SEC NEWS DIGEST

Issue 99-181

September 20, 1999

COMMISSION ANNOUNCEMENTS

MEDIA ADVISORY - CHAIRMAN LEVITT TO GIVE MAJOR MARKET STRUCTURE ADDRESS

What: Securities and Exchange Commission Chairman Arthur Levitt

will deliver a major address on the structure of the

securities markets.

When: Thursday, September 23, 1999; 6:00 p.m. EDT

Where: Columbia University Law School

Jerome L. Greene Hall Rooms 104 and 106 435 West 116th Street New York, New York

Webcast: An audio and video copy of the speech will be available

at: www.law.columbia.edu

Contacts: Chris Ullman, SEC, 202.942.0020

Jennifer Shotz, Columbia Law, 212.854.1376

(Press Rel. 99-116)

FIRST ANNUAL MUNICIPAL SECURITIES ROUNDTABLE AGENDA RELEASED

The Securities and Exchange Commission's Office of Municipal Securities today released the agenda for its First Annual Municipal Market Roundtable, which will be held on October 14, 1999, at SEC headquarters in Washington, D.C. from 8:30 a.m. to 5:30 p.m.

The roundtable will provide a forum for all municipal market participants, including issuers, underwriters, bond counsel, financial advisors, scholars, and regulators, to explore current issues in disclosure and other practices in the municipal marketplace. Senior officials from the Commission's Divisions of Corporation Finance, Enforcement, and Investment Management, and Offices of General Counsel and Municipal Securities will also participate.

Office of Municipal Securities Director Paul S. Maco said, "Our primary goal is to promote candid and constructive dialogue among

the various industry players. Having leaders from all segments of the municipal market together at one table will contribute significantly to the industry's ability to understand its various goals and better achieve them."

Chairman Arthur Levitt will open the roundtable with introductory remarks. There will be four panel discussions, each led by a moderator. The roundtable will be open to the public and free of charge. Seating, which is on a first-come, first-serve basis, will be limited. For additional information call 202.942.7300.

Agenda:

- 1. Opening Address -- Arthur Levitt, Chairman, Securities and Exchange Commission
- 2. Panel I Bringing Bonds to Market -- Issues Arising in Primary Offerings
- 3. Panel II After the Closing -- Issues Arising Throughout the Life of a Bond Issue
- 4. Panel III Electronic Disclosure
- 5. Panel IV Fiduciary and Other Duties
- 6. Closing Remarks -- Paul Maco, Director, Office of Municipal Securities

RULES AND RELATED MATTERS

SEMIANNUAL REGULATORY AGENDA

The Commission has authorized the publication of its October 1999 Regulatory Flexibility Act Agenda. The agenda is a general announcement to the public intended to provide advance notice of rulemaking actions. The agenda, which will not be available to the public until its publication, has been submitted by the Commission to the Regulatory Information Service Center for inclusion in the Unified Agenda of Federal Regulations scheduled for publication in the Federal Register in October 1999. Public comments regarding the agenda and the individual agenda entries are due by December 30 1999. (Rels. 33-7739, 34-41874, 35-27074, 39-2375, IC-24007, IA-1826, File No. S7-20-99)

ENFORCEMENT PROCEEDINGS

COURT FINDS VIRTUAL GAMING ENTERPRISES, INC. IN CIVIL CONTEMPT FOR FAILURE TO COMPLY WITH COMMISSION SUBPOENA

The Commission announced that on September 3 the United States District Court for the Southern District of California held Virtual Gaming Enterprises, Inc. (Virtual Gaming) in civil contempt for its continued non-compliance with a Commission subpoena, ordering the company to testify and produce documents in connection with a Commission investigation. The Court also ordered Virtual Gaming to pay a civil fine of \$5,000 per day for every day beyond September 10, 1999, that the company fails to comply with the requirements of the Commission's subpoena.

Virtual Gaming is a Nevada corporation with its headquarters in Escondido, California. Virtual Gaming's stock is registered with the Commission and is quoted on the OTC Bulletin Board under the symbol VGAMD. As part of the Commission's focus on securities violations in the microcap market, Virtual Gaming has been under investigation for suspected fraud in connection with the sale of its stock. [SEC v. Virtual Gaming Enterprises, Civil Action No. 99 MC 0336, S.D. Cal.] (LR-16284)

SEC RECOVERS OVER \$750,000 IN PENALTIES AND DISGORGEMENT FROM THREE WASHINGTON, DC-AREA PROFESSIONALS FOR INSIDER TRADING

The SEC today announced the filing of a settled complaint for insider trading in the securities of BDM International, Inc. against Lawrence J. Rosenfeld of McLean, Virginia, Dr. Robert J. Sher of Bethesda, Maryland, and Jennifer R. Weiss of Arlington, Virginia. Under the settlement, the defendants consent to pay over \$750,000 in penalties and disgorgement.

BDM was a defense and information technology company, headquartered in McLean, Virginia. The complaint alleges that in November 1997 Rosenfeld, an advertising executive, tipped his friends Sher and Weiss to a pending tender offer for BDM by TRW, Inc. Sher and Weiss traded in BDM stock ahead of the tender offer and made illicit profits of \$102,819.47 and \$8,437.50 respectively. In addition, Sher recommended BDM to six professional colleagues and family members who also purchased BDM securities ahead of the tender offer, and these persons made profits totaling \$202,559.08. The tipping and trading violated Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder.

According to the complaint Rosenfeld, age 49, was president of Stackig, Sanderson & White, an advertising agency which prepared a document used by BDM to market itself. Sher, age 49, is a Maryland urologist and Rosenfeld's long time friend. Sher read Rosenfeld's copy of the document while visiting Rosenfeld's home and Rosenfeld confirmed that BDM was seeking to be acquired. Rosenfeld later told

Sher other details of TRW's offer for BDM. Sher then purchased BDM stock and recommended BDM to professional colleagues and family members. Weiss, age 35, was a sales representative for a trade magazine frequently used by Rosenfeld's agency. Weiss purchased BDM stock following a meeting with Rosenfeld in which Weiss learned material nonpublic information about BDM.

Prior to the filing of the action, Rosenfeld, Sher and Weiss consented, without admitting or denying the allegations of the complaint, to the entry of final judgments which will permanently enjoin them from violations of Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 thereunder. Pending approval by the Court, Sher also consented to pay disgorgement of \$305,378.55, equal to the profits of his own trading and the profits of the six colleagues and family members who traded BDM on his recommendation, a civil penalty of \$305,378.55, and prejudgment interest of \$36,277.49. Weiss consented to pay disgorgement of \$8,437.50, a civil penalty of \$8,437.50, and prejudgment interest of \$940.99. Rosenfeld consented to pay a civil penalty of \$111,256.97, equal to the profits of Sher and Weiss. The total payments will be \$776,107.55. [SEC v. Lawrence J. Rosenfeld, Robert J. Sher and Jennifer R. Weiss, USDC for the District of the District of Columbia, Civil Action No. 1:99CV02465] (LR-16285)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 QXL COM PLC /ADR/, ONE WALL STREET, NEW YORK, NY 10286 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10758 SEP. 03) (BR. 99 NEW ISSUE)
- S-B COMUNIDAD AUTONOMA DE ANDALUCIA, 150 EAST 58TH ST 30TH FL, C/O CONSULATE GENERAL OF SPAIN, NEW YORK, NY 10155 - 180,000,000 (\$180,000,000) STRAIGHT BONDS. (FILE 333-10786 - SEP. 09) (BR 99)
- S-8 MAGIC SOFTWARE ENTERPRISES LTD, 5 HAPLADA STREET,
 OR YEHUDA ISREAL 60218, L3 (972) 353-8920 248,245,207 (\$3,020,674.88)
 FOREIGN COMMON STOCK. (FILE 333-10794 SEP. 09) (BR. 3)
- S-8 NOVEL DENIM HOLDINGS LTD, 1F NOVEL INDUSTRIAL BLDG, 850 870 LAI CHI KOK RD, CHEUNG SHA WAN KOWLO, K3 (212) 338-0666 - 450,000 (\$2,981,250) FOREIGN COMMON STOCK. (FILE 333-10796 - SEP. 09) (BR. 2)
- NEWS DIGEST, September 20, 1999

- S-3 SOVEREIGN BANCORP INC, 2000 MARKET ST, PHILADELPHIA, PA 19103 (215) 557-4630 (FILE 333-86961 SEP. 13) (BR. 7)
- S-1 PACKAGING CORP OF AMERICA, 1900 W FIELD CT, LAKE FOREST, IL 60045 (647) 482-2000 \$976,204,800 COMMON STOCK (FILE 333-86963 SEP. 13) (BR. 8)
- S-1 PARADYNE NETWORKS INC, 8545 126TH AVE NORTH, P O BOX 2826, LARGO, FL 33773 (727) 530-2000 6,325,000 (\$286,996,875) COMMON STOCK (FILE 333-86965 SEP. 13) (BR 5)
- S-8 LIBERTY DIGITAL INC, 67 IRVING PLACE NORTH 4TH FLOOR, NEW YORK, NY 10003 (212) 387-7700 2,700,002 (\$50,962,537.75) COMMON STOCK. (FILE 333-86967 SEP. 13) (BR. 7)
- S-8 REGIONS FINANCIAL CORP, 417 N 20TH ST, BIRMINGHAM, AL 35203 (205) 326-7100 10,000,000 (\$348,750,000) COMMON STOCK. (FILE 333-86969 SEP. 13) (BR. 7)
- S-4 CINCINNATI BELL INC /OH/, 201 E FOURTH ST 102 732, CINCINNATI, OH 45202 (513) 397-9900 49,176,259 (\$1,604,375,450) COMMON STOCK. 4,505,000 (\$280,388,019) PREFERRED STOCK. (FILE 333-86971 SEP. 13) (BR. 7)
- S-3 EMS TECHNOLOGIES INC, 660 ENGINEERING DRIVE, NORCROSS, GA 30092 (770) 263-9200 - 590,000 (\$7,633,125) COMMON STOCK (FILE 333-86973 -SEP. 13) (BR. 7)
- S-3 REGIONS FINANCIAL CORP, 417 N 20TH ST, BIRMINGHAM, AL 35203 (205) 326-7100 301,929 (\$10,529,774) COMMON STOCK (FILE 333-86975 SEP. 13) (BR. 7)
- S-8 REGIONS FINANCIAL CORP, 417 N 20TH ST, BIRMINGHAM, AL 35203 (205) 326-7100 27,300 (\$801,633) COMMON STOCK. (FILE 333-86977 SEP 13) (BR. 7)
- SB-2 TRUEVISION INTERNATIONAL INC, 1720 LOUISIANA BLVD SUITE 100, ALBUQUERQUE, NM 87110 (509) 256-3534 1,250,000 (\$15,737,500) COMMON STOCK. 50,000 (\$6,000) WARRANTS, OPTIONS OR RIGHTS. 50,000 (\$480,000) COMMON STOCK. (FILE 333-86981 SEP 13)
- S-8 AGL RESOURCES INC, 817 WEST PEACHTREE ST, 10TH FLOOR, ATLANTA, GA 30308 (404) 584-9470 236,625 (\$4,237,066) COMMON STOCK. (FILE 333-86983 SEP. 13) (BR. 2)
- S-8 AGL RESOURCES INC, 817 WEST PEACHTREE ST, 10TH FLOOR, ATLANTA, GA 30308 (404) 584-9470 2,800,000 (\$50,137,500) COMMON STOCK. (FILE 333-86985 SEP. 13) (BR. 2)
- S-8 AGL RESOURCES INC, 817 WEST PEACHTREE ST, 10TH FLOOR, ATLANTA, GA 30308 (404) 584-9470 320,000 (\$5,730,000) COMMON STOCK. (FILE 333-86987 SEP 13) (BR. 2)
- S-8 LENNOX INTERNATIONAL INC, 2100 LAKE PARK BLVD, RICHARDSON, TX 75080 (972) 497-5000 40,000 (\$662,400) COMMON STOCK. (FILE 333-86989 SEP. 13) (BR 6)
- S-3 MAXTOR CORP, 510 COTTONWOOD DR, MILPITAS, CA 95035 (408) 432-1700 8,200,000 (\$57,810,000) COMMON STOCK (FILE 333-86991 SEP. 13) (BR. 3)
- SB-2 SMITH RIVER BANKSHARES INC, 730 E CHURCH ST, STE 12 PO BOX 1224, MARTINSVILLE, VA 24114 - 1,087,500 (\$10,875,000) COMMON STOCK. (FILE 333-86993 - SEP. 13) (NEW ISSUE)
- S-8 FIRST AMERICAN SCIENTIFIC CORP \NV\, 470 GRANVILLE ST, STE 1122, VANCOUVER BRITISH CO, A1 (604) 681-8656 - 33,000,000 (\$1,815,000) COMMON STOCK. (FILE 333-86995 - SEP. 13) (BR. 6)
- S-8 ATLANTIC DATA SERVICES INC, ONE BATTERYMARCH PARK, QUINCY, MA 02169 (617) 770-3333 1,500,000 (\$5,905,024) COMMON STOCK. (FILE 333-86997 SEP. 13) (BR. 3)

- S-8 IVILLAGE INC, 212 FIFTH AVENUE, NEW YORK, NY 10010 (212) 604-0963 3,977,355 (\$43,041,070) COMMON STOCK. (FILE 333-86999 SEP. 13) (BR. 3)
- S-1 PIXTECH INC /DE/, AVENUE OLIVIER PERROY 13790, ROUSSET FRANCE, IO 16,100,000 (\$27,168,750) COMMON STOCK. (FILE 333-87001 SEP. 13) (BR. 3)
- S-4 FSI INTERNATIONAL INC, 322 LAKE HAZELTINE DR, CHASKA, MN 55318 (612) 448-5440 1,299,105 (\$12,953,766) COMMON STOCK. (FILE 333-87003 SEP 13) (BR. 5)
- S-8 CENTRAL BANCORP INC /MA/, 399 HIGHLAND AVENUE, SOMERVILLE, MA 01144 (617) 628-4000 97,500 (\$2,145,000) COMMON STOCK. (FILE 333-87005 SEP 13) (BR. 7)
- S-8 ONEIDA LTD, C/O INTERNAL AUDITOR, 163 -181 KENWOOD AVE, ONEIDA, NY 13421 (315) 361-3636 100,000 (\$2,409,375) COMMON STOCK (FILE 333-87007 SEP. 13) (BR 2)
- S-8 SKECHERS USA INC, 229 MANHATTAN BEACH BLVD, MANHATTAN BEACH, CA 90266 (310) 318-3100 2,781,415 (\$15,825,849) COMMON STOCK. (FILE 333-87009 SEP. 13) (BR 2)
- S-8 SKECHERS USA INC, 229 MANHATTAN BEACH BLVD, MANHATTAN BEACH, CA 90266 (310) 318-3100 5,215,154 (\$31,775,091) COMMON STOCK (FILE 333-87011 SEP. 13) (BR 2)
- S-8 GART SPORTS CO, 1000 BROADWAY, DENVER, CO 80203 (303) 861-1122 250,000 (\$1,390,625) COMMON STOCK (FILE 333-87013 SEP 13) (BR. 2)
- S-3 EMPIRE DISTRICT ELECTRIC CO, 602 JOPLIN ST, JOPLIN, MO 64801 (417) 625-5100 150,000,000 (\$150,000,000) MORTGAGE BONDS. (FILE 333-87015 SEP. 13) (BR. 2)
- S-1 FINISAR CORP, 1308 MOFFETT PARK DR, SUNNYVALE, CA 94089 (408) 548-1000 - \$115,000,000 COMMON STOCK. (FILE 333-87017 - SEP. 13) (NEW ISSUE)
- S-1 SILICON ENTERTAINMENT INC /CA/, 210 HACIENDA AVE, CAMPBELL, CA 95008 (408) 777-8082 \$46,000,000 COMMON STOCK (FILE 333-87019 SEP. 13)
- S-3 THOMAS & BETTS CORP, 8155 T&B BOULEVARD, MEMPHIS, TN 38125 (901) 252-7766 - 784,156 (\$38,325,624.50) COMMON STOCK. (FILE 333-87025 - SEP. 13) (BR. 5)
- S-1 WORLDGATE COMMUNICATIONS INC, 3220 TILLMAN DR, SUITE 300, BENDALEM, PA 19020 (215) 633-5100 - 6,037,500 (\$174,725,250) COMMON STOCK. (FILE 333-87027 - SEP 13) (BR. 7)
- S-1 WORLDGATE COMMUNICATIONS INC, 3220 TILLMAN DR, SUITE 300, BENDALEM, PA 19020 (215) 633-5100 - 331,490 (\$9,593,321) COMMON STOCK (FILE 333-87029 - SEP 13) (BR. 7)
- S-4 RAZORFISH INC, 107 GRAND ST, 3RD FL, NEW YORK, NY 10013 (212) 966-5960 24,086,611 (\$518,584,744) COMMON STOCK. (FILE 333-87031 SEP. 13) (BR. 3)
- S-3 AMYLIN PHARMACEUTICALS INC, 9373 TOWNE CENTRE DR, SAN DIEGO, CA 92121 (619) 552-2200 12,594,009 (\$45,259,719.85) COMMON STOCK. (FILE 333-87033 SEP. 13) (BR. 1)
- S-8 CK WITCO CORP, ONE STATION PLACE, METRO CENTER, STAMFORD, CT 06902 (203) 573-2000 7,800,000 (\$124,566,000) COMMON STOCK. (FILE 333-87035 SEP. 13) (BR. 2)
- S-3 VERSANT CORP, 6539 DUMBARTON CIRCLE, FREMONT, CA 94555 (510) 789-1500 2,663,505 (\$7,271,369) COMMON STOCK. (FILE 333-87037 SEP. 14) (BR. 3)
- S-8 PMC SIERRA INC, 8555 BAXTER PLACE SUITE 105, BURABURY BRITISH COLUMBIA, CANADA V5A 4V7, Al 00000 (604) 415-6000 948,821 (\$19,739,001.76) COMMON STOCK (FILE 333-87039 SEP. 14) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership.
- Changes in Registrant's Certifying Accountant Item 4
- Item 5 Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W , Washington, D C 20549 or at the following e-mail box <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website address <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | | | | | | DATE | COMMENT |
|--|---------------|---|---|---|---|---|--------|---------|
| AAMES FINANCIAL CORP/DE | DE | | | | х | х | 09/03/ | 99 |
| AAON INC | NV | | | | х | | 09/14/ | 99 |
| AAROW ENVIRONMENTAL GROUP INC | ОК | | х | | | | 09/15/ | 99 |
| ACX TECHNOLOGIES INC | co | | х | | | х | 09/02/ | 99 |
| ADELPHIA COMMUNICATIONS CORP | DE | | | | х | х | 09/16/ | 99 |
| ADOBE SYSTEMS INC | CA | | | | х | Х | 09/16/ | 99 |
| ADVANTA CORP | DE | | | | х | | 07/27/ | 99 |
| AEROVOX INC | DE | | | | Х | | 09/02/ | 99 |
| AMERICAN AIRCARRIERS SUPPORT INC | DE | | | | Х | Х | 04/01/ | 99 |
| AMERICAN TOWER CORP /MA/ | DE | | | | Х | Х | 09/10/ | 99 |
| AMERICAN TOWER CORP /MA/ | DE | | | | Х | Х | 09/17/ | 99 |
| AMERISERVE FOOD DISTRIBUTION INC /D E/ | DE | | | | X | x | 09/16/ | 99 |
| AXA FINANCIAL INC | DE | | | | х | | 09/16/ | 99 |
| BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP | VT | | X | | | X | 09/02/ | 99 |
| BROADCOM CORP | CA | | | | Х | | 05/31/ | 99 |
| CELCOR INC | DE | | | х | х | | 05/07/ | 99 |
| CHESAPEAKE ENERGY CORP | OK | | | | х | X | 09/17/ | 99 |
| CHROMCRAFT REVINGTON INC | DE | X | | | | | 09/02/ | 99 |
| CLARCOR INC | DE | | X | | | X | 09/10/ | 99 |
| COMVERSE TECHNOLOGY INC/NY/ | NY | | | | X | | 09/08/ | 99 |
| CORECOMM LTD | | | | | Х | X | 08/18/ | 99 |
| COWLITZ BANCORPORATION | WA | | | | | Х | 09/14/ | 99 |
| COX RADIO INC | DE | | | | X | | 08/30/ | 99 |
| CY POST CORP | | | | | X | | 09/17/ | 99 |
| D H MARKETING & CONSULTING INC | NV | | | | Х | | 06/15/ | 99 |
| DELHAIZE AMERICA INC | NC | | | | х | Х | 09/07/ | 99 |
| DLJ COMMERCIAL MORTGAGE CORP | DE | | | | X | X | 09/17/ | 99 |
| EG&G INC | MA | | | | х | Х | 09/10/ | 99 |
| ENTERPRISE SOFTWARE INC | DE | | | | X | X | 09/17/ | 99 |
| EQUIVEST FINANCE INC | DE | | | | X | | 09/14/ | 99 |
| FIRST ALLIANCE MORTGAGE CO /DE/ | CA | | | | Х | X | 09/02/ | 99 |
| FIRST UNION DIRECT BANK N A | | | | | Х | Х | 09/15/ | 99 |
| FIRST UNION MASTER CREDIT CARD TRUS | | | | | x | X | 09/15/ | 99 |
| FORSYTH BANCSHARES INC | GA | | | | X | x | 08/27/ | 99 |

| NAME OF ISSUER | | 1 | 2 | 3 4 | 5 6 | 7 8 | - | DATE (| |
|--|------------|---|--------|-----|-----|--------|---|----------------------|--------------|
| G P PROPERTIES INC | NV | х | | | | х | | 08/26/99 | |
| GENERAL INSTRUMENT CORP | DE | | | | X | x | | 09/14/99 | 9 |
| GEOLOGISTICS CORP | DE | | x | | X | x | | 09/10/99 |) |
| GRC INTERNATIONAL INC | DE | | X | | | | | 09/02/99 |) |
| HIGH VOLTAGE ENGINEERING CORP | MA | | X | | | x | | 09/17/99 | AMEND |
| HOOPER HOLMES INC | NY | | | | X | Х | | 09/30/99 |) |
| IMAX CORP | A 6 | | Х | | | X | | 09/17/99 | |
| INDEPENDENT BANK CORP /MI/ | MI | | X | | | X | | 09/15/99 | |
| INSIGHT COMMUNICATIONS CO INC | DE | | ., | | x | Х | | 09/17/99 | |
| INTERCEPT GROUP INC INTERNATIONAL MERCANTILE CORP | ga Mo | v | X X | | | x x | | 09/03/99 | |
| INTERNATIONAL MERCANTILE CORP | DE | ^ | ^ | | x | X | | 09/15/99 | |
| INTERWEST BANCORP INC | WA | | | | x | X | | 09/14/99 | |
| INTILE DESIGNS INC | DE | х | | | x | •• | | 09/07/99 | |
| KOFAX IMAGE PRODUCTS INC | DE | Х | | | | х | | 09/09/99 | |
| LA JOLLA PHARMACEUTICAL CO | DE | | | | х | x | | 09/14/99 | |
| LAIDLAW INC | | | | | Х | x | | 09/13/99 |) |
| MALAN REALTY INVESTORS INC | MI | | | | х | х | | 09/07/99 | |
| MARISA CHRISTINA INC | DE | | Х | | | х | | 09/03/99 |) |
| MEDIMMUNE INC /DE | DE | | | | x | | | 09/15/99 |) |
| MERCANTILE CREDIT CARD MASTER TRUST | NY | | | | X | х | | 09/03/99 | 1 |
| NABI /DE/ | DE | | | X | | x | | 09/15/99 | |
| NATIONAL SEMICONDUCTOR CORP | DE | | | | X | | | 09/17/99 | |
| NDC AUTOMATION INC | DE | X | X | | | хх | | 09/17/99 | |
| NEBCO EVANS HOLDING CO | DE | | | | X | X | | 09/17/99 | |
| NEWCOURT RECEIVABLES CORP II | DE | | | | X | X | | 09/16/99 | |
| NTL BERMUDA LTD | D0 | | | | X | X | | 08/25/99 | |
| NTL COMMUNICATIONS CORP | DE | | X | | X | | | 08/19/99 | |
| NTL INC/NY/ | DE | | X | | Х | | | 08/19/99 | |
| OAKWOOD MORTGAGE INVESTORS INC | NV | | | | X | Х | | 09/09/99 | |
| OGDEN CORP | DE | | | | X | Х | | 09/17/99 | |
| OMEGA ENVIRONMENTAL INC | DE | | | Х | | х | | 09/09/99 | |
| OPEN MARKET INC | DE DE | | | | | X X | | 09/15/99 | |
| OPTICARE HEALTH SYSTEMS INC | DE | | | х | ^ | ^ | | 09/17/99 08/13/99 | |
| PACIFICARE HEALTH SYSTEMS INC /DE/ | DE | | | ^ | x | x | | 09/15/99 | |
| PANTRY INC | DE | | | | | x | | 01/28/99 | |
| PARTY CITY CORP | DE | | | | х | | | 09/12/99 | |
| PEOPLES BANCORP INC | он | X | | | | | | 08/27/99 | |
| PEOPLES BANK CREDIT CARD MASTER TRU | CT | | | | X | | | 08/31/99 | |
| PG&E CORP | CA | | | | x | | | 09/17/99 | |
| PHOTOGEN TECHNOLOGIES INC | NV | | | | | x | | 09/15/99 | |
| PINNACLE RESOURCES INC | WY | | X | | | | | 08/30/99 | |
| PLANAR SYSTEMS INC | OR | | | | | x | | 07/06/99 | AMEND |
| QUANTUM CORP /DE/ | DE | | X | | x | х | | 09/10/99 | |
| RANGE RESOURCES CORP | DE | | | | x | x | | 08/25/98 | AMEND |
| RIGHTCHOICE MANAGED CARE INC | MO | | | | х | | | 09/09/99 | |
| RIVIERA HOLDINGS CORP | NV | : | X | | | x | | 09/17/99 | |
| RURAL ELECTRIC COOPERATIVE GRANTOR | NY | X | | | | | | 09/15/99 | |
| TRUST SOYLAND 1993 B1 | | | | | | | | | |
| RURAL ELECTRIC COOPERATIVE GRANTOR TRUST SOYLAND 1993 B2 | NY | X | | | | | | 09/15/99 | |
| RUSSELL CORP | ΑĹ | | | | X | x | | 09/17/99 | |
| SAFEGUARD HEALTH ENTERPRISES INC | DE | | | | х . | X | | 09/16/99 | |
| SAKS CREDIT CARD MASTER TRUST | NV | | | | X : | X | | 08/16/99 | AMEND |
| SI DIAMOND TECHNOLOGY INC | ΤX | : | X | | | X | | 09/03/99 | |
| SIERRA PACIFIC RESOURCES | NV | | | | : | X | | 09/15/99 | AMEND |
| SILICON VALLEY GROUP INC | DE | | | | | X | | 07/06/99 | AMEND |
| SOLECTRON CORP | DE | | | | X | | | 09/13/99 | |

| NAME OF ISSUER | STATE CODE | | | ITEM 4 5 6 | | DATE | COMMENT |
|-------------------------------------|---------------|---|---|---------------|---|---------|---------|
| SPECTRASITE HOLDINGS INC | DE | х | | | | 09/02/9 | 9 |
| SUMMIT PROPERTIES INC | MD | | | Х | X | 09/03/9 | 9 |
| SUMMIT PROPERTIES PARTNERSHIP L P | DE | | | Х | X | 09/03/9 | 9 |
| TAL WIRELESS NETWORKS INC | DE | | Х | | Х | 08/31/9 | 19 |
| TIME WARNER INC/ | DE | | | Х | | 08/03/9 | 19 |
| TJ INTERNATIONAL INC | DE | Х | | | | 08/26/9 | 19 |
| TOPS APPLIANCE CITY INC | NJ | X | | | | 08/17/9 | 9 |
| UNITED PAN EUROPE COMMUNICATIONS NV | | X | | X | X | 09/16/9 | 9 AMEND |
| UNITED RETAIL GROUP INC/DE | DE | | | Х | X | 09/14/9 | 9 |
| UNITEDGLOBALCOM INC | DE | | | x | | 09/07/9 | 9 |
| USWEB CORP | DE | x | | | x | 09/03/9 | 9 |
| VALASSIS COMMUNICATIONS INC | DE | | | X | X | 09/01/9 | 9 |
| VAXCEL INC | DE | х | | | | 09/15/9 | 9 |
| VISTA GOLD CORP | | | | Х | | 09/16/9 | 9 |
| WASTE MANAGEMENT INC | DE | | | | X | 09/16/9 | 9 |
| WICOR INC | WI | | | Х | Х | 09/09/9 | 9 |
| WORLD CALLNET INC | DE | Х | | | Х | 09/17/9 | 9 |
| WORLDWIDEWEB INSTITUTE COM INC | FL | х | | | Х | 09/17/9 | 9 |
| XYBERNAUT CORP | DE | | X | : | X | 09/13/9 | 9 |