

# SEC NEWS DIGEST

Issue 2001-18

January 26, 2001

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION SUSTAINS NASD ACTION AGAINST STEVEN GOODMAN

The Commission has sustained NASD disciplinary action against Steven D Goodman of Allison Park, Pennsylvania Goodman was a registered representative in the Pittsburgh office of Hibbard Brown & Co., Inc , a former NASD member firm The NASD barred Goodman from association with any NASD member firm in any capacity, and fined him \$75,000.

The Commission found that, in 1993, Goodman defrauded three customers in connection with his sales of various speculative securities The Commission stated that Goodman not only made wholly irresponsible statements and predictions, but also withheld material information from investors In addition, he used high pressure tactics to induce sales, and increased customer losses by dissuading customers from selling and failing to execute sell orders

In sustaining the NASD's sanctions, the Commission stated that Goodman had engaged in egregious misconduct in utter disregard of his obligations to investors It found that the imposition of a bar was appropriate to protect the public from further harm at Goodman's hands, and that the fine was fully warranted (Rel 34-43889, File No 3-10116)

### REGALD SMITH BARRED FROM ASSOCIATION WITH ANY BROKER-DEALER

The Commission announced today that it simultaneously instituted and settled administrative proceedings pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 against Regald B. Smith (Smith) of Pikeville, Kentucky Smith agreed to be barred from association with any broker or dealer Smith, age 55, was a broker in charge of Stifel's Nicolaus & Company, Incorporated's Pikeville, Kentucky office On September 7, 2000, the U S District Court for the Eastern District of Kentucky entered an order of permanent injunction against Smith, pursuant to Smith's consent, without admitting or denying the Commission's charges, enjoining Smith from violating the antifraud provisions of the federal securities laws, freezing Smith's assets and ordering him to account for and disgorge his ill-gotten gains and pay civil penalties

in amounts to be determined. The order was entered in response to the Commission's Complaint filed September 6th, charging Smith with perpetrating an 18-month scheme in which he stole, through the sale of fictitious securities, more than \$5 million from least 6 investors who were his brokerage clients. On August 28, 2000, Smith confessed to Stifel officials that he had conducted the scheme. On October 10, 2000, Smith pled guilty to wire fraud based on his misconduct. (Rel 34-43890, File No 3-10411)

### **COMMISSION INSTITUTES AND SIMULTANEOUSLY SETTLES PUBLIC ADMINISTRATIVE PROCEEDINGS AGAINST JOHN BLOUNT, III.**

The Commission announced that it has instituted and simultaneously settled public administrative proceedings, pursuant to Section 15(b) of the Securities Exchange Act of 1934 (Exchange Act), against John A. Blount, III. The order instituting the proceedings (Order) bars Blount from association with any broker or dealer.

The Commission's Order finds that Blount was associated as an unregistered representative of International Currency Consultants, Inc. (ICC), an unregistered broker-dealer. The Order further finds that on November 9, 2000, the U.S. District Court for the Southern District of Florida permanently enjoined Blount, by consent, from further violations of the antifraud and securities and broker-dealer registration provisions of the federal securities laws.

The permanent injunction followed a civil action initiated by a complaint filed by the Commission on May 16, 2000, alleging that between May 1998 and May 1999, Blount made material misrepresentations and omissions in connection with the unregistered offer and sale of securities issued by ICC (SEC v. William S. Cordo, Mitchell S. Davis and John A. Blount, No. 00-08392-CIV-RYSKAMP, S.D. Fla.). Blount's misrepresentations concerned, among other things, the profits and losses in individual accounts, the anticipated and past returns of ICC's investment program, the risk of the investment and the size of ICC's trading operation. The Commission's Complaint also alleged that Blount acted as an unregistered broker-dealer in the sale of ICC securities and received ill-gotten gains in the form of commission payments from his sale of the ICC securities. (Rel 34-43891, File No 3-10412)

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### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W . Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

S-B PANAMA REPUBLIC OF, 2862 MCGILL TERRACE NW, C/O AMBASSADOR OF PANAMA,  
WASHINGTON, DC 20008 (000) 000-0000 - 1,000,000,000 (\$850,000,000)  
STRAIGHT BONDS. (FILE 333-13070 - JAN. 17) (BR. 99)

S-8 MANULIFE FINANCIAL CORP, 200 BLOOR ST EAST, NORTH TOWER 11,  
TORONTO ONTARIO CANA, (416) 926-3500 - 500,000 (\$12,625,000)  
FOREIGN COMMON STOCK. (FILE 333-13072 - JAN. 17) (BR. 1)

F-3 ALSTOM, 38 AVENUE LIEBER, 75116 PARIS FRANCE, IO - 25,000,000  
(\$602,343,750) FOREIGN COMMON STOCK. (FILE 333-13078 - JAN. 17) (BR.  
5)

S-8 FIDELITY BANCORP INC, 1009 PERRY HIGHWAY, PITTSBURGH, PA 15237  
(412) 367-3300 - 21,000 (\$250,742.50) FOREIGN COMMON STOCK. (FILE  
333-53934 - JAN. 19) (BR. 7)

S-8 MED GEN INC, 7472 W PALMETTO ROAD, SUITE 106, BOCA RATON, FL 33433  
(561) 750-1100 - 3,055,000 (\$3,086,240) COMMON STOCK. (FILE 333-53936  
-  
JAN. 19) (BR. 9)

S-8 AIRBOMB COM INC, 505 1155 ROBSON STREET, BRITISH COLUMBIA V6E 1B5, -  
606,500 (\$188,015) FOREIGN COMMON STOCK. (FILE 333-53966 - JAN. 19)  
(BR. 9)

S-8 SONUS NETWORKS INC, 5 CARLISLE RD, WESTFORD, MA 01886 (978) 692-8999  
-  
5,419,206 (\$83,170,557.80) COMMON STOCK. (FILE 333-53970 - JAN. 19)  
(BR. 6)

S-3 CHAMPION ENTERPRISES INC, 2701 UNIVERSITY DR, STE 300, AUBURN HILLS,  
MI  
48326 (248) 340-9090 - 1,000,000 (\$5,410,000) COMMON STOCK. (FILE  
333-53978 - JAN. 19) (BR. 6)

S-8 AT PLAN INC, THREE LANDMARK SQU, SUITE 400, STAMFORD, CT 06901  
(203) 961-0340 - 400,000 (\$3,060,685) COMMON STOCK. (FILE 333-54004 -  
JAN. 19) (BR. 2)

S-3 DAKTRONICS INC /SD/, PO BOX 5128, 331 32ND AVE, BROOKINGS, SD 57006  
(605) 697-4000 - 85,039 (\$1,148,026.50) COMMON STOCK. (FILE 333-54006  
-  
JAN. 19) (BR. 6)

S-8 CELANESE AG, INSUSTRIEPARK HOECHST BUILDING F-821 D-6,  
FRANKFURT AM MAIN GERMANY, FRANKFURT, (693) 051-4000 - 50,000  
(\$886,000)  
COMMON STOCK. (FILE 333-54008 - JAN. 19) (BR. 6)

S-8 MATTSON TECHNOLOGY INC, 2800 BAYVIEW DR, FREMONT, CA 94538  
(510) 657-5900 - 3,277,915 (\$44,228,345) COMMON STOCK. (FILE 333-54010  
-  
JAN. 19) (BR. 5)

S-3 BAXTER INTERNATIONAL INC, ONE BAXTER PKWY, DEERFIELD, IL 60015  
(847) 948-2000 - 1,472,430 (\$123,500,066.25) COMMON STOCK. (FILE  
333-54014 - JAN. 19) (BR. 5)

S-8 DREXLER TECHNOLOGY CORP, 1077 INDEPENDENCE AVE, MOUNTAIN VIEW, CA  
94043  
(415) 969-7277 - 300,000 (\$4,632,000) COMMON STOCK. (FILE 333-54018 -  
JAN. 19) (BR. 3)

S-8 CHESTER VALLEY BANCORP INC, 100 E LANCASTER AVE, DOWNINGTOWN, PA  
19335  
(610) 269-9700 - 315,000 (\$5,512,500) COMMON STOCK. (FILE 333-54020 -  
JAN. 19) (BR. 7)

S-8 BMC SOFTWARE INC, 2101 CITYWEST BLVD, HOUSTON, TX 77042 (713) 918-  
8800  
- 2,000,000 (\$52,750,000) COMMON STOCK. (FILE 333-54022 - JAN. 19)  
(BR. 3)

S-8 DREXLER TECHNOLOGY CORP, 1077 INDEPENDENCE AVE, MOUNTAIN VIEW, CA  
94043  
(415) 969-7277 - 100,000 (\$1,544,000) COMMON STOCK. (FILE 333-54026 -  
JAN. 19) (BR. 3)

S-8 AMERICAN TECHNOLOGY CORP /DE/, 13114 EVENING CREEK DRIVE SOUTH,  
SAN DIEGO, CA 92128 (619) 679-2114 - 985,000 (\$4,965,050) COMMON STOCK.  
(FILE 333-54034 - JAN. 19) (BR. 2)

S-8 ACTV INC /DE/, 1270 AVE OF THE AMERICAS, NEW YORK, NY 10020  
(212) 262-2571 - 4,000,000 (\$31,939,499.25) COMMON STOCK. (FILE 333-  
54038  
- JAN. 19) (BR. 7)

S-8 EPRESENCE INC, 120 FLANDERS RD, WESTBORO, MA 01581 (508) 898-1000 -  
1,250,000 (\$6,212,500) COMMON STOCK. (FILE 333-54042 - JAN. 19) (BR.  
3)

S-8 MELLON FINANCIAL CORP, ONE MELLON BANK CTR, 500 GRANT ST,  
PITTSBURGH, PA  
15258 (412) 234-5000 - 10,000,000 (\$450,937,500) COMMON STOCK. (FILE  
333-54050 - JAN. 19) (BR. 7)

S-8 MELLON FINANCIAL CORP, ONE MELLON BANK CTR, 500 GRANT ST,  
PITTSBURGH, PA  
15258 (412) 234-5000 - 14,000,000 (\$631,312,500) COMMON STOCK. (FILE  
333-54054 - JAN. 19) (BR. 7)

S-8 NOVELLUS SYSTEMS INC, 4000 N FIRST ST, SAN JOSE, CA 95134 (408) 943-  
9700  
- 1,471,920 (\$39,334,260.01) COMMON STOCK. (FILE 333-54056 - JAN. 19)  
(BR. 5)

S-8 NOVELLUS SYSTEMS INC, 4000 N FIRST ST, SAN JOSE, CA 95134 (408) 943-9700  
- 5,805,000 (\$228,934,687.50) COMMON STOCK. (FILE 333-54058 - JAN. 19)  
(BR. 5)

S-8 VALENTIS INC, 863A MITTEN RD, BURLINGAME, CA 94010 (650) 697-1900 -  
200,000 (\$1,312,500) COMMON STOCK. (FILE 333-54064 - JAN. 19) (BR. 1)

S-8 VALENTIS INC, 863A MITTEN RD, BURLINGAME, CA 94010 (650) 697-1900 -  
2,200,000 (\$14,437,500) COMMON STOCK. (FILE 333-54068 - JAN. 19) (BR.  
1)

S-8 MEDICHEM LIFE SCIENCES INC, 12305 SOUTH NEW AVENUE, LEMONT, IL 60439  
-  
4,500,000 (\$18,221,138) COMMON STOCK. (FILE 333-54076 - JAN. 19) (BR.  
1)

S-8 INHALE THERAPEUTIC SYSTEMS INC, 150 INDUSTRIAL RD., SAN CARLOS, CA  
94070  
(650) 631-3100 - 2,300,000 (\$68,425,000) COMMON STOCK. (FILE 333-54078  
-  
JAN. 19) (BR. 1)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES MORTGAGE TRUST 2000-1	CA				X	X					12/26/00	
ADELPHIA COMMUNICATIONS CORP	DE				X	X					01/23/01	
ADEPT TECHNOLOGY INC	CA				X						01/24/01	
ADVANCED MICRO DEVICES INC	DE				X	X					01/17/01	
ALAMOSA DELAWARE INC	DE				X	X					01/23/01	
ALAMOSA PCS HOLDINGS INC /DE/	DE				X	X					01/23/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ALARCON HOLDINGS INC	NY		X						X		11/10/00	AMEND
ALLEGHENY ENERGY INC	MD					X	X		X		01/25/01	
ALLIED WASTE INDUSTRIES INC	DE					X					01/25/01	
ALTEON INC /DE	DE					X					01/22/01	
ALTREX INC	NV	X	X		X	X	X	X			01/22/01	
AMBAC FINANCIAL GROUP INC	DE					X	X				01/24/01	
AMBI INC	NY					X		X			01/18/01	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				01/16/01	
AMERICAN GENERAL FINANCE CORP	IN					X	X				01/24/01	
AMERICAN ITALIAN PASTA CO	DE					X	X				01/24/01	
AMERICREDIT FINANCIAL SERVICES INC	DE					X	X				01/22/01	
AMPLIDYNE INC	DE					X					01/19/01	
ANKER COAL GROUP INC	DE					X	X				01/25/01	
ANTS SOFTWARE INC	DE					X					01/24/01	
APPLE ORTHODONTIX INC						X	X				01/04/01	
ASCENT ENTERTAINMENT GROUP INC	DE					X					01/05/01	
ASPEON INC	DE					X	X				01/22/01	
ASSET BACKED SEC CORP HOME EQUITY L OAN SERIES 2000 LB1	DE					X	X				12/21/00	
ASTORIA FINANCIAL CORP	DE							X	X		01/24/01	
ATCHISON CASTING CORP	KS					X	X				01/24/01	
ATLANTIC TECHNOLOGY VENTURES INC	DE					X	X				01/09/01	
AVTEAM INC	FL					X	X				01/23/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				05/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				06/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				07/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				08/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				09/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				10/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				11/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X				12/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				06/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				07/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				08/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				08/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				09/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				09/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X				10/25/00	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X					10/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X					11/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X					11/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X					12/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE					X	X					12/25/00	
BANK OF AMERICA CORP /DE/	DE					X	X					01/24/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X					01/25/01	
BANK OF AMERICA 1999-11						X	X					02/25/00	
BANK OF AMERICA 1999-11						X	X					03/25/00	
BANK OF AMERICA 1999-11						X	X					04/25/00	
BANK OF AMERICA 1999-11						X	X					05/25/00	
BANK OF AMERICA 1999-11						X	X					06/25/00	
BANK OF AMERICA 1999-11						X	X					07/25/00	
BANK OF AMERICA 1999-11						X	X					08/25/00	
BANK OF AMERICA 1999-11						X	X					09/25/00	
BANK OF AMERICA 1999-11						X	X					10/25/00	
BANK OF AMERICA 1999-11						X	X					11/25/00	
BANK OF AMERICA 1999-11						X	X					12/25/00	
BANKNORTH GROUP INC/ME	ME					X	X					01/23/01	
BANYAN STRATEGIC REALTY TRUST	MA					X	X					01/24/01	
BARGO ENERGY CO	TX					X	X					01/24/01	
BB&T CORP	NC					X						01/24/01	
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE					X	X					12/26/00	
BELLWETHER EXPLORATION CO	DE					X	X					01/25/01	
BERKLEY W R CORP	DE					X	X					12/08/00	
BERRY PETROLEUM CO	DE					X						01/24/01	
BICO INC/PA	PA					X						01/22/01	
BIODELIVERY SCIENCES INTERNATIONAL INC	IN		X					X				10/10/00	AMEND
BIOLABS INC	NY				X							01/18/01	
BIOPOOL INTERNATIONAL INC	DE				X							12/19/00	
BLACK & DECKER CORP	MD						X	X				01/25/01	
BMW AUTO LEASING LLC							X					01/25/01	
BMW MANUFACTURING LP							X					01/25/01	
BMW VEHICLE LEASE TRUST 2000-A								X				01/25/01	
BOSTON PROPERTIES INC	DE					X	X					01/23/01	
BOWLIN OUTDOOR ADVERTISING & TRAVEL CENTERS INC	NV					X	X					01/23/01	
BRISTOL MYERS SQUIBB CO	DE					X	X					12/15/00	
BROADCOM CORP	CA						X	X				01/23/01	
BUSINESS MALL COM INC	NV					X	X					01/11/01	
CAPSULE COMMUNICATIONS INC DE	DE					X	X					01/24/01	
CASINO JOURNAL PUBLISHING GROUP INC	NV		X			X	X					01/10/01	
CATERPILLAR INC	DE					X						01/25/01	
CB RICHARD ELLIS SERVICES INC	DE						X	X				01/24/01	
CENIT BANCORP INC	DE					X	X					01/22/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CENIT BANCORP INC	DE					X	X				01/23/01	
CENTRAL COAST BANCORP	CA					X	X				01/23/01	
CERIDIAN CORP	DE						X	X			01/24/01	
CHASE MORTGAGE FINANCE CORP	DE					X	X				01/22/01	
CHEMICAL FINANCIAL CORP	MI	X					X				01/24/01	
CHESAPEAKE ENERGY CORP	OK								X		01/23/01	
CHYRON CORP	NY					X					01/24/01	
CITIBANK CREDIT CARD MASTER TRUST I	DE					X	X				12/26/00	
CITIBANK NEVADA NATIONAL ASSOCIATIO N	DE					X	X				12/26/00	
CITIBANK SOUTH DAKOTA N A	DE					X	X				12/26/00	
CNET NETWORKS INC	DE					X	X				01/24/01	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE					X	X				01/19/01	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE					X	X				01/24/01	
COCA COLA ENTERPRISES INC	DE					X	X				12/31/00	
COMPAQ COMPUTER CORP	DE					X	X				01/23/01	
COMPUCOM SYSTEMS INC	DE	X					X				01/10/01	
CONSOLIDATED GRAPHICS INC /TX/	TX					X	X				01/24/01	
COOPER INDUSTRIES INC	OH					X	X				01/23/01	
COOPER INDUSTRIES INC	OH						X	X			01/24/01	
CORNING INC /NY	NY	X									12/31/00	
CREDIT SUISS FIR BOS MR SC CRP CDMC MR BD PS TH CT SR 2000-5	DE					X	X				09/18/00	
CREDIT SUISS FIR BOS MR SC CRP CDMC MR BD PS TH CT SR 2000-5	DE					X	X				10/18/00	
CREDIT SUISS FIR BOS MR SC CRP CDMC MR BD PS TH CT SR 2000-5	DE					X	X				11/18/00	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM1						X	X				01/31/01	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM2						X	X				01/31/01	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM3	DE					X	X				01/31/01	
CROWN ANDERSEN INC	DE				X		X				01/23/01	
CROWN CASTLE INTERNATIONAL CORP	DE					X	X				01/12/01	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE					X	X				12/31/00	
DOMINION RESOURCES INC /VA/	VA					X	X				01/23/01	
DOMINIX INC	CO				X						01/10/01	
DORSEY TRAILERS INC	DE					X	X				01/24/01	
DOW JONES & CO INC	DE						X	X			01/25/01	
DT INDUSTRIES INC	DE					X	X				01/24/01	
DUPONT E I DE NEMOURS & CO	DE					X					01/24/01	
E CENTIVES INC	DE					X	X				01/18/01	
E TRADE GROUP INC	DE							X			01/22/01	
ECOLAB INC	DE					X	X				01/23/01	
EMC CORP	MA					X	X				01/25/01	
EMMIS COMMUNICATIONS CORP	IN	X									01/24/01	
ENTERPRISE PRODUCTS OPERATING L P	DE					X	X				01/24/01	
ENTERPRISE PRODUCTS PARTNERS L P	DE					X	X				01/24/01	
EQUITY TECHNOLOGIES & RESOURCES INC	DE					X					01/23/01	
EVERLERT INC	NV				X						05/11/00	AMEND



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
EXCHANGE APPLICATIONS INC	DE				X	X					01/10/01	
EXODUS COMMUNICATIONS INC	DE		X				X				01/10/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									01/16/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									01/16/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									01/16/01	
FIDELITY BANCORP INC /DE/	DE		X								01/24/01	
FINANCIAL SERVICES VEHICLE TRUST							X				01/25/01	
FIRECOM INC	NY				X	X					01/24/01	
FIRST COMMUNITY BANCSHARES INC /NV/	NV				X						12/31/00	
FIRST DATA CORP	DE				X						01/18/01	
FIRST INDIANA CORP	IN				X						01/25/01	
FIRST KANSAS FINANCIAL CORP	KS				X	X					01/24/01	
FORTUNE BRANDS INC	DE				X	X					01/25/01	
FRANKLIN RECEIVABLES LLC	DE				X	X					01/12/01	
FRANKLIN RECEIVABLES LLC	DE				X	X					01/24/01	
FRANKLIN RESOURCES INC	DE				X	X					12/31/00	
FRMO CORP	DE	X	X		X						01/18/01	
FSI INTERNATIONAL INC	MN							X			01/24/01	
FULL MOON UNIVERSE INC	WA	X									01/25/01	
GALAXY TELECOM LP	DE	X									03/31/01	
GENERAL BEARING CORP	DE				X	X					01/19/01	
GENERAL MOTORS CORP	DE				X						01/16/01	
GENEREX BIOTECHNOLOGY CORP	DE						X				09/05/00	AMEND
GENEREX BIOTECHNOLOGY CORP	DE				X						01/23/01	
GENFINITY CORP	DE				X						01/16/01	
GLENBOROUGH REALTY TRUST INC	MD				X	X					12/31/00	
GLOBAL CROSSING HOLDINGS LTD			X				X				01/10/01	
GLOBAL CROSSING LTD			X				X				01/10/01	
GREATAMERICA LEASING RECEIVABLES 20 00-1 LLC	DE				X	X					01/22/01	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-1	DE				X	X					12/15/00	
GREENWICH CAPITAL ACCEPTANCE INC	DE				X	X					12/18/00	
GST TELECOMMUNICATIONS INC	DE	X				X					01/10/01	
GUEST SUPPLY INC	NJ				X	X					01/22/01	
HADRON INC	NY				X						01/16/01	
HARVEYS CASINO RESORTS	NV				X						01/22/01	
HAWAIIAN ELECTRIC CO INC	HI				X						01/23/01	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI				X						01/23/01	
HEALTHCARE REALTY TRUST INC	MD					X	X				01/26/01	
HECLA MINING CO/DE/	DE				X	X					01/22/01	
HIGHWOODS PROPERTIES INC	MD				X						01/25/01	
HIGHWOODS REALTY LTD PARTNERSHIP	NC				X						01/25/01	
HOME LOAN TRUST 2000 1 MORTGAGE PAS S THROUGH CERT SER 2000 A	NC				X	X					12/15/00	
HOME PROPERTIES OF NEW YORK INC	MD							X			01/24/01	
HOMEPLACE OF AMERICA INC			X				X				01/16/01	
HOTEL RESERVATIONS NETWORK INC	DE					X	X				01/25/01	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	DE					X					01/24/01	
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	DE					X					01/24/01	

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HOUSEHOLD AUTOMOTIVE TRUST IV SERIES 2000-1	DE								X		01/24/01	
HOUSEHOLD AUTOMOTIVE TRUST V SERIES 2000 2	DE								X		01/24/01	
HOUSEHOLD AUTOMOTIVE TRUST VI SERIES 2000 3	NV								X		01/24/01	
HOUSEHOLD HOME EQUITY LOAN TRUST 1999-1	DE								X		01/24/01	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-1	DE								X		01/24/01	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-2	DE								X		01/24/01	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-1	DE								X		01/24/01	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-2	DE								X		01/24/01	
HUGHES ELECTRONICS CORP	DE					X					01/16/01	
IBERIABANK CORP	LA					X	X	X			01/24/01	
IMPAC MORTGAGE PASS THROUGH CERTIFICATES SERIES 2000-2	CA					X	X				11/27/00	
IMPAC MORTGAGE PASS THROUGH CERTIFICATES SERIES 2000-2	CA					X	X				12/26/00	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CERT SER 2000-3	CA					X	X				10/25/00	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CERT SER 2000-3	CA					X	X				11/27/00	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CERT SER 2000-3	CA					X	X				12/26/00	
INDIANA GAS CO INC	IN							X			12/31/00	
INNOVATIVE SOFTWARE TECHNOLOGIES INC		X				X	X				01/05/01	
INTERCOUNTY BANCSHARES INC	OH	X									01/24/01	
INTERNATIONAL PAPER CO /NEW/	NY					X	X				01/24/01	
INTERSIL HOLDING CO	DE					X	X				01/20/01	
INTERWOVEN INC	CA					X	X				01/23/01	
IRWIN FINANCIAL CORPORATION	IN	X									12/31/00	AMEND
ISPAT INLAND INC	DE					X	X				01/24/01	
J P MORGAN CHASE & CO	DE					X					01/17/01	
JONES APPAREL GROUP INC	PA					X					01/25/01	
JUNO ONLINE SERVICES INC	DE					X	X				01/25/01	
KEYSPAN CORP	NY					X	X				01/25/01	
LA JOLLA PHARMACEUTICAL CO	DE					X	X				07/21/00	
LACLEDE GAS CO	MO						X	X			01/25/01	
LAIDLAW INC						X	X				12/22/00	
LAIDLAW INC						X	X				01/04/01	
LANDS END INC	DE					X					01/11/01	
LANDS END INC	DE					X					01/23/01	
LANDS END INC	DE					X					01/24/01	
LANIER WORLDWIDE INC	DE	X									01/25/01	
LASALLE HOTEL PROPERTIES	MD					X	X				01/23/01	
LASERLOCK TECHNOLOGIES INC	NV	X									03/30/01	
LASV ENTERPRISES INC	AI					X					01/24/01	
LEAP WIRELESS INTERNATIONAL INC	DE					X	X				01/11/01	AMEND

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LEHMAN ABS CORP	DE				X	X					01/22/01	
LEUCADIA NATIONAL CORP	NY				X	X					01/24/01	
LIFE ENERGY & TECHNOLOGY HOLDINGS I NC	DE				X						01/25/01	
LUCENT TECHNOLOGIES INC	DE								X		01/24/01	
MACROMEDIA INC	DE				X	X					01/16/01	
MAF BANCORP INC	DE				X	X					01/25/01	
MAXTOR CORP	DE				X	X					01/24/01	
MCCORMICK & CO INC	MD								X		01/18/01	
MCCORMICK & CO INC	MD							X	X		01/18/01	
MCDATA CORP	DE				X						01/25/01	
MEDICALOGIC/MEDSCAPE INC	OR				X	X					01/24/01	
MEDIMMUNE INC /DE	DE				X						01/25/01	
MEDIQ INC	DE			X			X				01/24/01	
MEDIQ PRN LIFE SUPPORT SERVICES INC	DE			X			X				01/24/01	
MENTOR CORP /MN/	MN				X	X					01/22/01	
MENTOR CORP /MN/	MN						X	X			01/22/01	
MERCURY SOFTWARE	NV	X			X	X					01/05/01	
METALDYNE CORP	DE				X						01/23/01	
METLIFE INC	DE				X	X					01/23/01	
MFB CORP	IN				X	X					01/17/01	
MINNESOTA MINING & MANUFACTURING CO	DE				X	X					01/24/01	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1A	DE				X	X					11/27/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1A	DE				X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE				X	X					11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE				X	X					11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE				X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE				X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE				X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1D	DE				X	X					12/29/00	
MNB BANCSHARES INC	DE				X	X					12/31/00	
MODIS PROFESSIONAL SERVICES INC	FL				X						08/11/00	
MORGAN STANLEY AIRCRAFT FINANCE	DE				X						01/12/01	
MORGAN STANLEY DEAN WITTER & CO	DE				X	X					01/24/01	
MW MEDICAL INC	NV			X		X					01/17/01	
NATIONAL BANKSHARES INC	VA				X						01/10/01	
NATIONAL REHAB PROPERTIES NV INC	NV			X		X					01/23/01	AMEND
NATIONAL STEEL CORP	DE				X	X					01/25/01	
NATURAL SOLUTIONS CORP	NV			X		X					01/18/01	
NDC AUTOMATION INC	DE				X						01/24/01	
NETMEASURE TECHNOLOGY INC					X						01/24/01	
NETWORK COMMERCE INC	WA				X	X					01/24/01	
NEW FOCUS INC	CA		X				X				01/16/01	
NEW POWER HOLDINGS INC	DE				X	X					01/19/01	
NORTHEAST PENNSYLVANIA FINANCIAL CO RP	DE	X									08/30/00	AMEND
NORTHEAST UTILITIES SYSTEM	MA				X						01/23/01	

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NOVELLUS SYSTEMS INC	CA					X	X					01/10/01	
NTELOS INC	VA					X	X					01/24/01	
NTL INC/DE/	DE					X	X		X			01/19/01	
NTL INC/DE/	DE								X			01/19/01	AMEND
OAKWOOD MORTGAGE INVESTORS INC	NV					X						01/24/01	
OAKWOOD MORTGAGE INVESTORS INC OMI TRUST 2000-D	NV					X	X					01/15/01	
OMI TRUST 2000-C	NC					X	X					01/15/01	
OSHKOSH TRUCK CORP	WI						X	X	X			01/25/01	
PACIFICARE HEALTH SYSTEMS INC /DE/	DE					X	X					01/23/01	
PENNFED FINANCIAL SERVICES INC	DE					X	X					01/24/01	
PF NET COMMUNICATIONS INC	DE					X	X					01/12/01	
PFIZER INC	DE					X	X					01/24/01	
PINNACLE ENTERTAINMENT INC	DE					X	X					01/23/01	
PIONEER NATURAL RESOURCES CO	DE							X	X			01/24/01	
POGO PRODUCING CO	DE					X	X					01/23/01	
POLAROID CORP	DE					X	X					01/25/01	
POTOMAC ELECTRIC POWER CO	VA							X				01/25/01	
PRAECIS PHARMACEUTICALS INC	DE					X	X					01/24/01	
PRE PAID LEGAL SERVICES INC	OK								X			12/31/00	
PRIME RETAIL INC/BD/	MD	X				X	X					12/31/00	AMEND
PRIMEX TECHNOLOGIES INC	VA					X	X					01/23/01	
PROGRESS ENERGY INC	NC							X				11/30/00	AMEND
PROGRESS FINANCIAL CORP	DE					X						01/24/01	
PROVIDIAN MASTER TRUST							X					01/16/01	
PROVIDIAN NATIONAL BANK /NEW/							X					01/16/01	
PSE&G TRANSITION FUNDING LLC						X	X					01/25/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM					X						01/25/01	
QUENTRA NETWORKS INC	DE					X	X	X				01/15/01	
QUINTILES TRANSNATIONAL CORP	NC					X	X					01/25/01	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE					X	X					01/24/01	
RALSTON PURINA CO	MO							X	X			01/15/01	
RARE HOSPITALITY INTERNATIONAL INC	GA					X	X					01/23/01	
RAVISENT TECHNOLOGIES INC	DE					X	X					01/18/01	
REGENERON PHARMACEUTICALS INC	NY					X	X					01/26/01	
REGIONS FINANCIAL CORP	DE							X	X			01/25/01	
RENTECH INC /CO/	CO					X						10/02/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X					01/24/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X					01/25/01	
RESIDENTIAL FUNDING MORTGAGE SECURITIES I INC	DE					X	X					01/24/01	
RESIDENTIAL FUNDING MORTGAGE SECURITIES I INC	DE					X	X					01/24/01	
RESIDENTIAL FUNDING MORTGAGE SECURITIES I INC	DE					X	X					01/24/01	
RIGHT START INC /CA	CA					X						01/23/01	
ROBERDS INC	OH					X	X					01/03/01	
RUBBER TECHNOLOGY INTERNATIONAL INC /FL	FL	X										01/15/01	
RYMER FOODS INC	DE					X	X					01/17/01	

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SAFEGUARD SCIENTIFICS INC ET AL	PA	X						X			01/10/01	
SAFEWAY INC	DE					X	X				01/25/01	
SAN DIEGO GAS & ELECTRIC CO	CA					X	X				01/24/01	
SAVANNAH BANCORP INC	GA	X									01/22/01	
SBS FUNDING INC	DE	X						X			11/10/00	AMEND
SBS OF GREATER NEW YORK INC	FL	X						X			11/10/00	AMEND
SBS PROMOTIONS INC	NY	X						X			11/10/00	AMEND
SECURITY CAPITAL GROUP INC/	MD	X						X			01/16/01	
SELECT COMFORT CORP	MN	X									01/25/01	AMEND
SEMPRA ENERGY	CA					X	X				01/24/01	
SEPTIMA ENTERPRISES INC	CO					X	X				01/22/01	
SERACARE INC	DE					X	X				01/25/01	
SL GREEN REALTY CORP	MD					X	X				01/10/01	
SONICWALL INC	CA	X						X			11/14/00	AMEND
SOUTHERN INDIANA GAS & ELECTRIC CO	IN							X			12/31/00	
SOUTHWEST BANCORP INC	OK					X	X				01/25/01	
SOVEREIGN BANCORP INC	PA					X	X				01/24/01	
SPANISH BROADCASTING SYSTEM INC	DE	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM INC /NJ	DE	X						X			11/10/00	AMEND
/												
SPANISH BROADCASTING SYSTEM NETWORK INC	NY	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF CALI FORNIA INC	CA	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF FLORIDA INC	FL	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF GREATER MIAMI INC	FL	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF ILLINOIS INC	IL	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF PUERTO RICO INC /DE/	DE	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF PUERTO RICO INC /PR/	DE	X						X			11/10/00	AMEND
SPANISH BROADCASTING SYSTEM OF SANTO ANTONIO INC	DE	X						X			11/10/00	AMEND
SPARTAN STORES INC	MI							X	X		01/25/01	
STANFIELD EDUCATIONAL ALTERNATIVES INC/FL	FL					X					01/22/01	
STANLEY WORKS	CT	X									01/25/01	
STAR GAS PARTNERS LP	DE					X	X				01/24/01	
STATION CASINOS INC	NV					X	X				01/23/01	
STREAMEDIA COMMUNICATIONS INC	DE	X				X	X				01/09/00	
STROUDS INC	DE					X	X				01/24/01	
SURGILIGHT INC	DE					X	X				01/25/01	
TBM HOLDINGS INC	FL							X			11/10/00	AMEND
TELEPHONE & DATA SYSTEMS INC /DE/	DE					X	X				01/25/01	
TELLABS INC	DE					X					01/24/01	
TEPPCO PARTNERS LP	DE					X	X				01/18/01	
TEXACO INC	DE					X	X				01/24/01	
THERMOELASTIC TECHNOLOGIES INC /CO/	CO					X	X				12/22/00	AMEND
THOMAS GROUP INC	DE					X					01/15/01	
TIS MORTGAGE INVESTMENT CO	MD					X					01/09/01	AMEND

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TITAN TECHNOLOGIES INC	NM					X						03/17/99	
TOYOTA MOTOR CREDIT CORP	CA					X	X					01/11/01	
TOYOTA MOTOR CREDIT RECEIVABLES CORP	CA					X	X					01/11/01	
P													
TRANSWITCH CORP /DE	DE					X	X					01/24/01	
TRANSWITCH CORP /DE	DE					X	X					01/25/01	
TRICORD SYSTEMS INC /DE/	DE					X						01/25/01	
UNION NATIONAL FINANCIAL CORP / PA	PA					X			X			01/23/01	
UNITED COMMUNITY FINANCIAL CORP	OH					X						01/24/01	
UNITY WIRELESS CORP	DE							X				01/10/01	AMEND
URSUS TELECOM CORP	FL						X	X				01/18/01	
USCI INC	DE					X		X				12/29/00	AMEND
USX CORP	DE							X	X			01/24/01	
VECTREN CORP	IN							X				12/31/00	
VERITAS SOFTWARE CORP /DE/	DE						X	X				01/24/01	
VERSO TECHNOLOGIES INC	MN		X					X				01/12/01	
VESTIN GROUP INC	DE						X	X				01/10/01	
VESTIN GROUP INC	DE		X					X				01/10/01	
VICON INDUSTRIES INC /NY/	NY						X					01/25/01	
VIRATA CORP	DE					X	X					01/24/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X					01/24/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X					01/24/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X					01/24/01	
WESTVACO CORP	DE					X	X					01/22/01	
WFS FINANCIAL AUTO LOANS INC	CA					X						01/12/01	
WFS FINANCIAL INC 2000-D OWNER TRUS						X	X					01/22/01	
T													
WFS RECEIVABLES CORP	CA					X						01/12/01	
WHITMAN CORP/NEW/	DE					X						01/22/01	
YORK GROUP INC \DE\	DE					X	X					01/19/01	
YOUTH STREAM MEDIA NETWORKS INC	DE					X	X					01/24/01	