# SEC NEWS DIGEST

Issue 96-178

September 18, 1996

## **ENFORCEMENT PROCEEDINGS**

MOTION FOR AN ORDER TO SHOW CAUSE WHY DEFENDANTS SYLVESTER, KRUEGER AND HAWVER SHOULD NOT BE HELD IN CONTEMPT FILED

The Commission announced that on August 29 it filed a motion for an order to show cause why defendants Sylvester, Krueger and Hawver should not be held in contempt for failing to obey a court order in the United States District Court for the Northern District of Illinois against H. Ralph Sylvester, Donald R. Krueger and John C. Hawver for refusing to pay \$4,170 each in fees and costs to the Commission as ordered by the court on August 7, 1996. On September 11, 1996, the court directed the Sylvester and Krueger to appear on October 2, 1996, to show cause why they should not be held in civil contempt of court. Hawver has agreed to pay the fees and costs as directed by the court. [SEC v. Randy, et al., No. 94-C-5902, N.D. Ill.] (LR-15050)

COMPLAINT FOR PERMANENT INJUNCTION AND OTHER RELIEF FILED AGAINST DUANE MIDGLEY, C.P.A.

The Commission announced the filing of a complaint on September 16 in the United States District Court for the District of Utah against Duane V. Midgley, a certified public accountant from Salt Lake City, Utah.

The complaint alleges, among other things, that in December 1991, Midgley issued materially false and misleading audit reports on the initial balance sheets of two new mutual funds known as Public Funding Portfolios, Inc. and American Vision Funds, Inc. Midgley falsely represented in his audit reports that the Funds' balance sheets were presented fairly in conformity with Generally Accepted Accounting Principles; and he had conducted his audits in accordance with Generally Accepted Auditing Standards. In fact, contrary to what was shown on the Funds' balance sheets, the Funds had not been capitalized and did not own any tangible assets. Midgley's false audit reports were filed with the Commission in the Funds' Investment Company Act registration statements. The Commission's complaint seeks a permanent injunction and civil monetary penalties against Midgley based on his violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and

Section 34(b) of the Investment Company Act of 1940. [SEC v. Duane V. Midgley, Civil Action No. 2:96CV-0783G, D. Utah] (LR-15051; AAE Rel. 815)

## CIVIL INJUNCTIVE ACTION FILED AGAINST MICHAEL ROSOFF

The Commission has filed a civil injunctive action against Michael Rosoff (Rosoff) an attorney. The complaint alleges that Rosoff violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, by participating in a fraudulent scheme at Towers Financial Corporation (Towers). Specifically, the complaint alleges that Rosoff participated in the preparation of false and misleading offering documents distributed to purchasers of promissory notes, including financial statements that significantly overstated Towers' revenues from its collection business. The Commission has alleged that Rosoff knew that Towers' method for reporting its collection revenues was not supported by Towers' actual experience, and that Rosoff was also aware that statements to investors about the promissory notes, in offering documents he helped prepare, misleadingly described the promissory notes.

The Commission is seeking a permanent injunction against Rosoff, disgorgement of ill-gotten gains, plus pre-judgement interest, officer-and-director bar, and civil penalties. [SEC v. Michael Rosoff, 96 Civ. 7064, WK, SDNY] (LR-15053; AAE Rel. 816)

# CORRECTION

In the September 17th issue of the Digest we published a summary titled "WINCANTON CORPORATION CONSENTS TO INJUNCTION" which contained an error in the last sentence. The sentence should have read as follows:

Simultaneously with the filing of the Commission's complaint, Wincanton consented to the entry of a Final Judgment granting the relief sought by the Commission and admitted that it had not filed or had filed late the periodic reports as described above.

## SELF-REGULATORY ORGANIZATIONS

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The <u>National Securities Clearing Corporation</u> filed a proposed rule change (SR-NSCC-96-17), which became effective upon filing, to amend NSCC's by-laws and rules by replacing the titles "First Vice President," "Senior Vice President," and "Executive Vice President" with the new title, "Managing Director." Publication of the proposal is expected in the <u>Federal Register</u> during the week of September 16. (Rel. 34-37679)

## PROPOSED RULE CHANGE

The <u>Participants Trust Company</u> filed a proposed rule change (SR-PTC-96-05) to establish a new category of PTC participant, a "Federal Reserve participant." Publication of the proposal is expected in the <u>Federal Register</u> during the week of September 23. (Rel. 34-37684)

## IMMEDIATE EFFECTIVENESS OF PROPOSED AMENDMENTS

The Options Price Reporting Authority filed, pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934, an amendment to its National Market System Plan (SR-OPRA-96-3) for the purpose of making permanent OPRA's current basic service usage-based fee pilot. Publication of the release in the Federal Register is expected during the week of September 23. (Rel. 34-37686)

The Options Price Reporting Authority filed, pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934, an amendment to its National Market System Plan (SR-OPRA-96-4) for the purpose of making permanent OPRA's current foreign currency option service usage-based fee pilot. Publication of the release in the <u>Federal Register</u> is expected during the week of September 23. (Rel. 34-37687; IS-1019)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 MURDOCK COMMUNICATIONS CORP, 1112 29TH AVENUE S W, CEDAR RAPIDS, IA 52404 (319) 362-6900 1,840,000 (\$9,200,000) COMMON STOCK. 920,000 (\$9,200) WARRANTS, OPTIONS OR RIGHTS. 920,000 (\$5,980,000) COMMON STOCK. (FILE 333-5422-C AUG. 13) (BR. 9 NEW ISSUE)
- SB-2 ONTRACK DATA INTERNATIONAL INC, 6321 BURY1 DR, EDEN PRAIRIE, MN 55346 (612) 937-1107 3,105,000 (\$37,260,000) COMMON STOCK. (FILE 333-5470-C AUG. 23) (BR. 9 NEW ISSUE)
- F-9 COMINCO LTD, 500 200 BURRARD ST, VANCOUVER BC CANADA V6C 3L7, A1 (000) 000-0000 190,600,000 (\$190,600,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-5528 SEP. 09) (BR. 1)
- S-8 TRINITY BIOTECH PLC, 3 ROCK RD, SANDYFORD INDUSTRIAL ESTATES, DUBLIN 18 IRELAND, L2 (011) 353-1295 1,000,000 (\$3,718,750) FOREIGN COMMON STOCK. (FILE 333-5532 SEP. 09) (BR. 1)
- SB-2 DEVSYS INC, 280 WEST SHUMAN BLVD, STE 100, NAPERVILLE, IL 60563 1,210,000 (\$9,559,000) COMMON STOCK. 1,750,000 (\$161,140) WARRANTS, OPTIONS OR RIGHTS. 3,526,250 (\$31,233,256) COMMON STOCK. (FILE 333-5534 SEP. 10) (BR. 9 NEW ISSUE)

- S-1 PRIVATE TO PUBLIC INC, 3112 W KENNEDY BLVD, STE 105, TAMPA, FL 33609 (813) 871-5911 100,000 (\$100,000) COMMON STOCK. (FILE 333-5540 SEP. 10) (BR. 1 NEW ISSUE)
- S-8 BUSINESS OBJECTS SA, TOUR CHANTECOQ, 5 RUE CHANTECOQ, PUTEAUX 92800 FRANCE, IO (408) 973-9300 - 1,000,000 (\$17,250,000) COMMON STOCK. (FILE 333-5542 - SEP. 11) (BR. 3)
- S-8 SGL CARBON AKTIENGESELLSCHAFT, RHEINGAUSTRASSE 182, D-65203 WEISBADEN, GERMANY, 18 - 51,000 (\$1,915,560) COMMON STOCK. (FILE 333-5546 - SEP. 10) (BR. 4)
- S-8 GRAFIX TIME CORPORATION, 4155 E JEWELL AVENUE STE 500, DENVER, CO 80222 (303) 758-0073 35,000 (\$70,000) COMMON STOCK. (FILE 333-5548 SEP. 10) (BR. 2)
- S-3 PLUM CREEK TIMBER CO L P, 999 THIRD AVE, SEATTLE, WA 98104 (206) 467-3600 5,750,000 (\$152,720,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-11727 SEP. 11) (BR. 6)
- S-8 MANITOWOC CO INC, 500 S 16TH ST, STE B, MANITOWOC, WI 54221 (414) 684-6621 150,000 (\$4,725,000) COMMON STOCK. (FILE 333-11729 SEP. 11) (BR. 5)
- S-8 MANITOWOC CO INC, 500 S 16TH ST, STE B, MANITOWOC, WI 54221 (414) 684-6621 150,000 (\$4,725,000) COMMON STOCK. (FILE 333-11731 SEP. 11) (BR. 5)
- S-3 NEXTEL COMMUNICATIONS INC, 201 ROUTE 17 N, RUTHERFORD, NJ 07070 (201) 438-1400 1,516,183 (\$23,121,790) COMMON STOCK. (FILE 333-11733 SEP. 11) (BR. 3)
- s-3 NYER MEDICAL GROUP INC, 1292 HAMMOND ST, BANGOR, ME 04401 (207) 942-5273 185,000 (\$2,728,750) COMMON STOCK. (FILE 333-11735 SEP. 11) (BR. 1)
- S-1 MLC HOLDINGS INC, 11150 SUNSET HILLS ROAD, SUITE 110, RESTON, VA 20190 (703) 834-5710 - 1,150,000 (\$10,350,000) COMMON STOCK. (FILE 333-11737 -SEP. 11) (NEW ISSUE)
- S-1 MAZEL STORES INC, 31000 AURORA ROAD, SOLON, OH 44114 (216) 248-5200 2,466,750 (\$37,001,250) COMMON STOCK. (FILE 333-11739 SEP. 11) (NEW ISSUE)
- S-4 DATAWORKS CORP, 5910 PACIFIC CENTER BLVD STE 300, SAN DIEGO, CA 92121 (619) 546-9600 1,800,000 (\$2,740,478) COMMON STOCK. (FILE 333-11741 SEP. 11) (BR. 3)
- S-8 ARMOR ALL PRODUCTS CORP, 6 LIBERTY DR, ALISO VIEJO, CA 92656 (714) 362-0600 800,000 (\$11,822,134) COMMON STOCK. (FILE 333-11743 SEP. 11) (BR. 4)
- S-1 NCO GROUP INC, 1740 WALTON ROAD, BLUE BELL, PA 19422 (610) 832-1440 2,875,000 (\$37,375,000) COMMON STOCK. (FILE 333-11745 SEP. 11) (NEW ISSUE)
- S-8 ASPECT DEVELOPMENT INC, 1300 CHARLESTON RD, MOUNTAIN VIEW, CA 94043 (415) 428-2700 3,563,852 (\$40,696,891.08) COMMON STOCK. (FILE 333-11747 SEP. 11) (BR. 3)
- S-8 COMARCO INC, 22800 SAVI RANCH PARKWAY, SUITE 214, YORBA LINDA, CA 92687 (714) 282-3832 125,000 (\$1,976,625) COMMON STOCK. (FILE 333-11749 SEP. 11) (BR. 4)
- SB-2 WEBSECURE INC, 1711 BROADWAY, CORPORATE CENTER NORTH, SAUGUS, MA 01906
   1,150,000 (\$9,200,000) COMMON STOCK. 1,250,000 (\$256,000)
  WARRANTS, OPTIONS OR RIGHTS. 1,150,000 (\$11,040,000) COMMON STOCK. 1
  (\$100) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-11751 SEP. 11)
- S-8 BIOWHITTAKER INC, 8830 BIGGS FORD RD, WALKERSVILLE, MD 21793 (301) 898-7025 500,000 (\$3,406,250) COMMON STOCK. (FILE 333-11753 SEP. 11) (BR. 1)
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- SB-2 AMERICAN MATERIALS & TECHNOLOGIES CORP, 5915 RODEO RD, LOS ANGELES, CA 90016 (310) 841-5200 463,664 (\$1,993,755.20) COMMON STOCK. (FILE 333-11755 SEP. 11) (BR. 2)
- S-8 TETRA TECH INC, 670 N ROSEMEAD BOULEVARD, PASEDENA, CA 91107 (818) 449-6400 562,500 (\$11,179,688) COMMON STOCK. (FILE 333-11757 SEP. 11) (BR. 4)
- S-8 MICROCIDE PHARMACEUTICALS INC, 850 MAUDE AVE, MOUNTAIN VIEW, CA 94043 (415) 428-1550 1,052,386 (\$4,424,781) COMMON STOCK. (FILE 333-11759 SEP. 11) (BR. 1)
- S-8 WESTMARK GROUP HOLDINGS INC, 355 N E FIFTH AVE, STE 4, DELRAY BEACH, FL 33483 (407) 243-8010 21,000 (\$22,890) COMMON STOCK. (FILE 333-11761 SEP. 11) (BR. 8)
- S-3 HYDRON TECHNOLOGIES INC, 941 CLINT MOORE RD, BOCA RATON, FL 33487 (407) 994-6191 2,313,500 (\$5,429,201) COMMON STOCK. (FILE 333-11765 SEP. 11) (BR. 2)
- S-6 GLICKENHAUS VALUE PORTFOLIOS 1996 EQUITY COLLECTION SERIES 3, C/O GLICKENHAUS & CO, 6 E 43RD ST, NEW YORK, NY 10017 (212) 953-7532 -INDEFINITE SHARES. (FILE 333-11767 - SEP. 11) (BR. 16 - NEW ISSUE)
- SB-2 NOVA TECHNOLOGIES INC /DE, 89 CABOT COURT UNIT L, HAUPPAUGE, NY 11788 (516) 434-8811 1,005,525 (\$2,639,503.10) COMMON STOCK. (FILE 333-11769 SEP. 11) (BR. 6)
- S-8 BARRA INC /CA, 1995 UNIVERSITY AVE STE 400, BERKELEY, CA 94704 (510) 548-5442 30,257 (\$601,358) COMMON STOCK. (FILE 333-11771 SEP. 11) (BR. 3)
- SB-2 EMCLAIRE FINANCIAL CORP, 612 MAIN ST, EMLENTON, PA 16373 (412) 867-2311 230,800 (\$3,115,800) COMMON STOCK. (FILE 333-11773 SEP. 11)
- S-8 NETCOM ON LINE COMMUNICATION SERVICES INC, 3031 TISCH WAY, SAN JOSE, CA 95128 (408) 983-5950 832,142 (\$14,770,521) COMMON STOCK. (FILE 333-11775 SEP. 11) (BR. 3)
- S-3 BOEING CO, P O BOX 3707 MS 1F 31, SEATTLE, WA 98124 (206) 655-2121 1,600,000,000 (\$1,600,000,000) STRAIGHT BONDS. (FILE 333-11777 SEP. 11) (BR. 5)
- S-3 SIGMA DESIGNS INC, 46501 LANDING PKWY, FREMONT, CA 94538 (510) 770-0100 1,123,760 (\$9,130,550) COMMON STOCK. (FILE 333-11779 SEP. 11) (BR. 3)
- S-8 YORK RESEARCH CORP, 280 PARK AVE STE 2700 WEST, NEW YORK, NY 10017 (212) 557-6200 250,000 (\$2,500,000) COMMON STOCK. (FILE 333-11781 SEP. 11) (BR. 4)
- S-3 K TRON INTERNATIONAL INC, ROUTE 55 & 553, BOX 888, PITMAN, NJ 08071 (609) 661-6240 150,000 (\$1,200,000) COMMON STOCK. (FILE 333-11783 SEP. 11) (BR. 5)
- SB-2 BEVERAGE WORKS INC, 2431 WEST COAST HIGHWAY, SUITE 204, NEWPORT BEACH, CA 92663 (310) 642-5643 6,055,745 (\$50,032,210) COMMON STOCK. (FILE 333-11789 SEP. 11)
- S-8 SERVICE EXPERTS INC, 1134 MURFREESBORO RD, NASHVILLE, TN 37217 (615) 367-0003 900,000 (\$14,129,375) COMMON STOCK. (FILE 333-11791 SEP. 11) (BR. 2)
- S-1 TRIANGLE PHARMACEUTICALS INC, 4 UNIVERSITY PLACE, 4611 UNIVERSITY DRIVE, DURHAM, NC 27707 (919) 493-5980 4,600,000 (\$43,700,000) COMMON STOCK. (FILE 333-11793 SEP. 11) (NEW ISSUE)
- S-8 LASERSCOPE, 3052 ORCHARD DR, SAN JOSE, CA 95134 (408) 943-0636 975,000 (\$4,265,401.54) COMMON STOCK. (FILE 333-11795 SEP. 11) (BR. 1)
- S-4 AETNA INDUSTRIES INC, CITIBANK BLDG VETERANS DR, P O BOX 305304, ST THOMAS, VI 00803 (810) 759-2200 - 85,000,000 (\$85,000,000) STRAIGHT BONDS. (FILE 333-11801 - SEP. 11) (NEW ISSUE)

- S-4 CARDINAL HEALTH INC, 5555 GLENDON COURT, DUBLIN, OH 43016 (614) 761-8700 2,244,900 (\$162,855,469) COMMON STOCK. (FILE 333-11803 SEP. 11) (BR. 1)
- S-2 EVERGREEN RESOURCES INC, 1000 WRITER SQ, 1512 LARIMER ST, DENVER, CO 80202 (303) 534-0400 2,300,000 (\$14,806,250) COMMON STOCK. (FILE 333-11805 SEP. 11) (BR. 4)
- S-3 STERLING SOFTWARE INC, 8080 N CENTRAL EXPWY STE 1100, DALLAS, TX 75206 (214) 891-8600 4,478 (\$289,951) COMMON STOCK. (FILE 333-11807 SEP. 12) (BR. 3)
- S-3 STERLING SOFTWARE INC, 8080 N CENTRAL EXPWY STE 1100, DALLAS, TX 75206 (214) 891-8600 4,478 (\$289,951) COMMON STOCK. (FILE 333-11809 SEP. 12) (BR. 3)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER                           | STATE<br>CODE | 8K IT |   | - | DATE     | COMMENT |
|--|---------------|-------|---|---|----------|---------|
| AAMES FINANCIAL CORP/DE                  | DE            |       |   |   | 08/28/9  | P6AMEND |
| ACC CORP                                 | DE            |       | х | X | 09/17/96 |         |
| ACCUSTAFF INC                            | FL            |       | X | x | 09/16/96 |         |
| ACCUSTAFF INC                            | FL            |       | x | ^ | 09/16/9  |         |
| ADAGE INC                                | PA            |       | x |   | 09/13/9  |         |
| AIRPLANES LTD                            | ,,,           |       | x |   | 09/16/9  |         |
| AIRPLANES US TRUST                       | DE            |       | x |   | 09/16/9  | _       |
| AIRSENSORS INC                           | DE            | x     | ^ | x | 07/01/9  |         |
| AMERICAN EXPRESS CENTURION BANK          | UT            | ^     | х | x | 09/09/9  |         |
| AMERICAN EXPRESS RECEIVABLES FINANCING C | DE            |       | x | x | 09/09/9  |         |
| AMERICAN EXPRESS RECEIVABLES FINANCING C | DE            |       | x | x | 09/09/9  |         |
| AMERICAN OILFIELD DIVERS INC             | LA            |       | x | ^ | 09/04/9  |         |
|  |               |       |   | v |          |         |
| AMERICAN RADIO SYSTEMS CORP /MA/         | DE            |       | Х | X | 09/16/9  |         |
| AMERICAN RESOURCES OF DELAWARE INC       | DE            | X     |   | X | 07/03/9  |         |
| AMERICAN RETIREMENT VILLAS PROPERTIES II | CA            | Х     |   |   | 08/23/9  |         |
| AMERICAN WHITE CROSS INC                 | DE            |       | X | X | 09/13/9  |         |
| ANDAL CORP                               | NY            |       | X |   | 08/30/9  |         |
| APPLIED COMPUTER TECHNOLOGY INC          | CO            | X     |   |   | 09/30/9  | 96      |
| BROWN DISC PRODUCTS CO INC               | CO            |       | X | X | 05/15/9  | PAMEND  |
| BT OFFICE PRODUCTS INTERNATIONAL INC     | DE            | X     |   | X | 07/02/9  | 6AMEND  |
| BUCKEYE CELLULOSE CORP                   | DE            | X     |   | X | 09/16/9  | 96      |
| BUFFETS INC                              | MN            |       | x | X | 09/16/9  | 96      |

|   | STATE    | 8K ITEM NO. |        |                           |
|---|----------|-------------|--------|---------------------------|
| NAME OF ISSUER  | CODE     | 123456      | 7 8    | DATE COMMENT              |
|   |          |             |        |                           |
| C TEC CORP  | PA       | X           | X      | 08/30/96                  |
| CAL FED BANCORP INC   | DE       | X           | X      | 09/13/96                  |
| CALIFORNIA MICRO DEVICES CORP   | CA       | X           |        | 09/16/96                  |
| CAPITAL REALTY INVESTORS IV LIMITED PART                              | MD       | X           |        | 09/16/96                  |
| CAPITAL REALTY INVESTORS LTD  | DC       | X           |        | 09/16/96                  |
| CAPITOL HOME EQU LN TR 1990-1 CA HM EQ L                              | MD       | X           |        | 08/30/96                  |
| CB COMMERCIAL HOLDINGS INC  | DE       |             | X      | 07/03/96AMEND             |
| CELGENE CORP /DE/   | DE       |             | X      | 09/16/96                  |
| CENTERIOR ENERGY CORP   | ОН       |             | X<br>X | 09/13/96                  |
| CHASE MANHATTAN BANK N A  |          |             | ^<br>X | 07/31/96AMEND<br>08/29/96 |
| CHASE MANHATTAN BANK N A CHEMED CORP                                  | DE       | x           | ^      | 09/17/96                  |
| CHEMICAL MOR SEC INC MULTI CL MOR PAS TH                              | NY       | ^ x         |        | 03/25/96                  |
| CHEMICAL MORTGAGE SECURITIES INC                                      | NY       |             | X      | 08/15/96                  |
| CHESAPEAKE ENERGY CORP  | DE       |             | X      | 09/04/96                  |
| CHOICES ENTERTAINMENT CORP  | DE       |             | X      | 08/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 07/15/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 08/15/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | X           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | Х           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | X           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | X           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | X           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | X           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       | Х           | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 09/16/96                  |
| CIRCUIT CITY CREDIT CARD MASTER TRUST                                 | DE       |             | X      | 09/16/96                  |
| CLEVELAND ELECTRIC ILLUMINATING CO                                    | OH       |             | X      | 09/13/96                  |
| CNB BANCSHARES INC  | IN       | X           |        | 09/11/96<br>09/13/96      |
| COASTAL CARIBBEAN OILS & MINERALS LTD                                 | D0       | X<br>X      | X      | 09/15/96                  |
| COLOROCS INFORMATION TECHNOLOGIES INC COMMERCIAL NET LEASE REALTY INC | GA<br>DE |             | X      | 09/11/96AMEND             |
| COMPARATOR SYSTEMS CORP   | CO       |             | X      | 08/14/96AMEND             |
| COMSHARE INC  | MI       | Ŷx          | `      | 09/16/96                  |
| CONTINENTAL AIRLINES INC /DE/   | DE       | x           |        | 09/16/96                  |
| CORNERSTONE REALTY INCOME TRUST INC                                   | VA       |             | Х      | 06/26/96                  |
| CORPORATE EXPRESS INC   | CO       |             | X      | 08/30/96                  |
| CROWLEY MILNER & CO   | ΜI       | x x x       | X      | 08/31/96                  |
| CUC INTERNATIONAL INC /DE/  | DE       | X           | X      | 07/24/96                  |
| DAIMLER BENZ VEHICLE TRUST 1994-A                                     | DE       | X           | X      | 09/13/96                  |
| DELTA HOLDING INC   | WA       |             | X      | 08/31/96                  |
| DENAMERICA CORP   | GA       | X           | X      | 07/03/96                  |
| DISCOVER CARD MASTER TRUST I  | DE       |             | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1991 B  | DΕ       | Х           | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1991 D  | DE       |             | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1991 E  | DE       |             | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1991 F  | DE       |             | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1992-B  | DE       |             | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1993-A  | DE       |             | X      | 09/16/96                  |
| DISCOVER CARD TRUST 1993-B  | DE       |             | X      | 09/16/96                  |
| DURACELL INTERNATIONAL INC  | DE       |             | X      | 09/12/96                  |
| EASTERN ENVIRONMENTAL SERVICES INC                                    | DE       |             | X<br>X | 07/02/96AMEND             |
| FINANCIAL ASSET SECURITIES CORP                                       | DE       |             | ^      | 08/30/96<br>04/30/96      |
| FINANCIAL ASSETS SEC CORP MEGO MORT FHA                               | DE<br>DE | X<br>X X    |        | 09/04/96                  |
| FIRST ALERT INC FIRST ALLIANCE BANCORP                                | GA       |             | X      | 08/31/96                  |
| FIRST ALLIANCE MORTGAGE LOAN TRUST 1996-                              | CA       |             | X      | 09/13/96                  |
| FORTRESS GROUP INC  | DE       |             | X      | 09/16/96                  |
| TORTRESS GROOF THE  | 7.       | **          |        | ,,                        |

|   | STATE    | 8K ITEM NO.                          |
|---|----------|--------------------------------------|
| NAME OF ISSUER  | CODE     | 1 2 3 4 5 6 7 8 DATE COMME           |
| GENERAL DEVICES INC                                   | NJ       | x 08/23/96                           |
| GEOTEK COMMUNICATIONS INC                             | DE       | х х 09/16/96                         |
| GILLETTE CO   | DE       | x x 09/12/96                         |
| GLOBAL VENTURE FUNDING INC                            | CO       | χ 09/16/96                           |
| GOLDEN BOOKS FAMILY ENTERTAINMENT INC                 | DE       | x x 09/06/96                         |
| GOOD IDEAS ENTERPRISES INC                            | DE       | x x 11/03/95                         |
| GRAHAM FIELD HEALTH PRODUCTS INC                      | DE       | x x 09/04/96                         |
| GREAT AMERICAN BANCORP INC                            | DE       | x x 08/30/96                         |
| HOENIG GROUP INC                                      | DE       | x x 09/05/96                         |
| HOMETOWN BUFFET INC                                   | DE       | x x 09/16/96                         |
| HONEYWELL INC   | DE       | x 09/09/96                           |
| HORIZON BANCORP INC /WV/                              | WV       | x x 09/16/96                         |
| IBM CREDIT RECEIVABLES INC                            | DE       | x x 09/16/96                         |
| IBM CREDIT RECEIVABLES LEASE ASSET MASTE              | DE       | x x 09/16/96                         |
| INFOMED HOLDINGS INC                                  | DE       | X X 09/05/96                         |
| INFORMATION MANAGEMENT TECHNOLOGIES CORP              | DE       | X 09/16/96                           |
| INSO CORP   | DE       | X 07/16/96AMEND                      |
| INSTEEL INDUSTRIES INC                                | NC       | X X 09/13/96                         |
| INTERNATIONAL NURSING SERVICES INC                    | co       | X 06/30/96AMEND                      |
| JCP RECEIVABLES INC                                   | DE       | X 09/16/96                           |
| KAGAN MEDIA PARTNERS L P                              | DE       | X 08/30/96                           |
| KEY ENERGY GROUP INC                                  | MD       | X X 09/17/96                         |
| LA GEAR INC   | CA       | x x 09/16/96                         |
| LA QUINTA INNS INC                                    | TX       | X 09/16/96<br>X X X X X X X 09/10/96 |
| LAKE ARIEL BANCORP INC                                | PA       |                                      |
| LANXIDE CORP  | DE       |                                      |
| LASERSIGHT INC /DE                                    | DE       |                                      |
| LEGAL RESEARCH CENTER INC                             | MN       | X X 09/05/96<br>X X 08/30/96         |
| LEHMAN ABS CORP                                       | DE<br>MD | x x 08/36/96                         |
| LONGS DRUG STORES CORP                                | CO       | x 09/16/96                           |
| MALLON RESOURCES CORP                                 | DE       | x 09/16/96                           |
| MAYTAG CORP   | UT       | x 08/31/96                           |
| MEDMARCO INC MELLON BANK NA MELLON BANK HOME EQUITY L | MA       | x x 09/12/96                         |
| MGI PROPERTIES  | MA       | X 07/02/96AMEND                      |
| MGI PROPERTIES  | MA       | x x 08/30/96                         |
| MIDLAND REALTY ACCEPTANCE CORP                        | MO       | x 09/12/96                           |
| MILLER INDUSTRIES INC /TN/                            | TN       | x x 09/03/96                         |
| MIMBRES VALLEY FARMERS ASSOC INC                      | NM       | x x 06/04/96                         |
| MIMBRES VALLEY FARMERS ASSOC INC                      | NM       | x 06/12/96                           |
| MIMBRES VALLEY FARMERS ASSOC INC                      | NM       | x x 08/02/96                         |
| MLCC MORTGAGE INVESTORS INC                           | DE       | x 09/16/96                           |
| MLCC MORTGAGE INVESTORS INC                           | DE       | x 09/16/96                           |
| MLCC MORTGAGE INVESTORS INC                           | DE       | x 09/16/96                           |
| MOVIE GALLERY INC                                     | DE       | X X 06/30/96AMEND                    |
| NATIONAL DATACOMPUTER INC                             | DE       | x x 09/16/96                         |
| NEOSTAR RETAIL GROUP INC                              | DE       | x x x 09/16/96                       |
| NET TELECOMMUNICATIONS INC                            | NV       | x x 08/24/96                         |
| NEW IMAGE INDUSTRIES INC                              | CA       | X X 05/31/96AMEND                    |
| NEW WORLD POWER CORPORATION                           | DE       | x x 09/10/96                         |
| NORTHEAST INDIANA BANCORP INC                         | DE       | x x 09/16/96                         |
| NSA INTERNATIONAL INC                                 | TN       | x x 09/02/96                         |
| NUKO INFORMATION SYSTEMS INC /CA/                     | NY       | x 09/12/96                           |
| OHIO EDISON CO  | ОН       | x x 09/13/96                         |
| PENN TREATY AMERICAN CORP                             | PA       | x x 08/30/96                         |
| PHOENIX LEASING CASH DISTRIBUTION FUND I              | CA       | x x 08/30/96                         |
| PHYSICIAN SUPPORT SYSTEMS INC                         | DE       | x x 09/03/96                         |
| PIER 1 IMPORTS INC/DE                                 | DE       | x 09/16/96                           |
| PORTA SYSTEMS CORP                                    | DE       | x 09/13/96                           |
| PROLER INTERNATIONAL CORP                             | DE       | x x 09/15/96                         |
|   |          |                                      |

| NAME OF ISSUER                       | STATE<br>CODE | 8K ITEM<br>1 2 3 4 | 5 ( |   | DATE COMMENT  |
|--------------------------------------|---------------|--------------------|-----|---|---------------|
| PROTECTION ONE ALARM MONITORING INC  | DE            |                    | X   | Х | 09/16/96      |
| PROTECTION ONE INC                   | DE            |                    | Х   | X | 09/16/96      |
| RENAL TREATMENT CENTERS INC /DE/     | DE            |                    | X   |   | 09/16/96      |
| RFS HOTEL INVESTORS INC              | TN            |                    | X   |   | 09/06/96      |
| ROBERTS PHARMACEUTICAL CORP          | NJ            |                    | Χ   |   | 07/17/96      |
| RYMAC MORTGAGE INVESTMENT CORP       | MD            |                    | X   | X | 09/30/96      |
| SAVOY PICTURES ENTERTAINMENT INC     | DE            |                    | X   |   | 09/11/96      |
| SEARS CREDIT ACCOUNT MASTER TRUST I  | ΙL            |                    | X   | X | 09/16/96      |
| SEARS CREDIT ACCOUNT MASTER TRUST II | IL            |                    | X   | х | 09/16/96      |
| SEARS CREDIT ACCOUNT TRUST 1991-D    | ΙL            |                    | X   | X | 09/16/96      |
| SILGAN HOLDINGS INC                  | DE            |                    | X   |   | 09/13/96      |
| SONOMA INTERNATIONAL INC             | NV            | X                  |     |   | 09/12/96      |
| SOUTHERN MINERAL CORP                | NV            | X                  |     | X | 08/30/96      |
| SOUTHWEST AIRLINES CO                | TX            |                    |     | X | 09/04/96      |
| STEPHAN CO                           | FL            |                    |     | X | 06/28/96AMEND |
| STORAGE USA INC                      | TN            |                    |     | X | 09/16/96AMEND |
| SUPERIOR BANK FSB                    | ΙL            |                    | Х   | X | 09/16/96      |
| SYKES ENTERPRISES INC                | FL            | X                  |     | Х | 09/16/96      |
| SYRATECH CORP                        | DE            |                    | Х   | X | 07/25/96      |
| TODD AO CORP                         | DE            |                    |     | X | 09/13/96AMEND |
| TOLEDO EDISON CO                     | OH            |                    | Х   | X | 09/13/96      |
| TRION INC                            | PA            | X                  |     |   | 08/30/96      |
| TUDOR FUND FOR EMPLOYEES LP          | DΕ            |                    | Х   |   | 09/16/96      |
| U S DRUG TESTING INC                 | DE            | Х                  |     | X | 11/03/95      |
| UAL CORP /DE/                        | DE            |                    | X   | X | 09/16/96      |
| UNIDYNE CORP                         | DE            | >                  | X   | X | 09/02/96AMEND |
| UNION BANKSHARES CORP                | VA            | X                  |     | Х | 09/01/96      |
| UNION PACIFIC CORP                   | UT            | X                  | X   | Х | 09/11/96      |
| UNITED STATES FILTER CORP            | DE            | Х                  |     |   | 09/06/96      |
| VERITAS DGC INC                      | DE            | X                  |     | X | 08/30/96      |
| WESTERN NATIONAL CORP                | DE            |                    | X   | X | 09/17/96      |
| WYNDHAM HOTEL CORP                   | DE            | X                  |     | X | 09/16/96      |
| YELLOW CORP                          | DE            |                    | Х   |   | 09/06/96      |