SEC NEWS DIGEST

Issue 99-164

August 25, 1999

ENFORCEMENT PROCEEDINGS

M'BODJ BARRED

The Commission announced the entry of an Order instituting public administrative proceedings against Mamadou M. M'Bodj (M'Bodj), based on his previous criminal conviction for the misappropriation of \$10 million of client funds. M'Bodj consented to the entry of the Order without admitting or denying the Commission's findings, except as to jurisdiction and his prior criminal conviction, which were admitted. The Order finds that in April 1998, M'Bodj was convicted of one count of wire fraud in the United States District Court for the Northern District of Ohio. The criminal information upon which M'Bodj 's criminal conviction was based alleged that from August 1993, through August 1997, M'Bodj, as president and chief executive officer of Chase Global Securities, Inc., engaged in a scheme to defraud one of Chase Global's customers and obtained money from that customer by fraudulent representations. The information further alleged that M'Bodj and Chase Global entered into a Client Services Agreement with that customer, pursuant to which M'Bodj was to invest \$10 million of the customer's funds. The information further alleged that contrary to his representations to the customer, M'Bodj used the funds for his own personal expenditures. The Order bars M'Bodj from association with any broker or dealer. (Rel. 34-41784; File No. 3-9983)

SETTLEMENT OFFER OF CARL RUZICKA, CPA ACCEPTED

The Commission, by consent, entered an Order Instituting Public Administrative Proceedings pursuant to Rule 102(e) of the Commission's Rules of Practice against Carl F. Ruzicka. The Order denied Ruzicka the privilege of appearing or practicing before the Commission as an accountant, with the right to reapply to the Commission after three years.

The Order stated that between May 1996 and December 1997, the president of Chicago Partnership Board (CPB), diverted more than \$3.5 million in customer funds for personal and other uses. As a result of the diversions of funds, CPB failed to maintain a sufficient sum in its special reserve account and had a net capital deficiency. The Order further stated that Ruzicka engaged in

improper professional conduct under Rule 102(e)(1)(ii) of the Commission's Rules of Practice, by auditing the financial statements of CPB for the period ended December 31, 1996 in a reckless manner, and by issuing a report containing an unqualified opinion that his firm had conducted its audit in accordance with Generally Accepted Auditing Standards and that CPB's financial statements conformed with Generally Accepted Accounting Principles, when in fact they did not. Ruzicka failed to obtain proper training to conduct the audit, failed to plan the audit adequately and failed to exercise For example, Ruzicka failed to conduct professional due care. effective tests of disbursements from CPB's customer account, failed to analyze disbursements from the customer account to CPB's president, failed to investigate the reason for a \$2.4 million overdraft in the customer account at the end of 1996, failed to confirm customer account balances, and failed to investigate Frith's treatment of month-end net capital deficiencies at CPB. (Rel. 34-41785; AAE Rel. 1155; File No. 3-9984)

INVESTMENT COMPANY ACT RELEASES

INTERNET CAPITAL GROUP, INC.

An order has been issued on an application filed by Internet Capital Group, Inc. under Section 3(b)(2) of the Investment Company Act declaring applicant to be primarily engaged in a business other than that of investing, reinvesting, owning, holding or trading in securities. (Rel. IC-23961 - August 23)

THE VICTORY PORTFOLIOS, ET AL.

A notice has been issued giving interested persons until September 20, 1999, to request a hearing on an application filed by the Victory Portfolios, et al. to amend a prior order that permits non-money market series of a registered open-end management investment company to purchase shares of one or more of the money market series of such registered investment company by adding a registered open-end management investment company and an investment adviser as applicants. (Rel. IC-23962 - August 23)

HSBC SECURITIES (USA) INC., ET AL.

A notice has been issued giving interested persons until September 13, 1999, to request a hearing on an application filed by HSBC Securities (USA) Inc. (HSBC Securities) and HSBC Holdings plc (HSBC Holdings) for an order under (i) Section 12(d)(1)(J) of the Investment Company Act exempting HSBC Capital Funding Trusts for which HSBC Securities will serve as a principal underwriter (Trusts) from Section 12(d)(1) of the Act, (ii) Section 6(c) of the Act exempting the Trusts from Sections 12(d)(3) and 14(a) of the Act, and (iii) Section 17(b) of the Act exempting HSBC Securities, HSBC Holdings, and the Trusts from Section 17(a) of the Act. The

requested order would (i) permit other registered investment companies to own a greater percentage of the total outstanding voting stock (Securities) of each Trust than that permitted by Section 12(d)(1), (ii) exempt the Trusts from the initial net worth requirements of Section 14(a), and (iii) permit the Trusts to purchase certain securities from HSBC Securities, HSBC Holdings or their affiliates at the time of a Trust's initial issuance of Securities. (Rel. IC-23963 - August 23)

HOLDINGS COMPANY ACT RELEASES

COLUMBIA ENERGY GROUP

An order has been issued authorizing a proposal by Columbia Energy Group (Columbia), a registered holding company. Columbia requests authority to factor accounts receivable, through subsidiary companies, for associate and nonassociate companies. (Rel. 35-27064)

EASTERN EDISON COMPANY

An order has been issued authorizing Eastern Edison Company (EEC), an electric public utility subsidiary company of Eastern Utilities Associates, a registered holding company, to guarantee certain obligations incurred by Montaup Electric Company (MEC), an electric public utility subsidiary company of EEC, in connection with MEC's qualified sale of certain power purchase agreements. (Rel. 35-27065)

EASTERN UTILITIES ASSOCIATES

A notice has been issued giving interested persons until September 21, 1999, to request a hearing on a proposal by Eastern Utilities Associates (EUA), a registered holding company, Eastern Edison Company (Eastern Edison), an electric utility subsidiary of EUA, and Montaup Electric Company (Montaup), a nonutility subsidiary of Eastern Edison, for: (1) Eastern Edison to transfer all of Montaup's securities to EUA by means of a special dividend payment to EUA; (2) EUA to acquire all of Montaup's securities; (3) Eastern Edison to redeem its common stock, to be funded with Montaup's securities; and (4) Montaup to amend its corporate charter to eliminate its status as a Section 9A company under Chapter 164 of the Massachusetts General Laws so that its ability to transmit and sell electricity will not be tied to its sole shareholder. (Rel. 35-27066)

CINERGY CORP., ET AL. NEW CENTURY ENERGIES, INC., ET AL.

A notice has been issued giving interested persons until September 21, 1999, to request a hearing on a proposal by Cinergy Corp., a registered holding company, New Century Energies, Inc., a registered

holding company, and their jointly owned nonutility subsidiary, Cadence Network LLC (Cadence). Cadence proposes to offer certain of its present energy-related services to customers outside the United States. Cadence also proposes to expand its energy-related businesses and offer certain of the expanded services to customers outside the United States. (Rel. 35-27066)

NSTAR

An order has been issued authorizing NSTAR, a company not presently subject to the Public Utility Holding Company Act of 1935, as amended (Act), to acquire all of the outstanding voting securities of BEC Energy and Commonwealth Energy System (COM Energy), each a Massachusetts business trust and public utility holding company exempt from registration under Section 3(a)(1) of the Act from all provisions of the Act, except Section 9(a)(2). The order also grants NSTAR an exemption under Section 3(a)(1) from all of the provisions of the Act, except Section 9(a)(2), upon consummation of the proposed transactions. (Rel. 35-27067)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF FILING OF FIFTH CHARGES AMENDMENT TO THE SECOND RESTATEMENT OF THE CONSOLIDATED TAPE ASSOCIATION PLAN AND FOURTH CHARGES AMENDMENT TO THE RESTATED CONSOLIDATED QUOTATION PLAN

On August 2, pursuant to Rule 11Aa3-2(c)(1) of the Securities Exchange Act of 1934, the Consolidated Tape Association and the Consolidated Quotation Plan submitted amendments to the Plans (SR-CTA/CQ-99-02) which propose (1) to modify the fees payable by vendors of the Network B market information in respect of nonprofessional subscriber services, (2) to introduce pay-for-use rates into the Network B rate schedules following a pilot test that commenced in February 1997, and (3) to grant each vendor of a pay-for-use service the ability to limit its monthly pay-for-use obligation in respect of each of its customers that qualifies as a nonprofessional subscriber. Publication of the order in the Federal Register is expected during the week of August 23. (Rel. 34-41767)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The <u>Emerging Markets Clearing Corporation</u> filed a proposed rule change (SR-EMCC-99-09) under Section 19(b)(1) of the Exchange Act. The proposed rule change modifies EMCC's Year 2000 policies. The Commission approved the proposed rule change on an accelerated basis. Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 23. (Rel. 34-41770)

DELISTINGS GRANTED

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration Saba Petroleum Company, 9% Convertible Senior Subordinated Debentures, due December 15, 2005. (Rel. 34-41778)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Borg-Warner Security Corporation, 9-5/8% Senior Subordinated Notes, due May 15, 2007. (Rel. 34-41779)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-4 PXRE GROUP LTD, CLARENDON HOUSE, 2 CHURCH STREET MAILTON HM CX, BERMUDA, 13,675,000 (\$44,888,489) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-85451 AUG. 18) (BR. 9 NEW ISSUE)
- S-8 VALLEY RIDGE FINANCIAL CORP, 6 NORTH MAIN ST, KENT CITY, MI 49330 (616) 678-5911 30,000 (\$689,700) COMMON STOCK. (FILE 333-85453 AUG. 18) (BR. 7)
- S-8 VASOMEDICAL INC, 180 LINDEN AVENUE, STE 408, WESTBURY, NY 11590 (516) 997-4600 1,000,000 (\$1,406,500) COMMON STOCK. (FILE 333-85455 AUG. 18) (BR. 5)
- S-8 VASOMEDICAL INC, 180 LINDEN AVENUE, STE 408, WESTBURY, NY 11590 (516) 997-4600 2,000,000 (\$2,813,000) COMMON STOCK (FILE 333-85457 AUG. 18) (BR. 5)
- S-1 AQUA CLARA BOTTLING & DISTRIBUTION INC, 1315 CLEVELAND STREET, CLEARWATER, FL 33755 (813) 548-7105 17,438,972 (\$7,183,640.50) COMMON STOCK. (FILE 333-85461 AUG. 18) (BR. 9)
- S-1 FASTNET CORP, TWO COURTNEY PLACE STE 130, 3864 COURTNEY ST, BETHLEHEM, PA 18017 (610) 266-6700 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 333-85465 AUG. 18) (BR. 8 NEW ISSUE)
- SB-1 INSIDER TRAVEL DEALS COM INC, 5252 NORTH EDGEWOOD DR, STE 210, PROVO, UT 84604 (801) 222-9414 4,000,000 (\$200,000) COMMON STOCK. (FILE 333-85467 AUG. 18) (BR. 9 NEW ISSUE)
- S-8 DELTEK SYSTEMS INC, 8280 GREENSBORO DR, MCLEAN, VA 22102 (703) 704-8606 - 4,200,000 (\$16,800,000) COMMON STOCK. (FILE 333-85471 - AUG. 18) (BR. 3)

- S-8 DELTEK SYSTEMS INC, 8280 GREENSBORO DR, MCLEAN, VA 22102 (703) 704-8606 4,230 (\$59,220) COMMON STOCK. (FILE 333-85473 AUG. 18) (BR. 3)
- S-8 SECURITY DYNAMICS TECHNOLOGIES INC /DE/, 36 CROSBY DRIVE, BEDFORD, MA 01730 (617) 687-7000 - 294,400 (\$5,455,600) COMMON STOCK. (FILE 333-85475 - AUG. 18) (BR. 3)
- 5-8 EARTHLINK NETWORK INC /DE/, 3100 NEW YORK DR, PASADENA, CA 91107 2,000,000 (\$96,625,000) COMMON STOCK. (FILE 333-85477 AUG. 18) (BR. 3)
- SB-2 TRAINING DEVICES INTERNATIONAL INC, 7367 S REVERE PARKWAY, BLDG #2-C, ENGLEWOOD, CO 80112 (303) 792-3792 2,300,000 (\$23,000,000) COMMON STOCK. 200,000 WARRANTS, OPTIONS OR RIGHTS. 200,000 (\$2,400,000) COMMON STOCK. (FILE 333-85479 AUG. 18) (NEW ISSUE)
- S-1 EMED TECHNOLOGIES CORP, 25 HARTWELL AVENUE, LEXINGTON, MA 02421 (781) 862-0000 57,500,000 (\$57,500,000) COMMON STOCK. (FILE 333-85481 AUG. 18) (BR. 36 NEW ISSUE)
- S-2 MEDIX RESOURCES INC, 7100 E BELLEVIEW, SUITE 301, ENGLEWOOD, CO 80111 (303) 741-4828 6,572,000 (\$1,774,440) COMMON STOCK. (FILE 333-85483 AUG. 18) (BR 8)
- S-8 SEAGRAM CO LTD, 1430 PEEL ST, H3A 1S9, MONTREAL QUEBEC CANA, A8 (514) 849-5271 25,200,000 (\$1,254,960,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-85485 AUG. 18) (BR 2)
- S-8 GENERAL INSTRUMENT CORP, 101 TOURNAMENT DRIVE, HORSHAM, PA 19044
 (215) 323-1000 15,000,000 (\$659,062,500) COMMON STOCK. (FILE 333-85487 AUG. 18) (BR. 7)
- S-8 XOOM INC, 300 MONTGOMERY STREET, SUITE 300, SAN FRANCISCO, CA 94104 (415) 445-2525 130,571 (\$920,913.25) COMMON STOCK. (FILE 333-85489 AUG. 18) (BR. 2)
- S-8 ACTIVE SOFTWARE INC, 3333 OCTAVIUS DR, SANTA CLARA, CA 95054
 (408) 988-0414 9,246,059 (\$75,025,336 60) COMMON STOCK. (FILE 333-85491
 AUG. 18) (BR. 3)
- S-8 PROVANTAGE HEALTH SERVICES INC, 13555 BISHOPS COURT, SUITE 201, BROOKFIELD, WI 53005 (414) 784-4600 - 1,750,000 (\$21,385,000) COMMON STOCK (FILE 333-85495 - AUG. 18) (BR. 1)
- S-3 DOLLAR TREE STORES INC, 500 VOLVO PARKWAY, NORFOLK COMMERCE PARK, CHESAPEAKE, VA 23320 (757) 321-5000 501,600 (\$19,171,152) COMMON STOCK. (FILE 333-85501 AUG. 18) (BR. 2)
- S-4 TELECOMUNICACIONES DE PUERTO RICO INC, 1500 FRANKLIN D ROOSEVELT AVENUE, GUAYNABO, PR 00968 (787) 792-2297 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 333-85503 AUG. 18) (NEW ISSUE)
- S-1 CROSSROADS SYSTEMS INC, 9390 RESEARCH BOULEVARD SUITE II-300, AUSTIN, TX 78759 (512) 349-0300 46,000,000 (\$46,000,000) COMMON STOCK. (FILE 333-85505 AUG 18) (NEW ISSUE)
- S-3 INTELLICELL CORP, 9314 ETON AVENUE, CHATSWORTH, CA 91331 (818) 906-7777 5,140,258 (\$24,775,296) COMMON STOCK. (FILE 333-85507 AUG. 18) (BR. 5)
- S-8 TECH DATA CORP, 5350 TECH DATA DR, CLEARWATER, FL 33760 (727) 539-7429 500,000 (\$16,250,000) COMMON STOCK. (FILE 333-85509 AUG. 18) (BR. 3)
- S-3 CNET INC /DE, 150 CHESTNUT ST, SAN FRANCISCO, CA 94111 (415) 395-7800 253,491 (\$8,223,248.04) COMMON STOCK. (FILE 333-85513 AUG. 18) (BR. 5)
- S-1 WIRELESS FACILITIES INC, 9805 SCRANTON RD, SUITE 100, SAN DIEGO, CA 92121 (619) 824-2929 - 70,000,000 (\$70,000,000) COMMON STOCK. (FILE 333-85515 - AUG. 18)
- S-8 WINK COMMUNICATIONS INC, 1001 MARINA VILLAGE PARKWAY, ALAMEDA, CA 94501 (510) 337-2950 7,113,265 (\$70,352,018.70) COMMON STOCK. (FILE 333-85517 AUG. 18) (BR. 7)

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- S-1 SPANISH BROADCASTING SYSTEM INC, 26 WEST 56TH ST, NEW YORK, NY 10019 (212) 541-9200 235,000,000 (\$235,000,000) STRAIGHT BONDS. (FILE 333-85519 AUG. 18) (BR. 7)
- S-8 MERKERT AMERICAN CORP, 490 TURNPIKE STREET, CANTON, MA 02021 (781) 828-4800 - 1,847,762 (\$16,425,450.24) COMMON STOCK. (FILE 333-85523 - AUG. 18) (BR. 4)
- S-1 ALTIVA FINANCIAL CORP, 1000 PARKWOOD CIRCLE, SUITE 500, ATLANTA, GA 30339 (707) 952-6700 - 600,000 (\$2,700,000) COMMON STOCK (FILE 333-85525 - AUG. 18) (BR. 7)
- S-4 VLASIC FOODS INTERNATIONAL INC, SIX EXECUTIVE CAMPUS, VLASIC PLAZA, CHERRY HILL, NJ 08002 (856) 969-7100 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 333-85527 AUG. 18) (BR. 4)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cyww.sec.gov>.

NAME OF ISSUER			BK ITEN 3 4 5 6		9 DATE COMMENT
ADAIR INTERNATIONAL OIL & GAS INC	ТX		х		07/02/99
ADINA INC	DE		x		08/24/99
ADVANTA MORTGAGE LOAN TRUST 1999-3	NV	х		Х	08/24/99
ALLIED HEALTHCARE PRODUCTS INC	DE		x		08/24/99
ALPINE GROUP INC /DE/	DE	x		X	08/23/99
AMERICAN EXPRESS CENTURION BANK	UT		X	X	08/16/99 AMEND
AMERICAN LAWYER MEDIA HOLDINGS INC	DE		Х	X	07/27/99
AMERICAN LAWYER MEDIA INC	DE		Х	X	07/27/99
AMERICREDIT FINANCIAL SERVICES INC	DE		Х	X	09/16/99
AMERINET GROUP COM INC	DE	x			08/23/99
ANTIGUA FUNDING CORP	DE		х		08/06/99
ANTIGUA FUNDING CORP	DE		х		08/06/99
AQUA ALLIANCE INC	DE	х		X	08/23/99
ARIS INDUSTRIES INC	NY	x		X	04/19/99
ASCENT ENTERTAINMENT GROUP INC	DE		x		08/21/99
ASHTON TECHNOLOGY GROUP INC	DE		X	X	08/18/99
BANC ONE AUTO GRANTOR TRUST 1997-B	NY		x	X	08/20/99
BANC ONE CREDIT CARD MASTER TRUST	NY		х	X	08/16/99
BANK ONE TEXAS NATIONAL ASSOCIATION			Х	X	08/20/99
BARGO ENERGY CO	UT		х	X	08/17/99
BARRETT RESOURCES CORP	DE		x	X	08/20/99

	STATE			8k		ITEM	NO.			
NAME OF ISSUER	CODE	1	2	3	4	5 6	7 8	9	DATE	COMMENT
•••••		· ·	· - ·	·	•	• • • •		٠.		• • • • • • •
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE						x		08/16/9	9
BINDLEY WESTERN INDUSTRIES INC	IN					х			06/11/9	9
BIOCONTROL TECHNOLOGY INC	PA					X			08/24/9	9
BPI PACKAGING TECHNOLOGIES INC	DE					X			08/19/9	9
BRIDGEPORT MACHINES INC	DE	Х					X		08/19/9	9
BUTLER NATIONAL CORP	DE				X		x		08/23/9	9 AMEND
CALIFORNIA CULINARY ACADEMY INC	CA				X		x		08/18/9	9
CAPITA EQUIPMENT RECEIVABLES TRUST 1996-1	NY					X			08/06/9	9
CAPITAL GAMING INTERNATIONAL INC /N	ŊĴ				X		X		08/20/9	8
CAREINSITE INC	DE					x			08/24/9	9
CAROLINA POWER & LIGHT CO	NC					х	х		08/22/9	
CASE RECEIVABLES II INC	DE					х	х		08/15/9	9
CELOX LABORATORIES INC	MN				x		x		08/24/9	9 AMEND
CENTURA BANKS INC	NC					x	х		08/22/9	9
CENTURY INDUSTRIES INC /DC/	DC				X				08/23/9	9
CHASE MORTGAGE FINANCE CORP	DE					X	x		08/25/9	9
CHECKERS DRIVE IN RESTAURANTS INC /	DE		X				X		08/09/9	9
CHESAPEAKE UTILITIES CORP	DE					x	x		08/20/9	9
CIBER INC	DE		х			••	x		02/26/9	
CITICORP MORTGAGE SECURITIES INC	DE		x						08/23/9	
CNA SURETY CORP	DE		•			х	х		08/23/9	
COLLINS & AIKMAN FLOOR COVERINGS IN	DE					x	x		08/03/9	
C									,, -	-
COMMERCIAL MORTGAGE PASS THROUGH CE	NC						х		08/17/9	9
RTIFICATES SERIES 1999 C2									00/15/0	_
COMMERCIAL MORTGAGE PASS THRU CERT SERIES 1999 C1	DE						х		08/16/9	9
COMMODORE APPLIED TECHNOLOGIES INC	DE				X		Х		08/19/9	9
COMMODORE ENVIRONMENTAL SERVICES IN C /DE/	DE				X		X		08/19/9	9
COMMODORE SEPARATION TECHNOLOGIES I	DE			:	X		Х		08/19/9	9
COMPASS BANCSHARES INC	DE				x				08/16/9	9
COMPUTERIZED THERMAL IMAGING INC	NV				X		х		08/17/9	9
CONCAP INC	TX				X				08/15/9	AMEND
CONCORD FABRICS INC	DE	Х					х		07/29/9	9
CONSOLIDATED CAPITAL OF NORTH AMERICA INC	co			X :	X	х			08/20/9	9
CONTINENTAL HERITAGE CORP	DE	х							08/05/99	9
CORAM HEALTHCARE CORP	DE					x	x		08/20/9	
COVEST BANCSHARES INC	DE					x	х		08/24/99	•
COX COMMUNICATIONS INC /DE/	DE					x			08/12/99	
CPX CORP	DE	:	x						03/31/99	
CROMPTON & KNOWLES CORP	MA					x	x		08/24/99	•
CRONOS GLOBAL INCOME FUND XIV L P	CA			2	ĸ		х		08/13/99	AMEND
CRONOS GLOBAL INCOME FUND XV LP	CA			2	ĸ		x		08/13/99	AMEND
CRONOS GLOBAL INCOME FUND XVI LP	CA			,	K		X		08/13/99	AMEND
CRONOS GROUP	N4			2	K				08/13/99	AMEND
CWABS INC	DE				:	X	X		08/19/99)
CYBERCASH INC	DE					X	X		08/19/99)
DATAMETRICS CORP	DE					X	X		07/22/99)
DIGITAL VIDEO SYSTEMS INC	DE						X		06/30/99	AMEND
DISTINCTIVE DEVICES INC	NY	x							08/10/99)
DISTINCTIVE DEVICES INC	NY	X							08/10/99)
DLJ COMMERCIAL MORT COMM PASS THR C ER SER 1999-CG2	DE						x		08/10/99)

	STATE			8k	()	TEM	NC).			
NAME OF ISSUER	CODE	1	2	3	4	5 6	7	8 9	}	DATE	COMMENT
DLJ COMMERICAL MORT COMM MORT PASS	DE	•••		• • •		•	 x			8/10/9	• • • • • • • • • • • • • • • • • • •
THR CER SER 1999-CG1											
DOT HILL SYSTEMS CORP	NY					х			0	8/16/9	9
EL PASO ENERGY CORP/DE	DE					X	X		0	8/24/9	9
ELECTRIC LIGHTWAVE INC	DE					X			0	8/24/9	9
ELECTROSOURCE INC	DE	X							0	8/23/9	9
ENTERTAINMENT INC	DE					X			0	8/06/9	9
ENVIRONMENTAL REMEDIATION HOLDING C	CO	X							0	8/24/9	9
ORP											
ERLY INDUSTRIES INC	CA		X	X	X		Х			8/09/9	
ESKIMO PIE CORP	VA					X	X		0	8/23/9	9
EUROWEB INTERNATIONAL CORP	DE		X				X		0	3/31/9	9 AMEND
FCC NATIONAL BANK						X	Х			8/10/9	
FEI CO	OR		X				X		0	8/16/9	9
FIRST CHICAGO MASTER TRUST II	DE					Х	Х		0	8/10/9	9
FIRST NATIONAL BANK OF ATLANTA						X	Х		0	7/31/9	9
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC						X		0	8/17/9	9
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC						X		0	8/18/9	9
FIRST UNION DIRECT BANK N A						Х	х		0	8/16/9	9
FIRST UNION MASTER CREDIT CARD TRUS						X	X		0	8/16/9	9
T											
FLORIDA POWER CORP /	FL					Х	Х		0	8/23/9	9
FLORIDA PROGRESS CORP	FL					X	X		0	8/23/9	9
FPIC INSURANCE GROUP INC	FL					x	X		0	8/23/9	9
FRANKLIN RECEIVABLES LLC	DE		X						0.	7/31/9	9
GE CAPITAL MORTGAGE SERVICES INC	ŊJ					X	X		0	8/19/9	9
GENERAL MOTORS CORP	DE						X		0	6/24/9	9
GEOWORKS /CA/	CA					X	Х		01	8/20/9	9
GHS INC	DE						Х		0!	5/27/9	9 AMEND
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE						Х		01	3/16/9	9
GOLD BANC CORP INC	KS					X	х		08	3/24/9)
GREEN TREE FINANCIAL CORP	DE					X	х		08	3/16/99	•
GREEN TREE FINANCIAL CORP	DE					x	х		08	3/16/99	•
GREEN TREE FINANCIAL CORP	DE						x		08	3/19/9	9
GREEN TREE FLOORPLAN RECIEVABLES MA	MN					X	X		08	3/16/99	€
STER TRUST											
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					Х	Х		08	3/16/99	9
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	Х		08	3/16/99)
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	x		08	3/16/99	•
GROUP 1 AUTOMOTIVE INC	DE					X	X		08	3/16/99)
GULF POWER CO	ME					X	Х		08	3/17/99)
GULFMARK OFFSHORE INC	DE	2	K						06	/30/99)
HARBOR TOWN HOLDING GROUP I INC	FL	X					X		10	/12/98	}
HARBOR TOWN HOLDING GROUP I INC	FL	X				x	X		07	7/30/99)
HARVARD INDUSTRIES INC	DE				2	ĸ			08	/23/99)
HEILIG MEYERS CO	VA						X		07	/13/99	AMEND
HEILIG MEYERS CO	VA	3	<				х		08	/06/99)
HERTZ CORP	DE				2	X	x		08	/12/99)
HONDA AUTO RECEIVABLES 1997-A GRANT OR TRUST	CA				2	K			07	//31/99	•
HONDA AUTO RECEIVABLES 1997-B GRANT OR TRUST	CA				2	К			07	//31/99	•
IAT MULTIMEDIA INC	DE)	(0.8	/10/99	AMEND
IEA INCOME FUND IX L P	CA			2	ĸ		х				AMEND
IEA INCOME FUND VI	CA			2			x				AMEND

	STATE							NO.				
NAME OF ISSUER	CODE	1	2	3	4	5	6	7 8	9	DATE	C	DMMENT
		 .								• • • • • • • • • • • • • • • • • • •		
IEA INCOME FUND VII	CA				x			X		08/13/9	99	AMEND
IEA INCOME FUND VIII	CA				X			X		08/13/9	99	AMEND
IEA INCOME FUND X LP	CA				X			X		08/13/9	99	AMEND
IEA INCOME FUND XI LP	CA				X			X		08/13/9	99	AMEND
IEA INCOME FUND XII LP	CA				X			X		08/13/9	99	AMEND
INLAND RESOURCES INC	WA					X		X		08/24/9	99	
INLAND RETAIL REAL ESTATE TRUST INC	MD					x				08/11/9	99	
INSURANCE MANAGEMENT SOLUTIONS GROU P INC	FL					X		X		08/19/9	99	
INTERNATIONAL SEMICONDUCTOR CORP	NV	¥	х					x		08/23/9		
INVU INC	co	^	Λ					X		08/31/9		AMEND
LABONE INC/	MO		х					••		08/10/9		711111111111111111111111111111111111111
LCA VISION INC	DE	х								08/23/9		
LEARN2 COM INC	DE					х		х		06/01/9		
LEXON TECHNOLOGIES INC	DE					X		••		08/10/9		
LITCHFIELD FINANCIAL CORP /MA	MΑ					x				08/24/9		
LORAL ORION INC	DE					х		x		08/18/9		
LORAL SPACE & COMMUNICATIONS LTD	D0					Х		x		08/18/9		
MAIL COM INC	DE		х					х		08/23/9		
MARKER INTERNATIONAL	UT			х				x		08/19/9		
MEDICAL MANAGER CORP/NEW/	DE					х				08/24/9		
MERRILL LYNCH MORTGAGE INVESTORS IN						x		х		08/23/9		
c												
MESSAGEMEDIA INC	DE		Х					Х		08/20/9		
METRO GLOBAL MEDIA INC	DE				X					08/23/9		AMEND
MORGAN STANLEY CAPITAL I INC COM MO R PA TH CER SER 1999-WF1	DE							X		08/16/9	9	
MORGAN STANLEY CAPITAL I INC DEPOSI TOR FOR SERIES 1999-FNV1	DE							x		08/17/9	9	
N TANDEM TRUST	Ca							x		06/20/0		AMENTO
NATIONAL OILWELL INC	CA					v		^		06/30/9		AMEND
NATIONAL OILWELL INC	DE DE					X		x		08/24/9		
PASS THR CERT SER 1999-1	DE							^		08/20/9	'>	
NETWORK EVENT THEATER INC	DE							x		06/00/0		BMENT
NEW ERA OF NETWORKS INC			v					X		06/09/9		
NEWCOURT RECEIVABLES CORP II	DE DE		X			х		X		06/09/9 08/18/9		AMEND
NISSAN AUTO RECEIVABLES CORP /DE	DE					X		X		08/23/9		
NORWEST INTEGRATED STRUCTURED ASSET	DE					x		X		08/23/9		
S INC	DE					Λ.	•	^				
NOVEX SYSTEMS INTERNATIONAL INC	NY		Х							08/13/9		
OCWEN ASSET INVESTMENT CORP	VA					Х		X		08/23/9		
OEA INC /DE/	DE					X		X		08/23/9		
OMEGA ENVIRONMENTAL INC	DE			X		X		X		08/23/9		
ONYX ACCEPTANCE FINANCIAL CORP	DE					X		X		08/23/9		
ORTHOLOGIC CORP	DE					Х	-	X		06/30/9		
PENN AMERICA GROUP INC	PA				X					08/24/9		
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT					X				07/31/9	9	
PHELPS DODGE CORP	NY					X	:	X		08/23/9	9	
PHOTOELECTRON CORP	MA					x	:	K		08/23/9	9	
PLANET HOLLYWOOD INTERNATIONAL INC	DE					X	:	X		08/17/9	9	
PLANET HOLLYWOOD INTERNATIONAL INC	DE					X	;	X		08/24/9	9	
POLAND COMMUNICATIONS INC	NY					X				08/06/9	9	
PRIME HOSPITALITY CORP	DE				X					08/17/9	9	
PSINET INC	NY					X	2	K		08/22/9	9	
QLT PHOTO THERAPEUTICS INC	A1					X	2	K		08/09/9	9	
QLT PHOTO THERAPEUTICS INC	A1					X	2	K		08/16/9	9	
QLT PHOTO THERAPEUTICS INC	A1					X	2	ĸ		08/18/9	9	
QUALITY STORES INC	DE							Х		08/17/9	9	
RAYOVAC CORP	WI		X			x	2	K		08/09/9	9	

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NAME OF ISSUER	CODE		2	3	4	5	6	7	8 9	9	DATE (COMMENT
RAYTEL MEDICAL CORP	DE					×	•••	x		• •	08/20/99)
REALNETWORKS INC	WA					Х		X			08/10/99)
RECOM MANAGED SYSTEMS INC DE/	DE		X					X			06/11/99	AMEND
RESPIRONICS INC	DE					Х		X			07/29/99)
RNETHEALTH COM INC	CO				X						08/17/99)
ROLLER BEARING CO OF AMERICA INC	DE		X					X			06/11/99	AMEND
SAFE TECHNOLOGIES INTERNATIONAL INC	DE					Х					08/24/99	•
SALOMON BROTHERS MORTGAGE SECURITIE	DE							X			08/24/99)
S VII INC												
SCIENTIFIC NRG INC	MN				X						07/21/99	AMEND
SILVERTHORNE PRODUCTION CO	CO		X								03/11/99	AMEND
SITE TECHNOLOGIES INC	CA					X					07/20/99)
SLM FUNDING CORP	DE					X		X			08/12/99)
SMARTALK TELESERVICES INC	CA					X		X			08/18/99)
SOUTH JERSEY FINANCIAL CORP INC	DE					Х		X			08/23/99)
ST JOSEPHS PHYSICIAN ASSOCIATES INC	FL				X			X			08/17/99)
STAR GAS PARTNERS LP	DE					X		X			08/18/99)
STARNET FINANCIAL INC	DE							Х			06/01/99	AMEND
STATEFED FINANCIAL CORP	DE					X		X			08/10/99	ı
STERLING COMMERCE INC	DE					X		X			08/16/99	AMEND
STERLING FINANCIAL CORP /PA/	PA	Х								-	08/24/99	ı
STEWART & STEVENSON SERVICES INC	TX					X		X			08/23/99	ı
STRATEGIA CORP	KY					Х					08/10/99	i
TECHNICAL ENVIRONMENT SOLUTIONS INC	CO		X					X		1	08/10/99	
TENET HEALTHCARE CORP	NV					X		X		1	08/15/99	
TII INDUSTRIES INC	DE					X		х		-	08/23/99	
TITAN CORP	DE		X							-	06/06/99	AMEND
TODHUNTER INTERNATIONAL INC	DE	Х						X		-	08/10/99	
TOUCHTUNES MUSIC CORP	NV	Х						X		-	07/01/99	
TRANSACTION NETWORK SERVICES INC	DE					X		X			08/23/99	ı
TRIMBLE NAVIGATION LTD /CA/	CA		X								10/01/99	
UNITED LEISURE CORP	DE		Х					X		(08/11/99	
URBAN SHOPPING CENTERS INC	MD							X		(06/10/99	AMEND
US XCHANGE LLC	MI							X		(08/23/99	
UST INC	DE					Х		X		(08/24/99	
VIALOG CORP	MA					x		X		(08/23/99	
VIS VIVA CORP	NV	X					Х			(08/09/99	
VITROSEAL INC	NV				X					(05/03/99	AMEND
WESTERN RESOURCES INC /KS	KS					X				(08/24/99	
WESTINGHOUSE AIR BRAKE CO /DE/	DE							X		(08/23/99	
WHITEHALL LTD INC	CO	X	X	X	X	X	X	X :	X	(08/20/99	
WSI INDUSTRIES INC	MN		X			X		X		(08/06/99	
WYNDHAM INTERNATIONAL INC	DE				X			X		(08/19/99	
YORK INTERNATIONAL CORP /DE/	DE		X					X		(06/10/99	AMEND
ZENITH ELECTRONICS CORP	DE					X		X		(08/23/99	