# RULES AND RELATED MATTERS

U.S. SECURITIES AND EXCHANGE COMMISSION

AUG 29 1978

ADOPTION OF INTEGRATED REPORTING SYSTEM FOR MANAGED INVESTMENT COMPANIES

The Commission is adopting a rule and forms comprising an integrated registration and reporting system which reduces both the number of forms and the duplicative information filed by management investment companies. Specifically, the Commission is adopting a revised notification of registration under the Investment Company Act of 1940, new registration forms for registration of open-end and closed-end companies under the 1940 Act and of their securities under the Securities Act of 1933, a revised form for annual reports under the Securities Exchange Act of 1934 and under the 1940 Act, and a new rule under the 1940 Act requiring an annual update of the 1940 Act registration statement. The Commission is also requesting public comment with respect to certain items in the new registration statement forms that have been substantially amended from the items as proposed for comment. In addition, the Commission is announcing a policy of releasing to the public pursuant to requests under the Freedom of Information Act, and without further notice to affected companies, all nonpublic information contained in Part II of the current annual report form under the 1940 Act, with the sole exception of the information contained in the item relating to the ten largest dealers in an investment company's shares.

Comments on the revised items should be sent in triplicate to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, 500 North Capitol St., Washington, D.C. 20549, and should refer to File No. S7-697. All comments will be available for public inspection. (Rel. 33-5964)

FOR FURTHER INFORMATION CONTACT: Glen A. Payne at (202) 755-1739 or Dianne E. O'Donnell at (202) 755-1796

WITHDRAWAL OF PROPOSED CHANGE IN FILING TIME FOR INVESTMENT COMPANY ANNUAL REPORTS

The Commission is withdrawing the proposed revision of its regulations on the filing of investment company annual reports which would have required that such reports be filed within 90 days (rather than the current 120 days) after the end of the fiscal year. The proposed rule amendment is being withdrawn because investment companies are already subject to a substantial reporting burden at the end of their fiscal years. (Rel. IC-10379)

FOR FURTHER INFORMATION CONTACT: Glen A. Payne at (202) 755-1739) or Dianne E. O'Donnell at (202) 755-1796

## **ADMINISTRATIVE PROCEEDINGS**

INITIAL DECISION IMPOSES SANCTIONS AGAINST ROBERT A. PODESTA

Administrative Law Judge Irving Sommer has filed an initial decision suspending the registration of Robert A. Podesta as a broker-dealer for a period of one month, and suspending him from association with a broker or dealer for a period of one month. The sanctions imposed in the public interest were based on findings that Podesta wilfully violated the antifraud provisions of the Exchange Act. The decision is subject to review of the Commission on its own motion or on petition of a party.

## TRADING SUSPENSIONS

#### TRADING SUSPENDED IN THREE COMPANIES

The SEC announced the single ten day suspension of exchange and over-the-counter trading for the period beginning on August 25 and terminating at midnight (EDT) on September 3, 1978, of the securities of the following companies: Houston Complex, Inc. and Network One, Inc., Delaware and Utah corporations respectively, both located in Las Vegas, Nevada, and American Land Company, a Delaware corporation located in New York, New York.

The Commission suspended trading in the securities of these companies because of questions concerning the recent ususual market activity in these securities and in view of the lack of current adequate and accurate information publicly available concerning, among other things, the companies' financial condition and current business intentions and capabilities with respect to the development of casino operations in Atlantic City, New Jersey. (Rel. 34-15088)

## INVESTMENT COMPANY ACT RELEASES

### MASSACHUSETTS FINANCIAL BOND FUND, INC.

An order has been issued on an application filed by Massachusetts Financial Bond Fund, Inc., Massachusetts Cash Management Trust, MFS Managed Municipal Bond Trust, Massachusetts Financial High Income Trust (collectively, the Funds), all of which are registered under the Investment Company Act of 1940 as open-end management investment companies Massachusetts Financial Services Company (MFS), a registered investment adviser, and Bruce S. Old (Old), declaring that Old shall not be deemed to be an interested person, within the meaning of Section 2(a)(19) of the Act, of the Funds or of MFS by reason of his status as an officer and shareholder of Arthur D. Little, Inc. (Rel. IC-10376 - Aug. 24)

### INCOME TRUST FOR U.S. GOVERNMENT GUARANTEED SECURITIES

An order has been issued on an application of Income Trust for U.S. Government Guaranteed Securities (Applicant), a diversified open-end investment company registered under the Investment Company Act of 1940, pursuant to Section 6(c) of the Act, granting an exemption from the provisions of Section 22(d) of the Act with respect to proposed sales of Applicant's shares at net asset value without a sales charge to participants in a proposed distribution reinvestment program. (Rel. IC-10377 - Aug. 24)

## HOLDING COMPANY ACT RELEASES

### MONONGAHELA POWER COMPANY

An order has been issued authorizing a post-effective amendment filed by Monongahela Power Company (Monongahela), The Potomac Edison Company (Potomac), and West Penn Power Company (West Penn), subsidiaries of Allegheny Power System, Inc., regarding the terms of the issuance of up to \$50 million in the case of Monongahela, \$50 million in the case of West Penn, and \$48 million in the case of Potomac of short-term notes to banks or commercial paper outstanding at any one time. Reservation of jurisdiction is continued with respect to that portion of the borrowings requested in the original filing by West Penn in excess of \$50 million. (Rel. 35-20683 - Aug. 24)

### MIDDLE SOUTH UTILITIES

An order has been issued authorizing a proposal of Middle South Utilities, Inc., a registered holding company, to issue and sell a maximum of 1,000,000 shares of its common stock pursuant to an employees' savings plan. (Rel. 35-20685 - Aug. 25)

### GENERAL PUBLIC UTILITIES CORPORATION

An order has been issued authorizing a proposal by General Public Utilities Corporation and four of its fuel and electric utility subsidiaries concerning a uranium exploration and reserve acquisition program. (Rel. 35-20686 - Aug. 25)

## **SELF-REGULATORY ORGANIZATIONS**

#### NOTICE AND APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved, on an accelerated basis, a proposed rule change filed by Pacific Stock Exchange, Incorporated (PSE) (SR-PSE-78-2) which permits PSE members to accept orders for the sale (writing) of call options from an affiliate of the issuer of the underlying stock and to accept "restricted stock," or stock held by or for the account of an affiliate, or stock subject to the terms of Rule 145 for the purpose of covering a short position in call option contracts and for the purpose of satisfying exercise notices of options positions. (Rel. 34-15093)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the Municipal Securities Rulemaking Board (SR-MSRB-77-9). The rule change establishes terms and conditions under which municipal securities dealers may sell new issue municipal securities during the underwriting period. (Rel. 34-15090)

## **MISCELLANEOUS**

NAUM BROS., INC.

The SEC has issued a notice giving interested persons until August 28 to request a hearing on an application of Naum Bros., Inc. (Applicant), pursuant to Section 12(g) of the Securities Exchange Act of 1934, as amended, for an order exempting the Applicant from the provisions of Sections 13 and 15(d) of the 1934 Act. (Rel. 34-15051)

Y&S CANDIES, INC.

The SEC has issued a notice giving interested persons until August 28 to request a hearing on an application of Y&S Candies, Inc. (Applicant) pursuant to Section 12(h) of the Securities Exchange Act of 1934, as amended, for an order exempting the Applicant from the provisions of Sections 13 and 15(d) of the 1934 Act. (Rel. 34-15069)

HARTE-HANKS SOUTHERN COMMUNICATIONS, INC.

The SEC has issued a notice giving interested persons until September 11 to request a hearing on an application by Harte-Hanks Southern Communications, Inc. for an order exempting it from filing the Form 10-K for the fiscal year ending December 31, 1978, the interim Forms 10-Q, and all other reports as otherwise required by Section 15(d) of the Securities Exchange Act of 1934 and Rules 15d-1 and 15d-13 thereunder.

On July 31, 1978, Hartke-Hanks Communications, Inc. issued 8% Guaranteed Installment Notes due 1988 pursuant to a Plan of Merger in exchange for the stock of Southern Broadcasting Company. As a result of this merger, Southern Broadcasting Company is now a wholly-owned subsidiary of Harte-Hanks Southern Communications, Inc., which in turn is a wholly-owned subsidiary of Harte-Hanks Communications, Inc. The parent company, Harte-Hanks Communications, Inc., is a reporting company under the Securities Exchange Act of 1934. (Rel. 34-15083)

BURDOX, INC.

The SEC has issued a notice giving interested persons until September 11 to request a hearing on an application by Burdox, Inc. (Applicant), pursuant to Section 12(h) of the Securities Exchange Act of 1934, for an order exempting Applicant from the provisions of Sections 13 and 15(d) of that Act. The applicant, which became a wholly-owned subsidiary of Gas Accumulator Corporation on April 27, 1978, no longer has any publicly held securities. (Rel. 34-15082)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-11) UNIVERSITY REAL ESTATE INVESTORS, 666 E. Ocean Blvd., Long Beach, Cal. 90802 -15,000 limited partnership partnership units, 30,000 nonrecourse promissory notes and \$50,000 of guarantee on escrow agreement. The partnership has been formed to acquire from nonaffiliated persons and to operate a diversified portfolio of income producing real properties. (File 2-62413 - Aug. 25)
- (S-1) COLOR TILE, INC., 1700 One Tandy Center, Fort Worth, Tex. 76102 1,000,000 shares of common stock. Underwriters: Blyth Eastman Dillon & Co. Inc., E.F. Hutton & Co. Inc. and Rauscher Pierce Securities Corp. The company operates stores selling a broad assortment of floor and wall tiles and related products. (File 2-62443 - Aug. 25)
- (S-11) ANGELES PARTNERS VIII, 1888 Century Park East, Los Angeles, Cal. 90067 10,000 units of limited partnership interests. The partnership was organized to purchase or lease improved real properties or newly constructed properties. (File 2-62444 - Aug.
- (S-7) SEALED AIR CORPORATION, Park 80 Plaza East, Saddle Brook, N.J. 07662 (201-791-7600) - 400,000 shares of common stock. Underwriter: Donaldson, Lufkin & Jenrette Securi-The company is a producer of specialized packaging materials and systems. (File 2-62445 - Aug. 25)
- (S-14) RTE CORPORATION, 1900 E. North St., Waukesha, Wis. 53186 (414-547-1251) 550,000 shares of common stock. (File 2-62446 - Aug. 25)
- (S-1) DATA TERMINAL SYSTEMS, INC., 124 Acton St., Maynard, Mass. 01754 522,500 shares of common stock. Underwriters: Blyth Eastman Dillon & Co. Inc. and Paine, Webber, Jackson & Curtis Inc. The company designs, manufactures and markets electronic terminals which perform cash register and data processing functions for use in retail business control systems. (File 2-62447 - Aug. 25)
- (S-7) DEL E. WEBB CORPORATION, 3800 North Central Ave., Phoenix, Ariz. 85012 (602-264-8011) - 666,667 shares of common stock. Underwriter: Smith Barney, Harris Upham & Co. The company owns and manages leisure properties, real estate operations and construction activities. (File 2-62448 - Aug. 25)
- (S-6) MUNICIPAL INVESTMENT TRUST FUND, NINETY-THIRD MONTHLY PAYMENT SERIES 25,000 units. Depositors: Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10080; Bache Halsey Stuart Shields Inc.; and Dear Witter Reynolds (File 2-62449 - Aug. 25)
- (S-8) TOPPS CHEWING GUM, INC., 254 36th St., Brooklyn, N.Y. 11232 100,000 shares of common stock. (File 2-62450 - Aug. 25)
- (S-16) LEVIN COMPUTER CORPORATION, 224 East 49th St., New York, N.Y. 10017 (212-935-9620) - 28,675 shares of common stock. (File 2-62451 - Aug. 25)
- (S-16) MOHAWK DATA SCIENCFS CORP., 1599 Littleton Rd., Parsippany, N.J. 07054 (201-540-9080) - 1,282,050 shares of common stock. (File 2-62452 - Aug. 25)
- (S-5) FIRST INVESTORS PREFERRED STOCK FUND, INC., 120 Wall St., New York, N.Y. 10005 an indefinite number of shares of common stock. The fund is a diversified open-end investment company. (File 2-62453 - Aug. 25)
- (S-8) FORT HOWARD PAPER COMPANY, 1919 South Broadway, Green Bay, Wis. 54305 300,000 shares of common stock. (File 2-62454 - Aug. 25)

### REGISTRATIONS EFFECTIVE

- Aug. 22: Equimark Corp., 2-62199.
  Aug. 23: Beatrice Foods Co., 2-62353; Comshares, Inc., 2-60950; Valmac Industries, Inc., 2-62157.
- Aug. 24: Auxton Computer Enterprises, Inc., 2-59936; First City Bancorporation of Texas, Inc., 2-61919; Houston Lighting & Power Co., 2-62291.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3. Bankruptcy or Receivership.

Item 4. Changes in Registrant's Certifying Accountant.

Item 5. Other Materially Important Events.

Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEM NO.	DATE
MCDONALDS CORP	5	07/01/78
MINI MART CORP	2	06/30/78
MGBILAND INC	13,14	07/01/78 *
MODERN MINING & MILLING CO INC	1,4,5	07/12/78
MONUMENTAL PROPERTIES TRUST	2	07/13/78
MPO VIDECTRONICS INC	1	10/01/74
MPO VIDEOTRONICS INC	5•6	07/01/78
MULTI AMP CORP	5,6	07/26/78
NU WEST DEVELOPMENT CORP OF ARIZONA	5,6	04/30/78
PATRICK OIL & GAS CORP 1974 INCOME PROGR	2	05/16/78
PATRICK OIL & GAS CORP 1974 INCOME PROGR	2	05/16/78
PATRICK DIL & GAS CORP 1975 COMBINATION	2	05/16/78
PATRICK OIL & GAS CORP 1976 COMBINATION	2	05/16/78
PATRICK OIL & GAS CORP 1976 DRILLING PRO	2	05/16/78
PATRICK CIL & GAS CORP 1976 DRILLING PRO	2 5	05/16/78
PATRICK PETROLEUM CO 1978 COMBINATION PR PETRO LEWIS OIL INCOME PROGRAM VII	2.6	07/31/78 07/01/78
PIEDMONI NATURAL GAS CO INC	2, a 5	07/01/78
REDMAN INDUSTRIES INC	5,6	07/19/78
RULE INDUSTRIES INC	2,5	06/01/78
RUST CRAFT GREETING CARDS INC	5,6	07/21/78
RYNCO SCIENTIFIC CORP	4,6	07/20/78
SAFEGUARD INDUSTRIES INC	2	07/17/78
SCOTTISH INNS OF AMERICA INC	2,6	07/13/78
SHS FUND INC	2,5,6	04/04/78
SIGMATICS	5	06/01/78
SONDERLING BROADCASTING CORP	5	07/01/78
SONOMA INTERNATIONAL	1,2,5,6	07/21/78
SOUTHEASTERN SURGICAL SUPPLY CO	4	07/15/78
TENNA CORP	5	07/07/78
TRATEC INC	2	07/20/78
TRIUMPH INDUSTRIES INC	2,3,5	06/19/78
UNIFUND LEASING INC	2,6	06/30/78
UNITOG CO	2,6	07/27/78
UNITY BUYING SERVICE CO INC	5	06/26/78
WCS INTERNATIONAL	1,2,5	07/01/78
ACTION INDUSTRIES INC	5	08/01/78
ACTON CORP	2,6	06/30/78
AMERICAN AIR FILTER CO INC	5	07/23/78
AMERICAN HOSPITAL SUPPLY CORP	5	07/01/78
AMES DEPARTMENT STORES INC	5,6	08/03/78
ANALOGIC CORP	2,6	07/31/78
BALTIMORE GAS & ELECTRIC CO	5,6	07/28/78
BANK COMPUTER NETWORK CORP	4,6	06/10/78
BEARD OIL CO	2+6	08/10/78
BEATRICE FOODS CO	2,6	08/07/78

BLISS & LAUGHLIN INDUSTRIES INC	5	07/01/78
BURNUP & SIMS INC	5.6	08/01/78
	2.6	
CARLYLE REAL ESTATE LTD PARTNERSHIP VII	- •	07/31/78
CHEMTRUST INDUSTRIES CORP	2,5	07/19/78
CHESSCO INDUSTRIES INC	2,6	07/31/78
COCA COLA BOTTLING CO OF NEW YORK INC	2,6	07/27/78
CODI CORP	5,6	08/11/78
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COMSTOCK TUNNEL & DRAINAGE CO	5	06/30/78
CONTINENTAL ILLINOIS REALTY	2,5,6	08/02/78
CRS DESIGN ASSOCIATES INC	1,2,5,6	08/15/78
DATA ACCESS SYSTEMS INC	2,4,5	07/01/78
DELSTAR CORP	5	07/01/78
	_	07/18/78 *
EARTH RESOURCES CO	5,14	
ENTWISTLE CO	2	07/31/78
EQUITABLE OF IOWA COMPANIES	5,6	08/09/78
FIRST PENNSYLVANIA MORTGAGE TRUST	5	07/21/78
GDV INC	2,6	07/27/78
GEOSCIENCE TECHNOLOGY SERVICES CORP	4	07/18/78
GERBER SCIENTIFIC INSTRUMENT CO	5,13	07/01/78 *
GLASROCK PRODUCTS INC	1	08/16/78
GOLDEN WEST FINANCIAL CORP	5.6	07/31/78
HEALTH CARE FUND	5	07/15/78
	í	07/31/78
HEMINGWAY TRANSPORT INC		
HOUSTON INDUSTRIES INC	5	07/01/78
HOUSTON LIGHTING & POWER CO	5	07/01/78
IFC COLLATERAL CORP	13,14	07/01/78 *
INTERMOUNTAIN EXPLORATION CO	5.6	07/08/78
INVESTORS FUNDING CORP OF NEW YORK	13.14	07/01/78 *
KREISLER MANUFACTURING CORP	5	08/07/78
LINCOLN INCOME LIFE INSURANCE CO	5	07/31/78
LINCOLN MORTGAGE INVESTORS	5	07/28/78
MADISON CO	2,6	08/01/78
	5	07/21/78
MAYS J W INC		
MEDIC HOME ENTERPRISES INC	5	07/07/78
MEDICAL DYNAMICS INC	3	07/01/78 *
MICHIGAN SUGAR CO	4,6	08/01/78
NATIONAL AIRLINES INC	5	07/01/78
	2,6	
NATIONAL PROPERTY INVESTORS	-	07/31/78
NAVARRE FIVE HUNDRED BUILDING ASSOCIATES	5•6	02/28/78
NEUTROGENA CORP	5	07/13/78
NORD RESOURCES CORP	2,6	07/27/78
NORFOLK & WESTERN RAILWAY CO	5	08/10/78
OKC CORP	4	08/01/78
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OLD TUCSON CORP	5	08/09/78
OPT SCIENCES CORP	1	07/28/78
ORICO	5	08/03/78
PACIFIC FAR EAST LINE INC	3	08/03/78
PAN AMERICAN WORLD AIRWAYS INC	2,5,6	07/01/78
PARSONS RALPH M CO	5,6	08/14/78
PEERLESS CHAIN CO	5	07/25/78
PENNSTAR CO	5	07/01/78
PRATT HENRY CO	2	08/09/78
QUOTRON SYSTEMS INC	5,6	07/01/78
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RAINIER COMPANIES INC	1.2.6	08/10/78
SCHICK INC	4,6	07/31/78
SCOTCO DATA COM INC	4	08/03/78
SONAR RADIO CORP	2,5	06/29/78
	11.12.13	07/01/78 *
STEPHAN CO	-	
SUMMERS ELECTRIC CO	5	05/19/78
UNICARE SERVICES INC	2•6	07/28/78
UTAH POWER & LIGHT CO	5	08/07/78
WIRTZ PRODUCTION LTD	5.6	08/01/78
YARDNEY ELECTRIC CORP	5	08/08/78
PARONET CEEGINES CONF	,	007 007 10
AMENDMENTS TO REPORTS ON FORM 8-		
AAR CORP	6	03/01/78
FIRST NATIONAL BANCORPORATION INC	5,6	07/26/78
HCRNBLOWER & WEEKS NOYES & TRASK INC	6	01/13/78
MCNEIL REAL ESTATE FUND VIII LTD	2,6	06/16/78
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### AMENDMENTS TO REPORTS ON FORM 8-K, cont.

ANHEUSER BUSCH INC	5	07/01/78
APPLIED FLUIDICS INC	4,6	04/01/78
DCCUTEL CORP	2	07/15/78
ELLMANS INC	6	06/30/78
HICKORY FARMS OF CHIO INC	6	06/01/78
MCNEIL REAL ESTATE FUND VII LTD	6	11/28/77
MCNEIL REAL ESTATE FUND VII LTD	6	03/09/78
MODERN MINING & MILLING CO INC	4	07/20/78
OSMONICS INC	5	05/24/78
PETRO LEWIS DIL INCOME PROGRAM VII	2,5,6	09/01/77
PETRO LEWIS OIL INCOME PROGRAM VII	2,6	02/01/78
PETRO LEWIS OIL INCOME PROGRAM VII	2,6	04/01/78
WAYNE APPLIANCE CORP	2	06/30/78

\*/ The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk (\*) have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Legal Proceedings
- Item 4. Changes in Securities
- Item 5. Changes in Security for Registered Securities
- Item 6. Defaults upon Senior Securities
- Item 7. Increase in Amount of Securities Outstanding
- Item 8. Decrease in Amount of Securities Outstanding
- Item 9. Options to Purchase Securities
- Item 10. Extraordinary items, other material charges and credits and capital restatements
- Item 11. Submission of Matters to a Vote of Security Holders
- Item 12. Changes in Registrant's Certifying Accountant
- Item 13. Other Materially Important Events
- Item 14. Financial Statements and Exhibits



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