

# SEC NEWS DIGEST

Issue 99-86

May 5, 1999

---

## COMMISSION ANNOUNCEMENTS

---

### ANNETTE NAZARETH TO TESTIFY

Annette L. Nazareth, Director of the Commission's Division of Market Regulation, will testify before the House Committee on Banking and Financial Services on Thursday, May 6 concerning the Report of the President's Working Group on Financial Markets on Hedge Funds, Leverage, and the Lessons of Long-Term Capital Management. The hearing will begin at 10:00 a.m. in Room 2128 of the Rayburn House Office Building.

### SEC'S EDGAR DATABASE WILL BE UNAVAILABLE ON SATURDAY, MAY 8, 1999

Maintenance is scheduled for the SEC's EDGAR database on Saturday, May 8, 1999, from 8:00 a.m. until noon. As a result, the EDGAR database, normally accessible on the SEC's website at [www.sec.gov](http://www.sec.gov), will be unavailable during that time. All other information on the SEC's website will be available. (Press Rel. 99-45)

---

## ENFORCEMENT PROCEEDINGS

---

### INITIAL DECISION AGAINST JERRY FOSTER, SMITH, BENTON & HUGHES, INC., AND MICHAEL ZAMAN DECLARED FINAL

The Commission gave notice that the initial decision against Jerry L. Foster, Smith, Benton & Hughes, Inc., and Michael Zaman became final. Foster was the chief financial officer and a director of Sky Scientific, Inc. The initial decision found that Foster had violated Securities Act Section 17(a) and Exchange Act Section 10(b) and Rule 10b-5, as well as reporting and recordkeeping requirements. Foster was required to disgorge \$29,325 and cease and desist from these violations.

Zaman was the owner, president, compliance officer, and head trader for Smith, Benton, a broker-dealer. The initial decision found that Zaman and Smith, Benton engaged in fraudulent sales practices and sales of unregistered Sky Scientific securities and thus violated Securities Act Sections 5(a), 5(c), and 17(a) and Exchange Act Section 10(b) and Rule 10b-5. Zaman and Smith, Benton were required

to disgorge \$536,921, jointly and severally, plus prejudgment interest. Smith, Benton was further ordered to pay a civil penalty of \$250,000.

The initial decision barred Michael Zaman from association with any broker, dealer, investment adviser, investment company, municipal securities dealer, or a member of registered securities association or of a national stock exchange; ordered him to pay a civil penalty of \$175,000; and ordered Zaman to cease and desist from committing any violations or future violations of Sections 5(a), 5(c), and 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Exchange Act Rule 10b-5. (Rel. 34-41364; File No. 3-9201)

#### **SEC DEFENDANT INDICTED FOR PERJURY COMMITTED DURING SEC INVESTIGATION**

The Commission announced that on April 14, 1999, the U.S. Attorney's Office in Chattanooga, Tennessee obtained an indictment alleging that Herbert Woll (Woll), a resident of Marietta, Georgia, committed perjury during his sworn testimony before an officer of the SEC. The testimony was offered by Woll during the course of an SEC investigation that led to a pending civil action, SEC v. Mohamed Khairy Mohamed Zayed, II, Michael W. Rehtorik, and Herbert Woll, C.P.A. (E.D. Tenn., Civil Action No. 1:98-CV-327 Judge Edgar, filed September 24, 1998).

The SEC filed civil fraud charges against two former officers of Genesis International Financial Services, Inc. (Genesis), Mohamed Khairy Mohamed Zayed, II (Zayed) and Michael W. Rehtorik (Rehtorik), and its former accountant, Woll, for their roles in an illegal "pump and dump" scheme involving Genesis' stock. In its complaint, the SEC alleged that Zayed, Rehtorik and Woll engaged in securities fraud in the promotion and sale of the Genesis stock by using fraudulent financial statements and press releases calculated to deceive prospective investors. Woll, a certified public accountant, was named as a defendant in the SEC litigation for his actions in preparing the fraudulent financial documents for Genesis. On April 30, 1997, before filing its civil action, the SEC issued an order temporarily suspending the trading of the securities of Genesis, pursuant to Section 12(k) of the Securities Exchange Act of 1934 (Exchange Act).

According to the indictment returned on April 14, 1999, Woll committed perjury in response to questions relating to his personal criminal history. Specifically, when questioned by an SEC officer, Woll knowingly provided false testimony by failing to reveal that his criminal history included several arrests and convictions.

The SEC's case, which involves violations of the antifraud provisions, Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder by Woll, Zayed and Rehtorik, is currently ongoing in the Tennessee district court. For additional information about the SEC's suspension and litigation case, see SEC Release No. 38565 (May 1, 1997), Litigation Release No. 15907 (September 24, 1998), and Accounting and Auditing

Enforcement Release No. 1081 (September 24, 1998). [U.S. v. Herbert Emanuel Woll, 1:99-CR-25] (LR-16130; AAE Rel. 1132)

#### **PALO ALTO MAN ARRESTED FOR FAILURE TO COMPLY WITH SEC SUBPOENA**

Theodore O. Pollard of Palo Alto, CA, was arrested at his home by the U.S. Marshall yesterday pursuant to a bench warrant issued by the Honorable Fern A. Smith, United States District Court Judge, Northern District of California. Pollard's arrest resulted from his continued non-compliance with two Securities and Exchange Commission (Commission) subpoenas ordering him to testify and produce documents from his company World Investment Network, Ltd. (WIN) in connection with a Commission investigation.

As part of the Commission's focus on securities violations over the Internet, Pollard and WIN have been under investigation for suspected fraud in connection with the sale of so-called "prime bank" securities over WIN's website. The Commission issued a press release on October 19, 1993 warning investors about the dangers of fraudulent schemes involving the issuance and trading of "prime" bank, "prime" European bank or "prime" world bank financial instruments. On March 5, 1999, the Court issued a contempt order against Pollard and WIN and ordered a monetary fine against Pollard for every day he remained in contempt. When Pollard failed to comply with the Court's order, the Commission sought and obtained a bench warrant for Pollard's arrest. At a hearing before Judge Smith, Pollard was ordered to appear with counsel on May 14, 1999, for further proceedings. [In re: An Application To Enforce Administrative Subpoenas of the SEC v. Theodore O. Pollard and World Investment Network, Ltd., CV-98-0056-MISC, FMS] (LR-16132)

---

#### **INVESTMENT COMPANY ACT RELEASES**

---

#### **THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES, ET AL.**

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting The Equitable Life Assurance Society of the United States (Equitable Life), The Equitable of Colorado, Inc. (EOC), Separate Account No. 45 of Equitable Life, Separate Account No. 49 of Equitable Life, Separate Account VA of EOC, any other separate account established by Equitable Life or EOC in the future to support certain deferred variable annuity contracts, EQ Financial Consultants, Inc., and Equitable Distributors, Inc. from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder. The exemptive order permits, under specified circumstances, the recapture of credits applied to contributions made under certain deferred variable annuity contracts. The order also extends to any other National Association of Securities Dealers, Inc. member broker-dealer controlling or controlled by, or under common control with, Equitable Life or EOC that serves as a distributor or principal underwriter for the contracts described in the application. (Rel. IC-23822 - May 3)

## AMR INVESTMENT SERVICES TRUST, ET AL.

An order has been issued on an application filed by AMR Investment Services Trust, et al. under (i) Sections 6(c) and 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act; (ii) Section 6(c) for an exemption from Section 17(e) of the Act and Rule 17e-1 under the Act; and (iii) Section 10(f) of the Act for an exemption from Section 10(f). The order permits certain registered open-end management investment companies advised by several investment advisers to engage in principal and brokerage transactions with a broker-dealer affiliated with one of the investment advisers and to purchase securities in offerings underwritten by a principal underwriter affiliated with one of the investment advisers. The transactions would be between the broker-dealer or principal underwriter and a portion of the investment company's portfolio not advised by the adviser affiliated with the broker-dealer or principal underwriter. The order also permits these investment companies not to aggregate certain purchases from an underwriting syndicate in which an affiliated person of one of the investment advisers is a principal underwriter. (Rel. IC-23823 - May 4)

---

## SELF-REGULATORY ORGANIZATIONS

---

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the Pacific Stock Exchange (SR-PCX-99-02) relating to matters subject to arbitration. (Rel. 34-41350)

### PROPOSED RULE CHANGES

The Pacific Exchange filed a proposed rule change (SR-PCX-98-62) to permit the Exchange to trade Differential Index Options, a new type of standardized index option whose value at expiration is based on the relative performance of either a designated index versus a benchmark index, a designated stock versus a benchmark index, or a designated stock versus a benchmark stock. Publication of the proposal is expected in the Federal Register during the week of May 3. (Rel. 34-41353)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-99-06) increasing the maximum size of orders on the Dow Jones High Yield Select 10 Index eligible for automatic execution. Publication of the proposal is expected in the Federal Register during the week of May 3. (Rel. 34-41357)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the New York Stock Exchange relating to revisions to the Exchange's Floor Conduct and Safety Guidelines

(SR-NYSE-99-16) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of May 3. (Rel. 34-41354)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 IXOS SOFTWARE STOCK CORP, BRETONISCHER RING 12,  
D 85630 GRASBRUNN MUNICH, FEDERAL REPUBLIC OF, 18 (498) 946-0050 - 475,000  
(\$102,125,000) COMMON STOCK (FILE 333-10286 - APR 27) (BR 3)
- S-3 UNITED DOMINION REALTY TRUST INC, 10 S 6TH ST STE 203, RICHMOND, VA  
23219 (804) 780-2691 - 481,251 (\$5,218,565 53) COMMON STOCK (FILE  
333-77161 - APR 28) (BR 8)
- S-6 WHIRLPOOL CORP /DE/, WHIRLPOOL CNTR 2000 M 63, C/O CORPORATE SECRETARY,  
BENTON HARBOR, MI 49022 (616) 923-5000 - 100,000 (\$6,662,500) COMMON STOCK  
(FILE 333-77167 - APR 28) (BR 2)
- S-8 EAT AT JOES LTD, 1912 SANTIAGO DR, NEWPORT BEACH, CA 92660  
(914) 725-2700 - 100,000 (\$100,000) COMMON STOCK (FILE 333-77171 -  
APR 28) (BR 5)
- S-8 LOUIS DREYFUS NATURAL GAS CORP, 14000 QUAIL SPRINGS PKWY, STE 600,  
OKLAHOMA CITY, OK 73134 (405) 749-1300 - 100,000 (\$1,716,000) COMMON STOCK  
(FILE 333-77185 - APR 28) (BR 4)
- S-8 CARNEGIE FINANCIAL CORP /PA/, 17 W MALL PLAZA, 1501 EUCLID AVENUE,  
CARNEGIE, PA 15106 (412) 276-1266 - 23,805 (\$212,031 37) COMMON STOCK  
(FILE 333-77189 - APR 28) (BR 7)
- S-8 NATIONAL SEMICONDUCTOR CORP, 2900 SEMICONDUCTOR DR, PO BOX 58090,  
SANTA CLARA, CA 95052 (408) 721-5000 - 20,000,000 (\$262,500,000)  
COMMON STOCK (FILE 333-77195 - APR 28) (BR 5)
- S-4 CMS ENERGY CORP, FAIRLANE PLZ SOUTH STE 1100, 330 TOWN CENTER DR,  
DEARBORN, MI 48126 (313) 436-9200 - 300,000,000 (\$300,000,000)  
STRAIGHT BONDS. (FILE 333-77197 - APR 28) (BR 2)
- S-8 COMVERSE TECHNOLOGY INC/NY/, 170 CROSSWAYS PARK DR, WOODBURY, NY 11797  
(516) 677-7200 - 72,818 (\$530,600 12) COMMON STOCK. (FILE 333-77201 -  
APR 28) (BR 7)
- S-3 MERISTAR HOSPITALITY CORP, 1010 WISCONSIN AVENUE N W, WASHINGTON, DC  
20007 (972) 550-6800 - 5,075,725 (\$45,570,008 75) COMMON STOCK (FILE  
333-77203 - APR 28) (BR 8)

S-8 CYBERCASH INC, 2100 RESTON PARKWAY, 3RD FLOOR, RESTON, VA 20191  
(703) 620-4200 - 500,000 (\$8,296,875) COMMON STOCK (FILE 333-77205 -  
APR 28) (BR 3)

S-8 FAXSAV INC, 399 THORNALL ST, EDISON, NJ 08837 (908) 906-2000 - 650,000  
(\$4,225,000) COMMON STOCK (FILE 333-77207 - APR 28) (BR 7)

SB-2 VILLAGE BANCORP INC /DE/, 1845 EAST RAND RD, PROSPECT HEIGHTS, IL 60070  
(847) 870-8300 - 554,000 (\$7,340,500) COMMON STOCK (FILE 333-77209 -  
APR 28) (BR 7)

S-8 MICROFINANCIAL INC, 950 WINTER STREET, WALTHAM, MA 02154 (781) 890-0177  
- 100,000 (\$1,662,500) COMMON STOCK (FILE 333-77211 - APR. 28) (BR. 7)

S-3 MORGAN STANLEY CAPITAL I INC, 11000 BROKEN LAND PARKWAY,  
C/O NORWEST BANK MINNESOTA N A, COLUMBIA, MD 10036 (212) 761-4000 -  
1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE  
333-77215 - APR 28) (BR 8)

S-6 CENTRAL VERMONT PUBLIC SERVICE CORP, 77 GROVE ST, RUTLAND, VT 05701  
'802' 773-2711 - 112,500 (\$1,241,010) COMMON STOCK (FILE 333-77217 -  
APR 28) (BR 2)

S-8 NET PERCEPTIONS INC, 7901 FLYING CLOUD DR, MINNEAPOLIS, MN 55344  
(612) 903-1270 - 3,853,952 (\$53,955,328) COMMON STOCK. (FILE 333-77219 -  
APR 28) (BR 3)

S-3 COMVERSE TECHNOLOGY INC/NY/, 170 CROSSWAYS PARK DR, WOODBURY, NY 11797  
516 677-7200 - 133,333 (\$8,199,979 50) COMMON STOCK (FILE 333-77221 -  
APR 28) (BR 7)

S-1 SHOWCASE CORP /MN, 4115 HWY 52 NORTH, STE 300, ROCHESTER, MN 55901  
(507) 288-5922 - \$35,000,000 COMMON STOCK (FILE 333-77223 - APR. 28)

S-8 MANNATECH INC, 600 SOUTH ROYAL LANE, SUITE 200, COPPELL, TX 75019  
972' 471-7400 - 642,000 (\$11,215,740) COMMON STOCK (FILE 333-77227 -  
APR 28) (BR 1)

S-11 T REIT INC, 1551 N TUSTIN AVENUE SUITE 650, SANTA ANA, CA 92705  
(877) 888-7348 - 11,050,000 (\$110,500,000) COMMON STOCK (FILE 333-77229 -  
APR 28) (NEW ISSUE)

S-3 MOHAWK INDUSTRIES INC, 160 S INDUSTRIAL BLVD, PO BOX 12069, CALHOUN, GA  
30701 (706) 629-7721 - 3,149,999 (\$97,945,438.91) COMMON STOCK. (FILE  
333-77231 - APR 28) (BR 2)

S-6 ISLE OF CAPRI CASINOS INC, 711 WASHINGTON LOOP, 2ND FLR, BILOXI, MS  
39530 601) 436-7000 - 3,170,750 (\$13,152,961 50) COMMON STOCK (FILE  
333-77233 - APR 28) (BR 5)

S-1 YOUNG & RUBICAM INC, 285 MADISON AVE, NEW YORK, NY 10017 - 17,250,000  
'\$731,572,500) COMMON STOCK (FILE 333-77235 - APR 28) (BR. 2)

S-8 ROCK TENN CC, 504 THRASHER ST, NORCROSS, GA 30071 (770) 448-2193 -  
2,363,268 (\$35,132,083) COMMON STOCK (FILE 333-77237 - APR. 28) (BR 4)

S-3 OSAGE SYSTEMS GROUP INC, 1661 EAST CAMELBACK RD, STE 245, PHOENIX, AZ  
85016 (602) 274-1299 - 3,706,776 (\$23,630,697) COMMON STOCK. (FILE  
333-77239 - APR 28) (BR 3)

S-8 TEHAMA BANCORP, 239 SOUTH MAIN STREET, P.O. BOX 890, RED BLUFF, CA 96080  
(916) 528-3000 - 544,230 (\$6,666,818) COMMON STOCK. (FILE 333-77241 -  
APR 28) (BR 7)

S-8 EATON CORP, EATON CTR, 1111 SUPERIOR AVE, CLEVELAND, OH 44114  
(216) 523-5000 - 5,000,000 (\$433,750,000) COMMON STOCK (FILE 333-77243 -  
APR 28) (BR 5)

S-8 EATON CORP, EATON CTR, 1111 SUPERIOR AVE, CLEVELAND, OH 44114  
(216) 523-5000 - 100,000 (\$8,675,000) COMMON STOCK. (FILE 333-77245 -  
APR 28) (BR 5)

S-8 LAKES GAMING INC, 130 CHESHIERE LANE, MINNETONKA, MN 55305  
(612) 449-9092 - 200,000 (\$1,968,000) COMMON STOCK (FILE 333-77247 -  
APR 28) (BR 5)

S-8 LAKES GAMING INC, 130 CHESHIERE LANE, MINNETONKA, MN 55305  
(612) 449-9092 - 1,500,000 (\$14,765,625) COMMON STOCK (FILE 333-77249 -  
APR 28) (BR 5)

S-8 SYNERGY BRANDS INC, 10850 PERRY WAY, SUITE 203, WEXFORD, PA 15090  
(516) 682-1980 - 1,600,000 (\$7,184,000) COMMON STOCK. (FILE 333-77251 -  
APR 28) (BR 2)

S-4 TOKHEIM CORP, 10501 CORPORATE DRIVE, FORT WAYNE, IN 46845 (219) 470-4600  
- 209,647,500 (\$209,647,500) STRAIGHT BONDS (FILE 333-77253 - APR 28)  
(BR 6)

S-8 ARXA INTERNATIONAL ENERGY INC, 530 WELLS FARGO DRIVE, SUITE 300,  
HOUSTON, TX 77090 ((28) 1)--444- - 4,000 (\$1,600) COMMON STOCK (FILE  
333-77255 - APR 28) (BR 4)

S-3 APARTMENT INVESTMENT & MANAGEMENT CO, 1873 S BELLAIRE ST, SUITE 1700,  
DENVER, CO 80222 (303) 757-8101 - 530,000 (\$21,034,375) COMMON STOCK  
(FILE 333-77257 - APR 28) (BR 8)

S-8 UMPQUA HOLDINGS CORP, 445 SE MAIN STREET, P O BOX 1820, ROSEBURG, OR  
97470 (541) 440-3963 - 1,121,400 (\$9,475,758 18) COMMON STOCK (FILE  
333-77259 - APR 28) (BR 9)

S-4 R&B FALCON CORP, 901 THREADNEEDLE, HOUSTON, TX 77079 (281) 496-5000 -  
400,000,000 (\$400,000,000) STRAIGHT BONDS (FILE 333-77263 - APR 28)  
BR 4

SB 2 PARADIGM MEDICAL INDUSTRIES INC, 1127 WEST 2320 SOUTH STE A,  
SALT LAKE CITY, UT 84119 (801) 977-8970 - 1,488,400 (\$2,423,900)  
COMMON STOCK (FILE 333-77267 - APR 28) (BR 9)

S-1 DIVICORE INC, 1 GREAT VALLEY PARKWAY, MALVERN, PA 19355 (610) 408-7480  
- \$60,000,000 COMMON STOCK (FILE 333-77269 - APR 28)

S-1 PATRIOT AMERICAN HOSPITALITY INC/DE, 1950 STEMMONS FRWY, STE 6001,  
DALLAS, TX 75207 (214) 863-1000 - 68,000,000 (\$340,000,000) COMMON STOCK  
(FILE 333-77271 - APR 28) (BR 8)

S-1 KEITH COMPANIES INC, 2955 RED HILL AVENUE, COSTA MESA, CA 92626  
(714) 668-7001 - 2,012,500 (\$20,125,000) COMMON STOCK (FILE 333-77273 -  
APR 28) (NEW ISSUE)

S-8 INTEL CORP, 2200 MISSION COLLEGE BLVD, SANTA CLARA, CA 95052  
(408) 765-8080 - 306,000 (\$17,690,625) COMMON STOCK (FILE 333-77279 -  
APR 28) (BR 5)

S-8 WALTER INDUSTRIES INC /NEW/, 1500 N DALE MABRY HWY, TAMPA, FL 33607  
(813) 871-4811 - 3,500,000 (\$40,145,000) COMMON STOCK (FILE 333-77283 -  
APR 29) (BR 6)

S-3 SIMTEK CORP, 1465 KELLY JOHNSON BLVD, STE 301, COLORADO SPRINGS, CO  
80920 (719) 531-9444 - 7,692,308 (\$1,500,000) COMMON STOCK (FILE  
333-77285 - APR. 29) (BR 5)

S-8 MUSTANG SOFTWARE INC, 6200 LAKE MING RD, BAKERSFIELD, CA 93306  
(805) 873-2500 - 410,000 (\$2,029,987) COMMON STOCK (FILE 333-77287 -  
APR 29) (BR 3)

S-8 MUSTANG SOFTWARE INC, 6200 LAKE MING RD, BAKERSFIELD, CA 93306  
(805) 873-2500 - 75,000 (\$103,125) COMMON STOCK (FILE 333-77289 -  
APR 29) (BR 3)

S-8 MUSTANG SOFTWARE INC, 6200 LAKE MING RD, BAKERSFIELD, CA 93306  
(805) 873-2500 - 50,000 (\$439,063) COMMON STOCK (FILE 333-77291 -  
APR 29) (BR 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABR INFORMATION SERVICES INC	FL				X	X					04/30/99	
ACCEPTANCE INSURANCE COMPANIES INC	DE				X						04/28/99	
ADVANTA CORP	DE					X					05/03/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4A	DE				X	X					04/30/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	DE				X	X					04/30/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE				X	X					04/30/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					04/30/99	
AMERICAN BANCSHARES INC (FL)	FL				X	X					04/30/99	
ANKER COAL GROUP INC	DE				X	X					04/30/99	
ARMOR HOLDINGS INC	DE				X	X					05/03/99	
ASCENT ENTERTAINMENT GROUP INC	DE				X	X					01/22/99	
AT&T CORP	NY				X	X					04/22/99	
ATLAS ENVIRONMENTAL INC	CO		X				X				04/19/99	
ATRIUM COMPANIES INC	DE				X	X					04/20/99	
BARGO ENERGY CO	UT						X				12/15/98	AMEND
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT						X				03/31/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT						X				03/31/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT						X				03/31/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT						X				03/31/99	
BROTHERS GOURMET COFFEES INC	DE				X	X					04/20/99	
BUFFALO CAPITAL VI LTD	CO	X									12/28/98	
BUFFALO CAPITAL VI LTD	CO	X									04/21/99	
CABOT INDUSTRIAL PROPERTIES LP	DE				X	X					04/30/99	
CABOT INDUSTRIAL TRUST	MD				X	X					04/30/99	
CAFE ODYSSEY INC	MN				X	X					05/04/99	
CALIFORNIA AMPLIFIER INC	DE		X				X				04/19/99	
CANISCO RESOURCES INC	DE				X						04/16/99	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1					X						04/20/99	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD				X						04/20/99	
CAPRIUS INC	DE				X	X					04/27/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CATALINA LIGHTING INC	FL				X	X					04/30/99	
CBL & ASSOCIATES PROPERTIES INC	DE				X	X					04/30/99	
CCB FINANCIAL CORP	NC				X						04/27/99	
CENTURY PACIFIC HOUSING FUND I	CA				X	X					04/28/99	
CENTURY PACIFIC TAX CREDIT HOUSING FUND II	CA				X	X					04/28/99	
CERES GROUP INC	DE						X				02/17/99	AMEND
CHANCELLOR MEDIA CORP OF LOS ANGELES	DE		X				X				04/16/99	
CHANCELLOR MEDIA CORP/	DE		X				X				04/16/99	
CHARTER ONE FINANCIAL INC	DE					X					05/03/99	
CHASE COMMERCIAL MORTGAGE SECURITIES CORP	NY				X	X					04/19/99	
CHROMIMED INC	MN				X						04/27/99	
CIGNA CORP	DE				X	X					05/03/99	
COHERENT INC	DE		X			X					04/27/99	
COHESION TECHNOLOGIES INC	DE				X	X					04/21/99	
COLTEC INDUSTRIES INC	PA				X	X					05/04/99	
COMMERCIAL FEDERAL CORP	NE				X	X					04/29/99	
COMMUNITY BANK SHARES OF INDIANA INC	IN					X					04/20/98	
COMSTOCK RESOURCES INC	NV				X	X					04/29/99	
CONEXANT SYSTEMS INC	DE				X	X					05/03/99	
CONSOLIDATED DELIVERY & LOGISTICS INC	DE						X				12/31/98	AMEND
CONTINUCARE CORP	FL				X	X					04/30/99	
COVANCE INC	DE				X	X					04/28/99	
CRIMM MAE INC	MD				X						05/03/99	
CROWN ENERGY CORP	UT		X			X					04/17/99	
DAYTON HUDSON RECEIVABLES CORP	MN				X						04/30/99	
DELPHI AUTOMOTIVE SYSTEMS CORP	DE				X	X					04/28/99	
EAGLE PACIFIC INDUSTRIES INC/MN	MN				X	X					04/30/99	
EAST TEXAS FINANCIAL SERVICES INC	DE				X	X					04/30/99	
EASTMAN CHEMICAL CO	DE				X	X					04/27/99	
EATON VANCE CORP	MD				X	X					05/03/99	
ECB BANCORP INC	NC				X						04/21/99	
ELECTRIC LIGHTWAVE INC	DE				X						03/31/99	
EVEREN CAPITAL CORP	DE				X	X					04/25/99	
EVERGREEN RESOURCES INC	CO					X					08/21/96	AMEND
FAB GLOBAL INC	GA	X	X	X	X	X	X				04/05/99	AMEND
FIRST ALLIANCE MORTGAGE LOAN TRUST 1999-1	NY				X	X					04/20/99	
FIRST FEDERAL FINANCIAL CORPORATION OF KENTUCKY	KY				X						04/20/99	AMEND
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	NC						X				04/19/99	
FIRSTAR CORP /NEW/	WI				X	X					05/04/99	
FLORAFAX INTERNATIONAL INC	DE				X	X					12/09/98	
FORMAN PETROLEUM CORP	LA				X	X					04/27/99	
FORTUNE BRANDS INC	DE				X	X					04/27/99	
FRONTIER ADJUSTERS OF AMERICA INC	AZ	X				X					04/30/99	
GARDEN BOTANIKA INC	WA				X	X					04/20/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X					04/27/99	
GEORGIA PACIFIC CORP	GA				X						05/04/99	
GERON CORPORATION	DE				X	X					05/04/99	
GOODRICH B F CO	NY				X						04/30/99	
GO2NET INC	DE				X						04/16/99	
GULFMARK OFFSHORE INC	DE			X							03/31/99	
HACH CO	CO				X	X					04/21/99	
HELLER FINANCIAL COMMERCIAL MORTGAGE SET CORP SER 1999-PH-1	DE				X	X					05/04/99	

NAME OF ISSUER	STATE	8K ITEM NO.								DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8			9
HERITAGE FINANCIAL CORP /WA/	WA					X					04/27/99	
HOMESTEAD VILLAGE INC	MD	X					X				05/03/99	
HOMESTEAD VILLAGE INC	MD				X	X					05/04/99	
HOST MARRIOTT CORP/	MD				X	X					05/03/99	
I LINK INC	FL				X	X					04/15/99	
IDENTIX INC	DE	X					X				04/26/99	
IMAGYN MEDICAL TECHNOLOGIES INC	DE				X	X					04/30/99	
IMPERIAL SUGAR CO /NEW/	TX				X	X					05/03/99	
INTELLIGROUP INC	NJ	X									04/27/99	AMEND
INTERNET AMERICA INC	TX	X					X				01/29/99	AMEND
ISIS PHARMACEUTICALS INC	DE	X					X				04/20/99	
IVILLAGE INC	DE						X				02/18/99	
JACKAL INDUSTRIES INC	NV				X	X					04/16/99	
JAVELIN SYSTEMS INC	DE	X					X				04/23/99	
KOWTOW INC	NV	X					X				05/04/99	
KUSHNER LOCKE CC	CA	X									04/26/99	
LABTEC INC /MA	MA						X				02/17/99	AMEND
LCA VISION INC	DE	X									04/29/99	
LEAF WIRELESS INTERNATIONAL INC	DE	X					X				04/19/99	
LECHTERS INC	NJ				X	X					05/04/99	
LEGATO SYSTEMS INC	DE	X					X				05/04/99	
LITTLE FALLS BANCORP INC	NJ				X	X					04/27/99	
LTX CORP	MA				X	X					04/30/99	
MANPOWER INC /WI	WI				X	X					04/26/99	
MAPICS INC	GA						X				04/27/99	
MARRET FACTS INC	DE				X	X					04/29/99	
MBLA FINANCIAL CORP	DE				X	X					05/03/99	
MCKESSON HBOC INC	DE				X	X					04/29/99	
MEDAR INC	MI				X						04/28/99	
MELLON RESIDENTIAL FUNDING CORP HOM E EQ INSTAL LOAN TRU 99-1	DE				X	X					04/29/99	
MERCANTILE BANCORPORATION INC	MO				X	X					05/04/99	
MERRILL LYNCH MORT INVES INC PROVID IAN HOME EQ LN TR 1999-1	DE				X	X					04/27/99	
META GROUP INC	DE				X	X					05/03/99	
METAMOR WORLDWIDE INC	DE	X					X				04/19/99	
MEXCO ENERGY CORP	CO	X									04/21/99	
MFN FINANCIAL CORP	DE				X						04/29/99	
MICROGRAFX INC	TX	X				X					04/16/99	
MILLENNIUM ELECTRONICS INC	NV	X			X	X					04/08/99	
MOBILE MINI INC	DE	X									04/30/99	
MOBILEMEDIA COMMUNICATIONS INC	DE				X						04/30/99	
MOBILEMEDIA CORP	DE				X						04/30/99	
MONARCH DENTAL CORP	DE				X						04/26/99	
MONSANTO CC	DE				X	X					05/03/99	
MONTGOMERY WARD HOLDING CORP	DE				X	X					04/30/99	
MORGAN STANLEY CAPITAL I INC DEPOSI TOR FOR SERIES 1999-FNV1	DE	X					X				04/21/99	
N-VIRO INTERNATIONAL CORP	DE				X	X					04/29/99	
NATIONAL BANKSHARES INC	VA				X						05/03/99	
NATIONSLINK FUNDING CORP COMM MORT PASS THR CERT SER 1999-1	DE						X				04/20/99	
NEWPARK RESOURCES INC	DE				X	X					04/26/99	
NORTEL NETWORKS CORP					X						04/27/99	
NORTEL NETWORKS CORP					X	X					04/29/99	
NORWEST ASSET SECURITIES CORP	DE				X	X					05/03/99	
OCEAN ENERGY INC /TX/	TX				X						04/26/99	
ONEOK INC /NEW/	OK				X	X					05/03/99	
ORBITAL SCIENCES CORP /DE/	DE				X						04/29/99	
ORION ACQUISITION CORP II	DE	X					X				04/30/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ORTEC INTERNATIONAL INC	DE					X	X				05/03/99	
OXFORD CAPITAL CORP /NV	NV		X						X		03/31/99	
PAREXEL INTERNATIONAL CORP	MA					X	X				04/28/99	
PEDIATRIC SERVICES OF AMERICA INC	DE					X	X				05/03/99	
PENNSYLVANIA REAL ESTATE INVESTMENT TRUST	PA					X	X				04/29/99	
PEOPLES CHOICE TV CORP	DE					X	X				04/29/99	
PREMIERE TECHNOLOGIES INC	GA					X	X				02/17/99	AMEND
PRODUCERS ENTERTAINMENT GROUP LTD	DE					X	X				04/27/99	
PROMUS HOTEL CORP/DE/	DE					X	X				04/30/99	
PROVIDENCE & WORCESTER RAILROAD CO/ RI/	RI					X	X				04/30/99	
RAMTRON INTERNATIONAL CORP	DE					X					04/30/99	
REALNETWORKS INC	WA					X	X				04/20/99	
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				05/03/99	
RESIDENTIAL ASSET SECURITIES CORP	DE					X	X				05/03/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				05/03/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X				05/04/99	
ROSLYN BANCORP INC	DE								X		02/16/99	AMEND
SARATOGA BANCORP	CA					X					05/04/99	
SCIENCE APPLICATIONS INTERNATIONAL CORP	DE					X		X			11/16/98	AMEND
SCITEX CORP LTD						X	X				04/28/99	
SILVERADO GOLD MINES LTD	AI								X		05/04/99	
SCDEXHC MARRIOTT SERVICES INC	DE					X	X				05/03/99	
SOONER HOLDINGS INC /OK/	OK					X		X			02/17/99	
SOUTHSHORE CORP /CO	CO		X					X			04/21/99	
SOUTHWEST GAS CORP	CA					X	X				05/04/99	
SPRINT CORP	KS					X	X				05/03/99	
ST PAUL COMPANIES INC /MN/	MN					X					04/30/99	
STEEL DYNAMICS INC	IN					X	X				04/19/99	AMEND
STRUCTURED ASSET SEC CORP MORT PASS THROUGH CERT 1999 ALS1	DE					X	X				04/26/99	
SUMMIT TECHNOLOGY INC	MA					X					01/29/99	
SUNDERLAND ACQUISITION CORP	DE	X	X	X	X	X	X				04/27/99	
SWISS ARMY BRANDS INC	DE		X					X			04/16/99	
SYNTHETIC INDUSTRIES INC	GA					X	X				04/16/99	
SYNTHETIC INDUSTRIES INC	GA					X	X				04/16/99	
TERRA INDUSTRIES INC	MD					X	X				05/03/99	
TERRACE FOOD GROUP INC	DE					X	X				06/25/98	
THEGLOBE COM INC	DE					X	X				05/03/99	
THOMAS & BETTS CORP	TN					X	X				04/28/99	
TIME WARNER TELECOM LLC	DE					X	X				05/04/99	
TRANS GLOBAL SERVICES INC	DE					X					02/25/99	AMEND
TRANSAMERICAN ENERGY CORP	DE					X	X				05/03/99	
TRANSMONTAIGNE INC	DE					X					05/04/99	
TRANSWORLD HEALTHCARE INC	NY					X					04/30/99	
TRIANGLE IMAGING GROUP INC	FL					X					04/30/99	
TYLER CORP /NEW/	DE		X					X			04/20/99	
UGI CORP /PA/	PA					X	X				04/28/99	
UNIDYNE CORP	DE					X					05/03/99	AMEND
UNITED TECHNOLOGIES CORP /DE/	DE					X	X				04/30/99	
UNITED TENNESSEE BANKSHARES INC	TN					X					04/23/99	
VENCOR INC	DE					X	X				05/03/99	
VOXWARE INC	DE		X					X			02/18/99	AMEND
WASHINGTON HOMES INC	MD		X					X			04/20/99	
WASHINGTON REAL ESTATE INVESTMENT T RUST	MD					X	X				04/26/99	
WHITNEY AMERICAN CORP /CO	DE							X			04/26/99	

NAME OF ISSUER	STATE	8K ITEM NO.								DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8			9
WILLCOX & GIBBS INC /DE	DE		X								04/20/99	
WORKFLOW MANAGEMENT INC	DE			X							04/29/99	
WORLD ACCESS INC /NEW/	DE			X	X						04/21/99	
ZAPATA CORP	NV			X	X						04/30/99	
ZENITH ELECTRONICS CORP	DE			X	X						04/16/99	