SEC NEWS DIGEST

Issue 99-131

July 9, 1999

ENFORCEMENT PROCEEDINGS

DEFAULT JUDGMENT ENTERED AGAINST DEFENDANTS IN FRAUD CONCERNING NICHI CAPITAL, LTD.

The Commission announced that on June 30 the United States District Court for the Southern District of New York entered a Final Judgment by Default against Nichi Capital, Ltd. (Nichi), Wise Choice Discount Brokerage, Inc. (Wise Choice), Olawande A. Agunloye (Agunloye), and Brian Walfish (Walfish). The order permanently restrains and enjoins the defendants from violating certain antifraud and registration provisions of the securities laws. In particular, each defendant was enjoined from violating Sections 5(a) and 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934, and Rules 10b-5 and 10b-9 thereunder. The order also requires Nichi, Wise Choice, Agunloye, and Walfish, jointly and severally, to disgorge \$1,004,165.05 and imposes a civil penalty of \$10,000 on each of the defendants.

The order arises from a complaint filed March 27, 1998. complaint alleged, among other things, that beginning approximately October 1997, the defendants fraudulently offered and sold shares of Nichi securities to investors both in a private placement and in an initial public offering (IPO). securities were not registered with the Commission, nor were they exempt from registration under the federal securities laws. defendants induced investments in Nichi securities by a series of misrepresentations. These misrepresentations included false claims that an IPO of Nichi stock was about to take place, that the shares purchased would greatly increase in value once Nichi's IPO was completed, and that the investors' money would be placed in an escrow account until the IPO took place. On March 31, 1998, the Court issued a temporary restraining order against the defendants and ordered an asset freeze against Nichi, Wise Choice, and Agunloye. On May 12, 1998, the Court continued the asset freeze, preliminarily enjoined the defendants from violating the antifraud provisions and registration provisions of the federal securities laws, and ordered each of the defendants to provide an accounting. For further information, see also Litigation Release Nos. 15688, 15740, and 15796. [SEC v. Nichi Capital, Ltd., Wise Choice Discount

Brokerage, Inc., Olawande A. Agunloye, and Brian Walfish, 98-CIV-2218, SDNY] (LR-16204)

CIVIL ACTION AGAINST JAMES CLEVELAND

The Commission announced that on June 28 the Hon. Melinda Harmon, United States District Judge for the Southern District of Texas, directed entry of a Final Summary Judgment against James Russell Cleveland (Cleveland), individually, and doing business as Central Texas Securities (CTS), an unincorporated association. The final judgment permanently enjoined Cleveland violating Section 17(a) of the Securities Act of 1933, and Sections 10(b) and 15(a)(1) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder, and awarded the Commission a disgorgement judgment of \$2,510,000, and prejudgment interest of \$623,578.67, and imposed a civil money penalty of \$100,000.

Judge Harmon found that Cleveland, through CTS, took in at least \$4.8 million from CTS customers for the stated purpose of purchasing municipal securities, but never purchased such securities for his victims. Cleveland fraudulently diverted these funds for his personal uses, and to make "Ponzi" payments to other victims; the disgorgement amount represents the total amount of funds Cleveland spent for personal uses.

Cleveland previously pleaded guilty to federal and state criminal charges arising from the activities set out in the Commission's complaint, and is currently serving a 46 month sentence in a federal correctional facility. Cleveland has also been sentenced to 15 years incarceration in the Texas criminal case; this sentence is to be served concurrently with his federal incarceration, with the balance of his time served in state correctional facilities. See, L.R. 16002, L.R. 15112. [SEC v. James Russell Cleveland, individually and d/b/a Central Texas Securities, Civil Action No. H-96-1629, USDC, SD/TX, Houston] (LR-16205)

SANJAY SAXENA SENTENCED TO PRISON AND ORDERED TO PAY RESTITUTION AND FINES

The Commission today announced that the Honorable William G. Young sentenced Sanjay Saxena (Saxena), of Cary, North Carolina, a former registered investment adviser and newsletter publisher, to thirty-three months in federal prison and three years of supervised release and ordered him to pay \$13,616 in restitution, \$100,000 fines and \$1,600 in special assessments. Saxena's sentencing follows his guilty plea to 32 counts of securities and mail fraud, including sales of unregistered securities and prohibited transactions by an investment adviser.

On February 25, 1995, in an action brought by the Commission arising from the same conduct, Saxena consented to the entry of a final judgment of permanent injunction restraining him from further violations of the securities laws and an order to disgorge \$703,000 plus interest (representing all monies owed to investors). On

February 28, 1995, the Commission entered an order barring Saxena from the securities industry.

On September 18, 1998, the Commission filed a second action against Saxena and his wife Mumtaz Saxena. The complaint, which is pending in United States District Court for the District of Massachusetts, alleges that the Saxenas violated the antifraud and registration provisions of the federal securities laws in the formation and operation of an investment adviser and two investment companies and that Saxena violated the Commission's February 28, 1995 bar order. [USA v. Sanjay Saxena, Cr. No. 98cr10298-WGY, D. MA; SEC v. Sanjay Saxena, Civil Action No. 98cv11985-MEL, D. MA] (LR-16206)

INVESTMENT COMPANY ACT RELEASES

ANCHOR NATIONAL LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until July 28 to request a hearing on an application filed by Anchor National Life Insurance Company, Variable Annuity Account One of Anchor National Life Insurance Company, First SunAmerica Life Insurance Company, Variable Annuity Account One of First SunAmerica Life Insurance Company, Presidential Life Insurance Company, Presidential Life Insurance Company Variable Account One, Phoenix Home Life Mutual Insurance Company (Phoenix), Phoenix Home Life Variable Universal Life Account (Phoenix VUL Account), and Anchor Series Trust (Trust). The Applicants seek an order of the Commission amending a prior order granted June 9, 1999, (Release No. IC-23868, File No. 812-11450) (June 9 Order) to add Phoenix and the Phoenix VUL Account to the relief granted by the June 9 Order, with respect to certain variable universal life insurance contracts issued by Phoenix through the Phoenix VUL Account. The June 9 Order approved the substitution of: (a) shares of the Government and Quality Bond Portfolio of the Trust for shares of the Fixed Income Portfolio of the Trust; and (b) shares of the Strategic Multi-Asset Portfolio of the Trust for shares of the Foreign Securities Portfolio of the Trust, each in connection with the variable annuity contracts offered by the original separate account applicants. (Rel. IC-23891 - July 7)

CIBC WORLD MARKETS CORP.

A notice has been issued giving interested persons until August 2, 1999, to request a hearing on an application filed by CIBC World Markets Corp. (CIBC WM) for an order in connection with the formation of entities to be offered to certain key employees and qualified participants of CIBC WM and affiliated companies. Each entity will be an "employees' securities company" within the meaning of Section 2(a)(13) of the Act. (Rel. IC-23892 - July 7)

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PAINEWEBBER GROUP INC., ET AL.

An order has been issued on an application filed by PaineWebber Group Inc. (PWG), et al. for an exemption from Sections 17(a) and 12(d)(3) of the Investment Company Act, and an order under Section 17(d) of the Act and Rule 17d-1 under the Act permitting certain joint transactions. The order permits (a) General Electric Company (GE), General Electric Capital Services, Inc., General Electric Capital Corporation, and GE Financial Assurance Holdings, (collectively, GE Issuers) to sell to and repurchase (i.e., prepay) from certain registered investment companies advised by affiliates of PWG (PWG Funds) commercial paper issued by the GE Issuers; (b) PWG Funds to purchase municipal obligations insured by the Financial Guaranty Insurance Company (FGIC), a subsidiary of GE, and/or insurance policies issued by FGIC on municipal obligations; and (c) PWG Funds to purchase in the secondary market common stock and other securities issued by GE and its subsidiaries. (Rel. IC-23893 - July 7)

STRATEGIC GLOBAL INCOME FUND, INC.

An order has been issued on an application filed by Strategic Global Income Fund, Inc. granting an exemption from Section 19(b) of the Investment Company Act and Rule 19b-1 under the Act. The order permits applicant, a registered closed-end investment company, to make periodic capital gains distributions with respect to its common stock pursuant to a distribution policy. (Rel. IC-23894 - July 7)

GLOBAL TELESYSTEMS GROUP, INC.

An order has been issued on an application filed by Global TeleSystems Group, Inc. under Section 3(b)(2) of the Investment Company Act declaring applicant to be primarily engaged in a business other than that of investing, reinvesting, owning, holding, or trading in securities. (Rel. IC-23895 - July 7)

MONITOR INVESTORS, L.P., ET AL.

An order has been issued on an application filed by Monitor Investors, L.P., et al. requesting an order under Sections 6(b) and 6(e) of the Investment Company Act in connection with the formation of limited partnerships and other pooled investment vehicles (Investment Partnerships) to be offered to certain key employees and qualified participants of Monitor Company, Inc. Each Investment Partnership will be an "employees' securities company" within the meaning of Section 2(a)(13) of the Act. (Rel. IC-23896 -July 7)

EVERGREEN EQUITY TRUST, ET AL.

A notice has been issued giving interested persons until July 28 to request a hearing on an application filed by Evergreen Equity Trust, et al. for an order under Section 17(b) of the Investment Company Act granting an exemption from Section 17(a) of the Act to permit certain series of registered open-end management investment

companies to acquire all of the assets and assume all of the liabilities of certain other series of the investment companies. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-23897 - July 8)

WAYNE HUMMER INVESTMENT TRUST, ET AL.

A notice has been issued giving interested persons until July 29 to request a hearing on an application filed by Wayne Hummer Investment Trust, et al. for an order exempting applicants from Sections 12(d)(1)(A) and (B) and Section 17(a) of the Investment Company Act, and permitting certain joint transactions under Section 17(d) of the Act and Rule 17d-1 under the Act. The order would permit certain registered open-end management investment companies to use uninvested cash to purchase shares of affiliated money market funds. (Rel. IC-23898 - July 8)

THE SHORT TERM BOND PORTFOLIO, ET AL.

A notice has been issued giving interested persons until August 2, 1999, to request a hearing on an application filed by The Short Term Bond Portfolio, et al. under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and (B) of the Act, under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act to permit certain joint transactions. The requested order would permit certain registered open-end management investment companies to invest uninvested cash in affiliated money market funds. (Rel. IC-23899 - July 8)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the <u>Pacific Stock Exchange</u> (SR-PCX-98-02) relating to an LMM Book Program expansion to allow LMM employees to operate the Order Book. Publication of the order is expected in the <u>Federal Register</u> during the week of July 12. (Rel. 34-41595)

PROPOSED RULE CHANGES

The <u>Chicago Board Options Exchange</u> filed a proposed rule change (SR-CBOE-99-20) relating to the Exchange's Rapid Opening System. Publication of the proposal is expected in the <u>Federal Register</u> during the week of July 12. (Rel. 34-41599)

The New York Stock Exchange filed a proposed change (SR-NYSE-99-22) that would amend a listing requirement for equity linked debt securities. Publication of the proposal is expected in the Federal Register during the week of July 12. (Rel. 34-41608)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The <u>Municipal Securities Rulemaking Board</u> filed a notice of filing and immediate effectiveness of a proposed rule change (SR-MSRB-99-6) to revise the test specifications and study outline for the Board's Municipal Securities Representative Qualification Examination (Test Series 52). Publication of the proposal is expected in the <u>Federal Register</u> during the week of July 12. (Rel. 34-41604)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change and Amendment No. 1 thereto (SR-CHX-99-06) submitted by the Chicago Stock Exchange to amend the rules relating to the minimum variation for Nasdaq-100 Shares and disclaimer of liability with respect to the Nasdaq-100 Index. Publication of the proposal is expected in the Federal Register during the week of July 12. (Rel. 34-41605)

WITHDRAWALS GRANTED

A notice has been issued giving interested persons until July 28 to comment on the application of Bowne & Co., Inc. to withdraw its Common Stock, par value \$.01 per share, and associated Preferred Stock Purchase Rights from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-41602)

A notice has been issued giving interested persons until July 28 to comment on the application of Hasbro, Inc. to withdraw its Common Stock, par value \$.50 per share, and related Preference Share Purchase Rights from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-41603)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 NUEVO GRUPO IUSACELL SA DE CV, PROLONGACION PASEO DE LA REFORMA 1236, COLONIA SANTA FE DELEGACION CUAJIMALPA, 05348 MEXICO DF MEXICO, O5 00000 (525) 109-4400 137,916,799 (\$160,467,200.29) FOREIGN COMMON STOCK. (FILE 333-10504 JUN. 28) (BR. 9 NEW ISSUE)
- F-1 NUEVO GRUPO IUSACELL SA DE CV, PROLONGACION PASEO DE LA REFORMA 1236, COLONIA SANTA FE DELEGACION CUAJIMALPA, 05348 MEXICO DF MEXICO, O5 00000 (525) 109-4400 143,750,000 (\$181,933,594) FOREIGN COMMON STOCK. (FILE 333-10506 JUN. 28) (BR. 9)
- F-3 ENTERPRISE OIL PLC, GRAND BLDGS, TRAFALGAR SQUARE, LONDON WC2N 5EJ ENGL, X0 (540) 000-0000 600,000,000 (\$600,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-10518 JUN. 29) (BR. 4)
- F-9 BANK OF NOVA SCOTIA, SCOTIA PLAZA, 44 KING ST, TORONTO ONTARIO CANADA, A6 683,000,000 (\$683,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-10532 JUN. 30) (BR. 7 NEW ISSUE)
- F-8 ENBRIDGE INC, 31ST FL BOW VALLEY SQ 2, 205 5TH AVE SW, CALGARY ALBERTA CANA, A0 T2P 2 (403) 231-3900 - 1,265,050 (\$13,365,506.26) FOREIGN COMMON STOCK. (FILE 333-10534 - JUN. 30) (BR. 4)
- F-3 LANOPTICS LTD, LANOPTICS BLDG, RAMAT GABRIELINDUSTRIAL PARK,
 MIGDAL HA EMEK ISRAEL 10551, L4 (212) 936-5100 1,450,000 (\$5,663,700)
 FOREIGN COMMON STOCK (FILE 333-10536 JUN 30) (BR. 3)
- F-3 WESTPAC BANKING CORP, 335 MADISON AVE, 27TH FLOOR, NEW YORK, NY 10017 (212) 551-2700 1,000,000,000 (\$1,000,000,000) FOREIGN COMMON STOCK (FILE 333-10546 JUL 01) (BR 7)
- S-1 OMNILYNX COMMUNICATIONS CORP, 1770 MOTOR PARKWAY, SUITE 300, HAUPPAGE, NY 11788 (516) 582-2222 \$20,240,000 STRAIGHT BONDS. (FILE 333-82151 JUL. 02) (BR 8 NEW ISSUE)
- S-4 AIRCRAFT FINANCE TRUST, C/O WILMINGTON TRUST CO , AS OWNER TRUST, 1100 NORTH MARKET STREET, WILMINGTON, DE 19890 (302) 651-1000 1,145,000,000 (\$1,145,000,000) FLOATING RATE NOTES (FILE 333-82153 JUL. 02) (BR 7 NEW ISSUE)
- S-8 ASCENT ASSURANCE INC, 110 WEST SEVENTH STREET, STE 300, FORT WORTH, TX 76102 (817) 878-3306 \$4,818,220 COMMON STOCK. (FILE 333-82155 JUL. 02) (BR 1)
- S-8 ALBERTSONS INC /DE/, 250 PARKCENTER BLVD, P O BOX 20, BOISE, ID 83726 (208) 385-6200 2,465,295 (\$83,721,418) COMMON STOCK. (FILE 333-82157 JUL 02) (BR. 2)
- S-8 ALBERTSONS INC /DE/, 250 PARKCENTER BLVD, P O BOX 20, BOISE, ID 83726 (208) 385-6200 20,650,000 (\$1,041,534,375) COMMON STOCK (FILE 333-82161 JUL 02) (BR 2)
- S-3 FOCUS ENHANCEMENTS INC, 142 NORTH ROAD, SUDBURY, MA 01776 (617) 938-8088 - 372,796 (\$393,225,22) COMMON STOCK (FILE 333-82163 - JUL, 02) (BR 3)
- S-3 AVISTA CORP. 1411 E MISSION AVE, SPOKANE, WA 99202 (509) 489-0500 400,000,000 (\$400,000,000) STRAIGHT BONDS (FILE 333-82165 JUL. 02) (BR 2)
- S-4 SOUTH ALABAMA BANCORPORATION INC /DE/, 100 ST JOSEPH ST, P O BOX 3067, MOBILE, AL 36602 (334) 431-7800 850,200 (\$5,820,952) COMMON STOCK (FILE 333-82167 JUL 02) (BR 7)
- S-8 INLAND ENTERTAINMENT CORP, 16868 VIA DEL CAMPO COURT, SUITE 200, SAN DIEGO, CA 92127 (619) 716-2100 - 3,000,000 (\$7,500,000) COMMON STOCK (FILE 333-82169 - JUL 02) (BR 5)
- S-8 TRACK DATA CORP, 56 PINE STREET, NEW YORK, NY 10005 (212) 422-4300 830,000 (\$12,142,900) COMMON STOCK (FILE 333-82171 JUL. 02) (BR 7)
- S-3 SOUTHERN INDIANA GAS & ELECTRIC CO, 20 NW FOURTH ST, EVANSVILLE, IN 47741 (812) 465-5300 80,000 000 (\$80,000,000) STRAIGHT BONDS. (FILE 333-82173 JUL 02) (BR 2)

- S-8 ACE LTD, ACE BLDG, P O BOX HM 1015, HAMILTON HM 08 BERMU, DO (809) 295-5200 1,939,100 (\$53,264,653 25) COMMON STOCK. (FILE 333-82175 JUL 02) (BR 1)
- S-8 EVISION USA COM INC, 1700 LINCOLN ST, 32ND FLOOR, DENVER, CO 80203 (303) 860-1700 - 19,703,500 (\$11,822,100) COMMON STOCK. (FILE 333-82177 - JUL. 02) (BR. 7)
- S-3 DIVERSIFIED SENIOR SERVICES INC, 915 WEST FOURTH STREET, WINSTON SALEM, NC 27101 (910) 724-1000 1,903,125 (\$6,660,938) COMMON STOCK. (FILE 333-82181 JUL 02) (BR. 9)
- S-8 MOVIE GALLERY INC, 739 W MAIN ST, DOTHAN, AL 36301 (334) 677-2108 850,000 (\$4,568,750) COMMON STOCK. (FILE 333-82183 JUL. 02) (BR. 5)
- S-8 INNODATA CORP, 95 ROCKWELL PL, BROOKLYN, NY 11217 (718) 855-0044 300,000 (\$3,498,000) COMMON STOCK. (FILE 333-82185 JUL. 02) (BR. 3)
- S-8 OCULAR SCIENCES INC /DE/, 475 ECCLES AVE, S SAN FRANCISCO, CA 94080 1,000,000 (\$16,781,250) COMMON STOCK (FILE 333-82187 JUL. 02) (BR. 5)
- S-8 EQUITABLE RESOURCES INC /PA/, 301 GRANT STREET SUITE 3300, PITTSBURGH, PA 15219 (412) 553-5700 3,000,000 (\$109,687,500) COMMON STOCK. (FILE 333-82189 JUL 02) (BR. 2)
- S-8 PRECISION STANDARD INC, ONE PEMCO PLAZA, 1943 50TH ST N, BIRMINGHAM, AL 35212 (205) 591-3009 625,000 (\$2,421,875) COMMON STOCK. (FILE 333-82191 JUL 02) (BR. 5)
- S-8 EQUITABLE RESOURCES INC /PA/, 301 GRANT STREET SUITE 3300, PITTSBURGH, PA 15219 (412) 553-5700 300,000 (\$10,968,750) COMMON STOCK (FILE 333-82193 JUL 02) (BR. 2)
- N-2 SAL TRUST PREFERRED FUND I, 1901 SIXTH AVENUE NORTH, SUITE 2100, BIRMINGHAM, AL 35203 (205) 252-5900 - 946,000 (\$23,650,000) COMMON STOCK. (FILE 333-82195 - JUL 02) (BR 16;
- S-2 ALLEGIANT BANCORP INC, 2122 KRATKY ROAD, SUITE 500, ST LOUIS, MO 63114 (314) 692-8200 1,725,000 (\$17,250,000) PREFERRED STOCK. (FILE 333-82197 JUL. 02) (BR 7)
- S-8 COMFORCE CORP. 415 CROSSWAYS PARK DRIVE, P O BOX 9006, WOODBURY, NY
 11797 (516) 437-3300 330,000 (\$1,785,300) COMMON STOCK (FILE 333-82199
 JUL 02) (BR 8)
- S-3 COMFORCE CORP. 415 CROSSWAYS PARK DRIVE, P O BOX 9006, WOODBURY, NY 11797 (516, 437-3300 - 193,124 (\$579,372) COMMON STOCK (FILE 333-82201 -JUL 02) (BR 8)
- SB-2 BONUSBOULEVARD INC, BONUSBOLEVARD INC, 55 WEST 19TH ST , 4TH FL,
 NEW YORK, NY 10011 (212) 414-2115 20,000,000 (\$625,750) COMMON STOCK
 (FILE 333-82203 JUL 02) (BR 9 NEW ISSUE)
- S-8 WILLIAMS SONOMA INC, 100 N POINT ST, SAN FRANCISCO, CA 94133 (415) 616-8345 - 1,221,775 (\$40,624,019) COMMON STOCK (FILE 333-82205 - JUL 02) (BR. 2)
- S-8 COLUMBIA HCA HEALTHCARE CORP/, ONE PARK PLZ, NASHVILLE, TN 37203 (615) 344-9551 500,000 (\$11,312,500) COMMON STOCK (FILE 333-82207 JUL 02) (BR 1
- S-8 ELECTROGLAS INC, 2901 CORONADO DRIVE, SANTA CLARA, CA 95054
 (408) 727-6500 300,000 (\$5,887,500) COMMON STOCK (FILE 333-82209 JUL 02) (BR 5)
- S-8 SEQUA CORP /DE/, 200 PARK AVE, NEW YORK, NY 10166 (212) 986-5500 500,000 (\$31,955,000) COMMON STOCK (FILE 333-82211 JUL. 02) (BR. 5)
- S-1 BLUESTONE SOFTWARE INC, 1000 BRIGGS RD, MT LAUREL, NJ 08054 (609) 727-4600 - \$46,000,000 STRAIGHT BONDS (FILE 333-82213 - JUL 02) (BR 3)

- S-3 KEYBANK NATIONAL ASSOCIATION/OH, KEY TOWER, 127 PUBLIC SQUARE, CLEVELAND, OH 94114 (216) 689-6300 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-82215 JUL 02) (BR. 7 NEW ISSUE)
- S-1 FINET COM INC, 3021 CITRUS CIRCLE STE 150, WALNUT CREEK, CA 94598 (925) 906-5800 78,106,774 (\$329,532,479.51) COMMON STOCK. (FILE 333-82217 JUL. 02) (BR. 7)
- S-3 COLUMBIA HCA HEALTHCARE CORP/, ONE PARK PLZ, NASHVILLE, TN 37203 (615) 344-9551 1,100,000,000 (\$1,100,000,000) STRAIGHT BONDS. (FILE 333-82219 JUL. 02) (BR. 1)
- S-8 TRANSKARYOTIC THERAPIES INC, 195 ALBANY ST, CAMBRIDGE, MA 02138 (617) 349-0200 - 2,000,000 (\$66,500,000) COMMON STOCK. (FILE 333-82221 - JUL. 02) (BR. 1)
- S-3 NSTOR TECHNOLOGIES INC, 450 TECHNOLOGY PARK, LAKE MARY, FL 32746 (407) 829-3500 12,446,798 (\$34,602,098) COMMON STOCK. (FILE 333-82223 JUL. 02) (BR. 5)
- S-8 ENRON CORP/OR/, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 10,000,000 (\$771,250,000) COMMON STOCK. (FILE 333-82225 JUL. 02) (BR. 4)
- S-8 ENRON CORP/OR/, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 10,000,000 (\$771,250,000) COMMON STOCK (FILE 333-82227 JUL. 02) (RR 4)
- S-8 PROCOM TECHNOLOGY INC, 1821 EAST DYER ROAD, SANTA ANA, CA 92705 (949) 794-4257 250,000 (\$1,953,125) COMMON STOCK (FILE 333-82229 JUL. 02) (BR. 3)
- S-8 PROCOM TECHNOLOGY INC, 1821 EAST DYER ROAD, SANTA ANA, CA 92705 (949) 794-4257 - 1,140,000 (\$8,906,250) COMMON STOCK (FILE 333-82231 -JUL 02) (BR. 3)
- S-8 INTEGRA LIFESCIENCES CORP, 105 MORGAN LANE, PLAINSBORO, NJ 08536 (609) 275-0500 2,500,000 (\$14.095,205) COMMON STOCK (FILE 333-82233 JUL. 02) (BR. 1)
- S-8 ADVEST GROUP INC, 90 STATE HOUSE SQ, 280 TRUMBULL ST, HARTFORD, CT 06103 (860; 509-1000 2,000,000 (\$40,250,000) COMMON STOCK (FILE 333-82235 JUL. 02) (BR 7)
- S-1 FIDELITY LEASING INC, 1255 WRIGHTS LANE, WEST CHESTER, PA 19380 (215) 731-9450 4,472,222 (\$84,972,218) COMMON STOCK (FILE 333-82237 JUL 02) (BR. 7 NEW ISSUE)
- S-3 IDENTIX INC, 510 N PASTORIA AVE, SUNNYVALE, CA 94086 (408) 731-2000 3,112,897 (\$26,556,124) COMMON STOCK (FILE 333-82239 JUL. 02) (BR. 3)
- S-3 BUCKEYE PARTNERS L P, 3900 HAMILTON BLVD, ALLENTOWN, PA 18103 (610) 770-4700 - \$300,000,000 STRAIGHT BONDS (FILE 333-82241 - JUL. 02) (BR. 4)
- S-4 ISLE OF CAPRI CASINOS INC, 711 WASHINGTON LOOP, 2ND FLR, BILOXI, MS 39530 (601) 436-7000 390,000,000 (5390,000,000) STRAIGHT BONDS. (FILE 333-82243 JUL 02) (BR 5)
- S-8 SBA COMMUNICATIONS CORP, ONE TOWN CENTER RD, THIRD FLOOR, BOCA RATON, FL 33486 (561) 995-7670 4.656,783 (\$29,998 073 20) COMMON STOCK (FILE 333-82245 JUL 02) (BR 6)
- S-3 ESOFT INC, 295 INTERLOCKEN BOULEVARD SUITE 500, BROOMFIELD, CO 80021 (303) 444-1600 1,878,595 (\$6,932,016) COMMON STOCK (FILE 333-82247 JUL. 02) (BR. 9)
- S-8 F5 NETWORKS INC, 200 FIRST AVE WEST, STE 500, SEATTLE, WA 98119
 (206) 505-0800 5,099.441 (\$74,517,671) COMMON STOCK (FILE 333-82249 JUL 02) (BR 3)

- S-1 BROADBASE SOFTWARE INC, 172 CONSTITUTION DR, MENLO PARK, CA 94025 \$50,000,000 COMMON STOCK. (FILE 333-82251 JUL. 02) (BR. 1)
- S-3 GUMTECH INTERNATIONAL INC \UT\, 246 EAST WATKINS STREET, PHOENIX, AZ 85004 (602) 252-1617 1,000,000 (\$9,812,500) COMMON STOCK. (FILE 333-82253 JUL 02) (BR. 9)
- S-3 NETOPIA INC, 2470 MARINER SQUARE LOOP, ALAMEDA, CA 94501 (510) 814-5100 2,645,000 (\$62,900,745) COMMON STOCK. (FILE 333-82255 JUL. 02) (BR. 3)
- S-8 CREATIVE COMPUTERS INC, 2555 WEST 190TH STREET, TORRENCE, CA 90504 (310) 354-5600 235,000 (\$1,711,094) COMMON STOCK. (FILE 333-82257 JUL. 02) (BR. 2)
- S-3 CENTERTRUST RETAIL PROPERTIES INC, 3500 SEPULVEDA BLVD, MANHATTAN BEACH, CA 90266 (310) 546-4520 953,701 (\$11,325,199.37) COMMON STOCK. (FILE 333-82259 JUL. 02) (BR. 8)
- S-8 COLE NATIONAL CORP /DE/, 5915 LANDERBROOK DR, MAYFIELD HEIGHTS, OH 44124 (216) 449-4100 884,000 (\$6,930,560) COMMON STOCK. (FILE 333-82263 JUL. 02) (BR. 2)
- S-8 COLE NATIONAL CORP /DE/, 5915 LANDERBROOK DR, MAYFIELD HEIGHTS, OH 44124 (216) 449-4100 400,000 (\$3,136,000) COMMON STOCK. (FILE 333-82265 JUL. 02) (BR. 2)
- S-3 BOK FINANCIAL CORP ET AL, BANK OF OKLAHOMA TOWER, PO BOX 2300, TULSA, OK 74192 (918) 588-6000 2,371,809 (\$59,888,178) COMMON STOCK. (FILE 333-82267 JUL 02) (BR 7)
- S-3 EOTT ENERGY PARTNERS LP, 1330 POST OAK BLVD, SUITE 2700, HOUSTON, TX 77056 (713) 993-5200 \$500,000,000 STRAIGHT BONDS (FILE 333-82269 JUL. 02) (BR. 4)
- S-8 COLE NATIONAL CORP /DE/, 5915 LANDERBROOK DR, MAYFIELD HEIGHTS, OH 44124 (216) 449-4100 276,000 (\$2,163,840) COMMON STOCK. (FILE 333-82271 JUL 02) (BR 2)
- S-3 PINNACLE HOLDINGS INC, 1549 RINGLING BLVD , 3RD FLOOR, SARASOTA, FL 34236 (941) 364-8886 - 12,305,000 (\$230,718,750) COMMON STOCK. (FILE 333-82273 - JUL. 02) (BR 8)
- S-3 DLJ COMMERCIAL MORTGAGE CORP, 277 PARK AVE,
 C/O DONALDSON LUFKIN & JENRETTE, NEW YORK, NY 10172 (212) 892-3000 3,000,000,000 (\$3,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE
 (FILE 333-82275 JUL. 02) (BR 8)
- S-1 GENTRY RESOURCES INC, 1177 WEST HASTINGS SUITE 2110, VANCOUVER BRITISH COLUMBIA V6E 2KS, CANADA. TX 77512 - 2,500,000 (\$125,000) COMMON STOCK. (FILE 333-82277 - JUL 02)
- S-8 SCHUFF STEEL CO, 1841 WEST BUCHANAN ST, PHOENIX, AZ 85009 (602) 252-7787 200,000 (\$1,200,000) COMMON STOCK (FILE 333-82279 JUL 02) (BR. 6)
- S-3 ARCADIA RECEIVABLES FINANCE CORP, 7825 WASHINGTON AVE S, SUITE 410, MINNEAPOLIS, MN 55439 (612) 942-9880 2,500,000,000 (\$2,500,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-82281 JUL 02) (BR. 8)
- S-8 BRITESMILE INC, AIRPORT BUSINESS CENTER, 200 DIPLOMAT DRIVE BAY 204, LESTER, PA 19113 (610) 362-1111 - 4.675,000 (\$15.427,208) COMMON STOCK (FILE 333-82283 - JUL 02) (BR 5)
- S-8 WOMEN FIRST HEALTHCARE INC, 12220 EL CAMINO ROAD SUITE 400, SAN DIEGO, CA 92130 (619) 509-1171 - 2,277,435 (\$4,968,755 86) COMMON STOCK (FILE 333-82285 - JUL 02) (BR 1)
- S-8 GOTHIC ENERGY CORP, 5727 S LEWIS AVE STE 700, P O BOX 186, TULSA, OK 74105 (918) 749-5666 2,195,000 (\$878,000) COMMON STOCK. (FILE 333-82287 JUL 02) (BR 4)

- S-8 GOTHIC ENERGY CORP, 5727 S LEWIS AVE STE 700, P O BOX 186, TULSA, OK 74105 (918) 749-5666 1,000,000 (\$400,000) COMMON STOCK. (FILE 333-82289 JUL 02) (BR. 4)
- S-8 GOTHIC ENERGY CORP, 5727 S LEWIS AVE STE 700, P O BOX 186, TULSA, OK 74105 (918) 749-5666 1,000,000 (\$410,000) COMMON STOCK. (FILE 333-82291 JUL. 02) (BR. 4)
- S-3 EDISON INTERNATIONAL, 2244 WALNUT GROVE AVE, STE 374, P O BOX 800, ROSEMEAD, CA 91770 (626) 302-2222 (FILE 333-82293 JUL. 02) (BR. 2)
- S-8 STUDENT ADVANTAGE INC, 280 SUMMER STREET, BOSTON, MA 02210 (617) 912-2011 3,300,000 (\$27,225,000) COMMON STOCK. (FILE 333-82295 JUL. 02) (BR. 5)
- SB-2 RECOVERY NETWORK INC, 1411 5TH STREET, SUITE 250, SANTA MONICA, CA 90401 (310) 393-3979 2,782,915 (\$580,533) COMMON STOCK. (FILE 333-82299 JUL. 02) (BR. 9)
- S-4 VALENTIS INC, 863A MITTEN RD, BURLINGAME, CA 94010 (650) 697-1900 \$23,897,650.20 COMMON STOCK. (FILE 333-82301 JUL. 02) (BR. 1)
- S-4 CD RADIO INC, 1221 AVENUE OF THE AMERICAS, 36TH FLOOR, NEW YORK, NY 10020 (212) 899-5000 200,000,000 (\$168,618,000) STRAIGHT BONDS. (FILE 333-82303 JUL 02) (BR 7)
- S-4 COMPLE TEL EUROPE NV, WASHINGTON PLAZA IMMEUBLE,
 ARTOIS 44 RUE WASHINGTON, 75005 PARIS CEDEX 08, (011) 331-5353 147,500,000 (\$74,585,953) STRAIGHT BONDS (FILE 333-82305 JUL 02)
 (NEW ISSUE)
- S-4 TXU EASTERN FUNDING CO, CROWN HOUSE 51 ALDWYCH, LONDON, ENGLAND WC2B 4AX, X0 00000 (011) 441-7142 - 1,500,000,000 (\$1,500,000,000) STRAIGHT BONDS (FILE 333-82307 - JUL 02) (NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

	STATE	8	(ITEM	NO.		
NAME OF ISSUER	CODE	1 2 3	4 5 6	789	DATE	COMMENT
BAMES BINDAGIAL CORD OF			· · · · · · ·		07/01/	00
AAMES FINANCIAL CORP/DE	DE		Х		07/01/	
ACME INTERMEDIATE HOLDINGS LLC	DE	Х		Х	04/23/	99 AMEND
ACME TELEVISION LLC	DE	Х		X	04/23/	99 AMEND
ACTIVE VOICE CORP	WA	Х			06/30/	99

NAME OF ISSUER	STATE CODE	1 2	-	ITEM 5 6		DATE COMP
				• • • •		
ADFLEX SOLUTIONS INC	DE			X	X	07/07/99
AGWAY INC	DE			Х		06/28/99
AJAY SPORTS INC	DE	X				06/23/99
AMERICAN COMMUNITY PROPERTIES TRUST	MD			X		06/30/99
AMERICAN GAMING & ENTERTAINMENT LTD /DE	DE	х				06/14/99 AN
AMERICAN SAFETY RAZOR CO	DE			х	x	07/01/99
AMERON INTERNATIONAL CORP	DE			x	^	06/23/99
APPLIED MAGNETICS CORP	DE			*	x	02/11/99 AM
APPLIEDTHEORY CORP	DE	х			X	06/22/99
AVERT INC	CO	^		v	X	
AVONDALE INDUSTRIES INC	LA			X X	X	07/06/99
· · · · · · · · · · · · · · · · · · ·				Λ.		07/06/99
AVONDALE INDUSTRIES INC	LA	Х				07/07/99
AZURIX CORP	DE	X			X	06/30/99
BIOMERICA INC	DE			X	Х	07/07/99
BIRMAN MANAGED CARE INC	DE			Х	X	07/06/99
BOSTON LIFE SCIENCES INC /DE	DE			Х	х	06/28/99
C P CLARE CORP	MA			X	X	07/02/99
CAPRIUS INC	DΕ	Х			x	06/28/99
CELTRIX PHARMACEUTICALS INC	DE			X	X	07/07/99
CENTURA BANKS INC	NC	Х				07/08/99
CENTURYTEL INC	LA			Х		07/08/99
CHAMPION HOME EQUITY LOAN TRUST 199	DE			x	x	06/30/99
CHASE MORTGAGE FINANCE CORP	DE	х			х	06/23/99
CHASE MORTGAGE FINANCE CORP	DE	х			x	06/24/99
CHASE MORTGAGE FINANCE CORP	DE	Λ		х	X	06/25/99
	NV	х		X	X	06/25/99
CITADEL BROADCASTING CO						•
CITADEL COMMUNICATIONS CORP	NV	Х		Х	Х	06/25/99
CLECO CORP	LA	Х				07/08/99
CMGI INC	DE			X	Х	06/29/99
COLUMBIA ENERGY GROUP	DE			Х		07/06/99
COMMERCIAL MORTGAGE ACCEPTANCE CORP	MO				X	07/06/99
COMMONWEALTH BANCORP INC	PA			Х	X	07/0 7/99
COMMUNITY TRUST BANCORP INC /KY/	KY	X				07/06/99
COMPU DAWN INC	DE			Х		07/06/99
COMPUWARE CORPORATION	MI	Х			X	06/23/99
COMTECH TELECOMMUNICATIONS CORP /DE	DE			х	X	07/06/99
CORNING INC /NY	NY	х				12/31/97
COX COMMUNICATIONS INC /DE/	DE			х		07/07/99
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE			•	x	06/30/98
CROSS TIMBERS OIL CO	DE			х		07/01/99
					v	
CSG SYSTEMS INTERNATIONAL INC	DE			X	X	07/02/99
DATA RACE INC	TX			X	X	06/25/99
DATATRAK INTERNATIONAL INC	OH			Х		06/26/99
DELCO REMY INTERNATIONAL INC	DE	Х			Х	06/25/99
DEUTSCHE FLOORPLAN RECEIVABLES L F	DE			Х	X	06/28/99
DIAMOND HOME SERVICES INC	DE			Х	Х	06/30/99
DIEDRICH COFFEE INC	CA	Х			X	07/08/99
DLJ MORTGAGE ACCEPT CORP FIR NAT TR SE 1999-2 MOR PAS TH CER				Х	Х	06/30/99
DLJ MORTGAGE ACCEPT CORP FIR NAT TR SE 1999-3 MOR PAS TH CER				X	x	06/30/99
DOCTORS HEALTH INC	MD			х		05/21/99
DOUGHTIES FOODS INC	VA			X		07/07/99
	DE				х	07/06/99
DRKOOP COM INC						,,
DRKOOP COM INC DUALSTAR TECHNOLOGIES CORP	DE			х		07/07/99

,	STATE								
NAME OF ISSUER	CODE	1	2	3 4 	5 6	5 7 8 	 DATE		MHENT
E TEK DYNAMICS INC	DE					x	06/22/	99	
ECC INTERNATIONAL CORP	DE				X	X	06/24/9	99	
ENTERTAINMENT INC	DE				X	X	07/07/		
ESKIMO PIE CORP	VA				x	X	06/30/9		
FAVORITE BRANDS INTERNATIONAL INC	DE					X	06/30/9		
FINANCIAL ASSET SECURITIES CORP	DE				X	X	05/19/9		
FINANCIAL ASSET SECURITIES CORP	DE				X	X	06/24/9		
PIRSTCOM CORP	TX				X	X	07/01/9		
FIRSTWORLD COMMUNICATIONS INC FPIC INSURANCE GROUP INC	DE FL				X X	x	06/29/		
FREMONT GOLD CORP	FL				X	x	06/28/9		
FRONTIER ADJUSTERS OF AMERICA INC	AZ				X	A	06/30/9		
FRUIT OF THE LOOM INC /DE/	DE				x	x	06/16/9		
FULTON FINANCIAL CORP	PA				x	x	04/27/		AMEND
FX ENERGY INC	NV				х		06/10/		
GE CAPITAL MORTGAGE SERVICES INC	NJ		x			x	06/24/9		
GE CAPITAL MORTGAGE SERVICES INC	NJ				x	x	06/25/9	99	
GE CAPITAL MORTGAGE SERVICES INC	NJ		x			x	06/25/9	99	
GENCORP INC	ОН				x	x	07/07/	99	
GLOBAL GAMING & TECHNOLOGY INC	DE	X					06/30/9	99	
GREAT LAKES CHEMICAL CORP	DE				х		06/15/9	99	
GREEN TREE FINANCIAL CORP	MN					x	06/30/9	99	
GUARDIAN TECHNOLOGIES INTERNATIONAL INC	DE					X	04/23/9	99	AMEND
HER BLOCK INC	МО				x	x	06/28/9	99	
HEALTHEON CORP	DE				x	x	07/08/9	99	
HOLIDAY RV SUPERSTORES INC	FL				X	X	07/07/9	99	
HUMBOLDT BANCORP	CA		X			X	06/22/9	9	
IC ISAACS & CO INC	DE		X				06/22/9		
II-VI INC	PA				Х	X	06/30/9		
IMAGINON INC /DE/	DE		Х			X	06/23/9		
IMPCO TECHNOLOGIES INC	DE				Х	X	06/30/9		
IMPERIAL CREDIT COMMERCIAL MORTGAGE INVESTMENT CORP	MD				Х	Х	07/07/9	,,	
INDUSTRIAL RUBBER PRODUCTS INC	MN	X					06/15/9	99	AMEND
INSIGNIA FINANCIAL GROUP INC /DE/	DE		Х			Х	06/23/9	99	
INTEL CORP	DE				Х	Х	07/07/9	99	
INTELECT COMMUNICATIONS INC	DE				Х	Х	07/06/9	9	
INTERNATIONAL YOGURT CO	OR		Х				07/08/9		
INTERSTATE HOTELS CO	PA				Х	Х	06/09/9		
ITEQ INC	DE				X		07/06/9		
KANEB PIPE LINE PARTNERS L P	DE				Х	X	07/08/9		
KANEB SERVICES INC	DE				X	X	07/08/9		
KIMBALL INTERNATIONAL INC	IN				X	.,	07/08/9		
LAMINATING TECHNOLOGIES INC	DE				χ	Х	07/08/9		
LCA VISION INC	DE	Х				.,	07/06/9		
LEHMAN ABS CORP	DE				Х	X X	06/30/9		
LEHMAN BROTHERS HOLDINGS INC LHS GROUP INC	DE DE		х			X	07/08/9		NMENTO
LITTON INDUSTRIES INC	DE		^		х	X	06/30/9		AMEND
MBNA CORP	MD				Х	^	07/07/9		
MCLEODUSA INC	DE				х		07/06/9		
MEDICAL DEVICE ALLIANCE INC	NV	х					06/10/9		
MEDIMMUNE INC /DE	DE	X					07/07/9		
MELLON BANK CORP	PA	Λ			х		06/30/9		
MELLON BANK PREMIUM FINANCE LOAN MA	NY				Х	х	07/07/9		
STER TRUST					••				
MERIDIAN HOLDINGS INC	CO	Х					03/31/9		
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE		Х			Х	06/23/9	9	
MICRON TECHNOLOGY INC	DE				λ		07/01/9	9	

NAME OF TOCHED	STATE							DATE	COMPLIT
NAME OF ISSUER	CODE		. s	- 4 	5 O			DATE	COMMENT
MILACRON INC	DE				x	x		06/28/	99
MILLER HERMAN INC	MI				X	X		06/30/	99
MINNESOTA POWER INC	MIN				X			06/22/	99
MORGAN STANLEY CAPITAL I INC COM MO					X	X		07/08/	99
R PA TH CER SER 1999 CAMI									
MPM TECHNOLOGIES INC	WA				хх			07/06/	
NABORS INDUSTRIES INC	DE				X	Х		07/06/	
NATURADE INC NEOPHARM INC	DE DE				X X	x		07/07/	
NEWCARE HEALTH CORP	NV		x		Λ	Λ		06/22/	
NORFOLK SOUTHERN CORP	VA		Λ		x	x		07/07/	
NORFOLK SOUTHERN RAILWAY CO/VA	VA				X	x		07/08/	99
NRG ENERGY INC	DE				x	X		06/25/	99
NTL INC/NY/	DE				X	X		06/23/	99
NURESCELL INC	NV			X		X		07/01/	99
ODETICS INC	DE				X	X		07/07/	99
ONYX SOFTWARE CORP/WA	WA				X			06/21/	99
OPTEL INC	DE				Х	X		07/06/	
OXBORO MEDICAL INTERNATIONAL INC	MN	х				X		06/30/	
PAINEWEBBER MORT ACCE CORP IV FREMO	DE				Х	Х		06/24/	99
NT HOME LN OWN TR 1999-2 PATAPSCO BANCORP INC	MD				х	х		07/02/	0.0
PERCEPTRON INC/MI	MI				^	^ x		07/02/	
PHYSICAL SPA & FITNESS INC	DE			х		^	•	11/03/	
PNC MORTGAGE SEC CORP MORT PASS THR	DE			•	х	х		07/01/	
CERT SER 1997-1					•	•		0.,02,	
PNC MORTGAGE SEC CORP MORT PASS THR	DE				X	X		07/01/	99
CERT SER 1997-2									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				X	X		07/01/	99
CERT SER 1997-3									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				Х	X		07/01/	99
CERT SER 1997-4									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				Х	X		07/01/	99
CERT SER 1997-5	DE				v	v		07/01/	20
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1997-6	DĖ				Х	X		07/01/	99
PNC MORTGAGE SEC CORP MORT PASS THR	DE				x	х		07/01/	99
CERT SER 1997-8	DL					^		077017	, ,
PNC MORTGAGE SEC CORP MORT PASS THR	DE				х	х		07/01/	99
CERT SER 1998-1									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				х	X		07/01/	99
CERT SER 1998-2									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				X	Х		07/01/	99
CERT SER 1998-3									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				Х	Х		07/01/	99
CERT SER 1998-4								((_
PNC MORTGAGE SEC CORP MORT PASS THR	DE				Х	X		07/01/	99
CERT SER 1998-5 PNC MORTGAGE SEC CORP MORT PASS THR	DE				х	х		07/01/9	
CERT SER 1998-6	DE				^	Λ		07/01/	"
PNC MORTGAGE SEC CORP MORT PASS THR	DE				х	х		07/01/	9.9
CERT SER 1998-7	<i>D</i> .				44	**		07,01,	, ,
PNC MORTGAGE SEC CORP MORT PASS THR	DE				X	Х		07/01/9	9
CERT SER 1998-8									
PNC MORTGAGE SEC CORP MORT PASS THR	DE				x	X		07/01/	99
CERT SER 1998-9									
PRIORITY HEALTHCARE CORP	IN				Х			12/31/	98
PROFESSIONAL BENEFITS INSURANCE CO	TX					X		06/23/9	
PSI INDUSTRIES INC	FL				Х	X		07/06/9	
REAL GOODS TRADING CORP	CA	X						07/08/9	9

NAME OF ISSUER	STATE CODE		8K ITE 3 4 5		3 9 date comment
RELIANT ENERGY INC REPUBLIC BANCSHARES INC	TX		X X	х	06/18/99
RFS HOTEL INVESTORS INC	FL TN		X	X	07/08/99 07/01/99
RMED INTERNATIONAL INC	CO	х	^	Λ.	07/01/99 07/06/99 AMEND
RMI NET INC	DE	•	х	х	06/23/99
RMI NET INC	DE		X	^	07/06/99
RONSON CORP	NJ		x	х	07/06/99
SALOMON BROS MORT SEC VII INC FL RT	DE	х	••	x	06/29/99
E PAS THR CE SE 1999 NC3					00,25,55
SALOMON BROTH MO SE VII INC FL RT M	DE		х	х	06/30/99
OR PA TH CER SER 1999 NC2					, ,
SALOMON BROTHERS MORT SEC VII FL RT	DE	x		х	06/25/99
E PA THR CER SER 1999-LBI					
SANCTUARY WOODS MULTIMEDIA CORP	DE		x		07/06/99
SANTA FE SNYDER CORP	DE		x	х	07/08/99
SCHEIN HENRY INC	DE		x	х	07/08/99
SEAGATE SOFTWARE INC	DE		х	X	05/28/99
SECURITY ASSOCIATES INTERNATIONAL I	DE		x		07/08/99
NC					
SIRENA APPAREL GROUP INC	DE			Х	07/08/99
SOFTNET SYSTEMS INC	DE		x		06/29/99
STATE FINANCIAL SERVICES CORP	WI	X		Х	06/23/99
STIRLING COOKE BROWN HOLDINGS LTD			x	X	07/08/99
STRUCTURED ASSET SEC CORP MORT PASS	DE		х	X	03/31/99
THR CERT SER 1999 1					
STRUCTURED ASSET SEC CORP MORT PASS	DE		х	X	04/30/99
THR CERT SER 1999 1					
STRUCTURED ASSET SEC CORP MORT PASS	DE		Х	Х	05/31/99
THR CERT SER 1999 1					
STRUCTURED ASSET SEC CORP MORT PASS	DE		Х	Х	06/30/99
THR CERT SER 1999 1					
SUMMIT DESIGN INC	DE		Х	Х	07/06/99
SUPERIOR ENERGY SERVICES INC	DE		λ	X	06/30/99
SYNAGRO TECHNOLOGIES INC	DE		X	X	07/07/99 AMEND
TBC CORP	DE	Х			07/01/99
TELLABS INC	DE		Х		07/07/99
THOMAS & BETTS CORP	TN		Х	Х	06/29/99
TIAA REAL ESTATE ACCOUNT	NY		Х		07/08/99
U S RESTAURANT PROPERTIES INC	M D		X	Х	07/06/99
U S WEST INC /DE/	DE		Х	Х	07/07/99
UNION FINANCIAL SERVICES I INC	NV		Х		07/01/99
UNION PLANTERS MORT FIN CORP MORT P	NY		Х	Х	06/25/99
ASS THRU CERT SE 1999-1					
VENCOR INC	DE		Х	Х	07/07/99
VISKASE COMPANIES INC	DE		Х	Х	07/06/99
VOICESTREAM WIRELESS CORP	WA		Х	X	06/23/99
VORNADO REALTY LP	DE		Х	Х	05/17/99
VORNADO REALTY TRUST	MD		Х	Х	05/27/99
WAXMAN INDUSTRIES INC	DE		Х	Х	06/17/99
WENDYS INTERNATIONAL INC	OH		Х		07/06/99
WESTERN GAS RESOURCES INC	DE			X	05/10/99 AMEND
WESTFIELD AMERICA INC	MO	Х		Х	06/23/99
WHITE MOUNTAINS INSURANCE GROUP INC	DE		X	X	06/29/99
WITCO CORP	DE		X	X	06/22/99
WMC SECURED ASSETS CORP	DE		X	X	06/30/99
WMC SECURED ASSETS CORP	DE		X	X	06/30/99
YAHOO INC	DE		Х	х	07/07/99