SEC NEWS DIGEST

Issue 2001-67

April 6, 2001

ENFORCEMENT PROCEEDINGS

SEC SETTLES CIVIL ACTION AGAINST TWO TENNESSEE BROKERS IN MID OCEAN LTD. INSIDER TRADING CASE

The Commission announced today that the United States District Court for the Middle District of Tennessee ordered two stockbrokers, Cristan K Blackman and Charles R. Roberts, to pay disgorgement and civil money penalties totaling almost \$450,000 based on allegations that they engaged in insider trading in stock or options of Mid Ocean Ltd. (Mid Ocean).

In November 1999, the SEC filed a complaint against Blackman and Roberts, both of whom formerly worked at the Nashville office of Morgan Keegan & Co. (Morgan Keegan), a brokerage firm, alleging that Blackman learned that Mid Ocean would be taken over shortly before a March 16, 1998 announcement that Exel Ltd., a Bermuda reinsurance company, would acquire Mid Ocean. The SEC alleged that Blackman tipped Roberts about Mid Ocean. The SEC's complaint further alleged that on March 13, 1998, Blackman purchased Mid Ocean call options and 3,000 shares of Mid Ocean stock, and Roberts purchased 1,000 shares of Mid Ocean stock. In addition, the complaint alleged that Blackman upped his brother and three of his clients, and Roberts tipped twelve of his clients, about Mid Ocean.

The injunction against Blackman, which was entered March 16, 2001, and the injunction against Roberts, which was entered on March 26, 2001, prohibit them from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The court also ordered Blackman to disgorge \$141,558.50, representing the profits earned by Blackman, his brother and his three clients, plus pre-judgment interest. The court ordered Roberts, jointly and severally with Blackman, to disgorge \$175,850, representing the profits earned by Roberts and his twelve clients, plus pre-judgment interest. Finally, the court ordered Blackman and Roberts to pay civil penalties of \$78,125 and \$12,250, respectively, representing their own profits from trading in Mid Ocean stock or options.

Blackman and Roberts were the last remaining defendants in the SEC's action alleging insider trading in connection with Exel's takeover of Mid Ocean. Previously, the SEC settled its cases against Blackman's alleged tipper, and several others who allegedly traded or tipped others about the Mid Ocean takeover (see Litigation Release No. 16357,

November 17, 1999). The SEC acknowledges the assistance of the American Stock Exchange in investigating this matter. [SEC v. Cristan K. Blackman, et al., Case No. 3:99-1072, Haynes, J., MD Tenn.] (LR-16953)

INVESTMENT COMPANY ACT RELEASES

NEW ENGLAND LIFE INSURANCE COMPANY, ET 3L.

A notice has been issued giving interested persons until April 26 to request a hearing on an application filed by New England Life Insurance Company, New England Variable Life Separate Account (New England Separate Account 1), Metropolitan Life Insurance Company, The New England Variable Account (New England Separate Account 2), the Metropolitan Series Fund, Inc. (Metropolitan Fund), and the New England Zenith Fund (Zenith Fund) (collectively, Applicants). Applicants request an order under Section 26(b) of the Investment Company Act to permit New England Separate Account 1 and New England Separate Account 2 to substitute Class A shares of the MetLife Stock Index Portfolio of the Metropolitan Series for shares of the Westpeak Stock Index Series of the Zenith Fund. Applicants also request an order under Section 17(b) of the Act for an exemption from Section 17(a) of the Act to permit certain in-kind transactions in connection with the substitution. (Rel. IC-24925 – April 5)

STATE FARM LIFE INSURANCE COMPANY, ET AI.

A notice has been issued giving interested persons until April 27 to request a hearing on an application filed by State Farm Life Jourance Company (Life Company), State Farm Life and Accident Assurance Company (Accident Company), State Farm Life Insurance Company Variable Annuity Separate Account (Life Company VA Separate Account), State Farm Life and Accident Assurance Company Variable Annuity Separate Account (Accident Company VA Separate Account, and together with the Life Company VA Separate Account, the Separate Accounts), State Farm Mutual Fund Trust (Retail Fund) and State Farm VP Management Corp. (VP Management Corp.) (collectively, Applicants). Applicants request an order under Section 11 of the Investment Company Act permitting exchanges between individual deferred variable annuity contracts (Contracts) of the Separate Accounts or any future Contracts offered by Life Company, Accident Company or any current and future affiliated insurance company and Retail Fund or any other registered, open-end management investment companies sponsored, organized and advised by a subsidiary of State Farm Mutual Automobile Insurance Company, the parent of Life Company, Accident Company and VP Management Corp. (Rel. IC-24927 - April 5)

THE TRAVELERS TIMED BOND ACCOUNT FOR VARIABLE ANNUITIES

An order has been issued under Section 8(f) of the Investment Company Act declaring that Travelers Timed Bond Account for Variable Annuities has ceased to be an investment company. (Rel. IC-24928 – April 5)

JACKSON NATIONAL LIFE INSURANCE COMPANY OF NEW YORK, ET AL.

A notice has been issued giving interested persons until April 27 to request a hearing on an application filed by Jackson National Life Insurance Company of New York (Jackson National NY), JNLNY Separate Account I (Separate Account I-NY), Jackson National Life Distributors, Inc. (JNLD) (collectively, Applicants). Applicants seek an order under Section 6(c) of the Act to the extent necessary to permit, under specified circumstances, the recapture of credits applied to premums made under deferred variable annuity contracts that Jackson National NY will issue through Separate Account I-NY (the Contracts), as well as other contracts that Jackson National NY may issue in the future through any other separate account established by Jackson National NY in the future to support certain deferred variable annuity contracts issued by Jackson National NY (Future Accounts) that are substantially similar in all material respects to the Contracts (the Future Contracts). Applicants also request that the order being sought extend to any other National Association of Securities Dealers, Inc. (NASD) member broker-dealer controlling or controlled by, or under common control with, Jackson National NY, whether existing or created in the future, that serves as a distributor or principal underwriter for the Contracts or Future Contracts offered through Separate Account I-NY or any Future Account. (Rel. IC-24929 – April 5)

HOLDING COMPANY ACT RELEASES

THE SOUTHERN COMPANY

A notice has been issued giving interested persons until April 30 to request a hearing on a proposal by The Southern Company (Southern), a registered public utility holding company, to grant, from time to time through May 22, 2011, Incentive Stock Options, Nonqualified Stock Options, Stock Appreciation Rights, Restricted Stock, Restricted Stock Units, Performance Shares, Performance Units and Cash Based Awards and to issue 30 million shares of its common stock, under the Southern Company Omnibus Incentive Compensation Plan (Plan). An order has been issued authorizing Southern to solicit proxies from its stockholders in connection with the submission of the Plan for consideration and action at the annual meeting of stockholders to be held on May 23, 2001. (Rel. 35-27372)

AMEREN ENERGY FUELS AND SERVICES COMPANY

An order has been issued authorizing Ameren Energy Fuels and Services Company (Ameren Fuels), an indirect wholly owned nonutility subsidiary of Ameren Corporation,

a registered holding company, to enter into an agreement to provide fuel procurement, natural gas supply, and related services to Union Electric and Central Illinois Public Service Company, each of which is an electric and gas utility subsidiary of Ameren Corporation. (Rel. 35-27374)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the <u>National Association of Securities Dealers</u> (SR-NASD-01-02) reflecting the transfer of responsibilities to the Nasdaq Office of Appeals and Review has become effective under Section 19(b)(3)(A)(iii) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of April 9. (Rel. 34-44148)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 CRH PUBLIC LTD CO, BELGARD CASTLE CLONDALKIN, DUBLIN 22 IRELAND, L2
 250,000 (\$3,515,625) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE
 333-13308 MAR. 26) (BR. 6)
- F-6 DELHAIZE BROTHERS & CO THE LION ESTABLISHMENT /ADR/, TIMOTHY F KEANEY,
- 101 BARCLAY ST 22ND FLOOR, NEW YORK, NY 10286 (212) 815-2129 200,000,000
 - (\$10,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13314 MAR. 26) (BR. 99 NEW ISSUE)
 - S-8 HIGHWAY HOLDINGS LTD, FLAT G,7/F,PHASE KINGSWAY INDUSTRIAL BLD, 173-175 WO YI HOP RD, KWAL CHUNG NT HONG KONG, K3 200,000 (\$325,000) FOREIGN COMMON STOCK. (FILE 333-13320 MAR. 28) (BR. 6)

- F-9 SHAW COMMUNICATIONS INC, STE 900, 630 3RD AVE SW, CALGARY ALBERTA CANA,
 - A0 (403) 750-4500 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 333-13326 MAR. 29) (BR. 7)
- S-8 ASTRAZENECA PLC, ZENECA GROUP PLC, 15 STANHOPE GATE LEGAL DEPARTMENT,

LONDON W1Y 6LN ENGLA, X0 - 36,500,000 (\$1,668,339,702.10)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13328 - MAR. 29) (BR. 1)

- S-3 INSITUFORM TECHNOLOGIES INC, 702 SPIRIT 40 PARK DRIVE, CHESTERFIELD, MO
 63005 (636) 530-8000 554,143 (\$1,687,062.90) COMMON STOCK. (FILE 333-57932 MAR. 30) (BR. 6)
 - S-8 MEDAMICUS INC, 15301 HGHWY 55 W, PLYMOUTH, MN 55447 (612) 559-2613 400,000 (\$1,080,000) COMMON STOCK. (FILE 333-57938 MAR. 30) (BR. 5)
 - S-8 VIPC COMMUNICATIONS INC, 94 RUE DE LAUSANNE, GENEVA, V8 91902 (619) 297-2717 5,000,000 (\$625,000) COMMON STOCK. (FILE 333-57948 MAR. 30) (BR. 6)
- S-3 CINTAS CORP, 6800 CINTAS BLVD, P O BOX 625737, CINCINNATI, OH 45262 (513) 459-1200 4,055 (\$159,605) COMMON STOCK. (FILE 333-57950 MAR. 30)
 - S-8 EPIQ SYSTEMS INC, 501 KANSAS AVENUE, KANSAS CITY, KS 66105 (913) 621-9500 795,000 (\$13,713,750) COMMON STOCK. (FILE 333-57952 MAR. 30) (BR. 3)
 - S-3 CRAY INC, 411 FIRST AVE SOUTH, SUITE 600, SEATTLE, WA 98104 (206) 701-2000 1,000,000 (\$1,80°,000) COMMON STOCK. (FILE 333-57954 MAR. 30) (BR. 3)
- S-8 PROMISTAR FINANCIAL CORP, 551 MAIN ST, BT FINANCIAL PLZ, JOHNSTOWN, PA

 15901 (814) 532-3801 1,200,000 (\$26,535,498) COMMON STOCK. (FILE 333-57956 MAR. 30) (BR. 7)
- S-8 UNION PACIFIC CORP, 1416 DODGE STREET, MC 10015, OMAHA, NE 68179 (214) 743-5600 10,800,000 (\$594,000,000) COMMON STOCK. (FILE 333-57958 MAR. 30) (BR. 5)
- S-8 OIL STATES INTERNATIONAL INC, THREE ALLEN CENTER, 333 CLAY STREET SUITE 3460, HOUSTON, TX 77002 (713) 692-0582 3,600,000 (\$37,364,500) COMMON STOCK. (FILE 333-57960 MAR. 30) (BR. 4)
- S-8 GOOD GUYS INC, 7000 MARINA BLVL, BRISBANE, CA 94005 (415) 615-5000 2,300,000 (\$10,781,250) COMMON STOCK. (FILE 333-57964 MAR. 30) (BR. 2)

- S-8 VERADO HOLDINGS INC, 8390 E CRESCENT PKWY, STE 300, GREENWOOD VILLAGE,

 CO 80111 (303) 874-8010 1,520,000 (\$1,045,000) COMMON STOCK. (FILE 333-57966 MAR. 30) (BR. 7)
- S-8 COVENTRY HEALTH CARE INC, 6705 ROCKLEDGE DR STE 900, BETHESDA, MD
 20817
 (301) 581-0600 1,200,000 (\$20,850,000) COMMON STOCK. (FILE 333-57968

 MAR. 30) (BR. 1)
 - S-3 CRAY INC, 411 FIRST AVE SOUTH, SUITE 600, SEATTLE, WA 98104 (206) 701-2000 5,139,408 (\$13,002,702) COMMON STOCK. (FILE 333-57972 MAR. 30) (BR. 3)
 - S-8 BROOKS AUTOMATION INC, 15 ELIZABETH DR, CHELMSFORD, MA 01824 (978) 262-2566 750,000 (\$32,437,500) COMMON STOCK. (FILE 333-57974 MAR. 30) (BR. 5)
- S-8 COVENTRY HEALTH CARE INC, 6705 ROCKLEDGE DR STE 900, BETHESDA, MD
 20817
 (301) 581-0600 2,000,000 (\$34,750,000) COMMON STOCK. (FILE 333-57976

 MAR. 30) (BR. 1)
- S-8 INVACARE CORP, ONE INVACARE WAY, P O BOX 4028, ELYRIA, OH 44036 (440) 329-6000 3,500,000 (\$134,066,405) COMMON STOCK. (FILE 333-57978 MAR. 30) (BR. 5)
- S-8 MYPOINTS COM INC, 100 CALIFORNIA ST, 11TH FLOOR, SAN FRANCISCO, CA 94111

 (415) 676-3700 901,000 (\$21,790,126) COMMON STOCK. (FILE 333-57980 MAR. 30) (BR. 3)
 - S-8 COMPUWARE CORPORATION, 31440 NORTHWESTERN HWY, FARMINGTON HILLS, MI 48334 (248) 737-7300 50,000,000 (\$475,781,250) COMMON STOCK. (FILE 333-57984 MAR. 30) (BR. 3)
- S-8 CHANCELLOR GROUP INC/, 1800 EAST SAHARA AVENUE, SUITE 172, LAS VEGAS, NV
 89104 (702) 792-7479 750,000 (\$52,500) COMMON STOCK. (FILE 333-57986 MAR. 30) (BR. 4)
- S-8 FALCON PRODUCTS INC /DE/, 9387 DIELMAN INDUSTRIAL DR, ST LOUIS, MO
 63132
 (314) 991-9200 1,500,000 (\$12,975,000) COMMON STOCK. (FILE 333-57988

 MAR. 30) (BR. 6)
 - S-8 SUNGARD DATA SYSTEMS INC, 1285 DRUMMERS LN, STE 300, WAYNE, PA 19087 (610) 341-8700 17,304,637 (\$721,542,629.71) COMMON STOCK. (FILE 333-57990 MAR. 30) (BR. 3)

- S-8 MASTERPIECE TECHNOLOGY GROUP INC, 455 WARD CORNER RD, STE 110, LOVELAND,
- OH 45140 (425) 643-0777 500,000 (\$25,000) COMMON STOCK. (FILE 333-57996
 - MAR. 30) (BR. 3)
- S-8 INTELLIREADY INC /CO/, 1390 SOUTH POTOMAC STREET, SUITE 136, AURORA, CO
- 80012 (303) 681-9344 500,000 (\$250,000) COMMON STOCK. (FILE 333-58002 -
 - MAR. 30) (BR. 4)
 - S-8 TRAFFIX INC, ONE BLUE HILL PLAZA, PO BOX 1665, PEARL RIVER, NY 10965 (914) 620-1212 2,000,000 (\$4,937,500) COMMON STOCK. (FILE 333-58010
 - MAR. 30) (BR. 5)
- S-8 ANSOFT CORP, FOUR STATION SQUARE, STE 660, PITTSBURGH, PA 15219 (412) 261-3200 2,000,000 (\$15,880,737) COMMON STOCK. (FILE 333-58014 MAR. 30) (BR. 3)
- S-8 AMERICAN SUPERCONDUCTOR CORP /DE/, TWO TECHNOLOGY DR, WESTBOROUGH, MA

 01581 (508) 836-4200 2,711,000 (\$41,512,188) COMMON STOCK. (FILE 333-5801 MAR. 30) (BR. 1)
- S-8 SMURFIT STONE CONTAINER CORP, 150 NORTH MICHIGAN AVENUE, CHICAGO, IL 60601 (312) 346-6600 200,000 (\$2,600,000 COMMON STOCK. (FILE 333-58018 MAR. 30) (BR. 4)
- S-8 TELIK INC, 750 GATEWAY BOULEVARD, SOUTH SAN FRANCISCO, CA 94080 (650) 244-9303 3,950,000 (\$18,207,130) COMMON STOCK. (FILE 333-58020 MAR. 30) (BR. 1)
- S-3 STEWART INFORMATION SERVICES CORP, 1980 POST OAK BLVD, HOUSTON, TX 77056 (713) 625-8100 \$75,000,000 COMMON STOCK. (FILE 333-58022 MAR. 30) (BR. 1)
 - S-8 ICU MEDICAL INC/DE, 951 CALLE AMANECER, SAN CLEMENTE, CA 92769 (949) 366-2183 - 1,500,000 (\$50,343,750) COMMON STOCK. (FILE 333-58024 MAR. 30) (BR. 5)
 - S-8 WILLIAMS SONOMA INC, 100 N POINT ST, SAX FRANCISCO, CA 94133
 (415) 616-8345 2,500,000 (\$68,000,000) COMMON STOCK. (FILE 333-58026

 MAR. 30) (BR. 2)
- S-8 MITEK SYSTEMS INC, 10070 CARROLL CANYON RD, SAN DIEGO, CA 92131 (619) 635-5900 1,000,000 (\$1,078,000) COMMON STOCK. (FILE 333-58032 MAR. 30) (BR. 3)

- MASCO CORP /DE/, 21001 VAN BORN RD, TAYLOR, MI 48180 (313) 274-7400 S-4 20,000,000 (\$454,200,000) COMMON STOCK. (FILE 333-58036 - MAR. 30) (BR. 6)
- GEORGIA PACIFIC CORP, 133 PEACHTREE ST NE, 41ST FL, ATLANTA, GA S-8 30303 (404) 521-4000 - 100,000 (\$2,817,000) COMMON STOCK. (FILE 333-58040 -MAR. 30) (BR. 6)
 - EBAY INC, 2125 HAMILTON AVENUE, SAN JOSE, CA 95125 (408) 558-7400 -10,000,000 (\$352,800,000) COMMON STOCK. (FILE 333-58046 - MAR. 30) (BR. 8)
 - TENNECO AUTOMOTIVE INC, 500 NORTH FIELD DRIVE, LAKE FOREST, IL 60045 S-8 (847) -48-2-50 - 3,720,321 (\$10,788,931) COMMON STOCK. (FILE 333-58056 MAR. 30) (BR. 5)
- YANKEE CANDLE CO INC, PO BOX 110, SOUTH DEERFIELD, MA 01373 (413) 665-8306 - 2,576,376 (\$32,488,101.36) COMMON STOCK. (FILE 333-58062 - MAR. 30) (BR. 6)
- HEALTHSOUTH CORP, ONE HEALTHSOUTH PKWY, STE 224W, BIRMINGHAM, AL S-8 35243 (205) 967-7116 - 3,491,211 (\$44,722,413) COMMON STOCK. (FILE 333-58064 MAR. 30) (BR. 1)

RECENT 8K FU INGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.
Item 2. Acquisition or Disposition of Assets.
Item 3. Bankruptcy or Receivership.
Item 4. Changes in Registrant's Certifying Accountant.
Item 5. Other Materially Important Events.
Item 6. Resignations of Registrant's Directors.
Item 7. Financial Statements and Exhibits.
Item 8. Change in Fiscal Year.
Item 9. Regulation FD Disclosure.

also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	1		8K ITEM 3 4 5 6		9	DATE COMMENT
ACETO CORP	NY	Х					03/31/01
AFFILIATED COMPUTER SERVICES INC	DE			X	X		02/15/01
AGILE SOFTWARE CORP	DE			X	X		04/02/01
AIRTRAN HOLDINGS INC	NV				X	X	04/03/01
ALAMOSA HOLDINGS INC	DE		Х		X		03/30/01
ALASKA AIR GROUP INC	DE					Х	04/05/01
ALEXANDRIA REAL ESTATE EQUITIES INC	MD			X	X		04/03/01
ALLIED RISER COMMUNICATIONS CORP	DE		Х				04/03/01
AMB PROPERTY CORP	MD			X	X		02/14/01
AMB PROPERTY LP	DE			X	X		02/14/01
AMERICA ONLINE LATIN AMERICA INC	DE			X			04/03/01
AMERICAN EXPRESS CENTURION BANK	UT			Х	X		03/30/01
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE			X	Х		03/30/01
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE			X	Х		03/30/01
AMERICAN INTERNATIONAL GROUP INC	DE				Х	Х	04/03/01
AMERICAN MEDICAL ALERT CORP	NY			X	X		04/04/01
AMERIQUEST TECHNOLOGIES INC	DE			Х	X		03/30/01
AMWEST INSURANCE GROUP INC	DE			X	Х		04/02/01
ARIEL CORP	DE				Х		03/28/01
ARMITEC INC	DE		Х		Х		03/20/01
ATHEY PRODUCTS CORP	DE				X		03/19/01
AURORA BIOSCIENCES CORP	DE				X		04/02/01
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4				X	X		03/25/01
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE			Х	Х		03/25/01
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE			Х	X		03/25/01
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE			Х	Х		03/25/01
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-6	NC			Х	X		03/25/01
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-8	DE			Х	Х		03/25/01
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	DE			X	X		03/25/01
BAMS MORTGAGE LASS THROUGH CERTIFIC ATES SERIES 1999-9	DE			X	X		03/25/01
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9 UITY LOAN TRUST 1999-1	DE			Х	X		03/25/01
BANK OF AMERICA MORT SEC INC MORT P AS THRU CERT SER 2000-A	DE			. Х	X		03/25/01
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE			X	Х		03/25/01
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE			X	X		03/25/01
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE			Х	X		03/25/01
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-7	DE			Х	X		03/25/01

NAME OF ISSUER	STATE CODE	1 2		ITEM 4 5 6		9	DATE	COI	MENT
BANK OF AMERICA MORT SEC INC MORT P	DE			х	х		03/25/	01	
ASS THR CERT SER 2001-1 BANK OF AMERICA MORT SEC INC MORT P	DE			х	Х		03/25/	01	
ASS THRU CERTS SER 2000 8 BANK OF AMERICA MORTGAGE SEC MORT P	DE			х	Х		03/25/	01	
ASS THROU CERT SER 2000 5 BANK OF AMERICA MORTGAGE SEC MORT P	DE			х	х		03/25/	01	
ASS THROU CERT SER 2000 5 BANK OF AMERICA MORTGAGE SECURITIES	DE			Х	х		03/25/	01	
INC BANK OF AMERICA MORTGAGE SECURITIES	DE			X	x		03/25/	01	
INC BANK OF AMERICA MORTGAGE SECURITIES	DE			X	х		03/25/	01	
INC BANK OF AMERICA MORTGAGE SECURITIES	DE			Х	Х		03/25/	01	
INC 2001-2	NC			Х	Х		03/25/	01	
BANK OF AMERICA 1999-10 BANK OF AMERICA 1999-11	110			X	X		03/25/		
BANK OF AMERICA 1999-11 BANK OF AMERICA 1999-11				X	Х		03/25/	01	
BANK OF AMERICA 1999-11				X	X		03/25/	01	
BANK OF AMERICA 1999-11	DE			Х	Х		03/25/	01	
BANK OF AMERICA 1999-3	DE			X	Х		03/25/		
BANK OF AMERICA 1999-4	DE			X			03/25/	01	
BANK OF AMERICA 1999-5	NY			X	Х		04/04/	01	
BANKERS STORE INC	MD			X			04/04/		
BEACON CAPITAL PARTNERS INC		х					03/26/		
BEAR STEARNS ARM TRUST MORTGAGE PAS	מע	Λ					00, 01,		
S THROUGH CERT SER 2000-1	NISZ	Х					03/26/	01	
BEAR STEARNS ATM TRUST MORTGAGE PAS	NY	Λ					00, 20,	-	
S-THROUGH CERT SER 2001-1	DΕ			х	Х		04/05/	01	
BERKLEY W R CORP	DE CT		Х		21		04/04/		
BIO PLEXUS INC			Λ	Y.			01/10/		AMEND
BIOSHIELD TECHNOLOGIES INC	GA GA						02/06/		
BIOSHIELD TECHNOLOGIES INC	GA GA			X			02/06/		
BIOSHIELD TECHNOLOGIES INC	GA			X			03/26		
BOOK CORP OF AMERICA	UΤ				х		04/02/		
BOWATER INC	DE			X X	X		04/05/		
BUSYBOX COM INC	DE		.,	Λ	X		03/20,		
CALICO COMMERCE INC/	DE		X		Λ		03/29/		
CALIFORNIA AMPLIFIER INC	DE		X	37	v		03/29/		
CAMDEN PROPERTY TRUST	TX			X	Х		03/30		
CARING PRODUCTS INTERNATIONAL INC	DE	X							
CARRIER1 INTERNATIONAL S A	N4			Х	X		04/03		
CE SOFTWARE INC	IA		X				04/05		
CHASE FUNDING INC	NY			X	X		03/26		
CITICORP MORTGAGE SECURITIES INC	DE			X			04/04		
CITICORP MORTGAGE SECURITIES INC	DE			X			04/04		
CITIZENS COMMUNICATIONS CO	DE			Х	X		03/30		
CLAIMSNET COM INC	DE			X	Х		04/05		
CLARÇOR INC	DE			X	Х		03/27		
CLAYTON WILLIAMS ENERGY INC /DE	DE				X	2	X 04/04		
COLUMBIA BANCORP \OR\	OR			X	X		04/03		
COMMUNITY FINANCIAL CORP /IL/	IL			X	Х		03/30		
COMPUTERIZED THERMAL IMAGING INC	NV			X			03/26		
CONSECO INC	IN				Х		X 03/16	/01	

NAME OF ISSUER	STATE CODE	1 2	8K 1			9	DATE	COMMENT
CONSECO INC	IN				X	 х	04/04/0)1
CORAM HEALTHCARE CORP	DE			Х	X		11/17/0	
CORAM HEALTHCARE CORP	DE			Х	X		12/28/0	
CORPORATE ASSET BACKED CORP	DE			••	X		04/02/0	
CORPORATE OFFICE PROPERTIES TRUST	MD			Х	X		03/30/0	
CORVEL CORP	DE		Х		X		03/29/0	
CREDIT SUISSE FIR BO MO SEC CORP CD	DE			Х	Х		03/25/0	
MC MO BK PA TH CER 2001-2					v		04/04/0	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE			Х	Х			
CROWN PAPER CO	VA			X	X		04/04/0	
CROWN VANTAGE INC	VA			X	X		04/04/0	
CSB BANCORP INC /OH	OH		X				03/31/0	
CUSEEME NETWORKS INC	DE			X	X		03/22/0	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000 4	DE			X	X		11/30/0	00 AMEND
CWMBS INC	DE			Х	Х		02/28/0	11
CWMBS INC ALTERNATIVE LOAN TRUST 20	DE			X	X		03/25/0	
01-1	טם							
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-2	DE	,		X	X		03/25/0	01
CWMBS INC ALTERNATIVE LOAN TRUST 20	DE			X	X		03/25/0	01
01-3 CWMBS INC ALTERNATIVE LOAN TRUST 20	DE			X	Х		03/25/0	01
01-4 CWMBS INC CHL MORTGAGE PASS THROUGH	DE			х	х		03/25/0	11
TRUST 2001-2								
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-6	DE			Х	X		03/25/0)I
CYSIVE INC	DE			X	X		03/30/0	01
DATARAM CORP	NJ	X			X		03/23/0	01
DDI CORP	CA			X			04/05/0	01
DEERE & CO	DE				X		03/30/0	01
DIRECTV BROADBAND INC	DE	X			X		04/02/0	01
DOSKOCIL MANUFACTURING CO INC	ТX			X	X		03/26/0	01
DOW CHEMICAL CC /DE/	DE				X		02/06/0	1 AMEND
DOW CHEMICAL CO /DE/	DE			Х			04/04/0	
DYCOM INDUSTRIES INC	FL			Х	Х		04/04/0	01
EDISON SCHOOLS INC	DE			Х	Х		04/05/0	01
EDLAM ACQUISITION CORP		хх			Х			1 AMEND
EINSTEIN NOAH BAGEL CORP	DE			Х			04/02/0	
ELECTROPHARMACOLOGY INC	ĐE			X			03/23/0	
EMCEE BROADCAST PRODUCTS INC	DE			X			04/03/0	
ENTERPRISE PRODUCTS OPERATING L P	DE	X		X	Х		04/04/0	
ENTERPRISE PRODUCTS PARTNERS L P	DE	X			Х		04/04/0	01
EOP OPERATING LTD PARTNERSHIP	DE			Х	X		04/05/0	
EXELON CORP	PA			X			04/04/0	
FACTORY CARD OUTLET CORP	DE			X	Х		03/26/0	
FIFTH THIRD BANCORP	ОН	х			X		04/02/0	
FINANCIAL ASSET SEC CORP ASSET BACK	DE	X					03/26/0	
ED CERTS SERIES 2001 FF1								
FIRECOM INC	NY			X	X		04/03/0	
FIRST COASTAL CORP	DE	X			X		04/01/0	
FIRST COASTAL CORP	DE			X	X		04/04/0	01

NAME OF ISSUER	STATE CODE					9	DATE C	OMMENT
FIRST COMMUNITY BANCSHARES INC /NV/	NV			х			04/04/01	-
FIRST ECOM COM INC	NV					Х	04/04/01	
FIRST FINANCIAL CORP /TX/	TX	X					04/04/01	
FIRST HORIZON MORTGAGE PASS THROUGH				Х	Х		03/25/01	
TRUST 2000 H	•••							
FIRST HORIZON MORTGAGE PASS THROUGH	NY			Х	Х		03/25/01	
TRUST 2000 1							,,	-
FIRST HORIZON MORTGAGE PASS THROUGH	NY			х	Х		03/25/01	
TRUST 2000 2	***			••	••		00, 20, 01	•
FIRST HORIZON MORTGAGE PASS THROUGH	NY			Х	Х		03/25/01	
	14.1			11	41		03, 20, 01	-
TRUST 2000 3 FIRST HORIZON MORTGAGE PASS THROUGH	NY			Х	Х		03/25/01	!
	IN I			Λ	Λ		03/23/01	•
TRUST 2000 4	NY			Х	Х		03/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH	NI			Λ	Λ		03/23/03	-
TRUST 2000 5	MSZ			Х	х		02/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH	NY			Λ	Λ		02/23/01	L
TRUST 2001-1	3737			v	v		03/25/01	1
FIRST HORIZON MORTGAGE PASS THROUGH	NY			Х	X		03/23/03	<u>-</u>
TRUST 2001-1				17			04/05/05	İ
FIRST INDUSTRIAL LP	DE			X			04/05/03	
FIRST INTERSTATE BANCSYSTEM INC	MT		_	X			04/02/03	
FIRST UNION REAL ESTATE EQUITY & MO	ОН	X			X		04/05/01	LAMEND
RTGAGE INVESTMENTS							00/00/00	
FIRST VIRTUAL COMMUNICATIONS INC	DE			X	X		03/22/03	
FLEXEMESSAGING COM INC/NEW	ID			X	X		03/30/03	
FLORAN INTERNATIONAL INC	\mathtt{FL}	X					01/16/03	
FORD MOTOR CREDIT CO	DE			X	X		04/03/03	
FOTOBALL USA INC	DE		Х		X		03/26/03	
FULLCOMM TECHNOLOGIES INC	DE		^-		X		04/19/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ			X	X		03/26/03	
GEERLINGS & WADE INC	MA			X	X		04/05/03	
GENERAL GROWTH PROPERTIES INC	DE		Х		X		03/29/0	
GENERAL SEMICONDUCTOR INC	DE			X	X		04/03/03	
GLOBAL ELECTION SYSTEMS INC				X			04/05/03	
GLOBAL MARINE INC	DE					X	04/04/0	
GLOBAL PAYMENTS INC	GA	X	ζ		X		03/20/03	
GLOBAL TECHNOLOGIES LTD	DE			X			03/24/03	
GLOBAL TELESYSTEMS INC	DE			X			04/28/03	
GMACM MORTGAGE LOAN TRUST MORT PASS	NY	X					03/26/0	L
THR CERT SERIES 2001-J1								
GOHEALTH MD INC	NV			X			03/19/03	
GRAND PRIX SPORTS INC	WA	ХХ	ζ		X		03/09/03	l
GULFMARK OFFSHORE INC	DE			X			04/04/03	l
GUMP & CO INC	DE			X			04/04/03	l
HANOVER DIRECT INC	DE					X	04/03/03	l
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV			Х	X		12/31/0)
RP								
HARTFORD LIFE CAPITAL II	DE			X	Х		02/27/03	1
HARTFORD LIFE INC	DE			Х	Х		02/27/0	
HEALTHPLAN SERVICES CORP	DE	>	ζ		X		04/01/0	
HELLER EQUIPMENT ASSET RECEIVABLES	DE	•	-	Х	X		03/13/0	
TRUST 1999-1	22						, _ , , , , , , , , , , , , , , , ,	
HELLER EQUIPMENT ASSET RECEIVABLES TRUST 1999-2	DE			Х	Х		03/14/0	1

NAME OF ISSUER	STATE CODE	1	2				NO. 78	9	DATE	COMMENT	r
											-
HENLEY HEALTHCARE INC	ТX				2	K	Х		04/03/0		
IDX SYSTEMS CORP	VT	X							03/31/0		
ILLINOIS SUPERCONDUCTOR CORPORATION	DE					K	X		04/02/0		
IMPAC SECURED ASSETS CORP MORT PASS	CA				2	K	X		02/26/0)1	
THR CERT SER 2001-1									001061		
IMPAC SECURED ASSETS CORP MORT PASS	CA				2	K	Х		03/26/0)Τ	
THR CERT SER 2001-1							.,		02/00/	11	
INCUBATETHIS INC	DE					,	Х		03/29/0		
INDIAN RIVER BANKING COMPANY	\mathtt{FL}				2	K					n
INDO PACIFIC ENERGY LTD			X						03/31/0	00 AMENI	ע
INTACTA TECHNOLOGIES INC	NV	X				,	v				
INTERLIANT INC	DE					X.	X		04/02/0		
INTERNATIONAL SPEEDWAY CORP	FL					X.	.,		02/28/0		
IRIDEX CORP	DE					X	X		04/04/0		
IXL ENTERPRISES INC	DE					X	X		04/02/0		
12 TECHNOLOGIES INC	DE				2	X	Х	37			
J P MORGAN CHASE & CO	DE						.,	Х	04/02/0		
KEYSTONE PROPERTY TRUST	MD		X				X		03/21/0)1 D1 AMENI	n
KINDER MORGAN ENERGY PARTNERS L P	DE						X				
KINDER MORGAN ENERGY PARTNERS L P	DE						X			O1 AMENI	
KINDER MORGAN INC	KS					X	X			01 AMENI	ע
KUSHNER LOCKE CO	CA					X	X		04/04/0		
LANTRONIX INC	CA					X	Х		04/05/0		
LAS VEGAS GAMING INC	NV					X	v		03/30/		
LBP INC	DE					X	X				
LCA VISION INC	DE				_	X	X		04/03/		
LSI LOGIC CORP	DE		.,		-	X	X X		03/26/		
MACROMEDIA INC	DE		Х	37			Λ		03/20/		
MATTHEWS STUDIO EQUIPMENT GROUP	CA		37	X			v		03/23/		
MAXWELL TECHNOLOGIES INC	DE		X				X X	v	04/04/		
MEDAMICUS INC	MN					U.	Λ	Λ	04/04/		
MEDIX RESOURCES INC	CO					X	v		03/29/		
METHOD PRODUCTS CORP / DE	DE					X X	X X		04/02/		
METROCALL INC	DE					Λ X	X		03/28/		
MGI PROPERTIES LIQUIDATING TRUST	MA						Λ		04/03/		
MICROSTRATEGY INC	DE					X	v		04/05/		
MILLENNIUM PHARMACEUTICALS INC	DE					X X	X X		03/15/		
MONEY STORE COMMERCIAL MORTGAGE INC						X	X		03/15/		
MONEY STORE INVESTMENT CORP	NJ					A X	X		03/15/		
MONEY STORE SBA ADJUSTABLE RATE CER	NJ				•	Λ	Λ		03/13/	<i>,</i>	
TIFICATES 1998-1	חבי					X			04/04/	าา	
MORGAN STANLEY AIRCRAFT FINANCE	DE NY	Х				^			03/26/		
MORGAN STANLEY CAPITAL I INC HOME E	14.1	Λ							03,20,	J 1	
Q LN AS BK CER SER 1999-2	UT						Х		12/31/	OO AMEN	D
NATIONAL HEALTH & SAFETY CORP	MS					X	X		03/22/		_
NBC CAPITAL CORP	GA			Х	•	Λ	21		03/24/		
NETWORK CONNECTION INC	DE			Λ		X	Х		03/26/		
NEUBERGER BERMAN INC NORTHPOINT COMMUNICATIONS GROUP INC						X	X		04/04/		
	OR					X	22		04/05/		
NORTHWEST NATURAL GAS CO	DE					X	х		03/30/		
NOVEN PHARMACEUTICALS INC	FL					Λ X	41		04/04/		
NOVOSTE CORP /FL/	DE					X	Х		03/31/		
NUCOR CORP	DE				х	••	X			01 AMEN	D
NUEVO ENERGY CO	ترر				**		••		,,		

NAME OF ISSUER	STATE	1 2		8K ITEM 3 4 5 6		9	DATE	cc	MMENT
OLIN CORP	VA				Х	Х	04/05/		
ON LINE PRODUCTION SERVICES INC	NV			Х			03/10/		
ONCURE TECHNOLGIES CORP	\mathtt{FL}			Х	X		03/19/		
OPNET TECHNOLOGIES INC	DE		<		X		03/30/		
OPTION ONE MORE ACCEP CORP ASSET BACKED CERT SER 2001-1	DE	}	7	X	X		03/30/	01	
PANJA INC	ТX			. X	Х		03/30/	01	
PATHNET TELECOMMUNICATIONS INC	DE			Х	X		04/02/	01	
PHOENIX RESOURCES TECHNOLOGIES INC	NV			Х	X		10/31/	00	
PINNACLE BUSINESS MANAGEMENT INC	NV	2	X		X		04/04/	01	AMEND
PJ AMERICA INC	DE			Х			04/04/	01	
PLASTICS MFG CO	WI	2	X		X		03/31/	01	
PNV INC	DE			х			03/19/	01	
POET HOLDINGS INC	DΕ			Х	X		04/05/	01	
POGO PRODUCING CO	DE			Х	X		04/04/	01	
POLYMER RESEARCH CORP OF AMERICA	NY			X			02/26/	01	AMEND
PRICESMART INC	DE			Х			04/05/	01	
PROGRESS FINANCIAL CORP	DE			Х			04/04/	01	
PSINET INC	NY			Х	X		04/04/	01	
OUESTCOR PHARMACEUTICALS INC	CA			х	Х		03/29/	01	
QWEST COMMUNICATIONS INTERNATIONAL	DE			X			04/05/	01	
INC	DE			Х	Х		04/03/	01	
RATIONAL SOFTW.RE CORP	CA			X	**		03/29/		
REDDING BANCORP	PA			X			04/04/		
RENT WAY INC	NY			Λ	Х		04/04/		
ROCHESTER GAS & ELECTRIC CORP	GA.			•	X		03/30/		
ROCKDALE NATIONAL BANCSHARES INC	NC NC			X	X		04/04/		
RUDDICK CORP	WA			X			04/04/		
SAFECO CORP	DF			X	Х		04/05/		
SANGSTAT MEDICAL CORP	DE		X		23		06/30/		
SBI COMMUNICATIONS INC	DE		Λ	Х	Х		04/03/		
SCHULER HOLDINGS INC SCIENCE APPLICATIONS INTERNATIONAL	ĐE			Х	X	•	04/05/		
CORP	MN				Х		12/28/	00	AMEND
SHELDAHL INC	CO			х	2.		04/06/		
SIMTEK CORP	FL	Х		Λ			03/15/		
SOUTHERN GROUP INTERNATIONAL INC	DE	Λ		Х	Х		12/31/		
SPALDING HOLDINGS CORP	DE			X	X		04/05/		
SPARTECH CORP			X		X		03/23/		
SPECTRUM BANCORPORATION INC	IA		^		X	v	03/23/		
STRATEGIC DIAGNOSTICS INC/DE/ STRUCTURED ASSE: MORTGAGE INVESTMEN	DE I DE			Х	X	Λ	03/28/		
TS INC STRUCTURED ASSET SEC CORP MORT PASS	DE	Х			·		03/26/	01	
THR CERT SER 2001-2	DE		X):	Х		04/04/	01	
SUIZA FOODS CORP	MD		42	Х	X		03/19/		
SUNTERRA CORP	MD			X	X		03/28/		
SUNTERRA CORP	NV			X			03/26/		
SVI SOLUTIONS INC	NV			X	Х				AMEND
TANGIBLE ASSET GALLERIES INC	NV			X	••		04/02/		
TANGIBLE ASSET GALLERIES INC	N V N Y	Х		Λ			03/28/		
TAYCO DEVELOPMENTS INC	DE.	v		Х			04/05/		
TELECOMM INDUSTRIES CORP TELECORP PCS INC /VA/	UE			, A		Х	04/03/		

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	STATE			8K	: :	IT	EM	NO.			
NAME OF ISSUER	CODE	1	2	3	4	5	6	7 8	9	DATE	COMMENT
TELECORP WIRELESS INC	DE								х	04/03/0	1
TESSERACT GROUP INC	MN		Х					X		03/16/0	1
TOTAL FILM GROUP INC	DE				Х			X		03/26/0	1
TOWN & COUNTRY TRUST	MD					X		X		03/01/0	1
TRICO MARINE SERVICES INC	DE					Х		Χ		04/03/0	1
TRUE NORTH COMMUNICATIONS INC	DE							X	Х	04/04/0	1
UAL CORP /DE/	DE								X	04/05/0	1
UNOCAL CORP	DE					Х				03/27/0	1
USURF AMERICA INC	NV	X								04/04/0	
UTEK CORP			Х					Х		11/29/0	0
UTEK CORP			Х					X		02/19/0	1 AMEND
VARI L CO INC	CO					X				04/03/0	1
VEGA ATLANTIC CORP/CO	CO		X							04/02/0	
VENDINGDATA CORP	NV								Х	04/05/0	1
VOICE MOBILITY INTERNATIONAL INC	NV					Х				04/04/0	1
WATCHOUT INC	UT		Х			Х		Х		04/02/0	
WAXMAN INDUSTRIES INC	DE				X					03/30/0	1
WEBLINK WIRELESS INC	DE					Х		X		04/01/0	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-4	NY	Х								03/26/0	1
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-5	NY	X								03/26/0	1
WESTNET COMMUNICATION GROUP INC								Х		03/30/0	1
WHISPERING OAKS INTERNATIONAL INC		х				x	x	X		02/20/0	
WILSHIRE FINANCIAL SERVICES GROUP I	DE	••				x		X		04/04/0	
NC	22					••		••		01,01,0	_
WIRELESS VENTURES INC	DE				X			X		04/05/0	1
WISCONSIN ELECTRIC POWER CO	WI				X			X		03/30/0	1 AMEND
WISCONSIN GAS CO	WI				X			Х		03/30/0	l AMEND
WIZZARD SOFTWARE CORP /CO	CO							X		02/07/0	1 AMEND
WORLD WIDE WIRELESS COMMUNICATIONS						Х		X		03/29/0	1
XEROX CORP	NY					Х				04/04/0	1
4-D NEUROIMAGING	CA				Х			X		03/23/0	