SEC NEWS DIGEST

Issue 99-141 July 23, 1999

ENFORCEMENT PROCEEDINGS

IN THE MATTER OF FU-SUNG PETER WU

Administrative Law Judge Lillian A. McEwen issued an Initial Decision today in the above referenced matter. The Division of Enforcement alleged that Respondent Wu, a registered representative associated with a broker-dealer registered with the Commission, willfully violated Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, by making material misrepresentations and omitting to state material facts in with the secondary market trading connection of Specifically, the Division Communications Corporation stock. alleged that Mr. Wu misled his customers as to the price at which they could purchase Netscape stock in aftermarket trading; failed to disclose risks associated with investing in the stock; made unauthorized transactions in customer accounts; and altered customer account documents. Judge McEwen concluded that Respondent Wu willfully violated Sections 17(a)(2) and 17(a)(3) of the Securities Act in that he negligently made misrepresentations and omissions of material facts, and that he negligently engaged in transactions, practices, or courses of business which operated or would operate as a fraud or deceit upon purchasers of securities. further concluded, however, that Mr. Wu did not act with scienter, and therefore did not willfully violate Section 17(a)(1) of the Securities Act, nor did he willfully violate Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. Accordingly, the Decision orders Mr. Wu to cease and desist from committing or causing any violations or future violations of Sections 17(a)(2) and 17(a)(3) of (Initial Decision No. 144; File No. 3-9024) the Securities Act.

ADMINISTRATIVE PROCEEDING SETTLED AGAINST FORMER PORTFOLIO MANAGER IN CONNECTION WITH CS FIRST BOSTON OFFSHORE CASH RESERVE FUND

On July 13, the Commission entered a settled order against Keith E. Walsh, formerly a portfolio manager for CS First Boston Investment Management Corporation (CSFBIMC) and the CS First Boston Offshore Cash Reserve Fund (Fund). The Order finds that in late 1993 and early 1994 Walsh invested the majority of the Fund's assets in structured rate notes, which was inconsistent with the Fund's offering circular and investment objectives. The Order also finds

with respect to the content and composition of the Fund and that Walsh mispriced securities in the portfolio resulting in an overstated net asset value. The Order finds that Walsh willfully violated the antifraud provisions of the Securities Act of 1933 (Securities Act) and the Securities Exchange Act of 1934 (Exchange Act) and willfully aided and abetted and caused violations of the antifraud provisions of the Investment Advisers Act. Without admitting or denying the findings in the Order, Walsh has consented: (i) to cease and desist from committing or causing any future violations of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and Section 206(1) and 206(2) of the Advisers Act; and (ii) to be barred from association with any broker, dealer, investment adviser or investment company with a right to reapply after three years.

The Commission previously instituted contested administrative proceedings against Sean P. Brennan and Walsh on September 23, 1998 (Exchange Act Release No. 40466). The Commission settled with Sean P. Brennan, formerly Vice President of Sales and Marketing for CSFBIMC, pursuant to an order entered on December 23, 1998. In two related settled administrative proceedings; In the Matter of CS First Boston Investment Management Corporation, Admin. Proc. File No. 3-9715 (September 23, 1998) and In the Matter of Nicholas C. Bogard, Admin. Proc. File No. 3-9717 (September 23, 1998), the Commission sanctioned CSFBIMC and supervisor, Nicholas Bogard. (Rels. 33-7704; 34-41639; IA-1810; IC-23919; File No. 3-9716)

CIVIL ACTION AGAINST ARTHUR SHOEMAKER

The Commission announced today that it sued a former senior engineer of Galileo Corporation for insider trading in advance of a February 12, 1997 announcement that Galileo was losing its largest customer, Xerox Corporation. Galileo Corporation of Sturbridge, Massachusetts manufactures fiberoptic and electro-optic products. The announcement had a devastating effect on Galileo's stock price, causing it to decline by 63%.

In its complaint, filed today in federal court in Massachusetts, the Commission alleges that Arthur H. Shoemaker, formerly of Southbridge, Massachusetts and at the time the director of development of Galileo's medical products group, sold 7,700 shares of Galileo on February 12, 1997 shortly after learning the nonpublic information that Xerox had terminated Galileo as a supplier. After the close of the market that day, Galileo announced the loss of the Xerox business causing the price of Galileo's stock to decrease from its February 12, 1997 closing price of \$18.625 to a February 13, 1997 closing price of \$6.875. At the time Xerox's purchases of dicorotrons, Galileo's highest volume office product, accounted for 48% of Galileo's total sales revenues and a substantial amount of its profits. By trading in advance of the announcement, Shoemaker illegally avoided a loss of approximately \$87,827 on his sales.

The complaint alleges that Shoemaker, by trading on the basis of material nonpublic information, violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks entry of a Final Judgment of Permanent Injunction enjoining Shoemaker from future securities laws violations, requiring him to disgorge an amount equal to his losses avoided as a result of his illegal trading, prejudgment interest, and imposing civil penalties of up to three times the amount of the losses he avoided. [SEC v. Arthur H. Shoemaker, Civil Action No. 99cv11568-MLW, D. Mass.] (LR-16217)

COMPLAINT FILED AGAINST ROBERT COHEN, VICTOR CARON AND JAMES YATES JR.

On July 22, the Commission filed a complaint in United States District Court for the Southern District of New York against defendants Robert E. Cohen (Cohen), Victor M. Caron (Caron), and James B. Yates, Jr. (Yates, Jr.). The Commission alleges that the defendants engaged in a scheme to sell unregistered microcap stock into the United States market through a series of intermediate sham sales to purported residents of Liberia. In furtherance of their scheme, the Commission alleges that Cohen, Caron, and Yates Jr. used two nominees to orchestrate the fraudulent sale of 2.5 million shares of stock in a now defunct New York computer retailer named Blue Chip Computerware, Inc. The Commission charges that from 1993 through 1995 Cohen, Caron, and Yates Jr. received more than \$1.7 million in illegal profits from their scheme.

The Commission's complaint seeks permanent injunctive relief, disgorgement plus prejudgment interest, and civil penalties against all three defendants and an order commanding Cohen to comply with a prior Commission order barring him from associating with any broker or dealer. [SEC v. Robert E. Cohen, Victor M. Caron, and James B. Yates, Jr., Civil Action No. 99 CIV 5822, SDNY] (LR-16218)

INVESTMENT ADVISERS ACT RELEASES

GOLDMAN SACHS ASSET MANAGEMENT

An order has been issued on an application filed by Goldman Sachs Asset Management (GSAM) and the Hirtle Callaghan Trust (Trust) under Section 206A of the Investment Advisers Act granting an exemption from Section 205 of the Act and Advisers Act Rule 205-1. The order permits GSAM to charge a performance fee based on the performance of that portion of a Trust portfolio managed by GSAM (GSAM Account). The order further permits applicants to compute the performance-related portion of the fee using changes in the GSAM Account's gross asset value rather than net asset value. (Rel. IA-1809 - July 21)

INVESTMENT COMPANY ACT RELEASES

IDS BOND FUND, INC., ET AL.

A notice has been issued giving interested persons until August 16, 1999, to request a hearing on an application filed by IDS Bond Fund, Inc., et al. for an order under Section 17(d) of the Investment Company Act and Rule 17d-1 to permit certain registered management investment companies to deposit uninvested cash balances in joint accounts investing in short-term investments. (Rel. IC-23915 - July 21)

NUVEEN UNIT TRUSTS, ET AL.

A notice has been issued giving interested persons until August 16, 1999, to request a hearing on an application filed by Nuveen Unit Trusts (Trust) and John Nuveen & Co., Inc. (Sponsor). Applicants request an order (a) under Section 12(d)(1)(J) of the Investment Company Act that would permit each series of the Trust and any future trusts sponsored by the Sponsor to offer its shares to the public with a sales load that exceeds the 1.5% limit of Section 12(d)(1)(F)(ii); and (b) under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act to permit the Trust to invest in affiliated registered investment companies within the limits of Section 12(d)(1)(F) of the Act. (Rel. IC-23916 - July 21)

DEUTSCHE BANK SECURITIES INC.

A notice has been issued giving interested persons until August 16, 1999, to request a hearing on an application filed by Deutsche Bank Securities Inc. (Deutsche Securities) for an order under (i) Section 12(d)(1)(J) of the Investment Company Act exempting Mandatory Exchangeable Trust Securities trusts for which Deutsche Securities will serve as a principal underwriter (Trusts) from Section 12(d)(1) of the Act, (ii) Section 6(c) of the Act exempting the Trusts from Section 14(a) of the Act, and (iii) Section 17(b) of the Act exempting Deutsche Bank and the Trusts from Section 17(a) of the Act. The requested order would (i) permit other registered investment companies, and companies excepted from the definition of investment company under Section 3(c)(1) or (c)(7) of the Act, to own a greater percentage of the total outstanding voting stock (Securities) of each Trust than that permitted by Section 12(d)(1), (ii) exempt the Trusts from the initial net worth requirements of Section 14(a), and (iii) permit the Trusts to purchase U.S. government securities from Deutsche Bank at the time of a Trust's initial issuance of Securities. (Rel. IC-23917 - July 21)

SMITH BREEDEN TRUST, ET AL.

A notice has been issued giving interested persons until August 16, 1999, to request a hearing on an application filed by Smith Breeden

Trust, et al. for an order exempting applicants from Section 12(d)(1)(G)(i)(II) of the Investment Company Act. The order would permit funds of funds relying on Section 12(d)(1)(G) of the Act to invest in securities and other instruments. (Rel. IC-23918 -July 21)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change and Amendment Nos. 1 and 2 submitted by the <u>New York Stock Exchange</u> (SR-NYSE-97-31) relating to voluntary delistings by listed companies. (Rel. 34-41634)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until August 11, 1999, to comment on the application of IAT Resources Corporation (formerly The Producers Entertainment Group Ltd.) to withdraw its Common Stock, par value \$.001; its Redeemable Common Stock Purchase Warrants expiring June 11, 2001; and its Series A 8-1-2% Convertible Preferred Stock from listing and registration on the <u>Boston Stock</u> Exchange. (Rel. 34-41636)

DELISTING GRANTED

An order has been issued granting the application of the <u>Boston Stock Exchange</u> to strike from listing and registration Docucon, Incorporation, Common Stock, par value \$.01 per share. (Rel. 34-41637)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- N-2 NUVEEN FLORIDA DIVIDEND ADVANTAGE MUNICIPAL FUND, JOHN NUVEEN & CO, 333 W WACKER DR, CHICAGO, IL 60606 (312) 917-8146 - 100,000 (\$1,500,000) COMMON STOCK. (FILE 333-82983 - JUL. 16) (BR. 18)
- N-2 NUVEEN OHIO DIVIDEND ADVANTAGE MUNICIPAL FUND, JOHN NUVEEN & CO, 333 W WACKER DR, CHICAGO, IL 60606 (312) 917-8146 - 100,000 (\$1,500,000) COMMON STOCK. (FILE 333-82985 - JUL. 16) (BR. 18)
- N-2 NUVEEN NORTH CAROLINA DIVIDEND ADVANTAGE MUNICIPAL FUND,
 JOHN NUVEEN & CO INC, 333 WEST WACKER DRIVE, CHICAGO, IL 60606
 (312) 917-7794 100,000 (\$1,500,000) COMMON STOCK. (FILE 333-82987 JUL. 16) (BR. 18)
- N-2 NUVEEN NEW JERSEY DIVIDEND ADVANTAGE MUNICIPAL FUND, JOHN NUVEEN & CO, 333 W WACKER DR, CHICAGO, IL 60606 (312) 917-8146 100,000 (\$1,500,000) COMMON STOCK. (FILE 333-82989 JUL. 16) (BR. 18)
- N-2 NUVEEN INSURED NEW YORK DIVIDEND ADVANTAGE MUNICIPAL FUND,

 JOHN NUVEEN & CO INC, 333 WEST WACKER DRIVE, CHICAGO, IL 60606

 (312) 917-7794 100,000 (\$1,500,000) COMMON STOCK. (FILE 333-82991
 JUL. 16) (BR. 18)
- N-2 NUVEEN PENNSYLVANIA DIVIDEND ADVANTAGE MUNICIPAL FUND, JOHN NUVEEN & CO, 333 W WACKER DR, CHICAGO, IL 60606 (312) 917-8146 100,000 (\$1,500,000) COMMON STOCK (FILE 333-82993 JUL 16) (BR. 18)
- N-2 NUVEEN CONNECTICUT DIVIDEND ADVANTAGE MUNICIPAL FUND,
 JOHN NUVEEN & CO INC, 333 WEST WACKER DRIVE, CHICAGO, IL 60606
 (312) 917-7794 100,000 (\$1,500,000) COMMON STOCK. (FILE 333-82995 JUL 16) (BR 18)
- S-1 HOMESERVICES COM INC, 6800 FRANCE AVENUE SOUTH, SUITE 600, EDINA, MN 55435 (612) 928-5900 - \$69,000,000 COMMON STOCK (FILE 333-82997 -JUL 16) (BR 8 - NEW ISSUE)
- S-3 AMERICREDIT CORP, 200 BAILEY AVENUE, FORT WORTH, TX 76107 (817) 332-7000 9,200,000 (\$144,325,000) COMMON STOCK (FILE 333-82999 JUL. 16) (BR 7)
- S-8 SPECTRAN CORP, 50 HALL ROAD, STURBRIDGE, MA 01566 (508) 347-2261 -345,000 (\$4,098,600) COMMON STOCK. (FILE 333-83009 - JUL. 16) (BR. 6)
- S-1 WORLD MONITOR TRUST II SERIES D, ONE NEW YORK PLAZA 13TH FL, NEW YORK, NY 10292 (212) 778-7866 (FILE 333-83011 JUL. 16) (BR. 7 NEW ISSUE)
- S-4 SYNOVUS FINANCIAL CORP, 901 FRONT AVENUE, STE 301, COLUMBUS, GA 31901 (706) 649-2267 7,128,041 (\$162,590,613) COMMON STOCK. (FILE 333-83013 JUL 16) (BR 7)
- S-1 WORLD MONITOR TRUST II SERIES E, ONE NEW YORK PLAZA 13TH FL, NEW YORK, NY 10292 (212) 778-7866 (FILE 333-83015 JUL 16) (BR 7 NEW ISSUE)
- S-1 WORLD MONITOR TRUST II SERIES F, ONE NEW YORK PLAZA 13TH PL, NEW YORK, NY 10292 (212) 778-7866 (FILE 333-83017 JUL. 16) (BR. 7 NEW ISSUE)
- S-3 PPG INDUSTRIES INC, ONE PPG PL 40 EAST, PITTSBURGH, PA 15272 (412) 434-3131 - 800,000,000 (\$800,000,000) STRAIGHT BONDS. (FILE 333-83019 - JUL. 16) (BR. 2)
- S-3 WMS INDUSTRIES INC /DE/, 3401 N CALIFORNIA AVE, CHICAGO, IL 60618 (312) 961-1111 - 4,025,000 (\$63,756,000) COMMON STOCK. (FILE 333-83021 - JUL 16) (BR. 6)
- S-3 UNION PLANTERS CORP, UNION PLANTERS ADMINSTRATIVE CENTER, 7130 GOODLETT FARMS PARKWAY, MEMPHIS, TN 38018 (901) 580-6000 - 2,000,000 (\$94,250,000) COMMON STOCK (FILE 333-83025 - JUL. 16) (BR. 7)
- S-4 ARCH COMMUNICATIONS INC, 1800 WEST PARK DRIVE, STE 250, WESTBOROUGH, MA 01581 (508) 898-0962 147,000,000 (\$147,000,000) STRAIGHT BONDS. (FILE 333-83027 JUL 16) (BR. 7)

- S-8 U S LABORATORIES INC, 7895 CONVOY COURT, SUITE 18, SAN DIEGO, CA 92111 (408) 874-8200 310,000 (\$1,085,000) COMMON STOCK. (FILE 333-83029 JUL. 16) (BR. 9)
- S-4 KOHLS CORPORATION, N56 W17000 RIDGEWOOD DR, MENOMONEE FALLS, WI 53051 (414) 783-5800 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 333-83031 JUL 16) (BR. 2)
- S-3 FRONTIER AIRLINES INC /CO/, 12015 EAST 46TH AVE, DENVER, CO 80239 (303) 371-7400 177,242 (\$953,993) COMMON STOCK. (FILE 333-83033 JUL. 16) (BR. 5)
- S-8 VSI ENTERPRISES INC, 5801 GOSHEN SPRINGS RD, NORCROSS, GA 30071 (770) 242-7566 225,000 (\$84,375) COMMON STOCK. (FILE 333-83035 JUL. 16) (BR 7)
- S-4 RJ REYNOLDS TOBACCO HOLDINGS INC, 1301 AVE OF THE AMERICAS, NEW YORK, NY 10019 (212) 258-5600 1,250,000,000 (\$1,216,905,000) STRAIGHT BONDS. (FILE 333-83037 JUL. 16) (BR. 4)
- S-8 AFFORDABLE HOMES OF AMERICA INC, 4505 W HACIENDA AVE, UNIT I 1, LAS VEGAS, NV 89118 (702) 579-4800 - 500,000 (\$531,562.50) COMMON STOCK (FILE 333-83039 - JUL 16) (BR. 9)
- SB-2 USABANC COM INC, ONE LINCOLN PLAZA, 1535 LOCUST STREET, PHILADELPHIA, PA 19102 (215) 596-4200 - \$34,500,000 COMMON STOCK (FILE 333-83041 -JUL 16) (BR 7)
- S-8 THERMO CARDIOSYSTEMS INC, 470 WILDWOOD ST, P O BOX 2697, WOBURN, MA 01888 (781) 932-8668 1,642,500 (\$17,759,531.25) COMMON STOCK. (FILE 333-83043 JUL 16) (BR 5)
- S-8 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134
 (408) 526-4000 6,123,957 (\$108,484,000) COMMON STOCK. (FILE 333-83045 JUL 16) (BR 3)
- S-8 GENERAL NUTRITION COMPANIES INC, 300 SIXTH AVENUE, PITTSBURGH, PA 15222 (412) -28-8-46 1,000,000 (\$17,500,000) COMMON STOCK. (FILE 333-83047 JUL 16) (BR 2)
- S-3 BEAR STEARNS COMPANIES INC, 245 PARK AVE, NEW YORK, NY 10167 (212) 272-2000 7,500,000,000 (\$7,500,000,000) STRAIGHT BONDS. (FILE 333-83049 JUL 16) (BR 7)
- S-3 QUINTILES TRANSNATIONAL CORF, 4709 CREEKSTONE DR, RIVERBIRCH BLDG STE 200, DURHAM, NC 27703 (919) 998-2000 - 1,749,162 (\$66,304,172.06) COMMON STOCK (FILE 333-83051 - JUL. 16) (BR. 1)
- S-4 VIATEL INC, 685 THIRD AVE, NEW YORK, NY 10017 (212) 350-9200 349,678,190 (\$349,678,190) STRAIGHT BONDS. (FILE 333-83053 JUL. 16) (BR 7)
- S-8 ORGANOGENESIS INC, 150 DAN RD, CANTON, MA 02021 (617) 575-0775 3,070,312 (\$27,920,649.75) COMMON STOCK. (FILE 333-83055 JUL. 16) (BR. 1)
- S-4 RESORTQUEST INTERNATIONAL INC, 530 OAK COURT DRIVE, SUITE 360, MEMPHIS, TN 38117 (901) 762-0600 5,000,000 (\$44,687,500) COMMON STOCK. (FILE 333-83059 JUL. 16) (BR 8)
- S-8 AMX CORP, 11995 FORESTGATE DR, DALLAS, TX 75243 (214) 644-3048 3,000,000 (\$54,960,000) COMMON STOCK. (FILE 333-83061 JUL. 16) (BR. 5)
- S-4 SFC NEW HOLDINGS INC, 520 LAKE COOK RD, SUITE 550, DEERFIELD, IL 60015 (847) 405-5300 575,000,000 (\$575,000,000) STRAIGHT BONDS. (FILE 333-83063 JUL 16) (BR 9 NEW ISSUE)
- S-3 TAKE TWO INTERACTIVE SOFTWARE INC, 575 BROADWAY, 6TH FLOOR, NEW YORK, NY 10012 (212) 941-2988 260,509 (\$2,018,944.75) COMMON STOCK (FILE 333-83065 JUL 16) (BR. 3)

- S-3 INTEGRATED SURGICAL SYSTEMS INC, 829 W STADIUM LANE, SACRAMENTO, CA 95834 (916) 646-3487 2,121,635 (\$8,751,744.38) COMMON STOCK. (FILE 333-83067 JUL. 16) (BR 5)
- S-8 PARADYNE NETWORKS INC, 8545 126TH AVE NORTH, P 0 BOX 2826, LARGO, FL 33773 (727) 530-2000 6,242,507 (\$59,707,811) COMMON STOCK. (FILE 333-83073 JUL. 16) (BR. 5)
- S-3 GENERAL MAGIC INC, 420 N MARY AVE, SUNNYVALE, CA 94086 (408) 774-4000 1,839,474 (\$6,409,463.21) COMMON STOCK. (FILE 333-83075 JUL. 16) (BR. 3)
- S-8 SICOR INC, 19 HUGHES, IRVINE, CA 92618 (949) 455-4700 1,600,000 (\$6,825,040) COMMON STOCK (FILE 333-83077 JUL. 16) (BR. 1)
- S-8 SICOR INC, 19 HUGHES, IRVINE, CA 92618 (949) 455-4700 100,000 (\$426,600) COMMON STOCK. (FILE 333-83079 JUL. 16) (BR. 1)
- S-4 HOLLYWOOD CASINO CORP, TWO GALLERIA TOWER, 13455 NOEL RD LB 48, DALLAS, TX 75240 (972) 392-7777 360,000,000 (\$360,000,000) STRAIGHT BONDS. (FILE 333-83081 JUL. 16) (BR. 8)
- S-8 POWER INTEGRATIONS INC, 477 NORTH MATHILDA AVENUE, SUNNYVALE, CA 94086 (408) 523-9210 889,852 (\$54,360,995.30) COMMON STOCK. (FILE 333-83083 JUL 16) (BR 6)
- N-2 MARKET PARTICIPATION PRINCIPAL PROTECTION FUND INC,
 MERRILL LYNCH ASSET MANAGEMENT, P O. BOX 9011, PRINCETON, NJ 08543 100,000 (\$1,000,000) COMMON STOCK. (FILE 333-83085 JUL 16) (BR. 18)
- S-3 TYCO INTERNATIONAL LTD /BER/, THE GIBBONS BUILDING, 10 QUEENS STREET SUITE 301, HAMILTON HM 12 BERMU, D0 (441) 292-8674 -4,719,201 (\$462,924,123) COMMON STOCK (FILE 333-83087 - JUL. 16) (BR. 6)
- S-8 AVANIR PHARMACEUTICALS, 9393 TOWNE CENTRE DRIVE, SUITE 200, SAN DIEGO, CA 93121 (619) 558-0364 2,000,000 (\$1,740,234) COMMON STOCK. (FILE 333-83089 JUL 16) (BR 1)
- S-8 PROVIDENT AMERICAN CORP, 2500 DEKALB PIKE, NORRISTOWN, PA 19404 (610) 279-2500 130,000 (\$952,500) COMMON STOCK. (FILE 333-83091 JUL 16) (BR 1)
- S-1 SPINNAKER EXPLORATION CO, 1200 SMITH STREET SUITE 800, HOUSTON, TX 77002 (713) 759-1770 \$125,000,000 COMMON STOCK. (FILE 333-83093 JUL. 16) (BR 4 NEW ISSUE)
- S-8 KEITH COMPANIES INC, 2955 RED HILL AVENUE, COSTA MESA, CA 92626 (714) 668-7001 - 1,111,111 (\$7,698,234) COMMON STOCK. (FILE 333-83097 -JUL 16) (BR 6)
- S-1 BTI TELECOM CORP, 4300 SIX FORKS ROAD, RALIEGH, NC 27609 \$125,000,000 COMMON STOCK (FILE 333-83101 JUL. 16) (BR 7)
- S-8 ONLINE SYSTEM SERVICES INC, 1800 GLENARM PLACE, STE 800, DENVER, CO 80202 (303) 296-9200 2,800,000 (\$41,300,000) COMMON STOCK. (FILE 333-83103 JUL 16) (BR. 3)
- S-3 SABRATEK CORP, 8111 NORTH ST LOUIS AVENUE, SKOKIE, IL 60076 (847) 720-2400 937,500 (\$21,768,750) COMMON STOCK (FILE 333-83105 JUL 16) (BR. 5)
- S-3 AMERICAN WOODMARK CORP, 3102 SHAWNEE DR, WINCHESTER, VA 22601 (540) 665-9100 - 47,934 (\$1,712,154.50) COMMON STOCK. (FILE 333-83107 -JUL 16) (BR. 6)
- S-3 WMF GROUP LTD, 1593 SPRING HILL RD, STE 400, VIENNA, VA 22182 (703) 610-1400 - 250,000 (\$1,351,563) COMMON STOCK. (FILE 333-83109 - JUL 16) (BR. 8)
- S-1 HELLER FUNDING CORP, C/O HELLER FINANCIAL INC, 500 W MONROE ST, CHICAGO, IL 60661 (312) 441-7246 6,000,000 (\$6,000,000) STRAIGHT BONDS. (FILE 333-83111 JUL 16) (BR 8)

- S-4 UNITED COMMUNITY BANKS INC, P O BOX 398, 59 HIGHWAY 515, BLAIRSVILLE, GA 30512 (581) 807-3041 631,875 (\$6,318,750) COMMON STOCK. (FILE 333-83113 JUL 16) (BR. 7)
- S-8 VIGNETTE CORP, 901 SOUTH MOPAC EXPRESSWAY, SUITE 300, AUSTIN, TX 78746 (512) 502-0223 13,783 (\$239,686.37) COMMON STOCK. (FILE 333-83115 JUL 16) (BR. 3)
- S-4 PARK OHIO INDUSTRIES INC/OH, 23000 EUCLID AVE, CLEVELAND, OH 44117 (216) 692-7066 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 333-83117 JUL 19) (BR. 6)
- S-4 BIG FLOWER HOLDINGS INC/, 3 EAST 54TH ST, NEW YORK, NY 10022 (212) 521-1600 4,575,555 (\$3,145,007) PREFERRED STOCK. (FILE 333-83119 JUL. 16) (BR. 5)
- S-4 CADMUS COMMUNICATIONS CORP/NEW, 6620 W BROAD ST, STE 240, RICHMOND, VA 23230 (804) 287-5680 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 333-83121 JUL. 19) (BR. 5)
- S-3 TMP WORLDWIDE INC, 1633 BROADWAY, NEW YORK, NY 10019 (212) 977-4200 1,299,674 (\$79,929,951) COMMON STOCK. (FILE 333-83131 JUL 19) (BR. 2)
- S-4 EXPRESS SCRIPTS INC, 13900 REIVERPORT DRIVE, MARYLAND HEIGHTS, MO 63043 (314) 770-1666 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 333-83133 JUL 19) (BR 1)
- S-3 BEYOND COM CORP, 1195 W FREMONT AVENUE, SUITE 900, SUNNYVALE, CA 94087 (408) 616-4200 17,592,449 (\$499,735,505) COMMON STOCK (FILE 333-83135 JUL 19) (BR 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1 Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9 Regulation S Offerings

	STATE	8K ITEM	NO.	
NAME OF ISSUER	CODE 1 2	2 3 4 5 6	789	DATE COMMENT
AASCHE TRANSPORTATION SERVICES INC	DE	χ		07/09/99
ACXIOM CORP	DE	X	X	06/30/99
ADAPTIVE BROADBAND CORP	DE	X	X	06/17/99
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV		X	07/15/99
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-2	NV		X	07/15/99

NAME OF ISSUER	STATE CODE		2	8K ITEM 3 4 5 6		DATE COMMENT
ADVANTA AUTOMOBILE RECEIVABLES 1998	DE				X	07/15/99
AEI INCOME & GROWTH FUND XXII LTD P ARTNERSHIP	MN		X		X	07/09/99
AGRITOPE INC	OR			X	X	07/20/99
ALFA LEISURE INC	ΤX			X		07/21/99
ALLEN TEST CO REL TEST 550	MI	X				05/02/98
ALLEN TEST CO REL TEST 550	MI	X				05/02/98
ALLEN TEST CO REL TEST 550	MI	X		v	v	03/01/99 07/21/99
ALLIANCE FINANCIAL CORP /NY/	NY De			X X	X X	07/21/99
AMAZON COM INC AMERICA WEST AIRLINES INC	DE			â	^	07/21/99
AMERICA WEST HOLDINGS CORP	DE			x		07/21/99
AMERICAN ABSORBENTS NATURAL PRODUCT		X		^		07/06/99 AMEND
S INC	•	••				
AMERICAN BINGO & GAMING CORP	DE			X		07/02/99
AMERICAN RICE INC	ΤX		X			06/30/99
AMR CORP	DE	X				07/21/99
ANCOR COMMUNICATIONS INC /MN/	MN			X	X	07/21/99
ANSWERTHINK CONSULTING GROUP INC	FL		X		X	07/06/99
ARCADIA RECEIVABLES FINANCE CORP	DE				X	06/17/99
ASTA FUNDING INC	DE			X		06/30/99
ATLANTIC CITY ELECTRIC CO	NJ	.,		X	X	07/15/99
AURA SYSTEMS INC	DE	X		v	v	07/21/99
BALTIC INTERNATIONAL USA INC	TX		X		X X	07/12/99 07/20/99
BANDAG INC	IA DE			X X	X	07/21/99
BANK OF AMERICA MORTGAGE SECURITIES	UE			^	^	0//21/33
INC BANK ONE CORP	DE			χ	X	07/20/99
BAY VIEW CAPITAL CORP	DE			χÎ	^	06/22/99
BAY VIEW SECURITIZATION CORP	DE				X	07/15/99
BEAR STEARNS COMPANIES INC	DE			χ	X	07/21/99
BECTON DICKINSON & CO	NJ			X		07/22/99
BELL ATLANTIC CORP	DE			X	X	07/21/99
BELLSOUTH CORP	GA			X		07/21/99
BERKSHIRE REALTY CO INC /DE	DE			X	X	07/21/99
BERRY PLASTICS CORP	DE		X		X	07/06/99
BLACK & DECKER CORP	MD			X	X	07/21/99
BLAIR CORP	DE			X	X	07/20/99
BPC HOLDING CORP	DE		X		X	07/06/99
BRIGHT HORIZONS FAMILY SOLUTIONS IN C	DE			X		07/20/99
BRIGHT HORIZONS FAMILY SOLUTIONS IN C	ĐE			X		07/20/99
BROADCOM CORP	CA			X	X	07/18/99
BUFFALO CAPITAL VII LTD	CO	X	X		X	06/18/99 AMEND
CANADIAN DERIVATIVES CLEARING CORP				ΧΧ	X	06/03/99
CANCER TREATMENT HOLDINGS INC	NV			X	X	07/15/99
CAPSTAR BROADCASTING PARTNERS INC	DE	X			X	07/13/99
CAPSTAR COMMUNICATIONS INC	DE	X			X	07/13/99
CAPSTAR RADIO BROADCASTING PARTNERS INC	DE	X			X	07/13/99
CAROLINA POWER & LIGHT CO	NC			X	X	07/15/99
CASTLE GROUP INC	UT			, X		07/21/99
CENTRAL OIL CORP	CO			X	v	07/12/99
CHASE MANHATTAN CORP /DE/	DE			X	X	06/30/99
CHASE MORTGAGE FINANCE CORP	DE		J	X	X	07/22/99
CHEQUEMATE INTERNATIONAL INC	UT		X		X	07/08/99
CHUBB CORP	NJ De			X X	X	07/19/99 07/01/99
CIENA CORP	NE			^	^	01/01/23

CINCINNATI BELL INC /OH/		STATE			ITEM			
CINCINNATI BELL INC /OH/ CLARITI TELECOMUNICATIONS INTERNAT DO	NAME OF ISSUER	CODE	12	3 4	5 6	789 	DATE	COMMENT
CLARITI TELECOMUNICATIONS INTERNAT DE	CINCINNATI BELL INC /OH/	OH			X			
TOMAL LTD					X			
COASTAL CORP COASTAL CORP COASTAL CORP COASTAL CORP COHORD COASTAL CORP COHORD COASTAL CORP COHORD COMORD COLLEGIATE PACIFIC INC COA COLLEGIATE PACIFIC INC COA COLLEGIATE PACIFIC INC COA COMORD COMO		DΕ				X	07/21/	99 AMEND
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LEVEL 8 SYSTEMS INC LOCKHEED MARTIN CORP LUCAS EDUCATIONAL SYSTEMS INC DE	LAMAR MEDIA CORP/DE	DE		X	X	
LOCKHEED MARTIN CORP MD X X 07/20/99 LUCAS EDUCATIONAL SYSTEMS INC DE X X 06/28/99 MACE SECURITY INTERNATIONAL INC DE X X 07/09/99 MAGELLAN HEALTH SERVICES INC DE X X 07/19/99 MAIL WELL INC CO X 07/22/99 MALLINCKRODT INC /MO NY X 07/20/99 MATTEL INC /DE/ DE X X 07/22/99 MCM CAPITAL GROUP INC DE X X 07/22/99 MEDICAL INDUSTRIES OF AMERICA INC FL X 07/20/99 MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99	LEHMAN BROTHERS HOLDINGS INC					
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MACE SECURITY INTERNATIONAL INC DE X X 07/09/99 MAGELLAN HEALTH SERVICES INC DE X X 07/19/99 MAIL WELL INC CO X 07/22/99 MALLINCKRODT INC /MO NY X 07/20/99 MATTEL INC /DE/ DE X X 07/22/99 MCM CAPITAL GROUP INC DE X X 07/22/99 MEDICAL INDUSTRIES OF AMERICA INC FL X 07/20/99 MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99						
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MAIL WELL INC CO X 07/22/99 MALLINCKRODT INC /MO NY X 07/20/99 MATTEL INC /DE/ DE X X 07/22/99 MCM CAPITAL GROUP INC DE X X 07/22/99 MEDICAL INDUSTRIES OF AMERICA INC FL X 07/20/99 MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99			Х	v		
MALLINCKRODT INC /MO MATTEL INC /DE/ MCM CAPITAL GROUP INC MEDICAL INDUSTRIES OF AMERICA INC MEDICAL MANAGER CORP MELLON AUTO GRANTOR TRUST 1999-1 MELLON BANK CORP MALLINCKRODT INC MY X 07/20/99 X 07/21/99 X 07/21/99 X 07/20/99					X	
MATTEL INC /DE/ DE X X 07/22/99 MCM CAPITAL GROUP INC DE X X 07/22/99 MEDICAL INDUSTRIES OF AMERICA INC FL X 07/20/99 MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99						
MCM CAPITAL GROUP INC DE X X 07/22/99 MEDICAL INDUSTRIES OF AMERICA INC FL X 07/20/99 MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 MELLON BANK CORP PA X X 07/20/99					Y	
MEDICAL INDUSTRIES OF AMERICA INC FL X 07/20/99 MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99						
MEDICAL MANAGER CORP DE X X 07/21/99 MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99					^	
MELLON AUTO GRANTOR TRUST 1999-1 DE X X 07/15/99 MELLON BANK CORP PA X X 07/20/99					X	
MELLON BANK CORP PA X X 07/20/99						
			X			

	STATE			8K 1	TEM	NO.				
NAME OF ISSUER		1	2	3 4	5 6	7 8	9	DATE	CC	MHENT
MERRILL LYNCH & CO INC	DE					X				
METACREATIONS CORP	DE				X			06/30/		
METACREATIONS CORP	DE				X			07/12/		
MGC COMMUNICATIONS INC	NV				X	X		07/19/		
MINING SERVICES INTERNATIONAL CORP/	UT				X			05/19/		AMEND
NATIONAL ENVIRONMENTAL SERVICE CO			X		v	X		05/07/		AMEND
NATIONAL TRANSACTION NETWORK INC					X	X		07/20/		
NETGRAVITY INC	DE		v		X	X		07/21/		
NETWOLVES CORP	NY		X		U	X		07/07/	-	
NEW GENERATION PLASTIC INC /DE/					X	v		06/11/		
NEWCOURT RECEIVABLES CORP II	DE				X	X		07/16/		
NL INDUSTRIES INC	NJ		v		X	X		07/22/	-	
NN BALL & ROLLER INC	DE		X		X	X X		07/21/ 07/15/		
OAKWOOD MORTGAGE INVESTORS INC OMI	NC				X	X		0//15/	99	
TRUST 1999-B OAKWOOD MORTGAGE INVESTORS INC OMI	NC				χ	X		07/15/	99	
TRUST 1999-C										
OCCIDENTAL PETROLEUM CORP /DE/	DE				X			07/20/	99	
OLD KENT FINANCIAL CORP /MI/	MI				X	X		07/09/		
OMI TRUST 1998-D	PA				X	X		07/15/		
	-				X	X		07/15/		
ONE VALLEY BANCORP INC	WV DE				X	•		07/21/	-	
ORBITAL SCIENCES CORP /DE/	DE				•	X		05/07/		AMEND
	DE				X			07/20/		
PANIDA INC /DE/	DE				X			08/01/		
PARAGON AUTO RECEIVABLES CORP	DE				X	X		07/15/		
PEOPLES BANCORP INC	OH	X				••		07/21/		
PEOPLES BANK CREDIT CARD MASTER TRU					X			06/30/		
PEOPLES BANK CREDIT CARD MASTER TRU	CT				X			06/30/	99	
PEOPLES FINANCIAL SERVICES CORP/	PA		X					07/22/	99	
PFIZER INC	DE		•		X			07/21/		
PMI GROUP INC	DE				X			07/21/	-	
PNC BANK CORP	PA				X	X		07/21/		
PRINCETON NATIONAL BANCORP INC	DE				X	X		07/19/		
PROCOM TECHNOLOGY INC	CA			X				07/13/		AMEND
PSINET INC	NY			^	X	X		07/16/		
PUBLIC SERVICE CO OF COLORADO	CO				X	X		07/13/		
PUBLIC SERVICE ELECTRIC & GAS CO	NJ				X	X		07/20/		
PUBLIC SERVICE ENTERPRISE GROUP INC					X	X		07/20/		
OUALITY STORES INC	DE				••	X		05/07/		AMEND
QUINTILES TRANSNATIONAL CORP	NC				X	X		07/21/		
RACOM SYSTEMS INC	DE				X	X		07/20/	99	
RAMTRON INTERNATIONAL CORP	DE				X	•••		07/20/		
RESCON TECHNOLOGY CORP	WY			X	•			06/25/		
ROBOTIC VISION SYSTEMS INC	DE			•	X	X		07/23/		
SABRATEK CORP	DE		χ		^	x		07/09/		
SAC TECHNOLOGIES INC	MN		^		χ	X		07/09/		
SEARS ROEBUCK & CO	NY				Ŷ	Ŷ		07/22/		
SEQUENT COMPUTER SYSTEMS INC /OR/	OR.				χ	χ		07/12/		
SIMTEK CORP	CO				Ŷ	^		07/20/		
SKYLYNX COMMUNICATIONS INC	CO				^	X		04/28/		
SMITHFIELD FOODS INC	VA		χ			X		05/07/		
SOUTHWEST GAS CORP	CA		۸		X	^		07/21/		A ILIID
SPAR GROUP INC	DE	X	v		^	X		07/21/	-	
SPRINT CORP	KS	^	^		X	^		07/21/		
STANLEY WORKS	CT				X	X		07/21/		
STARWOOD HOTEL & RESORTS WORLDWIDE	MD				X	X		07/21/		
INC STARWOOD HOTELS & RESORTS	MD				X	X		07/19/	99	

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NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	C	HMENT
STATEWIDE FINANCIAL CORP					•	X		•			12/31/		
STERLING COMMERCE INC	DE					X		X			07/22/		
STOCKHALK COM GROUP INC			X			X					07/07/	99	
STOCKWALK COM GROUP INC STRUTHERS INDUSTRIES INC	DE			X				••			06/30/	99	
SUPERVALU INC	DE							X			07/21/	99	
	NV					X		X			07/09/	99	
	NV					X					07/19/	99	
	FL				X						07/01/	99	
	WA					X		X			05/21/	99	
TEREX CORP	DE	X									07/19/	99	
TOUPS TECHNOLOGY LICENSING INC /FL	FL		X								10/05/	98	amend
TOYOTA MOTOR CREDIT RECEIVABLES COR						X		X			07/22/	99	
TRITON PCS INC					X			X			07/16/	99	
TYCO INTERNATIONAL LTD /BER/					•	X		X			07/20/		
U S WIRELESS DATA INC	CO		X			X		X			08/03/	98	
UACSC 1998-C AUTO TRUST	NY					X					07/08/	99	
UACSC 1998-D AUTO TRUST	NY					X					07/08/	99	
UACSC 1999 A AUTO TRUST	DE					X		X			07/08/	99	
UACSC 1999-B OWNER TR AUTO REC BK N						X		X			07/08/	99	
OTES													
UNITED INTERNATIONAL HOLDINGS INC	DE					X					07/06/	99	
UNIVERSAL BANK NA	DE	X									06/30/	99	
US AIRWAYS GROUP INC	DE					X		X			07/21/	99	
US AIRWAYS INC	DE					X		X			07/21/	99	
VECTOR AEROMOTIVE CORP	NV					X					07/21/	99	
VERSANT CORP	CA					X		X			07/19/	99	
VISTANA INC	FL					X		X			07/18/	99	
VOLKSWAGEN CREDIT AUTO RECEIVABLES CORP	DE					X		X			07/15/	99	
WATKINS JOHNSON CO	CA		χ								06/21/	99	
WATKINS JOHNSON CO	CA							X			06/21/	99	AMEND
WEATHERFORD INTERNATIONAL INC /NEW/	DE					X		X			07/21/	99	
WERNER ENTERPRISES INC	NE					X		X			07/16/	99	
WESTERN BANCORP	CA					X					07/13/	99	
WESTERN RESOURCES INC /KS	KS					X					07/22/	99	
3D0 C0	DE					X					07/21/	99	