL/	FL							X			11/22/00	
ZALE CORP	DE							X	X		12/06/00	