SEC NEWS DIGEST

Issue 96-192

October 8, 1996

ENFORCEMENT PROCEEDINGS

COMPLAINT ALLEGING FRAUD IN SALE OF "PRIME BANK" SECURITIES FILED AGAINST KENNETH EDWARDS, FIRST AMERICAN COMPANIES AND OTHERS

The Securities and Exchange Commission today announced the filing of a complaint with the United States District Court for the Eastern District of California against Kenneth E. Edwards and Roger S. Chedester of the Sacramento, California area, Donald E. Luna, A.R. Grant Morehouse and the First American Companies, Inc. of Hilton Head, South Carolina.

The Commission's complaint alleges that Edwards was involved in three separate schemes to sell fraudulent "prime bank" securities. Edwards helped raise over \$3 million dollars from investors between July 1994 and January 1995.

The selling of "prime bank" securities is a frequent form of fraud. Investors are promised annual returns in excess of 100 percent with no risk to principal, a return purportedly available because the seller of the securities trades in the off-balance sheet debt obligations of certain "prime banks". The first of three schemes involved securities fraudulently issued by First American Companies, Inc., led by Luna and Morehouse.

The Commission seeks an order enjoining future violations of the registration and antifraud provisions of the federal securities laws, as well as disgorgement from each defendant of ill-gotten gains. [Securities and Exchange Commission v. Kenneth E. Edwards, Roger S. Chedester, Donald E. Luna, A.R. Grant Morehouse, and the First American Companies, Inc., USDC ED Cal., CIV-s-96 1716 GEB GGH] (LR-15111).

JAMES CLEVELAND INDICTED IN TWO TEXAS COUNTIES

The Commission and the District Attorney for the 21st Judicial District, Texas, announced that grand juries in Burleson County and Washington County returned indictments against James Russell Cleveland of Brenham, Texas, on September 4, 1996, and September 24, 1996, respectively. The indictments charge that Cleveland unlawfully and deceptively appropriated funds belonging to investors with the intent to deprive the owners of their property. The indictments are based on the same activities alleged in a civil action filed by the Commission in United States District Court, Houston, Texas, on May 22, 1996. The Commission's complaint alleges that Cleveland, individually, and doing business as Central Texas Securities, took in at least \$4.8 million from his customers to purchase municipal securities at below market prices, but never purchased the bonds for the investors. In fact, the complaint alleges, Cleveland used funds received from new investors to pay off previous customers, and used falsified confirmations and account statements to conceal his misuse and misappropriation of customers' funds. The Commission's civil case against Cleveland is continuing. [State of Texas v. James Russell Cleveland, 21st Jud. Dist., Cause Nos. 11526 and 12319] (LR-15112)

ROBERT DOVIAK AGREES TO FINAL JUDGMENT

The Commission announced that on October 3 an Agreed Final Judgment was entered against Robert F. Doviak, II (Doviak), requiring Doviak to disgorge \$844,000, plus prejudgment interest, which represents the total disgorgement amount less the net value of assets Doviak previously turned over to the Special Master appointed by the Court. However, payment of the disgorgement amount and prejudgment interest was waived based on Doviak's demonstrated inability to pay. Additionally, no civil money penalty was imposed based on his poor financial condition.

On September 15, 1993, Doviak consented to the entry of a permanent injunction which enjoined him from future violations of the federal securities laws. Doviak consented to the entry of the injunction without admitting or denying the allegations in the Commission's complaint that he raised over \$4 million in sales of limited partnership interests, and diverted over \$1 million either to himself or to fund the broker-dealer he controlled. The complaint also alleged that the broker-dealer, aided and abetted by Doviak, failed to maintain the minimum required net capital on four separate occasions and committed numerous violations of the book and record keeping, and notice provisions of the Securities Exchange Act of 1934.

Doviak is currently serving 27 months in federal prison for the same activities alleged in the Commission's civil action. [Securities and Exchange Commission v. Robert F. Doviak, II, et al., 3:93CV0444, USDC/ND TX] (LR-15113)

INVESTMENT COMPANY ACT RELEASES

SECURITY FIRST LIFE INSURANCE COMPANY ET AL.

A notice has been issued giving interested persons until October 29 to request a hearing on an application filed by Security First Life

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Insurance Company (Security First Life), Security First Life Separate Account A (Separate Account A), Fidelity Standard Life Insurance Company, Fidelity Standard Life Separate Account (Fidelity Separate Account) and Security First Financial, Inc. (collectively, Applicants). Applicants seek an order pursuant to Section 17(b) of the Investment Company Act granting an exemption from the provisions of Section 17(a) thereof to the extent necessary to permit the transfer of assets and liabilities from Fidelity Separate Account to Separate Account A in connection with the reinsurance by Security First Life of certain group flexible payment variable annuity contracts issued by Fidelity Separate Account. (Rel. IC-22263 -October 4)

VOYAGEUR FLORIDA INSURED MUNICIPAL INCOME FUND II

A notice has been issued giving interested persons until October 29 to request a hearing on an application filed by Voyageur Florida Insured Municipal Income Fund II for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-22264 - October 4)

HOLDING COMPANY ACT RELEASES

NORTHEAST UTILITIES, ET AL.

A notice has been issued giving interested persons until October 28 to request a hearing on a proposal by Northeast Utilities (NU), a registered holding company, and its wholly owned subsidiary Charter Oak Energy, companies, Inc. (Charter Oak) and COE Development Corporation (COE Development) (collectively, Applicants) to engage in financing. Applicants propose to extend through December 31, 1999, their period of authorization during which NU may invest directly in Charter Oak and indirectly in COE Development up to an aggregate principal amount of \$400 million in authorized power development activities. (Rel. 35-26587)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The <u>Philadelphia Stock Exchange</u> has filed a proposed rule change (SR-Phlx-96-36) under Rule 19b-4 of the Exchange Act to permit the Exchange to temporarily act as the reporting authority for its index options if the Exchange's designated agent is experiencing difficulty in disseminating an accurate value. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 7. (Rel. 34-37782)

The New York Stock Exchange has filed a proposed rule change (SR-

NYSE-96-21) under Rule 19b-4 of the Exchange Act relating to entry of limit-at-the-close orders. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 7. (Rel. 34-37786)

On September 25, 1996, pursuant to Rule 19b-4 under the Exchange Act, the <u>Chicago Board Options Exchange</u> filed with the Commission a proposed rule change (SR-CBOE-96-57) relating to a minor rule violation plan amendment to create a settlement procedure for position limit fines. Publication of the notice is expected in the <u>Federal Register</u> during the week of October 7. (Rel. 34-37787)

APPROVAL OF PROPOSED RULE CHANGES

The Commission has approved a proposed rule change by the <u>Pacific</u> <u>Stock Exchange</u> (SR-PSE-96-16), as amended, that establishes listing and trading guidelines for municipal bonds. Publication of the order is expected in the <u>Federal Register</u> during the week of October 7. (Rel. 34-37781)

The Commission approved a proposed rule change filed by the <u>American</u> <u>Stock Exchange</u> (SR-Amex-96-31) on August 14, 1996, to amend their issuer listing standards for Equity-Linked Notes (ELNs). Publication of the notice is expected in the <u>Federal Register</u> during the week of October 7. (Rel. 34-37783)

The Commission approved a proposed rule change filed by the <u>New York</u> <u>Stock Exchange</u> (SR-NYSE-96-25) on August 16, 1996, to amend their issuer listing standards for Equity Linked Debt Securities (ELDS). Publication of the notice is expected in the <u>Federal Register</u> during the week of October 7. (Rel. 34-37784)

DELISTINGS

An order has been issued granting the application of Fountain Powerboat Industries, Inc., to withdraw from listing and registration its Colon Stock, \$.01 Par Value, on the <u>American Stock</u> <u>Exchange</u>. (Rel. 34-37788)

An order has been issued granting the application of the <u>American</u> <u>Stock Exchange</u> to strike from listing and registration Calprop corporation, Common Stock, No Par Value. (Rel. 34-37789)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 MAYFAIR HOMES CORP, 3633 WHEELER RD, STE 140, AUGUSTA, GA 30909 (706) 650-2015 1,517,118 (\$9,102,708) COMMON STOCK. 3,758,000 (\$187,900) WARRANTS, OPTIONS OR RIGHTS. 3,758,000 (\$24,427,000) COMMON STOCK. 70,000 (\$70) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-5612-A SEP. 23) (BR. 2 NEW ISSUE)
- S-8 ORCKIT COMMUNICATIONS LTD, 38 NAHALAT YITZHAK ST, TEL AVIV 67448 ISRAEL, L3 - 2,411,112 (\$20,833,333) FOREIGN COMMON STOCK. (FILE 333-5670 -SEP. 26) (BR. 3)
- SB-2 CIAO LTD INC, 700 WALNUT ST, STE 300, CINCINNATI, OH 45202 1,480,000 (\$10,500,000) COMMON STOCK. (FILE 333-5674 - SEP. 27) (BR. 2 - NEW ISSUE)
- F-1 FORMULAB NEURONETICS CORP LTD, 673 NURPHY ST, PERTH WESTERN AUSTRALIA, C3 - 2,000,000 (\$22,000,000) FOREIGN COMMON STOCK. 40,000,000 (\$24,000,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-5676 - SEP. 27) (BR. 3 - NEW ISSUE)
- F-1 INSTRUMENTATION LABORATORY SPA, VIALE MONZA 338, 20128 MILANO, ITALY, L6 - 6,169,622 (\$104,883,574) FOREIGN COMMON STOCK. (FILE 333-5682 - SEP. 27) (BR. 1 - NEW ISSUE)
- S-8 STAKE TECHNOLOGY LTD, 2838 HWY 7, NORVAL ONTARIO CANADA LOP 1KO, A6 (905) 455-1990 - 550,000 (\$1,340,625) FOREIGN COMMON STOCK. (FILE 333-5686 - SEP. 27) (BR. 6)
- F-6 PEARL ORIENTAL HOLDINGS LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, MEW YORK, NY 10286 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-5688 - SEP. 30) (BR. 99 - NEW ISSUE)
- F-1 BANCO TOTTA & ACORES SA, RUA AUREA 88, 110 LISBON PORTUGAL, S1 6,000,000 (\$150,000,000) FOREIGN PREFERRED STOCK. (FILE 333-5690 SEP. 30) (BR. 7)
- S-1 MAGICWORKS ENTERTAINMENT INC, 1258 E MALVERN AVE, STE 640, SALT LAKE CITY, UT 84106 (801) 487-2027 - \$86,081,874 COMMON STOCK.
 \$5,185,750 WARRANTS, OPTIONS OR RIGHTS. \$8,185,750 COMMON STOCK. (FILE 333-13127 - OCT. 01) (BR. 9)
- SB-2 VISTA LASER CENTERS OF THE PACIFIC INC, 14895 EAST 14TH ST SUITE 400, SAN LEANDRO, CA 94578 (510) 297-5050 - 1,560,000 (\$12,196,200) COMMON STOCK. 237,500 (\$950,000) PREFERRED STOCK. 237,500 (\$1,187,500) COMMON STOCK. 120,000 (\$60) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-13129 - OCT. 01)
- S-3 ATMEL CORP, 2325 ORCHARD PKWY, SAN JOSE, CA 95131 (408) 441-0311 100,000 (\$3,075,000) COMMON STOCK. (FILE 333-13131 OCT. 01) (BR. 6)
- S-4 US OFFICE PRODUCTS CO, 1440 NEW YORK AVE NW SUITE 310, WASHINGTON, DC 20005 (202) 628-9500 30,000,000 (\$1,001,250,000) COMMON STOCK. (FILE 333-13133 OCT. 01) (BR. 2)
- S-8 GAYLORD COMPANIES INC, 4006 VENTURE COURT, COLUMBUS, OH 43228 (614) 771-2777 - 10,000 (\$10,000) COMMON STOCK. (FILE 333-13135 - OCT. 01) (BR. 2)
- S-8 Q LOGIC CORP, 3545 HARBOR BLVD, COSTA MESA, CA 92626 (714) 438-2200 335,000 (\$4,103,750) COMMON STOCK. (FILE 333-13137 OCT. 01) (BR. 6)
- S-8 MILLENNIUM CHEMICALS INC, 99 WOOD AVENUE SOUTH, ISELIN, NJ 08830 (908) 603-6600 - 42,216 (\$1,000,000) COMMON STOCK. (FILE 333-13139 -OCT. 01)
- S-8 MILLENNIUM CHEMICALS INC, 99 WOOD AVENUE SOUTH, ISELIN, NJ 08830 (908) 603-6600 - 253,298 (\$6,000,000) COMMON STOCK. (FILE 333-13141 -OCT. 01)

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- S-8 MILLENNIUM CHEMICALS INC, 99 WOOD AVENUE SOUTH, ISELIN, NJ 08830 (908) 603-6600 - 44,327 (\$20,000,000) COMMON STOCK. (FILE 333-13143 -OCT. 01)
- S-8 FIRST BANCORP /IN/, THIRD & BUSSERON STREETS, VINCENNES, IN 47591 (812) 882-4528 - 15,000 (\$463,875) COMMON STOCK. (FILE 333-13145 -OCT. 01) (BR. 7)
- S-8 MILLENNIUM CHEMICALS INC, 99 WOOD AVENUE SOUTH, ISELIN, NJ 08830 (908) 603-6600 - 42,216 (\$1,000,000) COMMON STOCK. (FILE 333-13147 -OCT. 01)
- S-8 MILLENNIUM CHEMICALS INC, 99 WOOD AVENUE SOUTH, ISELIN, NJ 08830 (908) 603-6600 - 84,432 (\$2,000,000) COMMON STOCK. (FILE 333-13149 -OCT. 01)
- S-6 MUNICIPAL INVEST TR FD MONTHLY PMT SER 576 DEF ASSET FDS, C/D DAVIS POLK & WARDELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017 (212) 450-4540 - INDEFINITE SHARES. (FILE 333-13151 - OCT. 01) (NEW ISSUE)
- S-11 BASIC US REIT INC, 2235 SHEPPARD AVE EATRIA II, STE 904, TORONTO, A6 00000 - 2,740,000 (\$27,400,000) COMMON STOCK. (FILE 333-13153 - OCT. 01) (NEW ISSUE)
- S-4 FIRST FINANCIAL BANCORP /OH/, THIRD & HIGH ST, HAMILTON, OH 45011 (513) 867-4700 - 398,000 (\$13,482,250) COMMON STOCK. (FILE 333-13155 -OCT. 01) (BR. 7)
- S-8 BROWN TOM INC /DE, 508 W WALL, STE 500, MIDLAND, TX 79701 (915) 682-9715 - 275,000 (\$4,932,813) COMMON STOCK. (FILE 333-13157 - OCT. 01) (BR. 4)
- S-4 DOUBLETREE CORP, 410 N 44TH ST, STE 700, PHOENIX, AR 85008 (602) 220-6666 - 8,802,545 (\$255,402,328) COMMON STOCK. (FILE 333-13159 -OCT. 01) (BR. 5)
- S-3 DOUBLETREE CORP, 410 N 44TH ST, STE 700, PHOENIX, AR 85008 (602) 220-6666 - \$230,000,000 COMMON STOCK. (FILE 333-13161 - OCT. 01) (BR. 5)
- S-8 RASTER GRAPHICS INC, 3025 ORCHARD PARKWAY, SAN JOSE, CA 95134 (408) 232-4000 - 2,701,386 (\$16,013,073.05) COMMON STOCK. (FILE 333-13165 - OCT. 01) (BR. 3)
- S-8 WASHINGTON TRUST BANCORP INC, 23 BROAD ST, WESTERLY, RI 02891 (401) 348-1200 - 1,700 (\$69,700) COMMON STOCK. (FILE 333-13167 - OCT. 01) (BR. 7)
- S-8 SPIEKER PROPERTIES INC, 2180 SAND HILL RD, STE 200, MENLO PARK, CA 94025 (415) 854-5600 - 100,000 (\$2,918,750) COMMON STOCK. (FILE 333-13169 -OCT. 01) (BR. 8)
- S-4 RENAISSANCE COSMETICS INC /DE/, 955 MASSACHUSETTS AVE, CAMBRIDGE, MA 02139 (617) 497-5584 - 115,000,000 (\$115,000,000) PREFERRED STOCK. (FILE 333-13171 - OCT. 01) (BR. 2)
- S-8 HARSCO CORP, P O BOX 8888, CAMP HILL, PA 17001 (717) 763-7064 150,000 (\$9,366,000) COMMON STOCK. (FILE 333-13173 OCT. 01) (BR. 6)
- S-8 HARSCO CORP, P O BOX 8888, CAMP HILL, PA 17001 (717) 763-7064 2,000,000 (\$124,880,000) COMMON STOCK. (FILE 333-13175 OCT. 01) (BR. 6)
- S-2 MORGAN PRODUCTS LTD, 75 TRI-STATE INTERNATIONAL 222, STE 222, LINCOLNSHIRE, IL 60069 (708) -31-7-24 - 4,010,000 (\$29,573,750) COMMON STOCK. (FILE 333-13177 - OCT. 01) (BR. 6)
- S-3 XEROX CORP, P O BOX 1600, 800 LONG RIDGE ROAD, STANFORD, CT 06904 (203) 968-3000 (FILE 333-13179 - OCT. 01) (BR. 5)
- S-1 VIASAT INC, 2290 COSMOS COURT, CARLSBAD, CA 92009 (619) 438-8099 2,530,000 (\$30,360,000) COMMON STOCK. (FILE 333-13183 OCT. 01) (BR. 1)

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- S-8 DIAL CORP /NEW/, DIAL TOWER, 1850 NORTH CENTRAL AVE, PHOENIX, AZ 85077 (602) 207-2800 - 8,500,000 (\$109,437,500) COMMON STOCK. (FILE 333-13187 -OCT. 01) (BR. 4)
- S-3 CIATTIS INC /DE/, 5555 W 78TH ST, EDINA, MN 55439 (612) 941-0108 1,485,638 (\$5,571,143) COMMON STOCK. (FILE 333-13189 OCT. 01) (BR. 2)
- S-8 INTERWEST BANCORP INC, 1259 WEST PIONEER WAY, OAK HARBOR, WA 98277 (360) 679-4181 - 136,539 (\$4,053,843) COMMON STOCK. (FILE 333-13191 -OCT. 01) (BR. 7)
- S-3 LITTON INDUSTRIES INC, 21240 BURBANK BLVD, WOODLAND HILLS, CA 91367 (818) 598-5000 - 1,470,240 (\$69,560,730) COMMON STOCK. (FILE 333-13193 -OCT. 01) (BR. 5)
- S-3 GENERAL ELECTRIC CAPITAL CORP, 260 LONG RIDGE RD, STAMFORD, CT 06927 (203) 357-4000 5,000 (\$500,000,000) PREFERRED STOCK. (FILE 333-13195 OCT. 01) (BR. 7)
- SB-2 NOUVEAU INTERNATIONAL INC, 212 PHILLIPS RD, EXTON, PA 19341
 (516) 981-0034 1,725,000 (\$13,800,000) PREFERRED STOCK. 150,000 (\$150)
 WARRANTS, OPTIONS OR RIGHTS. 150,000 (\$1,440,000) PREFERRED STOCK.
 3,210,225 (\$17,656,237.50) COMMON STOCK. (FILE 333-13197 OCT. 01)
 (BR. 1)
- S-4 R&G FINANCIAL CORP, 280 JESUS T. PINERO AVE, HATO REY, SAN JUAN, PR 00918 - 300,962 (\$5,304,455) COMMON STOCK. (FILE 333-13199 - OCT. 01) (BR. 8)
- S-3 MEDICAL RESOURCES INC /DE/, 155 STATE STREET, HACKENSACK, NJ 07601 (813) 281-0202 - 963,806 (\$8,071,875) COMMON STOCK. (FILE 333-13201 -OCT. 01) (BR. 1)
- S-8 UNIFY CORP, 181 METRO DR, 3RD FL, SAN JOSE, CA 95110 (408) 467-4500 1,270,106 (\$17,146,431) COMMON STOCK. (FILE 333-13203 OCT. 01) (BR. 3)
- S-8 UNION PLANTERS CORP, 7130 GOODLETT FARMS PKWY, MEMPHIS, TN 38018 (901) 383-6000 - 1,307,805 COMMON STOCK. (FILE 333-13205 - OCT. 01) (BR. 7)
- S-8 UNION PLANTERS CORP, 7130 GOODLETT FARMS PKWY, MEMPHIS, TN 38018 (901) 383-6000 - 8,160 COMMON STOCK. (FILE 333-13207 - OCT. 01) (BR. 7)
- S-1 NORTHWEST PIPE CO, 12005 N BURGARD, P O BOX 83149, PORTLAND, OR 97203 (503) 285-1400 - 2,760,000 (\$53,985,600) COMMON STOCK. (FILE 333-13209 -OCT. 01) (BR. 6)
- S-4 BANKUNITED FINANCIAL CORP, 255 ALHAMBRA CIRCLE, CORAL GABLES, FL 33134 (305) 569-2000 - 2,453,554 COMMON STOCK. 1,000,000 PREFERRED STOCK. (FILE 333-13211 - OCT. 01) (BR. 7)
- S-3 RECKSON ASSOCIATES REALTY CORP, 225 BROADHOLLOW RD, MELVILLE, NY 11747 (516) 694-6900 - \$250,000,000 COMMON STOCK. (FILE 333-13213 - OCT. 01) (BR. 8)
- S-4 MAXXIM MEDICAL INC, 104 INDUSTRIAL BLVD, SUGAR LAND, TX 77478
 (713) 240-5588 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-13215 OCT. 01) (BR. 1)
- S-4 CORPORATE EXPRESS INC, 325 INTERLOCKEN PKWY, BROOMFIELD, CO 80021 (303) 373-2800 4,221,425 (\$153,026,681.60) COMMON STOCK. (FILE 333-13217 OCT. 01) (BR. 2)
- S-4 WESTINGHOUSE ELECTRIC CORP, WESTINGHOUSE BLDG, 11 STANWIX STREET, PITTSBURGH, PA 15222 (412) 244-2000 - 119,599,566 (\$3,737,486,437.50) COMMON STOCK. (FILE 333-13219 - OCT. 01) (BR. 5)
- S-8 JOSTENS INC, 5501 NORMAN CTR DR, MINNEAPOLIS, NN 55437 (612) 830-3300 100,000 (\$2,012,500) COMMON STOCK. (FILE 333-13221 OCT. 01) (BR. 2)
- S-8 JOSTENS INC, 5501 NORMAN CTR DR, MINNEAPOLIS, MN 55437 (612) 830-3300 1,000,000 (\$20,125,000) COMMON STOCK. (FILE 333-13223 OCT. 01) (BR. 2)

- S-3 FIRST INDUSTRIAL REALTY TRUST INC, 150 N WACKER DR, STE 150, CHICAGO, IL 60606 (312) 704-9000 - \$200,000,000 COMMON STOCK. (FILE 333-13225 -OCT. 01) (BR. 8)
- S-2 FINANCIAL SERVICES CORPORATION OF THE MIDWEST, 224 18TH ST STE 202, ROCK ISLAND, 1L 61201 (309) 794-1120 - 10,000 (\$10,000,000) STRAIGHT BONDS. (FILE 333-13227 - OCT. 01) (BR. 7)
- S-8 WESTERN RESOURCES INC /KS, 818 KANSAS AVE, TOPEKA, KS 66612 (913) 575-6300 - 3,000,000 (\$87,375,000) COMMON STOCK. (FILE 333-13229 -OCT. 01) (BR. 4)
- S-3 STARBASE CORP, 18872 MACARTHUR BOULEVARD, SUITE 300, IRVINE, CA 92612 (714) 442-4400 - 3,842,804 (\$15,620,998.26) COMMON STOCK. (FILE 333-13231 - OCT. 01) (BR. 9)
- S-3 ARCHER DANIELS MIDLAND CO, 4666 FARIES PKWY, DECATUR, IL 62526 (217) 424-5200 1,221,717 (\$23,365,338) COMMON STOCK. (FILE 333-13233 OCT. 01) (BR. 2)