# **SEC NEWS DIGEST**

Issue 96-210

November 4, 1996

## COMMISSION ANNOUNCEMENTS

CHRISTIAN MIXTER IS NAMED CHIEF LITIGATION COUNSEL

Chairman Levitt today announced that Christian Mixter, Assistant Chief Litigation Counsel, has been appointed Chief Litigation Counsel, Division of Enforcement.

Mr. Mixter has worked at the SEC for more than five years in the Division of Enforcement's Trial Unit. During that time, he played a critical role in a number of the Commission's most significant cases, including the Commission's recent financial fraud case against Comparator, its fraud case against First Jersey Securities and Robert Brennan and its insider trading cases arising out of transactions relating to Rochester Community Savings Bank. In 1995, he also served as the Acting District Administrator for the Fort Worth District Office.

Prior to joining the Commission, Mr. Mixter was an Associate Counsel to Independent Counsel Lawrence Walsh in the investigation of the Iran/Contra matter. He began his legal career at the law firm of Davis Polk & Wardwell. (Press Rel. 96-127)

# ENFORCEMENT PROCEEDINGS

COMMISSION SUSTAINS NASD FINDINGS OF VIOLATION AGAINST LEONARD JOHN IALEGGIO AND REMANDS FOR RESANCTIONING

The Commission has sustained certain findings of violation made by the National Association of Securities Dealers, Inc. (NASD) against Leonard John Ialeggio of Danville, California. Ialeggio formerly served as a registered representative at W.S. Griffith & Co., Inc., an NASD member and broker-dealer subsidiary of Home Life Insurance Company (Home Life), and as a Home Life Agency Manager and Vice President of Marketing.

The Commission sustained NASD findings that Ialeggio requested and retained reimbursement for travel expenses he did not incur, and induced Home Life to pay country club initiation fees when he was not entitled to such payment. Like the NASD, the Commission concluded that this misconduct violated the NASD rule that requires adherence to high standards of commercial honor and just and equitable principles of trade.

The Commission concluded, however, that the NASD's National Business Conduct Committee (National Committee) made findings as to a violation that was not charged or explored adequately. The Commission further concluded that the National Committee then highlighted that found-but-not-charged misconduct in determining to affirm what the National Committee termed "relatively lenient sanctions" imposed by the hearing panel. Accordingly, the Commission remanded the matter to the NASD for consideration of an appropriate sanction for the violations the Commission sustained. (Rel. 34-37910)

MOTION FOR JUDGMENT OF CIVIL CONTEMPT FILED AGAINST DEFENDANT MICHAEL COLELLO FOR HIS FAILURE TO COMPLY WITH SUPPLEMENTAL REPATRIATION ORDER

On October 22, the Commission filed a motion seeking a judgment of civil contempt against Defendant Michael J. Colello (Colello) for his failure to comply with a July 16, 1996 order of the U.S. District Judge Richard A. Paez of the Central District of California requiring him to repatriate all of his foreign assets, to sign letters directed to Swiss officials and banks in connection with the ordered repatriation and to take all further steps necessary to effectuate repatriation. The Court had previously ordered Colello, a relief defendant in the Commission's civil enforcement action, to disgorge over \$2.6 million dollars, and to repatriate his foreign assets. The July 16 order was entered following an application by the Commission for an order to show cause why Colello should not be held in contempt of an earlier March 26, 1996, order requiring his repatriation of assets. For further information see LR-14135, LR-14173, LR-14649, LR-14728, LR-14763 and LR-14887. [SEC v. Cross Financial Services, Inc., et al., Civil Action No. 94-4228 RAP, Ex, C.D. Cal.] (LR-15142)

### **INVESTMENT COMPANY ACT RELEASES**

STRONG ADVANTAGE FUND, INC., ET AL.

A notice has been issued giving interested persons until November 25 to request a hearing on an application filed by Strong Advantage Fund, Inc., et al. for an order under Section 6(c) of the Investment Company Act that would exempt applicants from Section 12(d)(1), under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a), and under Section 17(d) of the Act and Rule 17d-1 thereunder. The order would permit certain investment companies to purchase shares of affiliated money market funds in excess of the limits prescribed in Section 12(d)(1) for cash management purposes. (Rel. IC-22308 - October 31)

## SELF-REGULATORY ORGANIZATIONS

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The Commission received a proposal (SR-PSE-96-43) from the <u>Pacific</u> <u>Stock Exchange</u>, which became effective, to waive Exchange fees on transactions in Equity FLEX options for a three month period ending January 24, 1997. Publication of the notice is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-37901)

The Commission received a proposal (SR-Amex-96-40) from the <u>American</u> <u>Stock Exchange</u>, which became effective, to waive Exchange fees on transactions in Equity FLEX options for the first 90 days of trading (i.e., from October 24, 1996 to January 31, 1997). Publication of the notice is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-37902)

The Depository Trust Company filed a proposed rule change (SR-DTC-96-18), which became effective, that reduces the fee for book-entry deliveries made through DTC and the National Securities Clearing Corporation's Continuous Net Settlement system. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-37906)

<u>Delta Clearing Corp.</u> has filed a proposed rule change (SR-DCC-96-11), which became effective, to give notice that it has authorized Garban LLC to act as an interdealer broker in DCC's system for clearance and settlement of U.S. Treasury repurchase and reverse repurchase agreement transactions. Publication is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-37907)

### PROPOSED RULE CHANGES

The <u>Pacific Stock Exchange</u> has filed a proposed rule change (SR-PSE-96-36) to provide that each floor broker on the Exchange must establish and maintain an error account for carrying positions resulting from errors. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-37903)

The <u>National Securities Clearing Corporation</u> filed a proposed rule change (SR-NSCC-96-19) that amends the Security Clearing Group Agreement to discontinue the operation of the Securities Clearing Group's data base. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-37904)

#### WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until November 22 to comment on the application of Pittway Corporation to withdraw from listing and registration on the <u>American Stock Exchange</u> its Common Stock, \$1.00 Par Value and its Class A Stock, \$1.00 Par Value. (Rel. 34-37908)

A notice has been issued giving interested persons until November 22 to comment on the application of The Alpine Group, Inc. to withdraw from listing and registration on the <u>American Stock Exchange</u> its Common Stock, \$.10 Par Value. (Rel. 34-37909)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 ALL AMERICAN COMMUNICATIONS INC, 2114 PICO BLVD, SANTA MONICA, CA 90405 (310) 450-3193 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-14901 OCT. 28) (BR. 5)
- S-6 TAX EXEMPT SECURITIES TRUST MARYLAND TR 100, C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017 (212) 450-4540 - INDEFINITE SHARES. (FILE 333-14903 - OCT. 28) (BR. 16 - NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST NEW YORK TRUST 159,
  C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
  (212) 450-4540 INDEFINITE SHARES. (FILE 333-14905 OCT. 28) (BR. 16
  NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST NEW YORK TRUST 160, C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017 (212) 450-4540 - INDEFINITE SHARES. (FILE 333-14907 - OCT. 28) (BR. 16 - NEW ISSUE)
- S-6 NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO SERIES 9, C/O PRUDENTIAL SECURITIES INCORPORATED, ONE NEW YORK PLAZA, NEW YORK, NY 10292 - INDEFINITE SHARES, (FILE 333-14911 - OCT. 28) (NEW ISSUE)
- S-4 ASTOR CORP, 8521 SIX FORKS ROAD, RALEIGH, NC 27615 (919) 846-8011 -110,000,000 (\$109,450,000) STRAIGHT BONDS. (FILE 333-14913 - OCT. 28) (NEW ISSUE)
- S-8 GILMAN & CIOCIA INC, 475 NORTHERN BLVD, GREAT NECK, NY 11021 (516) 482-4860 - 455,000 (\$1,472,730) COMMON STOCK. (FILE 333-14915 -OCT. 28) (BR. 9)
- S-3 CITICORP, 399 PARK AVE, NEW YORK, NY 10043 (212) 559-1000 \$1,000,000 PREFERRED STOCK. (FILE 333-14917 - OCT. 28) (BR. 7)
- S-8 GANTOS INC, 3260 PATTERSON SE, GRAND RAPIDS, MI 49512 (616) 949-7000 -1,200,000 (\$4,767,656.25) COMMON STOCK. (FILE 333-14921 - OCT. 28) (BR. 2)
- S-3 RENAISSANCE SOLUTIONS INC, LINCOLN NORTH, 55 OLD BEDFORD RD, LINCOLN, MA 01773 (617) 259-8833 1,265,000 (\$48,228,125) COMMON STOCK. (FILE 333-14923 OCT. 28) (BR. 1)
- S-8 POE & BROWN INC, 702 N FRANKLIN, TAMPA, FL 33601 (904) 252-9601 400,000 (\$10,100,000) COMMON STOCK. (FILE 333-14925 OCT. 28) (BR. 1)
- S-8 BANCORP HAWAII INC, 130 MERCHANT STREET, HONOLULU, HI 96813 (808) 537-8111 - 30,000 (\$1,170,000) COMMON STOCK. 1,300,000 (\$1,300,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-14929 - OCT. 28) (BR. 7)

- S-3 PANDA PROJECT INC, 5201 CONGRESS AVENUE C100, BOCA RATON, FL 33487 (407) 994-2300 - 2,927,849 (\$18,299,056) COMMON STOCK. (FILE 333-14931 -OCT. 28) (BR. 9)
- S-8 DIGENE CORP, 2301-B BROADBIRCH DR, SILVER SPRING, MD 20904 (301) 470-6505 - 2,871,853 (\$17,497,181.68) COMMON STOCK. (FILE 333-14933 - OCT. 28) (BR. 1)
- S-8 AMERICAN FINANCIAL GROUP INC /OH/, ONE E FOURTH ST, SUITE 919, CINCINNATI, OH 45202 (513) 579-6600 - 500,000 (\$17,281,250) COMMON STOCK. (FILE 333-14935 - OCT. 28) (BR. 1)
- S-8 WESTMARK GROUP HOLDINGS INC, 355 N E FIFTH AVE, STE 4, DELRAY BEACH, FL 33483 (407) 243-8010 - 22,000 (\$14,960) COMMON STOCK. (FILE 333-14937 -OCT. 28) (BR. 8)
- S-8 WESTMARK GROUP HOLDINGS INC, 355 N E FIFTH AVE, STE 4, DELRAY BEACH, FL 33483 (407) 243-8010 - 22,000 (\$14,960) COMMON STOCK. (FILE 333-14939 -OCT. 28) (BR. 8)
- S-3 STEPHAN CO, 1850 W MCNAB RD, FORT LAUDERDALE, FL 33309 (954) 971-0600 67,165 (\$810,000) COMMON STOCK. (FILE 333-14941 OCT. 28) (BR. 2)
- S-1 NORTH FACE INC, 2013 FARALLON DR, SAN LEANDRO, CA 94577 (510) 618-3500 - 2,875,000 (\$69,089,844) COMMON STOCK. (FILE 333-14945 - OCT. 28) (BR. 1)
- S-3 MAI SYSTEMS CORP, 9600 JERONIMO RD, IRVINE, CA 92718 (714) 580-0700 -\$5,965,000 COMMON STOCK. (FILE 333-14947 - OCT. 28) (BR. 3)
- S-8 SPANLINK COMMUNICATIONS INC, ONE MAIN STREET SE, MINNEAPOLIS, MN 55414 (612) 362-8000 - 750,000 (\$2,580,000) COMMON STOCK. (FILE 333-14949 -OCT. 28) (BR. 3)
- S-4 CABLETRON SYSTEMS INC, 35 INDUSTRIAL WAY, EAST ROCHESTER, NH 03867 (603) 332-9400 - 2,258,975 (\$144,475,144) COMMON STOCK. (FILE 333-14951 -OCT. 28) (BR. 3)
- S-3 SMITHS FOOD & DRUG CENTERS INC, 1550 S REDWOOD RD, SALT LAKE CITY, UT 84104 (801) 974-1400 - 3,201,470 (\$82,639,545) COMMON STOCK. (FILE 333-14953 - OCT. 28) (BR. 2)
- S-3 DT INDUSTRIES INC, CORPORATE CENTRE SUITE 2-300, 1949 E SUNSHINE, SPRINGFIELD, MO 65804 (417) 890-0102 - 5,462,500 (\$208,257,813) COMMON STOCK. (FILE 333-14955 - OCT. 28) (BR. 5)
- S-8 NCI BUILDING SYSTEMS INC, P O BOX 40220, HOUSTON TEXAS, TX 77240 (713) 466-7788 - 300,000 (\$9,450,000) COMMON STOCK. (FILE 333-14957 -OCT. 28) (BR. 6)
- S-3 CHASE MANHATTAN CORP /DE/, 270 PARK AVE, NEW YORK, NY 10017 (212) 270-6000 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-14959 -OCT. 28) (BR. 7)
- S-4 NELLCOR PURITAN BENNETT INC, 4280 HACIENDA DRIVE, PLEASANTON, CA 94588 (415) 887-5858 - 3,830,151 (\$55,920,208) COMMON STOCK. (FILE 333-14961 -OCT. 28) (BR. 1)
- S-4 PACIFIC TELESIS GROUP, 130 KEARNY ST STE 3002, SAN FRANCISCO, CA 94108 (415) 394-3000 - 3,250,000 (\$9,750) COMMON STOCK. (FILE 333-14963 -OCT. 28) (BR. 3)
- S-2 AULT INC, 7300 BOONE AVE N, MINNEAPOLIS, MN 55428 (612) 493-1900 2,070,000 (\$18,630,000) COMMON STOCK. 144,900 (\$100)
  WARRANTS, DPTIONS OR RIGHTS. 144,900 (\$1,564,920) COMMON STOCK. (FILE 333-14965 OCT. 28) (BR. 6)
- S-3 ENRON CORP, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 8,000,000 (\$200,000,000) PREFERRED STOCK. (FILE 333-14967 OCT. 28) (BR. 4)
- S-4 NEW ROCKWELL INTERNATIONAL CORP, 625 LIBERTY AVE, PITTSBURGH, PA 15222 (412) 565-4090 - 201,384,640 (\$5,462,000,000) COMMON STOCK. (FILE 333-14969 - OCT. 28) (NEW ISSUE)

- S-8 UNITED AUTO GROUP INC, 375 PARK AVE, 22ND FL, NEW YORK, NY 10152 (212) 223-3300 - 208,000 (\$2,080,000) COMMON STOCK. (FILE 333-14971 -OCT. 28) (BR. 2)
- S-3 CHESAPEAKE ENERGY CORP, 6104 N WESTERN, OKLAHONA CITY, OK 73118 (405) 848-8000 - 3,737,500 (\$203,918,000) COMMON STOCK. (FILE 333-14975 --OCT. 28) (BR. 4)

RECENT &K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITE 1234			DATE CONNENT
ACCESS FINANCIAL MORTGAGE LOAN TRUST 199	NY	X			10/18/96
ACUSON CORP	DE		X		10/28/96
ADVANTA MORTGAGE CONDUIT SERVICES INC	DE		Х	X	07/31/96
ADVANTA MORTGAGE CONDUIT SERVICES INC	DE		X	X	07/31/96
ADVANTA MORTGAGE CONDUIT SERVICES INC	DE		X	X	08/31/96
ADVANTA MORTGAGE CONDUIT SERVICES INC	DE		X	X	08/31/96
ADVANTA MORTGAGE CONDUIT SERVICES INC	DE		X	X	09/30/96
ADVANTA MORTGAGE CONDUIT SERVICES INC	DE		X	X	09/30/96
AETNA INC	CT		X	X	07/19/96
AIRGAS INC	DE		X	X	10/24/96
ALL-COMM MEDIA CORP	NV	X		X	10/11/96AMEND
ALLEGIANCE CORP	DE		X	X	11/01/96
ALPINE GROUP INC /DE/	DE	X		X	10/17/96
ALPNET INC	UT		X		10/31/96
AMERICAN ELECTROMEDICS CORP	DE		X	X	10/25/96
ANALYSIS & TECHNOLOGY INC	CT			X	07/29/96AMEND
ANICOM INC	DE			X	11/01/96AMEND
ANTEC CORP	DE		X	X	10/28/96
APACHE CORP	DE		X	X	10/31/96
ARV ASSISTED LIVING INC	CA			X	08/22/96AMEND
ASTRONICS CORP	NY		X	X	11/01/96
AT&T CAPITAL CORP /DE/	DE		X		11/01/96
AT&T UNIVERSAL FUNDING CORP	DE		X	X	10/17/96
ATLANTIC BEVERAGE CO INC	DE	X		X	10/17/96
AVERY DENNISON CORPORATION	DE		X	X	10/24/96
BALCOR CURRENT INCOME FUND 85	11	X	X	X	11/01/96
BALLYS GRAND INC /DE/	DE		X		10/31/96
BALLYS GRAND INC /DE/	DE		X		11/01/96
BARD C R INC /NJ/	NJ			x	09/16/96AMEND

	STATE	8K ITEM NO.
NAME OF ISSUER	CODE	12345678 DATE COMMENT
BEACON PROPERTIES CORP	MD	X X X 10/18/96
BEACON PROPERTIES CORP	MD	X X X 10/18/96AMEND
BLUE BIRD BODY CO	GA	X 10/25/96
BLUE BIRD BODY CO	GA	X 10/25/96
BLUE BIRD CORP	DE	X 10/25/96
BLUE BIRD CORP	DE	X 10/25/96
BONNEVILLE PACIFIC CORP	DE	X X 09/30/96
CALIFORNIA COMMUNITY BANCSHARES CORP	DE	X 10/12/96
CAPITA EQUIPMENT RECEIVABLES TRUST 1996-		X X 10/15/96
CAPITAL 2000 INC	со	X 10/18/96
CARGILL FINANCIAL SERVICES CORP	DE	x 10/18/96
CARGILL FINANCIAL SERVICES CORP	DE	X 10/18/96
CARRAMERICA REALTY CORP	MD	X 10/24/96
CBR BREWING CO INC	FL	X 10/14/96
CENTURA BANKS INC	NC	X X 10/25/96
CITIBANK SOUTH DAKOTA N A	DE	X 02/26/96
CITIBANK SOUTH DAKOTA N A	DE	X 02/26/96
CITIBANK SOUTH DAKOTA N A	DE	x 09/25/96
CITIBANK SOUTH DAKOTA N A	DE	x 11/01/96
CMC SECURITIES CORP II	DE	X 10/26/96
COMPRESSION LABS INC	DE	X X 10/24/96
COORS ADOLPH CO	CO	X 10/20/96
CORAM HEALTHCARE CORP	DE	X X 10/19/96
CORRPRO COMPANIES INC /OH/	OH	X 10/18/96
CRAIN INDUSTRIES INC	DE	X X 10/18/96
CS FIRST BOSTON MOR SEC CORP COMM MOR PA	NY	X X 10/25/96
DSP GROUP INC /DE/	DE	x 10/22/96
EASTWIND GROUP INC	DE	X X 10/17/96
ECKLER INDUSTRIES INC	FL	X X 10/28/96
ENVIRONMENTAL PLUS INC /TX/	ТX	X X 07/22/96
EQUICON MORTGAGE LOAN TRUST 1995-2	NY	x 10/18/96
ETHIKA CORP	MS	X X 08/17/96
EVERGREEN MEDIA CORP	DE	X X 10/18/96AMEND
FALCON CLASSIC CABLE INCOME PROPERTIES L	CA	X 10/17/96
FALCON CLASSIC CABLE INCOME PROPERTIES L	CA	X X 10/24/96
FARM FAMILY HOLDINGS INC	DE	x 09/30/96
FIRST INDEPENDENCE CORP	MI	X 10/24/96
FIRST KNOX BANC CORP	ОН	X X 10/28/96
FIRST MERCHANTS ACCEPTANCE CORP	DE	X X 10/22/96
FOODARAMA SUPERMARKETS INC	NJ	x 10/25/96
FORD MOTOR CREDIT CO	DE	X X 10/24/96
FRANKLIN RESOURCES INC	DE	X X 10/31/96
FROST HANNA MERGERS GROUP INC	FL	X 10/14/96AMEND
GENESIS HEALTH VENTURES INC /PA	PA	x x 10/11/96
GLENBOROUGH REALTY TRUST INC	MD	x 10/17/96
GRAND ADVENTURES TOUR & TRAVEL PUBLISHIN	OR	x x x x x 10/10/96
	NY	x x 10/11/96
GROUND ROUND RESTAURANTS INC	co	x x 10/10/96
HATHAWAY CORP		
HEALTH & RETIREMENT PROPERTIES TRUST	MD	
HINES HOLDINGS INC	NV	X 10/28/96
HSBC AMERICAS INC	DE	X X 11/01/96
ICG COMMUNICATIONS INC	DE	x 09/30/96
INCOME OPPORTUNITY REALTY INVESTORS INC	CA	X X 09/30/96
INTERNATIONAL REALTY GROUP INC	DE	X 08/19/96AMEND
ISB FINANCIAL CORP/LA	LA	X X 10/18/96
ITALIAN OVEN INC	PA	X 10/21/96
IVAC MEDICAL SYSTEMS INC	DE	x x 10/24/96
JAN BELL MARKETING INC	DE	X X 11/01/96
JEFFERSON SAVINGS BANCORP INC	DE	x 10/25/96
KASH N KARRY FOOD STORES INC	DE	x 10/31/96
KTI INC	NJ	x x 10/24/96

NAME OF ISSUER LADD FURNITURE INC LAMAR ADVERTISING CO LANXIDE CORP LEARNING CO INC LOGANSPORT FINANCIAL CORP MACERICH CO MANAGEMENT TECHNOLOGIES INC MANHATTAN BAGEL CO INC MAPCO INC MCDONNELL DOUGLAS CORP MEDIZONE INTERNATIONAL INC MELLON BANK CORP MERRILL LYNCH MORTGAGE INVESTORS INC MICROLYTICS INC /DE/ MILLER INDUSTRIES INC /TN/ MONEY STORE INC /NJ	CODE NC DE DE IN MD NY NJ DE MD NV PA DE	1234 			DATE COMMENT 10/17/96 10/17/96 10/24/96 10/24/96 10/22/96 10/22/96 10/21/96AMEND 10/31/96 05/23/96AMEND
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MCDONNELL DOUGLAS CORP MEDIZONE INTERNATIONAL INC MELLON BANK CORP MERRILL LYNCH MORTGAGE INVESTORS INC MICROLYTICS INC /DE/ MILLER INDUSTRIES INC /TN/	MD NV PA		x	X	00 140 101
MEDIZONE INTERNATIONAL INC MELLON BANK CORP MERRILL LYNCH MORTGAGE INVESTORS INC MICROLYTICS INC /DE/ MILLER INDUSTRIES INC /TN/	NV PA	x	X		09/10/96
MELLON BANK CORP MERRILL LYNCH MORTGAGE INVESTORS INC MICROLYTICS INC /DE/ MILLER INDUSTRIES INC /TN/	PA	X			10/31/96
MERRILL LYNCH MORTGAGE INVESTORS INC MICROLYTICS INC /DE/ MILLER INDUSTRIES INC /TN/					10/17/96
MICROLYTICS INC /DE/ MILLER INDUSTRIES INC /TN/	DE		x	X	10/15/96
MILLER INDUSTRIES INC /TN/		x		x	09/26/96
	DE		Х		10/22/96
MONEY STORE INC /NI	TN		х	X	11/01/96
MONET STORE INC /NJ	NJ		Х	X	10/30/96
MONTEREY PASTA CO	CA	Х			10/18/96
MTR GAMING GROUP INC	DE		X	X	10/18/96
NATIONAL HOME CENTERS INC	AR	х		X	10/28/96
NOLAND CO	VA		х		10/01/96
NOMURA ASSET SECURITIES COR COM MORT PAS	DE		х	х	05/15/96
NOMURA ASSET SECURITIES COR COM MORT PAS	DE		х	х	06/13/96
NOMURA ASSET SECURITIES COR COM MORT PAS	DE		x	X	07/15/96
NOMURA ASSET SECURITIES COR COM MORT PAS	DE		x	x	08/14/96
NOMURA ASSET SECURITIES COR COM MORT PAS	DE		x	x	09/13/96
NOVATEK INTERNATIONAL INC	CO	v	x x	Â.	10/18/96
NOVATEK INTERNATIONAL INC	co	^	x	x	10/25/96
OCCUSYSTEMS INC	DE	x	^	Â.	
	. –	^	~		10/23/96
OLYMPIC FINANCIAL LTD	MN		x	X	10/31/96
ON COMMAND CORP	DE	X		X	10/10/96
PACIFIC REHABILITATION & SPORTS MEDICINE	DE	XXXX		X	10/30/96
PAMIDA HOLDINGS CORP/DE/	DE	X			10/16/96AMEND
PAMIDA INC /DE/	DE	X			10/16/96AMEND
PARTS SOURCE INC	FL	x		X	10/25/96
PEOPLES TELEPHONE COMPANY INC	NY		х		10/24/96
POLYMER GROUP INC	DE			X	08/14/96AMEND
POMEROY COMPUTER RESOURCES INC	DE	X			10/24/96
POWER PLUS CORP			Х		10/31/96
POWERHOUSE RESOURCES INC	CO		X		10/18/96AMEND
PRICE T ROWE REALTY INCOME FUND I	MD	X			11/01/96
PROVIDENCE JOURNAL CO	DE	X		Х	05/09/96
PRUDENTIAL ACQUISITION FUND I LP	DE	Х		Х	09/26/96
RENAISSANCE COSMETICS INC /DE/	DE			х	08/21/96AMEND
REVCO D S INC	DE		х	x	10/28/96
RYDER SYSTEM INC	FL	x		x	10/17/96
RYDER SYSTEM INC	FL	~	х	~	10/31/96
SALOMON BROTHERS MORTGAGE SECURITIES VII	DE		x	x	10/23/96
SEQUOIA SYSTEMS INC	DE	x	^	x	10/11/96
SFX BROADCASTING INC	DE	^	v	^	
			X		11/01/95
SI DIAMOND TECHNOLOGY INC	TX		X	X	10/30/96
SIGNET HELOC TRUST 1995-A	VA		X	X	08/20/96
SIGNET HELOC TRUST 1995-A	VA		X	X	09/20/96
SIGNET HELOC TRUST 1995-A	VA		х	X	10/21/96
SOLUTIONS INC	NV			x	10/28/96AMEND
SOUTHERN CO	DE		Х	Х	10/09/96
SPSS INC	DE			Х	09/26/96AMEND
ST JUDE MEDICAL INC	MN		Х		10/22/96
STIFEL FINANCIAL CORP	DE	X	ζ.		10/29/96
STONE & WEBSTER INC	DE		Х		10/25/96
STORAGE USA INC	TN		х	х	10/30/96

NAME OF ISSUER	STATE CODE				DATE COMMENT
SUSA PARTNERSHIP LP	 TN		x	x	11/01/96
SYQUEST TECHNOLOGY INC	DE		X		10/31/96AMEND
SYRATECH CORP	DE		x	x	10/23/96
TECHNICLONE INTERNATIONAL CORP	CA		x	x	10/25/96
TEXAS INDUSTRIES INC	DE		х	х	10/15/96
TRANS OCEAN CONTAINER CORP	DE	Х		X	10/16/96
TRANS WORLD AIRLINES INC /NEW/	DE		х		10/24/96
UNION PACIFIC RESOURCES GROUP INC	UT		Х	х	10/29/96
VALCOR INC	DE	X			09/30/96
VALCOR INC	DE	X			09/30/96
VALHI INC /DE/	DE	x			09/30/96
VERSUS TECHNOLOGY INC	DE	×	:		10/24/96
VIEW TECH INC	CA			х	10/30/96AMEND
WASHINGTON MUTUAL INC	WA			х	10/02/96AMEND
WELLPOINT HEALTH NETWORKS INC /CA/	CA		Х	х	10/09/96
WESTERN GAS RESOURCES INC	DE		Х		11/01/96
WESTERN WIRELESS CORP	WA	Х			10/25/96
WILD WINGS INC	NV	Х			10/08/96
WINTHROP FINANCIAL ASSOCIATES	MD		х		10/14/96
WIRELESS ONE INC	DE			х	10/30/96
ZIEGLER COMPANIES INC	WI	Х			12/31/96
ZIEGLER LEASING CORP /WI	WI	X			12/31/96
360 DEGREE COMMUNICATIONS CO	DE	X			09/30/96
99 CENTS ONLY STORE	CA	x			11/01/96

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U.S. Securities and Exchange Commission Washington, D.C. 20549 (202) 942-0020



FOR IMMEDIATE RELEASE

96-127

# CHRISTIAN MIXTER IS NAMED CHIEF LITIGATION COUNSEL

Washington, D.C., November 4, 1996 -- Chairman Levitt today announced that Christian Mixter, Assistant Chief Litigation Counsel, has been appointed Chief Litigation Counsel, Division of Enforcement.

Mr. Mixter has worked at the SEC for more than five years in the Division of Enforcement's Trial Unit. During that time, he played a critical role in a number of the Commission's most significant cases, including the Commission's recent financial fraud case against Comparator, its fraud case against First Jersey Securities and Robert Brennan and its insider trading cases arising out of transactions relating to Rochester Community Savings Bank. In 1995, he also served as the Acting District Administrator for the Fort Worth District Office.

Prior to joining the Commission, Mr. Mixter was an Associate Counsel to Independent Counsel Lawrence Walsh in the investigation of the Iran/Contra matter. He began his legal career at the law firm of Davis Polk & Wardwell.

Mr. Mixter received B.A. in History <u>summa cum laude</u>, from Ohio State University in 1974. Three years later, he was awarded his J.D. with distinction by Duke University School of Law where he was an Article Editor for the <u>Duke Law Journal</u>.

Chairman Levitt said, "Chris has proven himself a talented litigator with exceptional judgment. He is a prosecutor that combines the best qualities of tenacity and fairness."

William McLucas said, "Chris is a great advocate. During the years that Chris has done battle for the Commission in court, he has earned the respect of his adversaries and his collegues. He will do an outstanding job leading the Commission's trial team."

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