# SEC NEWS DIGEST

Issue 99-247

December 27, 1999

## COMMISSION ANNOUNCEMENTS

### SECURITIES INDUSTRY MOBILIZES FOR YEAR 2000 TRANSITION

Leaders of the securities industry's major regulatory organizations and trade associations joined together to assure investors that Wall Street will be ready for the Year 2000. Heads of the President's Council on Year 2000 Conversion, the Securities and Exchange Commission (SEC), the National Association of Securities Dealers (NASD), the New York Stock Exchange (NYSE), the Investment Company Institute (ICI), and the Securities Industry Association (SIA) today outlined the extensive steps Wall Street has taken to prepare the industry's computer systems.

"The financial services industry was one of the first to develop a thorough Y2K testing program. These efforts have served as a model for other industries both here and abroad," said John Koskinen, chair of the President's Council on Year 2000.

"The financial services industry has a strong commitment to protecting investors' interests and maintaining the integrity of the markets," said SEC Chairman Arthur Levitt. "I am confident that the goal of 'business as usual' on January 3 will be realized."

"Hundreds of employees of the New York Stock Exchange and its technological partner, the Securities Industry Automation Corporation, have worked diligently, from both an operational and regulatory perspective, to provide investors and issuers with reliable access to the market during the transition," said NYSE Chairman and CEO Richard A. Grasso. "They will be working throughout the weekend to make sure that the NYSE is fully operational on January 3."

"Preparation for Year 2000 has been a top priority for the Nasdaq Stock Market, the American Stock Exchange, and NASD Regulation throughout 1999 and before," said Frank G. Zarb, NASD chairman and CEO. "Our efforts have focused not only on fixing our systems, but also on assisting our member-firms in getting ready. I am confident that the integrity of our markets will be preserved."

"Preparing for the Year 2000 has been an extremely high priority for

the mutual fund industry for several years," said Matthew P. Fink, president of the ICI. "The results of the mutual fund industry's participation in street-wide testing of clearance and settlement systems indicate that the industry is well-prepared."

"Preparation for the Year 2000 has been the largest technology project undertaken by the securities industry," said Marc E. Lackritz, president of the SIA. "Thanks to the efforts of thousands of industry professionals who volunteered their time, we successfully tested Wall Street's systems earlier this year. We are now planning for any unforeseen incidents that may occur, and will have the resources in place over the weekend to ensure that we can respond immediately to them."

Industry preparations began more than three years ago, costing approximately \$5 billion. The industrywide testing project alone involved the work of hundreds of industry professionals who volunteered their time. After a successful beta test, the full test, conducted in March and April and involving more than 400 securities firms, stock markets and utilities, resulted in virtually all of the simulated trades being processed free of "Y2K bugs."

As part of their efforts to educate investors, the President's Council, SEC, NASD, ICI and SIA developed a "Year 2000 Investor Kit." The kit will help investors prepare for the Year 2000 and includes tips such as:

-- Keep good records (an idea that makes sense at any time);

-- Stay informed about what their financial service providers are doing to become Y2K-ready;

-- Obtain any Year 2000 literature provided by their broker/dealers, mutual funds, investment advisers or public companies in which they hold stock;

-- Invest for the long term and avoid changing their trading habits;

-- Not worry about obtaining stock certificates; and,

-- Check the Y2K readiness of their personal computers.

The kit also features a concise review of the industry's efforts to prevent Y2K computer problems; a list of frequently asked questions for investors; a Year 2000 checklist; and information on how to check personal computers and fax machines for potential Y2K problems.

The public can access the "Year 2000 Investor Kit" through the NASD Web site: http://www.nasdr.com/3600\_inv\_kit.htm. Printed copies are available by calling 1-888-227-1330 or sending an e-mail to y2k@nasd.com. The kits have also been provided to securities firms for distribution to their clients.

Investors can check on the Y2K preparedness of broker-dealers, mutual funds, and investment advisers on the SEC Web site at: http://www.sec.gov/news/y2k/y2kreps.htm. (Press Rel. 99-176)

### ENFORCEMENT PROCEEDINGS

### PROCEEDING INVOLVING JOHN KAHAL REMANDED TO NASD

The Commission remanded a proceeding involving John Kahal to the NASD at the Association's request. In August 1999, the NASD had found that Kahal was subject to a statutory disqualification because he had pleaded guilty in California state court to operating a motor vehicle while under the influence of alcohol and controlled substances. Because Kahal had two prior convictions for driving under the influence, Kahal's third conviction was a felony under California state law. The NASD therefore determined that Kahal should not be permitted to associate with a member firm.

In December 1999, the California state court reduced Kahal's conviction to a misdemeanor. As a result, Kahal was no longer subject to a statutory disqualification under the Exchange Act. The Commission therefore granted the NASD's request that this proceeding be remanded to it for dismissal. (Rel. 34-42265; File No. 3-9973)

### INVESTMENT COMPANY ACT RELEASES

### IDS LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until January 17, 2000, to request a hearing on an application filed by IDS Life Insurance Company (IDS Life), American Centurion Life Assurance Company, IDS Life Insurance Company of New York, American Enterprise Life Insurance Company (American Enterprise Life) (collectively, the Insurance Companies), American Express Financial Advisors, Inc., IDS Life Variable Account 10 (IDS Account 10), American Enterprise Variable Annuity Account (American Enterprise Account, and together with IDS Account 10, the Accounts) (collectively, Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act to the extent necessary to permit the issuance and, under specified circumstances, the subsequent recapture of credits applied to contributions made under certain deferred variable annuity contracts that IDS Life or American Enterprise will issue through the Accounts (Contracts); and under contracts that the Insurance Companies may in the future issue through the Accounts or any future separate account established by the Insurance Companies (Future Account) that are substantially similar in all material respects to the Contracts (Future Contracts). Applicants also request that the order being sought extend to any other National member broker-dealer Association of Securities Dealers, Inc. controlling or controlled by, or under common control with the Insurance Companies, whether existing or created in the future, that serves as a distributor or principal underwriter of the Contracts or any Future Contracts offered through the Accounts or any Future (Rel. IC-24220 - December 23) Account.

### NATIONWIDE LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until January 17, 2000, to request a hearing on an application filed by Nationwide Life Insurance Company, Nationwide Life and Annuity Insurance Company, Nationwide Variable Account - 9 and Nationwide Fidelity Advisor Variable Account and any current or future separate accounts of Nationwide that may in the future offer variable annuity contracts substantially similar in all material respects to the contracts and supported by the separate accounts, Nationwide Advisory Services, Inc., Fidelity Investment Institutional Services Company, Inc., and any other National Association of Securities Dealers, Inc. member broker-dealer controlling or controlled by, or under common control with, Nationwide that may in the future serve as general distributor-principal underwriter of variable annuity contracts substantially similar in all material respects to those offered by the separate accounts and described in the application. Applicants seek an order pursuant to Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder to permit the recapture of credits applied to purchase payments made under certain variable annuity contracts. (Rel. IC-24221 - December 23)

## HOLDING COMPANY ACT RELEASES

### NEW CENTURY ENERGIES, ET AL.

A supplemental order has been issued authorizing New Century Energies, a registered holding company, and three of its subsidiaries, Public Service Company (PSCo), an electric and gas utility company, NC Enterprises, Inc. (Enterprises), a nonutility company, and Natural Fuels Corporation (Natural Fuels), a nonutility subsidiary of Enterprises, to engage in a series of related transactions that will separate the two lines of business of Natural Fuels and transfer one of these lines of business to PSCo. (Rel. 35-27116)

### ENTERGY CORPORATION

A supplemental order has been issued authorizing Entergy Corporation, a registered holding company, to increase the interest rates payable on certain outstanding debt. (Rel. 35-27117)

## THE SOUTHERN COMPANY, ET AL.

An order has been issued authorizing a proposal by The Southern Company (Southern), a registered holding company, and its subsidiaries, Alabama Power Company, Gulf Power Company, Mississippi Power Company, Savannah Electric and Power Company, Georgia Power Company, Southern Communications Services, Inc., Southern Company 1

Energy Solutions, Inc., Southern Company Services, Inc., Southern Energy Resources, Inc., and Southern Nuclear Operating Company. Southern has been authorized to issue common stock to shareholders and to employees in the Southern holding company system under the Southern Investment Plan, the Southern Company Employee Savings Plan, and the Southern Company Employee Stock Ownership Plan. (Rel. 35-27118)

### THE SOUTHERN COMPANY

A supplemental order has been issued authorizing The Southern Company, a registered holding company, to extend until September 30, 2004 the time in which it may issue and sell up to 25 million shares of its common stock. (Rel. 35-27119)

### AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.

A supplemental order has been issued authorizing a proposal by American Electric Power Company, Inc., a registered holding company, and its nonutility subsidiaries, AEP Resources, Inc. (Resources) and AEP Energy Services, Inc. (Services). Certain nonutility subsidiaries of Resources and Services have been authorized to declare and pay dividends out of capital or unearned surplus. (Rel. 35-27120)

### ALLEGHENY ENERGY, INC., ET AL.

An order has been issued authorizing Allegheny Energy, Inc., (Allegheny), a registered holding company, and Monongahela Power Company, an electric utility subsidiary of Allegheny, to retain all of the assets that it will purchase from UtiliCorp United Inc. in its West Virginia Power combination gas and electric division. (Rel. 35-27121)

### SELF-REGULATORY ORGANIZATIONS

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change, as well as noticed and granted accelerated approval of Amendment No. 2 thereto, submitted under Rule 19b-4 by the <u>Philadelphia Stock Exchange</u> (SR-Phlx-99-38) relating to the removal of certain printers from the equity floor and revising its fine schedule for the failure to clock tickets of certain orders. (Rel. 34-42264)

## IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Commission issued a notice of a proposed rule change (SR-Phlx-99-47) filed by the <u>Philadelphia Stock Exchange</u> to exempt certain FCO participants from the foreign currency options participation fee. Publication of the proposal is expected in the <u>Federal</u> <u>Register</u> during the week of December 27. (Rel. 34-42263)

### DELISTING

An order has been issued granting the application of the <u>New York</u> <u>Stock Exchange</u> to strike from listing and registration Hoechst Aktiengesellschaft, American Depositary Shares (each representing one Ordinary Share). (Rel. 34-42267)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 CREATIVE TECHNOLOGY LTD, 31 INTERNATIONAL BUSINESS PARK, CREATIVE RESOURCE, SINGAPORE 0513 SINGA, U0 60992 (116) 589-5400 -7,500,000 (\$71,894,250) FOREIGN COMMON STOCK. (FILE 333-11216 - DEC 07) (BR. 3)
- S-8 SULZER MEDICA LTD, ZURCHERSTERSTRASSE 12, 8401 WINTERTHUR SWIT, V8 (212) 657-7691 - 250,000 (\$4,656,250) FOREIGN COMMON STOCK (FILE 333-11218 - DEC. 07) (BR. 5)
- S-1 INTERWOVEN INC, 1195 W FREMONT AVE, STE 2000, SUNNYVALE, CA 94087 (408) 774-2000 - 3,450,000 (\$462,300,000) COMMON STOCK. (FILE 333-92943 -DEC. 17) (BR 3)
- S-8 ESCO ELECTRONICS CORP, 8888 LADUE RD, SUITE 200, ST LOUIS, MO 63124 (314) 213-7200 - 434,015 (\$4,679,246) COMMON STOCK. 175,985 (\$1,919,336) PREFERRED STOCK (FILE 333-92945 - DEC 17) (BR 5)
- S-8 GENOME THERAPEUTICS CORP, 100 BEAVER ST, WALTHAM, MA 02154 (617) 893-5007 - 125,718 (\$734,684) COMMON STOCK. (FILE 333-92951 -DEC 17) (BR. 1)
- S-8 INDUSTRI MATEMATIC INTERNATIONAL CORP, C/O MARVIN S ROBINSON ESQ TANNENBAUM, 1140 AVENUE OF THE AMERICAS, NEW YORK, NY 10036 (011) 468-6765 - 50,000 (\$718,750) COMMON STOCK. (FILE 333-92953 - DEC. 17) (BR. 3)
- S-3 PARKWAY PROPERTIES INC, ONE JACKSON PL, 188 E CAPITOL ST STE 1000, JACKSON, MS 39225 (601) 948-4091 - 1,000,000 (\$28,750,000) COMMON STOCK (FILE 333-92957 - DEC. 17) (BR. 8)
- S-3 CAMDEN PROPERTY TRUST, THREE GREENWAY PLAZA, SUITE 1300, HOUSTON, TX 77046 (713) 964-3555 (FILE 333-92959 DEC. 17) (BR. 8)
- S-8 LESCO INC/OH, 20005 LAKE RD, ROCKY RIVER, OH 44116 (216) 333-9250 -118,107 (\$2,716,461) COMMON STOCK. (FILE 333-92961 - DEC 17) (BR. 2)

S-3 KEMET CORP, 2835 KEMET WAY, SIMPSONVILLE, SC 29681 (803) 963-6300 -5,750,000 (\$228,735,000) COMMON STOCK (FILE 333-92963 - DEC. 17) (BR. 5)

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- F-1 INTERWAVE COMMUNICATIONS INTERNATIONAL LTD, C/O CODAN SERVICES LTD CLARENDON HOUSE, 2 CHURCH STREET PO BOX HM 1022, HAMILTON, BERMUDA, 00000 - 8,625,000 (\$86,250,000) FOREIGN COMMON STOCK. (FILE 333-92967 - DEC. 17) (NEW ISSUE)
- SB-2 FRONTLINE COMMUNICATIONS CORP, ONE BLUE HILL PLAZA 6C FLOOR, P O BOX 1548, PEARL RIVER, NY 10965 (914) 623-8553 - 1,150,000 (\$17,250,000) PREFERRED STOCK. 230,000 (\$1,207,500) COMMON STOCK. 100,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$1,800,000) PREFERRED STOCK. (FILE 333-92969 - DEC 17) (BR 9)
- S-8 PHOENIX RESOURCES TECHNOLOGIES INC, 15945 QUALITY TRAIL NORTH, SCANDIA, MN 55073 (651) 433-5735 - 900,000 (\$6,693,750) COMMON STOCK (FILE 333-92971 - DEC. 17) (BR. 6)
- S-8 UNIFY CORP, 181 METRO DR, 3RD FL, SAN JOSE, CA 95110 (408) 467-4500 400,000 (\$25,024,000) COMMON STOCK. (FILE 333-92973 DEC 17) (BR 3)
- S-8 FIRSTLINK COMMUNICATIONS INC, 190 SW HARRISON STREET, PORTLAND, OR 97201 (503) 306-4444 - 3,566,668 (\$23,029,668 76) COMMON STOCK. (FILE 333-92975 - DEC. 17) (BR. 9)
- S-8 HOVNANIAN ENTERPRISES INC, 10 HWY 35, PO BOX 500, RED BANK, NJ 07701 (908) 747-7800 - 1,500,000 (\$8,437,500) COMMON STOCK. (FILE 333-92977 -DEC. 17) (BR. 6)
- S-3 BRADLEY REAL ESTATE INC, 40 SKOKIE BLVD STE 600, NORTHBROOK, IL 60062 (847) 272-9800 - 62,436 (\$1,020,204.24) COMMON STOCK (FILE 333-92979 -DEC 17) (BR 8)
- S-4 FYI INC, 3232 MCKINNEY AVE, STE 900, DALLAS, TX 75204 (214) 953-7555 -2,000,000 (\$68,260,000) COMMON STOCK (FILE 333-92981 - DEC 17) (BR 8)
- S-3 PAREXEL INTERNATIONAL CORP. 195 WEST ST, WALTHAM, MA 02154 (617) 487-9900 - 1,256,829 (\$14,654,626 14) COMMON STOCK. (FILE 333-92983 - DEC. 17) (BR. 1)
- S-3 FINANCIAL SECURITY ASSURANCE HOLDINGS LTD/NY/, C/O GENERAL COUNSEL, 350 PARK AVE 13TH FLOOR, NEW YORK, NY 10022 (212) 826-0100 - 90,650,021 (\$90,650,021) PREFERRED STOCK. 500,000 (\$24,703,125) COMMON STOCK (FILE 333-92985 - DEC. 17) (BR 1)
- S-8 QUINTILES TRANSNATIONAL CORP, 4709 CREEKSTONE DR,
   RIVERBIRCH BLDG STE 200, DURHAM, NC 27703 (919) 998-2000 21,474,689 (\$402,650,418.75) COMMON STOCK. (FILE 333-92987 DEC. 17) (BR 1)
- S-3 CORNERSTONE PROPERTIES INC, CORNERSTONE PROPERTIES INC TOWER 56, 126 EAST 56TH STREET, NEW YORK, NY 10022 (212) 605-7100 - 16,187,724 (\$216,106,116) COMMON STOCK. (FILE 333-92989 - DEC 17) (BR. 8)
- S-8 CRYO CELL INTERNATIONAL INC, 604 PACKARD CT, SAFETY HARBOR, FL 34695 (201) 224-7070 - 378,000 (\$1,107,540) COMMON STOCK. (FILE 333-92991 -DEC. 17) (BR. 8)
- S-8 BINKS SAMES CORP, 9201 W BELMONT AVE, FRANKLIN PARK, IL 60131 (847) 671-3000 - 100,000 (\$1,375,000) COMMON STOCK (FILE 333-92993 -DEC 17) (BR. 5)
- S-8 NATHANS FAMOUS INC, 1400 OLD COUNTRY RD, WESTBURY, NY 11590 (516) 338-8500 - 525,590 (\$1,691,769.09) COMMON STOCK (FILE 333-92995 -DEC 17) (BR. 5)
- S-8 PROSPERITY BANCSHARES INC, 3040 POST OAK BLVD SUITE 150, HOUSTON, TX 77056 (713) 993-0002 - 300,000 (\$4,781,250) COMMON STOCK (FILE 333-92997 - DEC 17) (BR. 7)
- S-3 FORRESTER RESEARCH INC, 400 TECHNOLOGY SQUARE, CAMBRIDGE, MA 02139
   (617) 497-7090 100,426 (\$6,295,454.80) COMMON STOCK. (FILE 333-93003 DEC. 17) (BR. 6)

- S-3 CMGI INC, 100 BRICKSTONE SQUARE, STE B110, ANDOVER, MA 01810 (978) 684-3600 (FILE 333-93005 DEC. 17) (BR. 2)
- S-8 TALK VISUAL CORP, ONE CANAL PARK 3RD FLOOR, CAMBRIDGE, MA 02142 (310) 348-7266 - 980,000 (\$673,750) COMMON STOCK. (FILE 333-93007 -DEC 17) (BR. 3)
- S-8 NEWBRIDGE NETWORKS CORP, 600 MARCH ROAD PO BOX 13600, KANATA ONTARIO CANADA, K2K 2E6, A6 (613) 591-3600 - 353,955 (\$8,140,965) COMMON STOCK. (FILE 333-93009 - DEC. 17) (BR. 7)
- S-3 TEXAS INSTRUMENTS INC, 8505 FOREST LANE, P O BOX 660199, DALLAS, TX 75266 (972) 995-3773 - 900,000,000 (\$900,000) STRAIGHT BONDS. (FILE 333-93011 - DEC. 17) (BR. 5)
- S-1 ALTAVISTA CO, 529 BRYANT STREET, PALO ALTO, CA 94301 (650) 617-3400 -\$300,000,000 COMMON STOCK. (FILE 333-93013 - DEC. 17) (NEW ISSUE)
- S-1 HOMEGROCER COM INC, 10230 NE POINTS DRIVE, KIRKLAND, WA 98033 (425) 201-7500 - \$250,000,000 COMMON STOCK. (FILE 333-93015 - DEC. 17) (NEW ISSUE)
- SB-2 HANNIBAL CAPITAL CORP, 1244 MAIN STREET, LINFIELD, PA 19468 (610) 495-8413 - 3,705,965 (\$1,852,983) COMMON STOCK (FILE 333-93017 -DEC 17) (NEW ISSUE)
- SB-2 WORLDQUEST NETWORKS INC, 16990 DALLAS PARKWAY, SUITE 220, DALLAS, TX 75248 (972) 818-0460 - \$34,500,000 COMMON STOCK \$1 WARRANTS, OPTIONS OR RIGHTS. \$4,650,000 COMMON STOCK (FILE 333-93019 -DEC 17) (BR. 9)
- S-8 SMARTIRE SYSTEMS INC, 150 13151 VANIER PL, RICHMOND BC, V6V 2J1, (604) 276-9884 - 10,000 (\$16,400) COMMON STOCK (FILE 333-93021 - DEC. 17) (BR. 9)
- S-3 KMART CORP, 3100 W BIG BEAVER RD, TROY, MI 48084 (810) 643-1000 2,000,000 (\$19,000,000) COMMON STOCK. (FILE 333-93023 DEC 17) (BR. 2)
- S-8 UBID INC, 2525 BUSSE RD, ELK GROVE VILLAGE, IL 60007 (847) 860-5000 -180,000 (\$6,660,000) COMMON STOCK (FILE 333-93025 - DEC 17) (BR. 2)
- S-3 XOMA LTD, 2910 SEVENTH ST, BERKELEY, CA 94710 (512) 644-1170 2,768,865 (\$7,441,325) COMMON STOCK. (FILE 333-93029 DEC 17) (BR. 1)
- S-3 ABIOMED INC, 33 CHERRY HILL DR, DANVERS, MA 01923 (508) 777-5410 -1,725,000 (\$76,871,175) COMMON STOCK (FILE 333-93033 - DEC. 17) (BR 5)
- S-1 ITERIS INC, 1515 S MANCHESTER AVE, ANAHEIM, CA 92802 (714) 758-0200 -\$50,000,000 COMMON STOCK (FILE 333-93035 - DEC 17) (NEW ISSUE)
- S-8 AGILE SOFTWARE CORP, ONE ALMADEN BOULEVARD, SAN JOSE, CA 95113 (408) 975-3900 - 3,495,579 (\$246,154,210 68) COMMON STOCK (FILE 333-93037 - DEC. 17) (BR 3)
- S-1 UNIVERSAL ACCESS INC, 100 N RIVERSIDE PLAZA SUITE 2200, CHICAGO, IL 60606 (312) 660-5000 - \$115,000,000 COMMON STOCK (FILE 333-93039 -DEC. 17)
- SB-2 LMKI INC, 1720 E GARRY AVE STE 201, STE 201, SANTA ANA, CA 92705 (949) 475-4500 - 2,514,706 (\$26,404,413) COMMON STOCK (FILE 333-93041 -DEC. 17) (BR 9)
- S-1 OPTICARE HEALTH SYSTEMS INC, 87 GRANDVIEW AVE, WATERBURY, CT 06708 (512) 478-5717 - 4,000,000 (\$15,250,000) COMMON STOCK. (FILE 333-93043 -DEC 17) (BR. 1)
- SB-2 SPECIAL ACQUISITIONS INC, 1945 SOUTH POPLAR ST, DENVER, CO 80224 (303) 758-5057 - 60,000 (\$300,000) COMMON STOCK (FILE 333-93045 -DEC. 17) (NEW ISSUE)
- S-1 PEOPLES COMMUNITY BANCORP INC /DE/, 11 S BROADWAY, LEBANON, OH 45036 (513) 932-3876 - 2,715,713 (\$27,157,130) COMMON STOCK. (FILE 333-93047 -

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DEC. 17) (NEW ISSUE)

- S-3 KOMAG INC /DE/, 1704 AUTOMATION PWY, SAN JOSE, CA 95131 (408) 576-2000 - 3,234,940 (\$10,311,372) COMMON STOCK. (FILE 333-93051 - DEC 17) (BR. 2)
- S-8 PAC-WEST TELECOMM INC, 4210 CORONADO AVE, STOCKTON, CA 95204 (209) 926-3324 - 3,150,000 (\$31,818,880) COMMON STOCK (FILE 333-93053 -DEC. 17) (BR 7)
- S-3 NRG ENERGY INC, 1221 NICOLLET MALL, SUITE 700, MINNEAPOLIS, MN 55403 (612) 373-5300 - 500,000,000 (\$500,00D,000) STRAIGHT BONDS. (FILE 333-93055 - DEC. 17) (BR 2)
- S-8 INTEL CORP, 2200 MISSION COLLEGE BLVD, SANTA CLARA, CA 95052 (408) 765-8080 - 2,211,419 (\$161,168,216.72) COMMON STOCK. (FILE 333-93057 - DEC. 20) (BR. 5)
- S-8 CAMPBELL RESOURCES INC /NEW/. 120 ADELAIDE ST W, STE 1910, TORONTO ONTARIO CANA, A6 (416) 366-5201 - 3,500,000 (\$601,563) COMMON STOCK. (FILE 333-93063 - DEC. 17) (BR 4)
- S-8 MAGIC SOFTWARE ENTERPRISES LTD, 5 HAPLADA STREET, OR YEHUDA ISREAL 60218, L3 (972) 353-8920 - 316,576 (\$11,679,905 37) FOREIGN COMMON STOCK. (FILE 333-11220 - DEC 09) (BR 3)
- F-3 UNILEVER N V. WEENA 455, 3013 AL ROTTERDAM, THE NETHERLANDS, P7 -3,000,000 (\$1,980,000,000) STRAIGHT BONDS. (FILE 333-11226 - DEC 10) (BR 4)
- F-9 BELL CANADA, 1050 COTE DU BEAVER HALL, MONTREAL QUEBEC CANADA H2Z 1S4, A8 (514) 870-1511 - 500,000,000 (\$500,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-11228 - DEC 10) (BR. 7)
- F-6 SETTLEMENT & CUSTODY CLC /ADR/. 60 WALL STREET, NEW YORK, NY 10260 -50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-11230 - DEC. 10) (BR. 99 - NEW ISSUE)
- F-8 BERKLEY PETROLEUM CORP, STE 1250, 202 6TH AVE SW, CALGARY ALBERTA CANADA, A1 (403) 571-3600 - 542,036 (\$3,479,871 12) FOREIGN COMMON STOCK. (FILE 333-11232 - DEC 10) (BR 4)
- F-3 ASM INTERNATIONAL N V, JAN STEENLAAN 9, 3723 BS BILTOVEN, THE NETHERLANDS, P7 (602) 243-4221 - 4,000,000 (\$77,250,000) FOREIGN COMMON STOCK. (FILE 333-11234 - DEC 13) (BR 5)
- S-8 NDS GROUP PLC, 1 HEATHROW BLVD 286 BATH RD, 44-181-476-8000 WEST DRAYTON MIDDLESEX, UB7 ODQ ENGLAND, X0 00000 (212) 852-7000 - 300,000 (\$8,952,000) FOREIGN COMMON STOCK (FILE 333-11236 - DEC 10) (BR 3)
- F-3 GLOBAL LIGHT TELECOMMUNICATIONS INC, 1033 999 WEST HASTINGS ST, VANCOUVER BC CANADA, A1 - 4,336,054 (\$48,780,607) FOREIGN COMMON STOCK (FILE 333-11238 - DEC. 13) (BR. 7)
- S-1 UTSTARCOM INC, 1275 HARBOR BAY PARKWAY, STE 100, ALAMEDA, CA 94502 (510) 864-8800 - \$125,000,000 COMMON STOCK (FILE 333-93069 - DEC 20) (NEW ISSUE)
- S-8 AMERICAN BIOGENETIC SCIENCES INC, 1375 AKRON STREET, P O BOX 1001, COPIAGUE, NY 11726 (516) 789-2600 - 2,000,000 (\$873,767) COMMON STOCK (FILE 333-93071 - DEC 20) (BR. 1)
- S-3 LEAP WIRELESS INTERNATIONAL INC, 10307 PACIFIC CENTER COURT, SAN DIEGO, CA 92121 (877) 977-5327 - 3,450,000 (\$178,753,125) COMMON STOCK (FILE 333-93073 - DEC. 20) (BR. 7)
- S-3 EARTH SCIENCES INC, 910 12TH ST, GOLDEN, CO 80401 (303) 279-7641 -5,031,154 (\$95,950 21) COMMON STOCK (FILE 333-93075 - DEC 20) (BR 4)
- SB-2 EAGLE BANCORP/MT, 1400 PROSPECT AVE, HELENA, MT 59604 (406) 442-3080 -1,010,059 (\$8,080,472) COMMON STOCK. (FILE 333-93077 - DEC. 20) (NEW ISSUE)

- SB-2 FENWAY INTERNATIONAL INC, 308-409 GRAINVILLE ST., VANCOUVER, (604) 844-2265 - 12,374,962 (\$22,274,931 60) COMMON STOCK (FILE 333-93079 - DEC. 20) (BR 9)
- S-4 HOUSEHOLD INTERNATIONAL INC, 2700 SANDERS RD, PROSPECT HEIGHTS, IL 60070 (847) 564-5000 - 5,000,000 COMMON STOCK. (FILE 333-93081 - DEC. 20) (BR. 7)
- S-8 AAROW ENVIRONMENTAL GROUP INC, 1505 W WALNUT, ROGERS, AR 72756 (501) 621-0044 - 800,000 (\$160,000) COMMON STOCK (FILE 333-93083 -DEC 20) (BR. 2)
- S-8 TRANSTECHNOLOGY CORP, 150 ALLEN RD, LIBERTY CORNER, NJ 07938 (908) 903-1600 - 300,000 (\$3,412,500) COMMON STOCK. (FILE 333-93085 -DEC 20) (BR. 6)
- S-3 VIZACOM INC, 3A OAK RD, FAIRFIELD, NJ 07004 (972) 808-1992 1,938,191 (\$6,009,285.87) COMMON STOCK (FILE 333-93087 - DEC. 20) (BR. 3)
- S-8 REGENESIS HOLDINGS INC, 1555 N PARK DRIVE, SUITE 103, WESTON, FL 33326 (954) 217-3744 - 1,000,000 (\$287,500) COMMON STOCK (FILE 333-93089 -DEC. 20) (BR. 5)
- S-8 DA CONSULTING GROUP INC, 5847 SAN FELIPE RD STE 3700, HOUSTON, TX 77057 (713) 361-3000 - 700,000 (\$2,493,750) COMMON STOCK (FILE 333-93091 -DEC 20) (BR. 8)
- S-8 SCHULMAN A INC, 3550 W MARKET ST, AKRON, OH 44333 (216) 666-3751 2,000,000 (\$31,062,500) COMMON STOCK. (FILE 333-93093 DEC 20) (BR 6)
- S-8 RF MICRO DEVICES INC, 7625 THORNDIKE ROAD, GREENSBORO, NC 27409 (910) 664-1233 - 8,080,000 (\$516,511,483 38) COMMON STOCK. (FILE 333-93095 - DEC. 20) (BR. 5)
- S-8 CALIFORNIA AMPLIFIER INC, 460 CALLE SAN PABLO, CAMARILLO, CA 93012 (805) 987-9000 - 1,000,000 (\$24,437,500) COMMON STOCK. (FILE 333-93097 -DEC 20) (BR. 7)
- S-8 BELL & HOWELL CO/, 5215 OLD ORCHARD RD, SKOKIE, IL 60077 (847) 470-7100 - 100,000 (\$2,990,625) COMMON STOCK (FILE 333-93099 - DEC 20) (BR 3)
- S-8 THOMAS & BETTS CORP, 8155 T&B BOULEVARD, MEMPHIS, TN 38125 (901) 252-7766 - 500,000 (\$14,515,625) COMMON STOCK (FILE 333-93101 -DEC 20) (BR 5)
- S-8 AMERICAN ECOLOGY CORP, 805 W IDAHO, STE 200, BOSIE, ID 83702 (208) 331-8400 - 500,000 (\$750,000) COMMON STOCK. (FILE 333-93105 -DEC 20) (BR 6)
- S-8 PBOC HOLDINGS INC, 5900 WILSHIRE BLVD, LOS ANGELES, CA 90036 (323) 954-6653 - 1,220,390 (\$15,605,913) COMMON STOCK. (FILE 333-93107 -DEC 20) (BR. 7)
- S-8 ARI NETWORK SERVICES INC /WI, 330 EAST KILBOURN AVE, SUITE 200, MILWAUKEE, WI 53202 (414) 278-7676 - 837,500 (\$5,718,534) COMMON STOCK (FILE 333-93109 - DEC. 20) (BR. 3)
- S-8 ALPHATRADE COM, 400 B 111 WEST GEORGIA ST, VANCOUVER, (604) 681-7503 2,075,000 (\$4,490,625) COMMON STOCK. (FILE 333-93111 DEC 20) (BR. 9)
- S-8 NATIONAL ENVIRONMENTAL SERVICE CO, 12331 EAST 60TH ST, TULSA, OK 74146 (918) 250-2227 - 1,043,735 (\$2,902,863) COMMON STOCK (FILE 333-93113 -DEC 20) (BR 9)
- S-8 LAM RESEARCH CORP, 4650 CUSHING PKWY, FREMONT, CA 94538 (510) 659-0200 - 1,000,000 (\$85,130,000) COMMON STOCK. (FILE 333-93115 - DEC 20) (BR 5)
- S-8 APPLIED DIGITAL SOLUTIONS INC, 400 ROYAL PALM WAY, SUITE 410, PALM BEACH, FL 33480 (561) 366-4800 - 1,500,000 (\$11,686,050) COMMON STOCK. (FILE 333-93117 - DEC 20) (BR 7)

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- S-3 MARK SOLUTIONS INC, 1515 BROAD ST, PARKWAY TECHNICAL CENTER, BLOOMFIELD, NJ 07003 (973) 893-0500 - 1,062,500 (\$3,419,922) COMMON STOCK. (FILE 333-93119 - DEC. 20) (BR. 6)
- S-8 FRANKLIN ELECTRIC CO INC, 400 E SPRING ST, BLUFFTON, IN 46714 (219) 824-2900 - 50,000 (\$3,562,500) COMMON STOCK (FILE 333-93121 -DEC. 20) (BR. 5)
- S-1 ALTEON WEBSYSTEMS INC. 50 GREAT OAKS BOULEVARD, SAN JOSE, CA 95119 (408) 360-5500 - 5,750,000 (\$523,250,000) COMMON STOCK. (FILE 333-93123 -DEC 20) (BR. 5)
- S-8 SCHWAB CHARLES CORP, 120 KEARNEY STREET, SAN FRANCISCO, CA 94104 (415) 627-7000 - 9,200,000 (\$328,037,500) COMMON STOCK (FILE 333-93125 -DEC 20) (BR. 7)
- S-8 U S LIQUIDS INC, 411 N SAM HOUSTON PARKWAY EAST, STE 400, HOUSTON, TX 77060 (281) 272-4500 - 500,000 (\$3,656,250) COMMON STOCK. (FILE 333-93129 - DEC. 20) (BR. 6)
- SB-2 IMAGEWARE SOFTWARE INC, 10883 THORNMINT RD, 619-673-8600, SAN DIEGO, CA 92127 (619) 673-8600 - 1,875,000 (\$28,125,000) COMMON STOCK (FILE 333-93131 - DEC. 20)
- S-4 CALICO COMMERCE INC/, 333 WEST SAN CARLOS ST STE 300, SAN JOSE, CA 95110 - 1,430,151 (\$65,658,941) COMMON STOCK. (FILE 333-93133 - DEC 20) (BR. 8)
- S-3 AVI BIOPHARMA INC, ONE S W COLUMBIA, STE 1105, PORTLAND, OR 97258 (503) 227-0554 - 928,577 (\$4,962,083) COMMON STOCK (FILE 333-93135 -DEC. 21) (BR. 9)
- SB-2 VITAFORT INTERNATIONAL CORP, 1800 AVE OF THE STARS STE 480, LOS ANGELFS, CA 90067 (310) 552-6393 - 3,864,257 (\$1,186,104) COMMON STOCK (FILE 333-93137 - DEC. 21) (BR. 4)
- S-3 BANKATLANTIC BANCORP INC, 1750 E SUNRISE BLVD, FORT LAUDERDALE, FL 33304 (954) 760-5000 - 150,000,000 (\$150,000,000) STRAIGHT BONDS (FILE 333-93139 - DEC. 21) (BR. 7)
- S-8 OBJECT DESIGN INC, 25 MALL RD, BURLINGTON, MA 01803 (617) 674-5000 1,000,000 (\$10,100,000) COMMON STOCK. (FILE 333-93141 DEC 20) (BR 3)
- S-4 GB&T BANCSHARES INC, P O BOX 2760, 500 JESSE JEWELL PARKWAY S E, GAINSVILLE, GA 30503 - 712,038 (\$5,026,902.30) COMMON STOCK (FILE 333-93143 - DEC. 20) (BR. 7)
- SB-2 DIAMOND POWERSPORTS INC. 10145 NW 46TH ST, SUNRISE, FL 33351 (954) 749-8606 - 4,000,000 (\$1,000,000) COMMON STOCK (FILE 333-93145 -DEC. 21)
- S-8 USA BIOMASS CORP, 52300 ENTERPRISE WAY, COACHELLA, CA 92236 (760) 398-9520 - 320,000 (\$1,300,000) COMMON STOCK (FILE 333-93147 -DEC. 21) (BR. 4)
- S-1 UNITED STATES TELECOMMUNICATIONS INC/FL, 5251 110TH AVENUE NORTH SUITE 118, CLEARWATER, FL 33760 (727) 572-7832 -\$29,677,218 COMMON STOCK. (FILE 333-93149 - DEC 21) (BR 7)
- S-8 ENGAGE TECHNOLOGIES INC, 100 BRICKSTONE SQUARE, ANDOVER, MA 01810 (978) 684-3884 - 15,000,000 (\$428,714,801) COMMON STOCK (FILE 333-93151 -DEC 21) (BR 8)
- S-8 ENGAGE TECHNOLOGIES INC, 100 BRICKSTONE SQUARE, ANDOVER, MA 01810 (978) 684-3884 - 250,000 (\$7,525,000) COMMON STOCK (FILE 333-93153 -DEC. 21) (BR. 8)
- S-3 ESHARE TECHNOLOGIES INC/GA, 5051 PEACHTREE CORNERS CIRCLE, NORCROSS, GA 30092 (770) 239-4000 - 6,060,000 (\$51,992,188) COMMON STOCK. (FILE 333-93155 - DEC. 21) (BR 7)
- S-3 HOST MARRIOTT CORP/, 10400 FERNWOOD ROAD DEPT 907, BETHESDA, MD 20817
   (301) 380-9000 3,129,534 (\$24,938,474) COMMON STOCK (FILE 333-93157 -

DEC. 21) (BR 8)

- S-3 SMITH CHARLES E RESIDENTIAL REALTY INC, 2345 CRYSTAL DR, CRYSTAL CITY ARLINGT, VA 22202 (703) 920-8500 - 2,597,403 (\$81,737,025 66) COMMON STOCK. (FILE 333-93159 - DEC. 21) (BR. 8)
- S-3 THOMAS & BETTS CORP, 8155 T&B BOULEVARD, MEMPHIS, TN 38125 (901) 252-7766 - 85,566 (\$2,484,087.94) COMMON STOCK. (FILE 333-93161 -DEC. 21) (BR. 5)
- S-3 WESTFIELD AMERICA INC, 11601 WILSHIRE BLVD 12TH FLOOR, LOS ANGELES, CA
   90025 (310) 445-2427 978,378 (\$12,290,873.62) COMMON STOCK. (FILE
   333-93163 DEC. 21) (BR. 8)
- S-3 WESTFIELD AMERICA INC, 11601 WILSHIRE BLVD 12TH FLOOR, LOS ANGELES, CA 90025 (310) 445-2427 - 1,185,854 (\$14,897,290 87) COMMON STOCK. (FILE 333-93165 - DEC. 21) (BR. 8)
- S-3 INFOSPACE COM INC, 15375-96TH AVENUE N E , REDMOND, WA 98052 (425) 882-1602 - 779,825 (\$112,294,800) COMMON STOCK. (FILE 333-93167 -DEC 21) (BR. 3)

### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address. <publicinfo@sec gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO. 123456789 DATE COMMENT
AAMES CAPITAL CORP	CA	X 11/18/99
ACCUFACTS PRE EMPLOYMENT SCREENING INC	DE	X 10/13/99 AMEND
AFC ENTERPRISES INC	MIN	X 12/10/99
AFG RECEIVABLES CORP	CA	X 12/15/99
AFG RECEIVABLES CORP	CA	X 12/15/99
ALBANY MOLECULAR RESEARCH INC	NY	X 11/30/99
ALL AMERICAN SPORTPARK INC	NV	X X 12/16/99
ALVEY SYSTEMS INC	DE	X 12/15/99
AMARILLO BIOSCIENCES INC	TX	X 11/30/99
AMERICAN ACCESS TECHNOLOGIES INC	FL	X X 12/06/99
AMERICAN REAL ESTATE PARTNERS L P	DE	X X 12/22/99
AMERICAN WAGERING INC	NV	X 12/17/99
AMERUS LIFE HOLDINGS INC	IA	X 12/17/99

Martin C. K. L. Review conference and polytometer

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STATE 8K ITEM NO.

NAME OF ISSUER	CODE	1		4			9 DATI	E C	OMMENT
AMM HOLDINGS INC	DE		x			x	12/0	3/99	
ANNIES HOMEGROWN INC	DE	х				х	12/0	2/99	
ANNIES HOMEGROWN INC	DE	X				х	12/0	2/99	AMEND
APPLIED DIGITAL SOLUTIONS INC	MO					х	11/2	8/99	AMEND
ARAHOVA COMMUNICATIONS INC	DE		х			х	12/0	7/99	
ARIBA INC	DE			2	Х	х	12/1	5/99	
ASSET BACKED SEC CORP HOME EQUITY L OAN TR 1999-LB1	DE				X	x	12/2	1/99	
AVNET INC	NY				Х	х	12/2	2/99	
AVT CORP	WA				X		12/2	3/99	
BANC ONE ABS CORP	OH				х	Х	12/1	5/99	
BANC ONE AUTO GRANTOR TRUST 1996-A	NY				х	х	12/1	5/99	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY			:	X	х	12/2	0/99	
BANK ONE TEXAS NATIONAL ASSOCIATION					X	х	12/2	0/99	
BANKNORTH GROUP INC /NEW/ /DE/	DE				X	х	12/2		
BATTERIES BATTERIES INC	DE			X		х			AMEND
BEI MEDICAL SYSTEMS CO INC /DE/	DE		х			х	12/0		
BELL ATLANTIC CORP	DE				X	х	12/2		
BION ENVIRONMENTAL TECHNOLOGIES INC		X	х		X	х	12/1	· .	
BJ SERVICES CO	DE				х		12/1		
BLC FINANCIAL SERVICES INC	DE				Х	х	12/2		
BLINI HUT INC	NV	х	x		х		12/1		
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	DE					х	11/3		
BOMBARDIER CREDIT RECEIVABLES CORP	DE					х	11/3		
BTG INC /VA/	VA				Х	х	12/1		
BURLINGTON NORTHERN SANTA FE CORP	DÈ				X	х	12/1		
BURLINGTON NORTHERN SANTA FE CORP	DĒ				Х	х	12/2		
BUTLER NATIONAL CORP	DE				х		12/2		
CARRIZO OIL & GAS INC	TX				X	х	12/1		
CASE RECEIVABLES II INC	DE				Х	х	12/1		
CASELLA WASTE SYSTEMS INC	DE		х			Х	12/1		
CBS CORP	PA		x			х	12/0		
CEC PROPERTIES INC	DE			X		х			AMEND
CECO ENVIRONMENTAL CORP	NY		x				12/0	· .	
CENTRAL AMERICAN EQUITIES INC	FL			X		х			AMEND
CENTURA BANKS INC	NC	х					12/2		
CHARMING SHOPPES MASTER TRUST	DE				X		12/1		
CHARMING SHOPPES RECEIVABLES CORP	DE				X	.,	12/1		
CHARTWELL RE HOLDINGS CORP	DE				X	X	12/2		
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X	х	12/1		
CHASE CREDIT CARD MASTER TRUST	NY				Х	х	12/1		
CHASE CREDIT CARD MASTER TRUST	NY				x	х	12/1		
CHASE CREDIT CARD MASTER TRUST	NY				X	х	12/1		
CHASE MANHATTAN AUTO GRANTOR TRUST 1996-B	NY				x	x	12/1	5/99	
CHASE MANHATTAN AUTO OWNER TRUST 19 96-C	DE				х	х	12/1	5/99	
CHASE MANHATTAN AUTO OWNER TRUST 19 97-A	DE				х	х	12/1	5/99	
CHASE MANHATTAN AUTO OWNER TRUST 19 97-B	DE				х	x	12/1	5/99	1
CHASE MANHATTAN AUTO OWNER TRUST 19 98-A	DE				x	x	12/1	5/99	
CHASE MANHATTAN AUTO OWNER TRUST 19 98-B	NY				х	х	12/1	5/99	
98-B CHASE MANHATTAN AUTO OWNER TRUST 19 98-C	NY				х	х	12/1	5/99	1
98-C CHASE MANHATTAN BANK /NY/	NY				х	x	12/1	5/99	•
	NY				x	x	12/1		
CHASE MANHATTAN BANK /NY/	1 11						10/1	-, , , ,	

NAME OF ISSUER	CODE		678	9 DATE COMMENT
CHASE MANHATTAN BANK /NY/	NY	x		12/15/99
CHASE MANHATTAN BANK /NY/	NY	х	х	12/15/99
CHASE MANHATTAN BANK /NY/	NY	x	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	x	х	12/15/99
CHASE MANHATTAN BANK USA	DE	x	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	DE	х	х	12/15/99
CHASE MANHATTAN BANK USA	LE	х	х	12/15/99
CHASE MANHATTAN BANK USA CHASE CREE	DE	х	х	12/15/99
IT CARD OWNER TR 1999-3				,,,
CHASE MANHATTAN CREDIT CARD MASTER	DE	x	х	12/15/99
CHASE MANHATTAN GRANTOR TRUST 1996-	NY	х	х	12/15/99
CHASE MANHATTAN HOME EQUITY LOAN TR UST 1995-1	NY	х	x	12/15/99
CHASE MANHATTAN MARINE OWNER TRUST 1997-A	NY	х	х	12/15/99
CHASE MANHATTAN RV OWNER TRUST 1997	DE	х	х	12/15/99
CHECKFREE HOLDINGS CORP \GA\	DE	х	х	12/20/99
CHOICETEL COMMUNICATIONS INC /MN/	MN	x		11/15/99
CISCO SYSTEMS INC	CA	X		12/20/99
	DE	x	~	12/17/99
CITIZENS FINANCIAL CORP/DE/				
CLASSIFIED ONLINE COM	NV	X	v	09/28/99
COLORADO MEDTECH INC	CO	X		12/22/99
COMCAST CABLE COMMUNICATIONS INC	DE	X		12/22/99
COMCAST CORP	PA	х	X	12/22/99
COMMERCIAL MORTGAGE PASS THROUGH CE RT SER 1999-CG3			x	12/10/99
COMMONWEALTH BANCORP INC	PA	x		12/21/99
CONDEV LAND FUND III LTD	FL	х		12/23/99
CONDEV LAND GROWTH FUND 86 LTD	FL	х	х	
CONSOLIDATED GRAPHICS INC /TX/	тх	х	х	12/15/99
CONSOLIDATED GRAPHICS INC /TX/	TX	х	х	12/15/99 AMEND
CONSOLIDATED GRAPHICS INC /TX/	ТX	х	х	12/21/99
CRANE CO /DE/	DE	х	х	12/16/99
CRIIMI MAE INC	MD	х		12/22/99
CROSSWALK COM	DE	х	х	12/22/99
D&E COMMUNICATIONS INC	PA	х	х	12/16/99
DATA CRITICAL CORP	DE	х	х	12/17/99
DESIGNS INC	DE	х		12/22/99
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE	х	х	11/30/99
DLJ COMMERCIAL MORT COMM PASS THR C ER SER 1999-CG2			х	12/10/99
DLJ COMMERICAL MORT COMM MORT PASS THR CER SER 1999-CG1	DE		х	12/10/99
DOW JONES & CO INC	DE	х		12/31/99
E NET INC	DE	X	х	12/20/99
E NET INC EDISON MISSION ENERGY	CA	x	x	12/20/99
ELECTRONIC BUSINESS SERVICES INC ELECTRONIC RETAILING SYSTEMS INTERN	FL DE	x x	х	12/23/99 12/15/99
ATIONAL INC EMERGING ALPHA CORP	DE	x	хх	10/29/99 AMEND

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	STATE		8K	I	TEM	NO				
NAME OF ISSUER	CODE	1	23	4	56	57	89	DATE	C(	OMMENT
EMUSIC COM INC	DE				x	х		11/15/	99	AMEND
EMUSIC COM INC	DE				х	х		11/29/	99	AMEND
ENTERCOM COMMUNICATIONS CORP	PA		х			х		12/23/	99	
ENVIRONMENTAL REMEDIATION HOLDING C	co				х			12/22/	99	
ESCALADE INC	IN		х					12/08/	99	
EUROWEB INTERNATIONAL CORP	DE			Х				12/15/	99	
EXCELSIOR HENDERSON MOTORCYCLE MANU FACTURING CO	j min		х			х		12/21/	99	
FEDERAL AGRICULTURAL MORTGAGE CORP		Х						12/23/	99	
FIRST FEDERAL BANCORP INC/OH/	OH			X				12/17/	99	
FIRST OF AMERICA CRED CAR MA TRU FI RA AS BAC CE SER 1995-1	ı					X		12/15/	99	
FIRST PLACE FINANCIAL CORP	NM				x	x		12/16/	99	
FIRST ROBINSON FINANCIAL CORP	DE				x	x		12/15/	99	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-A	UT	x						11/25/	99	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-B	UT	x						11/25/	99	
FIRST SECURITY AUTO GRANTOR TRUST 1	UT	x						11/23/	99	
998-A FIRST SECURITY AUTO OWNER TRUST 199 8-1	UT	х						11/23/	99	
FIRST SECURITY AUTO OWNER TRUST 199 9 1	UT	х						11/25/	99	
FIRST SECURITY AUTO OWNER TRUST 199 9 1	UT	x						11/25/	99	
FIRST SECURITY AUTO OWNER TRUST 199 9-2	UT	х						11/25/	99	
FIRST SIERRA RECEIVABLES II INC	DE	v	x			х		12/01/	٥٥	
FIRST SIERRA RECEIVABLES II INC	DE	x				x		12/01/		
FIRST WASHINGTON REALTY TRUST INC	MD	л			х	•		12/01/		
FJS PROPERTIES FUND I LP	DE			х		х		12/13/		AMEND
FLAG FINANCIAL CORP	GA		х					12/23/		
FLEXTRONICS INTERNATIONAL LTD					х	х		12/23/		
FRANCHISE FINANCE CORP OF AMERICA	DE				х	х		12/14/	99	
GENERAL INSTRUMENT CORP	DE				х			12/22/	99	
GPU INC /PA/	PA				х	х		12/21/	99	
GREAT TRAIN STORE CO	DE				х	х		12/22/	99	
GROW BIZ INTERNATIONAL INC	MN		х			х		12/10/	99	
HIGH PLAINS CORP	KS				х			12/09/	99	
HIGHTIDE INC	NV	х	х		хх	X		12/14/	99	
HIGHWOODS PROPERTIES INC	MD				х	х		12/10/		
HIGHWOODS REALTY LTD PARTNERSHIP	NC				х	х		12/10/		
HOME STAKE OIL & GAS CO HONDA AUTO RECEIVABLES 1999-1 OWNER	ok C <b>a</b>				x x	х		12/22/ 10/31/		
TRUST HONDA AUTO RECEIVABLES 1999-1 OWNER	CA				x			11/30/	99	
TRUST HOUSEHOLD AUTOMOBILE REVOLVING TRUS	DE					х		12/17/	99	
T I HOUSEHOLD AUTOMOTIVE TRUST III SERI	DE					х		12/17/	99	
ES 1999-1 HOUSEHOLD CONSUMER LOAN TRUST 1996-	DE					x		12/14/	99	
1 HOUSEHOLD CONSUMER LOAN TRUST 1996-	DE					x		12/14/9	99	
2 HOUSEHOLD CONSUMER LOAN TRUST 1997-	DE					x		12/14/9	99	
1 HOUSEHOLD CONSUMER LOAN TRUST 1997- 2	DE					x		12/14/9	99	

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NAME OF ISSUER

STATE 8K ITEM NO. CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT

### ..... HOUSEHOLD CREDIT CARD MASTER TRUST DE х 12/15/99 T HOUSEHOLD FINANCE CORP HOUSEHOLD AF DE x 12/15/99 F CRE CAR MAS TR I HOUSEHOLD FINANCE CORP HOUSEHOLD CO DE х 12/14/99 NSUMER LN TRUST 1995-1 / HUTTIG BUILDING PRODUCTS INC х х 12/16/99 ILINK TELECOM INC NV хх х х 12/10/99 IMAGEX COM INC WA х х 12/09/99 IMC GLOBAL INC DE Х 12/07/99 INFINITY BROADCASTING CORP /DE/ DE х х 12/07/99 INFOCURE CORP DE х х 12/22/99 INSILCO CORP/DE/ DE х х 12/20/99 INSILCO HOLDING CO DE х х 12/20/99 INTEGRITY HOLDINGS LTD NV х х 12/07/99 INTELECT COMMUNICATIONS INC DE х х 12/21/99 INTERNET LAW LIBRARY INC DE х 12/10/99 Х INTERVU INC DE х х 12/23/99 INVESTORS FIRST STAGED EQUITY L P DE х х 12/16/99 JONES INTERCABLE INC CO х х 12/22/99 KANSAS CITY SOUTHERN INDUSTRIES INC DE х х 12/06/99 х х KERAVISION INC /DE/ DE 12/23/99 DE х KEY CONSUMER ACCEPTANCE CORP х 12/15/99 DE х KEY CONSUMER ACCEPTANCE CORP х 12/15/99 DE х 12/15/99 KEY CONSUMER ACCEPTANCE CORP. х DE KEY CONSUMER ACCEPTANCE CORP. х х 12/15/99 KEY CONSUMER ACCEPTANCE CORP DE х х 12/15/99 KROLL O GARA CO ОН Х 12/22/99 K2 INC DE х 10/07/99 AMEND LABORATORY CORP OF AMERICA HOLDINGS DE х х 12/13/99 LABORATORY CORP OF AMERICA HOLDINGS DE х х 12/16/99 Х LAKES GAMING INC MN х 12/22/99 LANNETT CO INC DE х х 12/10/99 AMEND х х LASALLE RE HOLDINGS LTD 12/19/99 LIGAND PHARMACEUTICALS INC DE х х 12/20/99 DE LINCOLN SNACKS CO х 12/22/99 LINENS N THINGS INC DE X 12/15/99 х LNB BANCORP INC OH 12/31/99 LONG DISTANCE INTERNATIONAL INC х х 12/17/99 NC х M&F BANCORP INC /NC/ х 12/14/99 MAKER COMMUNICATIONS INC DE Х 12/18/99 co х х MEDICAL DYNAMICS INC 12/21/99 MEDICAL GRAPHICS CORP /MN/ MN х х 12/21/99 MELLON BANK PREMIUM FINANCE LOAN MA NY Х х 10/31/99 STER TRUST х х MERRILL LYNCH & CO INC DE 12/22/99 MERRILL LYNCH INV/FIRST FRAN MOR LN DE Х х 12/20/99 AS BK CER SR 1998-FF3 MERRILL LYNCH MORT INVST INC MOR LN DE x х 12/20/99 ASSET BK CER SE 1999 NC1 MERRILL LYNCH PIERCE FENNER & SMITH DE х х 11/19/99 INC хх MESA COUNTY BREWING CO /CO 12/15/99 METRETEK TECHNOLOGIES INC DE х х 12/09/99 METROTRANS CORP. GA Х хх 12/21/99 MMT COMPANTES INC DE х 12/20/99 х х MODEM MEDIA POPPE TYSON INC DE 12/20/99 MOLL INDUSTRIES INC DE х Х 12/08/99 х х MONSANTO CO DE 12/22/99

MORGAN STANLEY DEAN WITTER & CO

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12/20/99

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STATE 8K ITEM NO.

NAME OF ISSUER	CODE	12	3 4	4 5	6	7 (	39	DATE	C	OMMENT
MPATH INTERACTIVE INC/CA	DE	x	•••	•••	• • •	x	• • •	10/22,	/99	AMEND
MYR GROUP INC	DE			Х	۲.	х		12/22/	/99	
NATIONAL BANCORP OF ALASKA INC	DE			Х	:	х		12/21/	199	
NATIONSLINK FUNDING CORP COMM MORT	DE					х		12/08,	/99	AMEND
PASS THR CERT SER 1999-2										
NBT BANCORP INC	DE			Х		х		12/16,		
NETMANAGE INC	DE			Х		x		12/10/		
NETRIX CORP NETWORK EVENT THEATER INC	DE			Х		X		12/22/		
NEUBERGER BERMAN INC	DE DE			х	,	x x				AMEND
NEW ENGLAND REALTY ASSOCIATES LIMIT		x		^	•	x		12/08/		
ED PARTNERSHIP	1.122	л				•		12/03/	"	
NEW YORK BAGEL ENTERPRISES INC	KS			х				12/22/	/99	
NEWMARK HOMES CORP	NV			X		x		12/15/		
NEWSOUTH BANCORP INC	VA			х		х		12/10/		
NEXTEL COMMUNICATIONS INC	DE			Х	:	х		12/22/	/99	
NEXTEL COMMUNICATIONS INC	DE			Х	:			12/23/	/99	
NEXTPATH TECHNOLOGIES INC	NV	х				х		12/14/	<b>'</b> 99	
NFO WORLDWIDE INC	DE			Х	:	х		12/23/	′99	
NHANCEMENT TECHNOLOGIES INC	DE		2	K				09/20/	<b>'</b> 99	
NIAGARA MOHAWK HOLDINGS INC/NY	NY			Х		х		12/22/	<b>'</b> 99	
NIAGARA MOHAWK POWER CORP /NY/	NY			Х		х		12/22/	<b>'</b> 99	
NISSAN AUTO RECEIVABLES CORP /DE	DE	Х						11/15/	<b>'</b> 99	
NOCOPI TECHNOLOGIES INC/MD/	MD	Х				Х		12/15/	<b>'99</b>	
NORTH VALLEY BANCORP	CA	•		Х		х		12/23/	′99	
NORTHERN STATES POWER CO /MN/	MN			Х				12/15/	'99	
NUCENTRIX BROADBAND NETWORKS INC	DE			Х		х		06/17/	′99	AMEND
NUTRAMAX PRODUCTS INC /DE/	. DE			Х		х		12/21/	'99	
OPEN PLAN SYSTEMS INC	VA	х						12/07/		
OUTSOURCING SOLUTIONS INC	DE	х						12/10/		
PANJA INC	TX			Х		х		09/03/		
PARAVANT INC	FL			X				12/10/		
PATRICK INDUSTRIES INC	IN			, Х ,				12/21/		NUT
PEDIATRIX MEDICAL GROUP INC PEOPLES HERITAGE FINANCIAL GROUP IN	FL ME		3	` x		x x		12/13/ 12/22/		AMEND
C	PIE			~		Λ		12/22/	"	
PETROLEUM HELICOPTERS INC	DE	х						12/16/	'99	
PHARMACEUTICAL FORMULATIONS INC	DE			х				12/15/	99	
PHARMACIA & UPJOHN INC	DE			Х		х		12/22/	99	
PHILIP SERVICES CORP			2	(		х		12/01/	'99	AMEND
PHILLIPS PETROLEUM CO	DE			Х		х		12/16/	'99	
PHOSPHATE RESOURCE PARTNERS LIMITED PARTNERSHIP	DE			х				12/07/	99	
PHOTOGEN TECHNOLOGIES INC	NV			Х				12/23/	99	
PMCC FINANCIAL CORP	DE			Х		х		12/22/	99	
PNC MORTGAGE SECURITIES CORP MORT P	DE			Х		х		12/22/	99	
ASS THR CERT SER 1999-12										
PP&L RESOURCES INC	PA			Х				12/17/	99	
PREFERRED NETWORKS INC	GA	Х				х		12/10/	99	
PRIME GROUP REALTY TRUST	MD	Х				х		12/13/	99	
PRIMEDEX HEALTH SYSTEMS INC	NY		2	C .				12/01/	99	
PRIMEDEX HEALTH SYSTEMS INC	NY		>	(				12/01/		AMEND
PRINTONTHENET COM INC	DE		2	C		х		12/20/		
PRUDENTIAL SECURITIES SECURED FINAN	DE	ХХ				х		12/01/	99	
CING CORP										
PS GROUP HOLDINGS INC	DE			х		х		12/18/		
PSC INC	NY	1	х	_				12/22/		
QUALCOMM INC/DE	DE			х 		x		12/20/		
QUEPASA COM INC	NV			X		X		12/23/		
RAINFOREST CAFE INC	MN			X		Х		12/21/		
RAMTRON INTERNATIONAL CORP	DE			х				12/13/	99	

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NAME OF ISSUER	CODE			4 5		9 DATE	C	OMMENT
RARE MEDIUM GROUP INC	DE				x	11/12	2/99	AMEND
REALTY PARKING PROPERTIES LP	DE	х	:			12/09	/99	)
RECOTON CORP	NY			х		12/17	/99	)
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE			x	х	12/21	/99	)
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE			х	х	12/22	/99	)
RGS ENERGY GROUP INC	NY			х	х	12/22	/99	)
ROCHESTER GAS & ELECTRIC CORP	NY			х	х	12/22	:/99	•
ROSE HILLS CO	DE			х	х	12/22	/99	)
SAGENT TECHNOLOGY INC	DE	х			х	12/11	/99	•
SCOTTISH ANNUITY & LIFE HOLDINGS LT D	E9	х			х	10/15	/99	AMEND
SERVICE MERCHANDISE CO INC	TN			х		12/22	/99	•
SHANECY INC	DE			х	х	12/20	/99	i i i
SHOWPOWER INC	DE			х	х	12/23	/99	r
SIGMA ALDRICH CORP	DE			х		12/20	/99	
SK TECHNOLOGIES CORP	DE				х	12/16	/99	
SLM FUNDING CORP	DE	х				12/28	/99	
SONIC AUTOMOTIVE INC	DE	х			х	12/10	/99	l i
SOUTHPOINT STRUCTURED ASSETS INC	DE				x	12/15	/99	1
SOUTHSHORE CORP /CO	CO			х	х	12/20	/99	
SOUTHWEST BANCORP INC	OK			х	х	12/16	/99	
SOUTHWEST ROYALTIES HOLDINGS INC	DE	х				12/23		
SOUTHWEST ROYALTIES INC	DE	х				12/23		
SPORTS ENTERTAINMENT ENTERPRISES IN C	I CO			x	х	12/16	/99	I
SPORTSLINE USA INC	DE	х			х	12/07	/99	•
STERLING VISION INC	NY			х	х	12/17	/99	
STORAGE TECHNOLOGY CORP	DE			х	х	12/23	/99	
STRATESEC INC	DE			х				AMEND
SUPERGEN INC	DE			х	х	12/22		
TANGIBLE ASSET GALLERIES INC	NV			х	х	12/21		
TANNERS RESTAURANT GROUP INC	тх			х	х	12/21		
TCSI CORP	NV			Х	х	12/23		
TECHDYNE INC	FL			х		12/15		
THERMO ELECTRON CORP	DE			х	х	12/21		
TITAN EXPLORATION INC	DE			х	x	12/13		
TODD AO CORP	DE			x	Х 	12/10		
TOYOTA MOTOR CREDIT RECEIVABLES COR P	CA			Х	x	11/30		
TREMONT ADVISERS INC	DE			х	х	12/10		
TRENWICK GROUP INC	DE			х	х	12/21		
TRIARC COMPANIES INC	DE			х	х	12/23	/99	
TURBODYNE TECHNOLGIES INC	DE				х			AMEND
UNITED DOMINION REALTY TRUST INC	VA			х		12/22	•	
UNITED FINANCIAL BANKING COMPANIES INC	VA			х		12/22	/99	
UNITED FINANCIAL MORTGAGE CORP	IL	Х				12/21	/99	
UNITEL VIDEO INC/DE	DE	Х		х	х	12/08	/99	
USWEB CORP	DE	х			х	12/12	/99	
VIATEL INC	DE			х		12/06	/99	
VIRGINIA GAS CO	DE			х		12/06	/99	
VISX INC	DE			X		12/22	/99	
VORNADO REALTY LP	DE			х	х	11/24	/99	
VORNADO REALTY TRUST	MD			х	Х	11/24	/99	
WESTERFED FINANCIAL CORP	DE			Х	ХХ	12/23	/99	
WESTERN BEEF INC /DE/	DĒ	х			Х	12/22	/99	
WILSHIRE REAL ESTATE INVESTMENT TRU	MD			х	х	12/23	/99	

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NAME OF ISSUER	STATE CODE		ITEM 4 5 (		DATE COMMENT
WINTRUST FINANCIAL CORP	IL			х	12/23/99 AMEND
WISER OIL CO	DE		х	х	12/13/99
WOMEN COM NETWORKS INC	DE	х		х	12/23/99
WORLD ACCESS INC /NEW/	DE	х		х	12/07/99
XCL LTD	DE	х			12/22/99
XML GLOBAL TECHNOLOGIES INC	со			х	08/27/99 AMEND
YAHOO INC	DE	x		х	07/20/99 AMEND
YESMAIL COM INC	DE	х		х	12/14/99
8X8 INC	DE		х	x	12/17/99

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