# SEC NEWS DIGEST

Issue 99-53

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March 19, 1999

#### COMMISSION ANNOUNCEMENTS

MEDIA ADVISORY - CHAIRMAN LEVITT TO SPEAK AT INVESTMENT COMPANY INSTITUTE'S MUTUAL FUNDS AND INVESTMENT MANAGEMENT CONFERENCE

- What: Chairman Arthur Levitt will deliver a significant address on ways to improve mutual fund governance. The speech will be given at the Investment Company Institute's Mutual Funds and Investment Management Conference.
- When: Monday, March 22, 1999; 9:00 a.m. PST
- Where: Marriott Desert Springs Resort and Spa Palm Desert, CA
- Contact: Chris Ullman -- (202) 942-0020 (Press Rel. 99-30)

#### ENFORCEMENT PROCEEDINGS

# JUDGMENTS ENTERED AGAINST BROKERS IN CASINO CRUISE SHIP FRAUD

The Commission announced that Judge Dickran Tevrizian of the United States District Court in Los Angeles entered judgments against Jerry L. Aubrey, Gary Jason McCrory, Gary L. Cleverly, Calvin J. Calvin, and Todd J. Taylor. The Court found that these five individuals had acted as brokers in the sale of stock issued by two companies, Casino Cruises Corp. and BRW Leasing Services, Inc. The Court further found that the five defendants failed to register with the Commission to act as brokers as required by federal law. Each defendant was ordered to pay a civil penalty of \$5,500 and permanently enjoined from future violations. The Commission alleged that the sales were part of a fraudulent scheme orchestrated by [SEC v. Michael A. Todd, Kim J. Brown, Jerry L. other defendants. Aubrey, Gary Jason McCrory, Gary L. Cleverly, Calvin J. Calvin and Todd J. Taylor, Civil Action No. 98-6509 DT, SHx, C.D. Cal.] (LR-16091)

## INVESTMENT COMPANY ACT RELEASES

### MORGAN STANLEY DEAN WITTER VARIABLE INVESTMENT SERIES

An order has been issued pursuant to Section 17(b) of the Investment Company Act exempting Morgan Stanley Dean Witter Variable Investment Series from the provisions of Section 17(a) of the Act to the extent necessary to permit the reorganization of its Capital Appreciation Portfolio into its Equity Portfolio. (Rel. IC-23744 - March 17)

#### SELF-REGULATORY ORGANIZATIONS

## PROPOSED RULE CHANGE

The <u>Chicago Stock Exchange</u> filed a proposed rule change (SR-CHX-99-01) to amend its Rule 3 to increase the net capital requirements for specialists. Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 22. (Rel. 34-41167)

## APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the <u>Philadelphia Stock Exchange</u> (SR-Phlx-98-24) to update and amend its Equity Floor Procedure Advice A-1 to more closely track the SEC's customer limit order display rules. Publication of the order is expected in the <u>Federal Register</u> during the week of March 22. (Rel. 34-41173)

The Commission approved a proposed rule change submitted by the <u>New</u> <u>York Stock Exchange</u> (SR-NYSE-98-05) to extend through August 31, 1999, the Pilot Fee Structure in NYSE Rules 451 and 465 that governs the reimbursement of expenses by NYSE issuers to NYSE member firms for the processing and delivery of proxy materials and other issuer communications to security holders whose securities are held in street name. Publication of the order is expected in the <u>Federal</u> <u>Register</u> during the week of March 22. (Rel. 34-41177)

The Commission granted approval to a proposed rule change submitted by the <u>National Association of Securities Dealers</u> (SR-NASD-98-94) relating to adjudication of clearly erroneous transactions. (Rel. 34-41180)

## WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until April 8, 1999, to comment on the application of Innovative Medical Services to withdraw its Common Stock, and its Class A Common Stock Purchase Warrants from listing and registration on the <u>Boston Stock Exchange</u>.

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Notice of this application was previously issued as Release No. 34-41114 on February 25, 1999. Such notice, however, failed to appear in the <u>Federal Register</u>, as required, and so is being reissued. (Rel. 34-41184)

A notice has been issued giving interested persons until April 8, 1999, to comment on the application of International FiberCom, Inc. to withdraw its Common Stock, No Par Value, from listing and registration on the <u>Philadelphia Stock Exchange</u>. (Rel. 34-41188)

#### DELISTING GRANTED

An order has been issued granting the application of the <u>New York</u> <u>Stock Exchange</u> to strike from listing and registration Complete Management Inc., Common Stock, \$.001 Par Value; 8% Convertible Subordinated Debentures, due August 15, 2003; and 8% Convertible Subordinated Debentures, due December 15, 2003. (Rel. 34-41185)

## WITHDRAWALS GRANTED

An order has been issued granting the application of HEICO Corporation to withdraw its Common Stock, \$0.01 Par Value Per Share, and its Class A Common Stock, \$0.01 Par Value Per Share, from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-41186)

An order has been issued granting the application of InfoCure Corporation to withdraw its Common Stock, \$.001 Par Value Per Share, from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-41187)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 ASSOCIATED BANC-CORP, 112 NORTH ADAMS ST, P O BOX 13307, GREEN BAY, WI 54301 (414) 433-3166 - 1,875,000 (\$63,281,250) COMMON STOCK (FILE 333-74307 - MAR 12) (BR 7)

- S-8 MIGRATEC INC, 5 CAMPUS CIRCLE, SUITE1 00, WESTLAKE, TX 76262 (718) 965-4172 - 2,200,000 (\$684,000) COMMON STOCK (FILE 333-74309 -MAR 12) (BR 5)
- S-8 WEYERHAEUSER CO, 33663 WEYERHAEUSER WAY SOUTH, FEDERAL WAY, WA 98003 (253) 924-2345 - 9,800,000 (\$520,625,000) COMMON STOCK (FILE 333-74311 -MAR 12) (BR 6)
- S-8 FORD MOTOR CO, THE AMERICAN RD, DEARBORN, MI 48121 (313) 322-3000 -120,000,000 (\$120,000,000) COMMON STOCK (FILE 333-74313 - MAR 12) (BR 5)
- S-3 CINTAS CORP, 6800 CINTAS BLVD, P O BOX 625737, CINCINNATI, OH 45262 (513) 459-1200 - 12,475 (\$888,844) COMMON STOCK (FILE 333-74317 -MAR 12) (BR 2)
- S-3 CINTAS CORP, 6800 CINTAS BLVD, P O BOX 625737, CINCINNATI, OH 45262 (513) 459-1200 - 17,487 (\$1,245,949) COMMON STOCK (FILE 333-74319 -MAR 12) (BR 2)
- S-8 STOLT COMEX SEAWAY S A, C/O STOLT COMEX SEAWAY MS LTD, BUCKSBURN HOUSE HOWES RD BUCKSBURN, ABERDEEN AB21 9RQ, L2 00000 (203) 625-3667 - 500,000 (\$3,171,875) COMMON STOCK (FILE 333-74321 -MAR 12) (BR 4)
- S-4 WESBANCO INC, 1 BANK PLAZA, WHEELING, WV 26003 (304) 234-9000 460,621 (53,715,141) COMMON STOCK (FILE 333-74323 - MAR 12) (BR 7)
- S-8 AMERICAN ARTISTS ENTERTAINMENT CORP, 600 PEACHTREE DUNWOODY RD, BLDG 600 STE 250, ATLANTA, GA 30328 (404) 876-7373 - 1,200,000 (\$975,000) COMMON STOCK (FILE 333-74327 - MAR 12) (BR 5)
- S-4 CNL AMERICAN PROPERTIES FUND INC, 400 E SOUTH ST, STE 500, ORLANDO, FL 32801 (407) 422-1574 - 61,000,000 (\$610,000,000) COMMON STOCK (FILE 333-74329 - MAR 12) (BR 8)
- S-8 KROLL O GARA CO, 9113 LESAINT DR, FAIRFIELD, OH 45014 (513) 874-2112 -500,000 (\$16,906,400) COMMON STOCK (FILE 333-74331 - MAR 12) (BR 5)
- S-3 CINTAS CORP, 6800 CINTAS BLVD, P O BOX 625737, CINCINNATI, OH 45262 (513) 459-1200 - 4,496 (\$320,340) COMMON STOCK (FILE 333-74333 - MAR 12) (BR 2)
- S-3 KROLL O GARA CO, 9113 LESAINT DR, FAIRFIELD, OH 45014 (513) 874-2112 -271,711 (\$9,187,228) COMMON STOCK (FILE 333-74335 - MAR 12) (BR 5)
- S-8 SCANSOFT INC, 9 CENTENNIAL DRIVE, PEABODY, MA 01960 (978) 977-2000 -1,738,552 (\$1,060,517) COMMON STOCK (FILE 333-74343 - MAR 12) (BR 3)
- S-8 STERLING COMMERCE INC, 300 CRESCENT CT, STE 1200, DALLAS, TX 75201 (214) 981-1100 - 3,000,000 (\$80,437,500) COMMON STOCK (FILE 333-74345 -MAR 12) (BR 3)
- S-8 FEDDERS CORP /DE, 505 MARTINSVILLE RD, LIBERTY CORNER, NJ 07938 (908) 604-8686 - 150,000 (\$778,125) COMMON STOCK (FILE 333-74347 -MAR 12) (BR 6)
- S-3 BERGEN BRUNSWIG CORP, 4000 METROPOLITAN DR, ORANGE, CA 92668 (714) 385-4000 - 300,000,000 (\$300,000,000) STRAIGHT BONDS (FILE 333-74349 - MAR 12) (BR. 1)
- S-1 RADIO ONE INC, 5900 PRINCESS GARDEN PARKWAY, 8TH FL, LANHAM, MD 20706 (301) 306-1111 - \$115,000,000 COMMON STOCK (FILE 333-74351 - MAR 12) (BR 7)
- S-8 EQUIVEST FINANCE INC, 2 CLINTON SQUARE, SYRACUSE, NY 13202 (315) 422-9088 - 3,500,000 (\$19,449,516) COMMON STOCK (FILE 333-74357 -MAR 12) (BR 7)
- S-1 LINKAGE SOLUTIONS INC, ONE FORBES ROAD, LEXINGTON, MA 02173 -\$39,991,250 COMMON STOCK (FILE 333-74359 - MAR 12) (NEW ISSUE)

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- S-3 CBRL GROUP INC, 305 HARTMANN DR, LEBANON, TN 37087 (615) 444-5533 -\$250,000,000 STRAIGHT BONDS (FILE 333-74363 - MAR. 12) (BR 5)
- S-1 TUESDAY MORNING CORP/DE, 14621 INWOOD RD, DALLAS, TX 75244 (214) 387-3562 - \$115,000,000 COMMON STOCK (FILE 333-74365 - MAR 12) (BR 2)
- S-1 WOMEN FIRST HEALTHCARE INC, 12220 EL CAMINO ROAD SUITE 400, SAN DIEGO, CA 92130 (619) 509-1171 - \$50,000,000 COMMON STOCK (FILE 333-74367 -MAR 12)
- S-8 SKIBO FINANCIAL CORP, 242 EAST MAIN STREET, CARNEGIE, PA 15106 (412) 276-2424 - 25,400 (\$193,675) COMMON STOCK (FILE 333-74369 -MAR 12) (BR 7)
- S-8 3COM CORP, 5400 BAYFRONT PLZ, SANTA CLARA, CA 95052 (408) 764-5000 -509,912 (\$495,445) COMMON STOCK. (FILE 333-74371 - MAR 15) (BR. 3)
- S-8 PHC INC /MA/, 200 LAKE ST STE 102, PEABODY, MA 01960 (978) 536-2777 -770,000 (\$914,375) COMMON STOCK (FILE 333-74373 - MAR 12) (BR 1)
- S-8 FRANCHISE MORTGAGE ACCEPTANCE CO, 1888 CENTURY PARK EAST, 3RD FLOOR, LOS ANGELES, CA 90067 (310) 229-2600 - 2,871,562 (\$33,418,919 40) COMMON STOCK (FILE 333-74375 - MAR. 05) (BR 7)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail bo, address <public info & sec> In most cases, this information is also available on the Commission's website <www.sec gov>

NAME OF ISSUER	STATE	8) 123	A 5 6		DATE COMMENT
AAON INC	NV	х			03/17/99
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV			х	03/15/99
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-2	NV			х	03/15/99
ADVANTA AUTOMOBILE RECEIVABLES 1998 -1	DE			х	03/15/99
AES CORPORATION	DE		х	х	03/18/99
AETNA REAL ESTATE ASSOCIATES L P	DE		х		03/15/99
ALPHA MICROSYSTEMS	CA		х	х	03/17/99
AMERICAN AIRLINES INC	DE	х			02/24/99
AMERICAN TOWER CORP /MA/	DE	х		х	02/25/99 AMEND
AMR CORP	DE	х			03/17/99
ASA INTERNATIONAL LTD	DE	х		х	03/18/99

STATE 8K ITEM NO.

	STATE			8 R		INO.		
NAME OF ISSUER	CODE						DATE	COMMENT
SSET BACKED SECURITIES CORP	DE				x	x	03/18/9	
SSOCIATES CORPORATION OF NORTH AME	DE					x	03/16/9	
BANK OF NEW ENGLAND CORP	MA					х	02/28/9	9
BERLITZ INTERNATIONAL INC	NY				х	х	03/11/9	99
BERRY PLASTICS CORP	DE					х	07/02/9	8 AMEND
MC SOFTWARE INC	DE				х	х	03/06/9	99
BRIDGEPORT MACHINES INC	DE				х	х	03/15/9	99
BUILDERS TRANSPORT INC	DE				х	х	03/15/9	9
CALIFORNIA MICROWAVE INC	DE		х			х	03/16/9	9
CAPCO AMERICA SEC CORP COMM MORT PA SS THROU CERT SER 1998-D7	DE				х		03/15/9	99
CAPITAL ALLIANCE INCOME TRUST REAL ESTATE & INVESTMENT TRUS	DE				х		02/10/9	9
CAPITAL ALLIANCE INCOME TRUST REAL ESTATE & INVESTMENT TRUS	DE				x		02/10/9	9 AMEND
CAPITAL ONE MASTER TRUST	VA				х	х	03/15/9	9 AMEND
APITAL TRUST INC	MD		х				02/26/9	9
ARDINAL HEALTH INC	ОН		х			х	02/03/9	9 AMEND
AREER EDUCATION CORP	DE					х	01/04/9	9 AMEND
ARETENDERS HEALTH CORP	DE		х				03/17/9	9
ATERPILLAR FINANCIAL FUNDING CORP	NV	х					01/25/9	8
ATERPILLAR FINANCIAL FUNDING CORP	NV	х					01/25/9	9
ELERITY SOLUTIONS INC	DE				х	х	03/12/9	9
ELLPRO INCORPORATED	DE			х	Х	х	03/15/9	9
ELTRIX PHARMACEUTICALS INC	DE				Х	х	03/18/9	9
ENTENNIAL HEALTHCARE CORP	GA		х			х	01/01/9	9 AMEND
ERNER CORP /MO/	DE				х	х	03/12/9	9
FI MORTGAGE INC	DE				х		03/13/9	9
HASE FUNDING INC	NY				Х	х	03/16/9	9
HASE FUNDING INC	NY				х	х	03/16/9	9
HASE FUNDING INC	NY				х	х	03/16/9	9
HASE MANHATTAN CORP /DE/	DE				х		03/16/9	9
HASE MORTGAGE FINANCE CORP	DE				х	х	02/09/9	9
NET INC /DE	DE				х	х	03/08/9	9
OMM BANCORP INC	PA				х	х	03/17/9	9
OMPREHENSIVE CARE CORP	DE				х		03/17/9	9
OMPUTER HORIZONS CORP	NY				х		06/30/9	8 AMEND
ONSOLIDATED DELIVERY & LOGISTICS I NC	DE					х	12/08/9	8 AMEND
ONSOLIDATED GRAPHICS INC /TX/	TX				х	х	03/15/9	9
ONTINENTAL HERITAGE CORP	DE	Х					02/09/9	9
ONTINENTAL INVESTMENT CORP /GA/	GA			2	Х		03/17/9	9
ONTISECURITIES ASSET FUNDING CORP	DE				х	Х	03/17/9	
OVOL TECHNOLOGIES INC	DE		х		х	х		8 AMEND
REDENCE SYSTEMS CORP	DE				х		03/18/9	
WAES INC	DE				х	х	08/11/9	
WMBS INC	DE				х	х	03/01/9	
WMBS INC	DE				х	x 、	05/01/9	
WMBS INC	DE				х	x	06/01/9	
WMBS INC	DE				X	X	10/01/9	
WMBS INC	DE				X	х	10/01/9	
WMBS INC	DE				X	X	11/01/9	
WMBS INC	DE				х	х	11/01/9	
YTATION COM INC	NY	Х					03/31/9	
ALECO RESOURCES CORP	DE			2	ĸ		03/18/9	
ATA SYSTEMS NETWORK CORP	MI				х	Х	01/31/9	
ATAWARE TECHNOLOGIES INC	DE					х		8 AMEND
EUTSCHE RECREATIONAL ASSET FUNDING	NV				х	х	03/09/9	9

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	STATE			8K 3	TEM	NO.		
NAME OF ISSUER								COMMENT
DEUTSCHE RECREATIONAL ASSET FUNDING CORP					x			
DLJ COMMERICAL MORT COMM MORT PASS THR CER SER 1999-CG1	DE				х	x	03/16/	99
DONALDSON LUFKIN & JENRETTE INC /NY	DE				х		03/17/	99
/ DYCOM INDUSTRIES INC	FL				x		03/15/	99
E SPIRE COMMUNICATIONS INC	DE				х		02/22/	99
ECHO BAY MINES LTD	A0				х	х	03/16/	99
ELECTROPHARMACOLOGY INC	DE			х		х	03/18/	99
ENRON CORP/OR/	OR	х					12/31/	98
FEDERATED DEPARTMENT STORES INC /DE /	DE		X		х	x	03/18/	99
FIRST ALLIANCE MORTGAGE LOAN TRUST 1997 2	CA				х	х	12/22/	97
FIRST ALLIANCE MORTGAGE LOAN TRUST 1997-01	CA				х	х	04/21/	97
FIRST ALLIANCE MORTGAGE LOAN TRUST 1997-01	CA				х	х	12/22/	97
FIRST ALLIANCE MORTGAGE LOAN TRUST 1997-3	CA			х	х		12/22/	97
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-1A	CA				х	х	04/20/	98
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-1A	CA				х	x	12/21/	98
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-1F	CA				х	х	04/20/	98
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-1F	CA				х	х	12/21/	98
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-2	CA				х	х	12/21/	98
FIRST ALLIANCE MORTGAGE LOAN TRUST 1998-3	NY				х	х	12/21/	98
FIRST BANK CORPORATE CARD MASTER TR UST	NY				х	х	03/17/	99
FLAG FINANCIAL CORP	GA				х	х	03/18/	
FLANIGANS ENTERPRISES INC	FL			х			03/05/	
FLEET FINANCIAL GROUP INC	RI				х	х	03/14/	
FLORIDA POWER & LIGHT CO	FL				х		03/16/	
FLOW INTERNATIONAL CORP	DE				х	х	C3/15/	
FOAMEX INTERNATIONAL INC	DE				х	Х	03/11/	
FPL GROUP INC	FL				х		03/16/	
FRANKLIN RECEIVABLES LLC			X				02/28/	
FREMONT FUNDING INC	DE					X	03/15/	
FRONTIER CORP /NY/	NY				х	х	03/16/	
GENERAL MEDIA INC	DE		Х			Х	03/02/	
GENZYME CORP	MA				x	X	04/02/	
GEON CO	DE				x	х	03/16/	
GEORGIA PACIFIC CORP	GA				х		03/18/	
GLOBAL CROSSING HOLDINGS LTD					х	х	03/16/	
GLOBAL CROSSING LTD					х	х	03/16/	
GOOD TIMES RESTAURANTS INC	NV				x	х	03/17/	
HARVEYS CASINO RESORTS	NV			х			03/12/	
HEALTH CARE REIT INC /DE/	DE				х	х	03/15/	
HEARTLAND WISCONSIN CORP	WI			х		Х	03/11/	
HERCULES INC	DE				х	Х	03/17/	
HEXCEL CORP /DE/	DE				х	х	01/17/	
HOME BANCORP/IN	IN				х		03/17/	
HORIZON GROUP PROPERTIES INC	MD				х	Х	03/17/	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS	DE		Х				12/17/	98

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STATE 81

SK ITEM NO.

NAME OF ISSUER	CODE		2				78	9	DATE	COMMENT
HOUSEHOLD AUTOMOBILE REVOLVING TRUS	DE		x						01/19/9	9
T I HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	DE		x						02/17/9	9
HOUSEHOLD AUTOMOBILE REVOLVING TRUS	DE		x						03/17/9	9
IATROS HEALTH NETWORK INC	DE		x				x		02/26/9	9
IMMECOR CORP	CA				х				03/15/9	9
IMMECOR CORP	CA				х				03/15/9	9
INSIGHT ENTERPRISES INC	DE					х	х		12/04/9	В
INSIGNIA ESG HOLDINGS INC	DE				Х				03/18/9	Э
INSITUFORM EAST INC	DE					х	х		03/18/9	Ð
INTEGRATED ELECTRICAL SERVICES INC	DE					х	х		03/17/9	
INTERNATIONAL BARTER CORP	NV		х				х		03/02/9	
INTERNET STOCK MARKET RESOURCES INC	DE					х			03/17/9	
ITRON INC /WA/	WA					X	x		03/18/9	
IVI CHECKMATE CORP	DE				v	х	X		03/16/9	
JAYHAWK ACCEPTANCE CORP	TX			x	х		х		02/16/9	
JMC GROUP INC	DE		х	v			х		03/16/9	
JWGENESIS FINANCIAL CORP / KASPER A S L LTD	FL DE		^			х	x		03/16/9	
LAM SW INC	NV					x	r		03/09/9	
LEARNING TREE INTERNATIONAL INC	DE					x	х		03/08/9	
LEHMAN ABS CORP	DE					x	x		03/12/9	
LEHMAN ABS CORP	DE					x	х		03/13/9	
MACK CALI REALTY L P	MD					х			03/16/99	
MALLON RESOURCES CORP	co	х							03/15/99	•
MENLO ACQUISITION CORP	DE			х			х		02/01/99	9
MERCANTILE CREDIT CARD MASTER TRUST	NY					х	Х		03/09/9	)
MERRIMAC INDUSTRIES INC	NJ					х	х		03/18/99	<del>)</del>
METTLER TOLEDO INTERNATIONAL INC/	DE		х						03/17/99	)
MICROTEST INC	DE					х			03/15/99	)
ML LEE ACQUISITION FUND II L P	DE					х			03/12/99	)
ML LEE ACQUISITION FUND RETIREMENT ACCOUNTS II L P	DE					х			03/12/99	9
MONARCH MACHINE TOOL CO	он						х		12/31/98	AMEND
MONTEREY PASTA CO	CA		х				х		03/12/99	)
MOTOR CLUB OF AMERICA	NJ					х			03/17/99	)
NABISCO HOLDINGS CORP	DE					х	х		03/09/99	
NABISCO INC	ŊJ					Х	х		03/09/99	
NATIONAL BANCORP OF ALASKA INC	DE					х			03/17/99	
NATIONAL BANKSHARES INC	VA					x			03/17/99	
NEUROMEDICAL SYSTEMS INC	DE					x			03/16/99	
NEW ENGLAND BUSINESS SERVICE INC	DE					X			03/17/99	
NIAGARA MOHAWK POWER CORP /NY/	NY					X	v		03/18/99	
NISSAN AUTO RECEIVABLES CORP /DE NOMURA ASSET SECURITIES CORP SERIES	DE DE					X X	х		02/28/99 03/15/99	
1998-D6	DE					v	v		02/22/01	
NORWEST ASSET SECURITIES CORP OAK HILL FINANCIAL INC	DE OH					x x	x x		03/17/99	
ONYX ACCEPTANCE FINANCIAL CORP	DE					x	x		11/24/98	
OPEN TEXT CORP	DE .					<b>4</b> 1	x		12/29/98	
PAMECO CORP	GA					x	••		03/16/99	
PENN NATIONAL GAMING INC	PA					x	х		03/17/99	
PIONEER HI BRED INTERNATIONAL INC	IA					x	x		03/15/99	
PLC SYSTEMS INC	***					x	x		03/12/99	
POWERTEL INC /DE/	DE					x	x		03/15/99	
PRIMESTAR INC	DE					X	x		03/16/99	
PRUDENTIAL SECURITIES SECURED FINAN	DE					x	x		03/30/99	
CING CORP	_								,	

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	STATE			81	<b>к</b> т т	TRM	NO.		
NAME OF ISSUER	CODE			3	4 9	56	78		COMMENT
PUBLIC SERVICE ELECTRIC & GAS CO	 NJ					 (	x	 03/18/9	9
PUBLIC SERVICE ENTERPRISE GROUP INC	NJ				2	¢	х	03/18/9	9
QUARTERDECK CORP	DE				2	K		03/17/9	9
RAILWORKS CORP					2	K	х	03/17/9	9
REPUBLIC LEASING INC /WA/	WA				х		х	03/11/9	9
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE				3	K	х	03/18/9	9
RIBOZYME PHARMACEUTICALS INC	DE				3	ĸ		11/23/9	8
RIGHTCHOICE MANAGED CARE INC	MO				2	K		03/15/9	9
RITE AID CORP	DE				2	ĸ		03/15/9	19
ROBERTS PHARMACEUTICAL CORP	NJ				2	ĸ		03/16/9	9
ROYAL OAK MINES INC	WA				2	K		03/15/9	9
SCHAWK INC	DE				2	ĸ	х	03/17/9	9
SCHEIN HENRY INC	DE						х	03/17/9	9 AMEND
SEAMED CORP	WA				2	K	х	03/16/9	9
SERVICEMASTER CO	DE				2	K		03/18/9	9
SIERRA HEALTH SERVICES INC	NV	Х						03/17/9	9
SOLUCORP INDUSTRIES LTD					2	K		03/18/9	9
SOLV EX CORP	NM			Х			х	08/31/9	8
SOUTHERN MISSOURI BANCORP INC	DE	Х						03/12/9	9
SPARTECH CORP	DE				2	ĸ	х	03/05/9	9
STARNET COMMUNICATIONS INTERNATIONA	NV				2	к		12/02/9	8 AMEND
L INC/ FA									
STERLING BANCSHARES INC	ТΧ				Σ	K	х	02/24/9	9
SUNSTAR HEALTHCARE INC	DE						Х	04/20/9	8
SURGE COMPONENTS INC	NY				2	K		03/02/9	9
SYNOVUS FINANCIAL CORP	GA				2	K	Х	03/15/9	9
TECHNICLONE CORP/DE/	CA				Х			03/11/9	9
TEXAS UTILITIES ELECTRIC CO	ТX				2	x		02/19/9	9
TIERS ASSET BACKED SECURITIES SER C HAMT TF 1997-7	DE						х	03/15/9	9
TRAK AUTO CORP	DE				2	X	х	03/11/9	9
TRAMMELL CROW CO	DE				2	Х		02/18/9	9
TRANSFINANCIAL HOLDINGS INC	DE				2	x		03/17/9	9
TRION INC	PA		Х					10/16/9	8
UCFC ACCEPTANCE CORP	LA				2	X	х	02/16/9	9
ULTRAK INC	CO				2	ĸ	х	03/15/9	9
UNION ACCEPTANCE CORP	IN				λ			02/25/9	9
UNIQUE CASUAL RESTAURANTS INC	DE				1	ĸ	х	04/10/9	9
UNITED FOODS INC	DE				2	ĸ		03/18/9	9
UNITED STATES EXPLORATION INC	CO						х	01/20/9	9 AMEND
US MICROBICS INC	CO	х						03/12/9	9
USA TALKS COM INC	NV						х	03/01/5	9 AMEND
UTOPIA MARKETING INC	FL				х			03/15/9	9
VALUEVISION INTERNATIONAL INC	MN				3	x	х	03/08/9	19
VIALINK CO	ок	Х						03/17/9	9
VIALINK CO	ок	х						03/17/9	9 AMEND
VIATEL INC	DE				2	х	X	03/17/9	9
VIRBAC CORP	DE	х						03/05/9	19
VORNADO REALTY LP	DE				1	ĸ	х	03/03/9	9
VORNADO REALTY TRUST	MD				2	x	х	03/03/9	19
WENDYS INTERNATIONAL INC	OH					ĸ		03/17/9	
WILSHIRE FINANCIAL SERVICES GROUP I NC				x			X	03/18/9	99
WORLD FINANCIAL NETWORK NATIONAL BA	ОН				3	x	х	03/15/9	9

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