U.S. SECURITIES AND EXCHANGE COMMISSION

## **ADMINISTRATIVE PROCEEDINGS**

NASD ACTION AGAINST TRAIGER ENERGY INVESTMENTS AND MICHAEL A. TRAIGER SET ASIDE

The Commission has set aside disciplinary action taken by the NASD against Traiger Energy Investments, of Encino, California, and Michael A. Traiger, a firm partner.

The NASD found that Applicants violated Rule 15c2-4(b) under the Securities Exchange Act because they failed to place subscribers' funds received in a "part or none" offering in a bank escrow account until the requisite amount of securities was sold. Although Applicants had deposited the funds in a trust account, also permitted by the rule, the NASD stated that the Commission's net capital rule required a broker in Traiger's category to use an escrow account. The Commission held, however, that, although Applicants' action might have resulted in a net capital violation, there was no violation of Rule 15c2-4(b), since Applicants had satisfied one of that rule's two alternatives.

The Commission also set aside the NASD's other finding of violation; that the firm failed to register with 19 finders to whom it paid fees for referring persons who purchased securities in the offering. The Commission stated that the record did not show that the 19 finders were engaged in the securities business. Thus, the firm did not have to register them with the NASD. (Rel. 34-25306)

PROCEEDINGS INSTITUTED AND SETTLED AGAINST MARCUS SCHLOSS & CO., INC.

The Commission instituted public administrative proceedings against Marcus Schloss & Co., Inc., a registered broker-dealer. Simultaneously, Marcus Schloss submitted an Offer of Settlement, which the Commission accepted.

The Commission issued an Order Instituting Proceedings, Making Findings and Imposing Sanctions, to which Marcus Schloss consented. The Commission found that Marcus Schloss had been permanently enjoined by the U.S. District Court for the Southern District of New York from violations of Sections 10(b) and 14(e) of the Securities Exchange Act and Rules 10b-5 and 14e-3.

The Commission censured Marcus Schloss and ordered that it retain an independent consultant not unacceptable to the Commission to review and make recommendations as to certain policies and procedures of the firm, which recommendations Marcus Schloss agreed to adopt and implement. (Rel. 34-25315)

# CIVIL PROCEEDINGS

COMPLAINT NAMES PALMER FINANCIAL CORPORATION, OTHERS

The Commission today filed a complaint in the U.S. District Court for the District of Columbia against Palmer Financial Corporation, Galloway Capital Corporation (GCC), L & P Holdings, Ltd., and Pattinson Hayton, of Los Angeles, California, and Montaigne Trust, of Vaduz, Liechtenstein.

The Complaint seeks a Final Judgment of Permanent Injunction restraining and enjoining Palmer from failing to timely file Annual, Quarterly, and Current Reports and Notifications of Late Filing with the Commission and an Order compelling Palmer to file its three delinquent Quarterly Reports on Form 10-Q for 1987 and a Current Report on Form 8-K with respect to certain changes in control and acquisitions and dispositions of assets. It also seeks to restrain and enjoin Hayton from aiding and abetting Palmer's

failure to file such reports and from failing to file ownership reports on Forms 3 and 4 with respect to the common stock of Palmer and its predecessor, Transworld Energy Corporation. In addition, the Commission seeks to restrain and enjoin GCC, L&P and Montaigne from failing to file ownership reports on Forms 3 and 4 and Schedule 13D and to compel each of them to file such delinquent ownership reports. (SEC v. Palmer Financial Corporation, et al., USDC DC, Givil Action No. 88-305, Feb. 8, 1988).

### **INVESTMENT COMPANY ACT RELEASES**

CHARLES D. ADAMS

A notice has been issued giving interested persons until March 11 to request a hearing on an application by Charles D. Adams for an order granting a limited exemption from the provisions of Section 9(a) of the Investment Company Act, applicable to him by virtue of an injunction entered against him in SEC v. LSL Corporation, No. S-73-CA-71, EDTX, Dec. 7, 1973. (Rel. IC-16254 - Feb. 5)

## **HOLDING COMPANY ACT RELEASES**

CONNECTICUT YANKEE ATOMIC POWER COMPANY; THE COLUMBIA GAS SYSTEM, INC.; COLUMBUS SOUTHERN POWER COMPANY

Notices have been issued giving interested persons until February 29 to request a hearing on a proposal by the following companies filed under Release 35-24572, dated February 4: CONNECTICUT YANKEE ATOMIC POWER COMPANY - subsidiary of Northeast Utilities and New England Electric System, to issue and sell its Series A general and refunding mortgage bonds in the principal amount of \$100 million, pursuant to an exception from competitive bidding. The Company also proposes to issue approximately \$96 million principal amount of its Series B general and refunding bonds to secure approximately \$96 million of outstanding bank obligations; THE COLUMBIA GAS SYSETM, INC. - a registered holding company, to issue and sell in one or more transactions through December 31, 1989 an aggregate principal amount not exceeding \$300 million of (a) debentures, at competitive bidding, or (b) medium-term notes with maturities of from nine months to ten years, offered publicly through an agent or agents on a periodic basis, pursuant to an exception from competitive bidding; and COLUMBUS SOUTHERN POWER COMPANY - subsidiary of American Electric Power Company, Inc., to Indemnify certain of its affiliated companies of all liability in connection with their providing certain goods and services relating to the Zimmer Station.

# LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING GRANTED

An order has been issued granting the application of the <u>Philadelphia Stock Exchange</u> for unlisted trading privileges in the common stock of four issues which are listed and registered on one or more other national securities exchanges and is reported in the consolidated transaction reporting system. (Rel. 34-25309)

# **SELF-REGULATORY ORGANIZATIONS**

NOTICE OF PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change under Rule 19b-4 (SR-NASD-87-47) that would add Section 67 to the NASD Uniform Practice Code, requiring syndicate managers of public offerings underwritten on a firm-commitment basis to notify the NASD of anticipated delays in the closings of such offerings. Publication of the proposal is expected to be made in the Federal Register during the week of February 8. (Rel. 34-25307)

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by The Depository Trust Company (SR-DTC-87-18) which extends the termination date on its Same-Day Funds Settlement Service, a temporary pilot program, from January 31, 1988 to June 30, 1988. (Rel. 34-25308)

3020 304

### TRUST INDENTURE ACT RELEASES

AMERICAN AIRLINES, INC.

An order has been issued under the Trust Indenture Act of 1939 on an application by American Airlines, inc. that the trusteeship of Meridian Trust Company under two American indentures is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify the Bank from acting as trustee under either indenture. (Rel. TI-2145)

### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 DENVER LAND & EXPLORATION CO, 8505 E ORCHARO RD STE 810, ENGLEWOOD, (303) 220-7873 250,000 (\$1,500,000) COMMON STOCK. 80,000 (\$800) COMMON STOCK. UNDERWRITER: MARICH R B INC. (FILE 33-19843 JAN. 28) (BR. 3 NEW ISSUE)
- S-1 WELLS ALUMINUM CORP, 525 W WASHINGTON, SOUTH BEND, IN 46601 (219) 234-8100 35,500,000 (\$35,500,000) STRAIGHT BONDS. 15,000,000 (\$15,000,000) STRAIGHT BONDS. (FILE 33-19849 JAN. 28) (BR. 6 NEW ISSUE)
- S-8 KDMAG INC /DE/, 591 YOSEMITE DR, MILPITAS, CA 95035 (408) 946-2300 300,000 (\$2,295,000) COMMON STOCK. (FILE 33-19851 JAN. 28) (BR. 9)
- S-8 CHEMICAL NEW YORK CORP, 277 PARK AVE, NEW YORK, NY 10172 (212) 310-6161 2,000,000 (\$40,620,000) COMMON STOCK. (FILE 33-19852 JAN. 28) (BR. 12)
- S-B CORKEN INTERNATIONAL CORP, 3805 NW 36TH ST, OKLAHOMA CITY, OK 73112 (405) 946-5576 100,000 (\$300,000) COMMON STOCK. (FILE 33-19853 JAN. 28) (BR. 10)
- S-6 CLASSIC TRUST, 14 WALL ST, C/O GRUNTAL & CO INC, NEW YORK, NY 10005 23,100 (\$23,793,000) UNIT INVESTMENT TRUST. DEPOSITOR: GRUNTAL & CO INC. (FILE 33-19857 JAN. 28) (BR. 18 NEW ISSUE)
- S-8 BROKEN HILL PROPRIETARY CO LTD, BHP HOUSE, 140 WILLIAM ST, MELBOURNE VICTORIA AUSTRALIA, C3 (415) 981-1515 12,000,000 (\$56,880,000) FOREIGN COMMON STOCK. (FILE 33-19859 JAN. 29) (BR. 6)
- S-6 INVESTORS CORPORATE INCOME TRUST INTERMEDIATE SERIES 12, 1001 WARRENVILLE RD, LISLE, IL 60532 1,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-19860 JAN. 29) (BR. 18 NEW ISSUE)
- S-3 UNION PACIFIC CORP, 345 PARK AVE, NEW YORK, NY 10154 (212) 418-7800 8,627,096 (\$453,440,166) COMMON STOCK. (FILE 33-19866 JAN. 29) (BR. 4)
- S-3 AMERICAN SOUTHWEST FINANCIAL CORP, 15650 N BLACK CANYON HGWY STE 120, PHOENIX, AR 85023 (602) 942-2829 - 1,000,000,000 (\$1,000,000,000) MORTGAGE BONDS. (FILE 33-19867 -JAN. 29) (BR. 11)
- S-1 GEO INTERNATIONAL CORP /NEW/, 1 LANDMARK SQ, STAMFORD, CT 06901 (203) 964-1955 712,026 (\$3,293,120.20) COMMON STOCK. (FILE 33-19868 JAN. 29) (BR. 4)
- S-4 FIRST WACHOVIA CORP, 301 M MAIN ST, WINSTON SALEM, NC 27150 (919) 770-5000 205,918 (\$7,927,843) COMMON STOCK. (FILE 33-19873 JAN. 29) (BR. 2)

Jan. 4: Atel Cash Distribution Fund II, A California Limited Partnership, 33-17663; Buckhead Capital Corp., 33-14640-A; Century Income Properties Fund II Limited Partnership, 33-16237-C; Columbus Energy Corp., 33-17885; Datascope Corporation, 33-18994; Kelley Oil & Gas Partners, Ltd., 33-18900; LBVIP Variable Annuity Account I, 33-15974; NDGC U.S. Government Securities Fund, 33-17747; Oppenheimer OTC Fund, 33-19197; Southwest Capital Income Properties I, L.P., 33-18560-LA; TV Communications Network, Inc., 33-16113-D; Telemundo Group, Inc., 33-19094; Travel Management Corporation, 33-18605-D; U.S. West Financial Services, Inc./U.S. West, Inc., 33-19224, 33-19224-01; U.S. West Capital Funding, Inc./U.S. West, Inc., 33-19226-01; The Williams Carter Company, 33-19403.

Jan. 5: Aztec Corporation, 33-18601-LA; Informix Corporation, 33-18763; Maxi Group, Inc., 33-8070-LA; Phoenix Leasing Cash Distribution Fund III, 33-17490.

Jan. 6: American General Corporation, 33-19075; Battle Mountain Gold Company, 33-18865, 33-18866; Biogen N.V., 33-14741, 33-14743; Central Fund of Canada Limited, 33-18982; Eastman Kodak Company, 33-19313; JobTrak Systems, Inc., 33-17881-C; Mobil Oil Canada, Ltd./Mobil Corporation, 33-19312.

Jan. 7: Capsearch, Inc., 33-14837-NY; Community Bancorp, Inc., 33-17360; Directions Unit Investment Trust, Fifty-Eighth Series, 33-18940; Enstar Income/Growth Program Six-A, Six-B, Six-C, 33-18318; Innovative Software, Inc., 33-18254; Lam Research Corporation, 33-18665; The Painewebber Pathfinders Trust, Treasury and Growth Stock Series 7, 33-5075; Swank, Inc., 33-19501; Westfield Finance Inc., 33-17929 (corrected order).

Jan. 8: Itel Corporation, 33-18008; Kinder-Care, Inc., 33-17791, 33-17847; Portsmouth Bank Shares, Inc., 33-18714.

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNE	R	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALLEGHENY INTL INC SPEAR LEEDS & KELLOGG	COM	13D	2/ 1/88	828 7.6	01737210 7.5	UPDATE
ALLEGHENY INTL INC SPEAR LEEDS & KELLOGG	PFD CO	NV \$11.2 13D	5 2/ 1/88	480 25.1	01737240 24.6	UPDATE
BRTPRLTY TR AMERICAN CAP FIDELITY	SH BEN	INT NEW 13D	1/29/88	333 6.6	05564530 6.6	
BURNHAM SVC CORP CROWLEY RAY E ET AL	COM	13D	2/ 1/88	407 8.5	12232110 7.1	UPDATE
CHURCHILL TECHNOLOGY INC CRIBARI WENDY A	COM	13D	11/ 4/87	4,057 9.8	17156910 0.0	
CHURCHILL TECHNOLOGY INC VANDER PLOEG ANDREW P	COM	13D	1/28/88	2,026 5.2	17156910 5.0	UPDATE
COMTECH INC CHATTERJEE PURNENDU	COM	13D	7/31/87	515 7.7	20582610 0.0	

### ACQUISITIONS REPORTS CONT.

CONTRACAP INC LOE® MARVIN P ET AL	COM	13D	1/15/88	3,128 23.3	21231810 22.5 UPDATE
E-II HLDGS INC AMERICAN BRANDS INC ET AL	COM	14D-1	2/ 3/88	348 0.6	26925510 0.0 UPDATE
FIRST INTERSTATE HAWII INC FIH INC	COM	13D	1/25/88	136 28.9	32199910 28.6 UPDATE
GRANDVIEW RES INC WMC ACQUISITION	COM	14D-1	<b>2</b> 7 3788	8,80 <b>8</b> 88.2	
HOLLY SUGAR CORP IMPERIAL SUGAR CO ET AL	COM	14D-1	<b>2</b> / 3/88	748 66.7	
HUNTER ENVIRON SVCS OKAY EDWARD J	COM	13D	10/26/87	600 12.4	44568310 0.0 NEW
ICEE USA DEL J&J SNACK FOODS INVMNT	COM	13D	12/17/87	1,984 100.0	45102810 100.0 UPDATE
IMPERIAL CORP AMER DEL M.D.C. HOLDINGS INC	COM	13D	<b>2</b> / 2/88	1,339 8.5	45272210 7.2 UPDATE
K D I CORP IMPALA PACIFIC CORP ET AL	COM	13D	1/28/88		48245210 48.2 UPDATE
KEMPER CORP LUMBERMENŞ MUTUAL CASUALT	COM Y CO	13D	1/22/88	26,741 44.1	48839610 41.4 UPDATE
LEWIS PALMER & INC BRADCO SPLY CORP	COM	13D	1/20/88	250 6.6	52800310 6.4 UPDATE
LUMEX INC SURGICAL APPLIANCE INDS	COM	13D	1/25/38	209 5.0	55024510 0.0 NEW
NAC RE CORP KORSANT PHILIP B ET AL	COM	13D	1/22/88	460 6.4	62890710 0.0 NEW
PAY N SAVE INC ELKUS WILLIAM S ET AL	COM	13D	1/29/88	8,052 45.0	70432010 43.2 UPDATE
POSTAL INSTANT PRESS DEL ORION CAP CORP ET AL	COM	13D	2/ 1/88	439 10.5	73751610 10.2 UPDATE
TVX BROADCAST GROUP INC SALOMON BROS HLDG	COM	13D	1/29/88	9,218 100.0	87308010 100.0 UPDATE
TRANS LUX CORP CENITH PARTNERS L P ET AL	COM	13D	2/ 2/88	153 9.8	89324710 8.8 UPDATE
TRANSMEDIA NETWORK SHERWOOD CAPITAL INC	COM	13D	12/31/87	8,533 24.0	89376710 22.8 RVSION
UNITED NEW MEXICO FINL CORP FORD GERALD J ET AL	COM	13D	11/30/87	1,545 40.2	91120010 35.3 UPDATE
VORTEC CORP HINDERLITER INDS ET AL	COM	13D	1/31/88	363 18.4	

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3.

Bankruptcy or Receivership. Changes in Registrant's Certifying Accountant. Item 4.

Item 5. Other Materially Important Events.

Resignations of Registrant's Directors. Item 6.

Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	8K ITEM NO.		
NAME OF ISSUER	CODE	1 2 3 4 5 6 7	DATE	COMMENT
ADVANCED MONITORING SYSTEMS INC AMC ENTERTAINMENT INC AMERICAN PACIFIC MINT INC ASA INTERNATIONAL LTD	CO	x x x x x	01/26/88	
AMC ENTERTAINMENT INC	ÐE	X	11/12/87	AMEND
AMERICAN PACIFIC MINT INC	NV	x x̂	01/15/88	
ASA INTERNATIONAL LTD	DE	x	12/03/87	AMEND
BANK OF AMERICA NATIONAL TRUST & SAVINGS		X	01/25/88	
BAYHOOD FINANCIAL INC	NV	X	01/15/87	
BAYWOOD FINANCIAL INC	NV	x x x x	01/15/88	
BLACK HAWK HOLDINGS INC	IA	X	01/13/88	
BUTLER NATIONAL CORP	MN	. х	11/19/87	AMEND
CAMBRIDGE FOULTY CORP /CO/	CO	x x	01/25/88	
CAPITOL RESOURCES INC	ΚΥ	χ̈́	11/11/87	AMEND
CARREDA CARITAL CORP	CO	NO ITEMS	12/31/87	AMEND
CCA INC	DE.	X X	01/15/88	A
CENTEL CARLE TELEVICION CO	DE	¥	01/01/88	AMEND
BAYWOOD FINANCIAL INC BAYWOOD FINANCIAL INC BLACK HAWK HOLDINGS INC BUTLER NATIONAL CORP CAMBRIDGE EQUITY CORP /CO/ CAPITOL RESOURCES INC CARRERA CAPITAL CORP CCX INC CENTEL CABLE TELEVISION CO CENTENNIAL PENSION INVESTORS LTD CENTENNIAL REAL ESTATE INVESTMENT FUND	CA	NO ITEMS X X X	01/01/88	AITLIND
CENTENNIAL REAL ESTATE INVESTMENT FUND	CA	<b>\$</b>	01/15/88	
	CA	X X X X X X X X X X X X X X X X X X X	01/15/88	
CENTURY PENSION INCOME FUND XXIII	CA	<b>3</b>	01/15/00	
CENTURY PENSION INCOME FUND XXIV	CA	Š	01/15/88	
CENTURY PROPERTIES EQUITY FUND 73	CA	<b>\$</b>	01/15/88	
CENTURY PROPERTIES EQUITY PARTNERSHIP 12	CA	· •	01/15/88	
CENTURY PROPERTIES FUND X	LA	X	01/15/88	
CENTURY PROPERTIES FUND XI	CA	X	01/15/88	
CENTURY PROPERTIES EQUITY FUND 73 CENTURY PROPERTIES EQUITY PARTNERSHIP 72 CENTURY PROPERTIES FUND X CENTURY PROPERTIES FUND XII CENTURY PROPERTIES FUND XIII CENTURY PROPERTIES FUND XIII CENTURY PROPERTIES FUND XIV CENTURY PROPERTIES FUND XIX CENTURY PROPERTIES FUND XV CENTURY PROPERTIES FUND XVI CENTURY PROPERTIES FUND XVIII CENTURY PROPERTIES FUND XVIII CENTURY PROPERTIES FUND XVIII CENTURY PROPERTIES FUND XX CENTURY PROPERTIES FUND XXII CERPROBE CORP CHOICE DRUG SYSTEMS INC CLASSIC VENTURES INC	CA	X	01/15/88	
CENTURY PROPERTIES FUND XIII	CA	X	01/15/88	
CENTURY PROPERTIES FUND XIV	CA	X	01/15/88	
CENTURY PROPERTIES FUND XIX	CA	X	01/15/88	
CENTURY PROPERTIES FUND XV	CA	X ·	01/15/88	
CENTURY PROPERTIES FUND XVI	CA		01/15/88	
CENTURY PROPERTIES FUND XVII	CA	X	01/15/88	
CENTURY PROPERTIES FUND XVIII	CA	X	01/15/88	
CENTURY PROPERTIES FUND XX	CA	X	01/15/88	
CENTURY PROPERTIES FUND 71-3	CA	X	01/15/88	
CENTURY PROPERTIES GROWTH FUND XXII	CA	X	01/15/88	
CERPROBE CORP	CA	χ		
CHOICE DRUG SYSTEMS INC	NY	NO ITEMS	11/05/87	AMEND
CLASSIC VENTURES INC	DE	$\mathbf{x}$ $\mathbf{x}$ $\mathbf{x}$	09/28/87	
CLINI THERM CORP	TX	X	01/23/88	
COMMODORE ENVIRONMENTAL SERVICES INC /UT		NO ITEMS	11/17/87	AMEND
COMMODORE INVESTMENT INC	UT	x	01/17/88	
COMMUNITY BANK SYSTEM INC	DE	x x x	01/27/88	
COMPUSONICS VIDEO CORP	CO	NO ITEMS	01/20/88	AMEND
COMPUTER TELEPHONE CORP	MA	X	12/29/87	
CONSOLIDATED CAPITAL PROPERTIES V	CA	X X	11/01/87	
CONSOLIDATED CAPITAL PROPERTIES VI	CA	X X	12/15/87	
DEKALB CORP	DE	X	01/15/88	
ENERGY VENTURES INC /DE/	DE	X	11/13/87	AMEND
COMMODURE INVESTMENT INC COMMUNITY BANK SYSTEM INC COMPUSONICS VIDEO CORP COMPUTER TELEPHONE CORP CONSOLIDATED CAPITAL PROPERTIES V CONSOLIDATED CAPITAL PROPERTIES VI DEKALB CORP ENERGY VENTURES INC /DE/ ENERGY VENTURES INC /DE/ ENGLISH GREENHOUSE PRODUCTS CORP	DE	X	11/13/87	AMEND
ENGLISH GREENHOUSE PRODUCTS CORP	DE	X X	01/14/88	

•	STATE	8K ITEM NO			
NAME OF ISSUER	CODE	1 2 3 4 5		DATE	COMMENT
ENSTAR INCOME GROWTH PROGRAM FIVE-A	GA	x	X	01/08/88	
ENSTAR INCOME GROWTH PROGRAM FIVE-A ENSTAR INCOME GROWTH PROGRAM FIVE-B	GA	X	X	01/08/88	
EQUITABLE RESOURCES INC /PA/	PA	X		01/22/88	
FBS MORTGAGE CORP	NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT		NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS NO ITEMS		01/22/88 01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THE CERT	NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	ŇV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS		01/22/88	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT FINANCIAL NEWS NETWORK INC	NV CA	NO ITEMS X	X	01/22/88 11/16/87	AMEND
FIRST FEDERAL SAVINGS & LOAN ASSOCIATION	CA	^ x :		12/31/87	ANGILO
FIRST MONTAUK FINANCIAL CORP	NJ	X	•	11/30/87	AMEND
FIRSTMARK CORP /DE/	DE	x	X	12/29/87	AMEND
FIRSTMARK CORP /DE/ FOX STRATEGIC HOUSING INCOME PARTNERS FULTON FINANCIAL CORP GREEN MOUNTAIN POWER CORP GREENWOOD HOLDINGS INC/CO/ GROWTH HOTEL INVESTORS GROWTH HOTEL INVESTORS II HIGH EQUITY PARTNERS L P SERIES 86 HOUSEHOLD FINANCE CORP	CA	X		01/15/88	
FULTON FINANCIAL CORP	PA	X	X	03/27/87	AMEND
GREEN MOUNTAIN POWER CORP	VT	X		12/11/87	AMEND
GREENWOOD HOLDINGS INC/CO/	ÇO	NO ITEMS		12/17/87	AMEND
GROWTH HOTEL INVESTORS	CA	X		01/15/88	
GROWTH HOTEL INVESTORS II	CA	X	J	01/15/88 01/0 <b>5/88</b>	
HIGH EQUITY PARTNERS L P SERIES 86	DE	X X	X	01/09/88	
THE STATE OF THE S	5.5	â		01/29/88	
HOUSEHOLD INTERNATIONAL INC HUTTON CONAM REALTY PENSION INVESTORS	NY	â		01/28/88	
INTEGRATED COMPUTER GRAPHICS INC	GA	X X	X	01/15/88	
INTEGRATED RESOURCES NATIONAL PROPERTY I		X	X	12/21/87	
INTERNATIONAL PHARMACEUTICAL PRODUCTS IN	MN	x x x	X	01/14/88	
ISOMEDIX INC	DE		X	12/17/87	AMEND
ISOMETRY INC	CO	Х		12/23/87	AMEND
IU INTERNATIONAL CORP	MD	X	X	01/15/88	4MENS
KNUTSON MORTGAGE CORP KRUPP CASH PLUS III LTD PARTNERSHIP LA MAN CORP	DE MA	x	X	12/16/87 01/15/88	AMEND
LA MAN CORP	NV	^ x	â	10/30/87	AMEND
MAGMA COPPER CO	DE	^ x	•	01/27/88	
LA MAN CORP MAGMA COPPER CO MAGNETIC TECHNOLOGIES CORP MANAGEMENT TECHNOLOGIES INC MARINE CORP /IL/ MARINE CORP /IL/ MCA INC	DE	· X	X	01/29/88	
MANAGEMENT TECHNOLOGIES INC	NY	X		01/06/88	
MARINE CORP /IL/	IL	х	X	11/24/87	
MARINE CORP /IL/	IL		X	11/24/87	AMEND
		X			
MCCORMICK & CO INC	MD DE	X X	X	01/19/88 01/29/88	
MERRILL LYNCH & CO INC MOBIL CORP	DE	^	x	02/03/88	
MOTTS SUPER MARKETS INC	CT	x	x	01/26/88	
MRI BUSINESS PROPERTIES FUND LTD	CA	x	^	01/15/88	
MRI BUSINESS PROPERTIES FUND LTD II	CA	x		01/15/88	
MRI BUSINESS PROPERTIES FUND LTD III	CA	x		01/15/88	
NATIONAL RURAL UTILITIES COOPERATIVE FIN	DC		X	01/28/88	
NATIONAL SEMICONDUCTOR CORP	DE		X	10/08/87	AMEND
NATIONWIDE LEGAL SERVICES INC	NJ	X X X	X	12/23/87	
OLD REPUBLIC INTERNATIONAL CORP	DE	х х	X	01/28/88	
PALMER FINANCIAL CORP	NV	X		11/20/87	
PREFERRED PROPERTIES FUND 80	CA CA	X X		01/15/ <b>88</b> 01/15/88	
PREFERRED PROPERTIES FUND 81 PREFERRED PROPERTIES FUND 82	CA	â		01/15/88	
PROFESSIONAL CARE INC	NY	â	Х	01/13/88	
PUBLIC SERVICE CO OF NEW HAMPSHIRE	NH	â		01/28/88	
QUIXOTE CORP	DE	X	X	01/15/88	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE	X	X	01/22/88	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE	<b>X</b> .	X	01/22/88	
SAFEGUARD SCIENTIFICS INC	PA		X	11/16/87	AMEND
SCOTT CABLE COMMUNICATIONS INC	ŤΧ	X	_	01/20/88	AMEND
SEAHAWK DIL INTERNATIONAL INC	NJ	X	X	02/01/88	

NAME OF ISSUER	CODE	8K 11				DATE	COMMENT
SHOPSMITH INC	OH		x		X	01/14/88	
SHURGARD GROWTH CAPITAL FUND 15 LIMITED	HA	X			X	12/29/87	
SHURGARD GROWTH CAPITAL FUND 15 LIMITED	WA	X			X	01/15/88	
SHURGARD GROWTH CAPITAL FUND 17 LIMITED	DE	X			X	12/29/87	
SHURGARD GROWTH CAPITAL FUND 17 LIMITED	DE	X			X	01/15/88	
STACY INDUSTRIES INC	DE			X		01/21/88	
STANDARD MICROSYSTEMS CORP	DE			X	X	01/27/88	
STANLEY WORKS	CT				X	01/29/88	
STANLINE INC	CA			X	X	01/29/88	
SUFFIELD FINANCIAL CORP	DE			X		01/26/88	
SUNSHINE FUTURES FUND	FL		X		X	01/15/88	•
SUNTRUST BANKS INC	GA			X	X	01/28/88	
TECHNOLOGY MANAGEMENT & MARKETING INC /C	CO				X	01/01/88	AMEND
TOPS MEDICAL CORP	NJ				X	12/23/87	AMEND
TRINOVA CORP	OH			X	X	02/03/88	
USR INDUSTRIES INC/DE/	DE			X		01/22/88	
VAN DUSEN AIRPORT SERVICES COMPANY LIMIT	MN				X	12/04/87	AMEND
VENDO CO	MO			X		01/25/88	
VISUAL SKILLS INC	NV	X X		-	X	01/13/88	
WESPAC INVESTORS TRUST III	CA	X		X	X	01/15/88	•
WESTERN AUTO SUPPLY CO	DE			••	X	12/01/67	AMEND
WILDERNESS EXPERIENCE INC	CA			X		01/20/88	
3CI INC /DE/	DE		X			12/11/87	