# SEC NEWS DIGEST

Issue 2001-143

July 25, 2001

### ENFORCEMENT PROCEEDINGS

CONTESTED ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST LEONARD B. GREER BASED ON INJUNCTION; JUDAH WERNICKCONSENTS TO ANTIFRAUD CHARGES FOR HIS ROLE IN MARKET MANIPULATION, ACCEPTS BAR FROM ASSOCIATION WITH ANY BROKER OR DEALER

On July 24, the Commission instituted a contested administrative proceeding against Leonard B. Creer, of Rye, New York, and a settled administrative proceeding against Judah Wernick, of Woodmere, New York, for engaging in a fraudulent market manipulation scheme. In the settled proceeding, without admitting or denying its findings, Wernick consented to the entry of the order, vaich bars him from association with any broker or dealer. In the contested proceeding, the Division of Enforcement seeks remedial sanctions against Greer based on the permanent injunction entered against him, described below.

The contested order contains the following allegations and the settled order contains the following findings. The Commission filed a complaint against Greer and Wernick charging that from January 1994 through April 1994, Greer and Wernick fraudulently manipulated the market price of the stock of AFGL International, Inc. from \$1 to \$7 per share (SEC v. Greer, et al., S.D.N.Y.). The Commission alleged in the complaint that Greer and Wernick accomplished the manipulation by controlling the supply of AFGL stock that was available to the market; by accumulating over two-thirds of the freely tradable AFGL stock in the proprietary account of Greer's firm, L.C. Wegard & Co.; by exerting price leadership to increase the market price of AFGL stock; and by generating retail demand for AFGL stock. The Commission further alleged in the complaint that, during an intensive nine-day selling effort that began on April 20, 1994, Wegard sold over 1 million shares of AFGL stock to its customers at artificially inflated prices, netting a profit of approximately \$3.5 million. The Commission also alleged that Wernick profited by over \$207,000 by selling AFGL curities to Wegard. On June 5, 2001, in SEC v. Greer, et al., the U.S. District Court for the Southern District of New York issued orders enjoining Greer and Wernick from violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder, and Greer from violations of Sections 13(d)(1) and 13(d)(2) of the Exchange Act and Rules 13d-1 and 13d-2 promulgated thereunder. (Rel. 34-44586; File No. 3-10538)

## COMMISSION FILES SETTLED INSIDER TRADING ACTION AGAINST STEVEN THANHAUSER

The Commission announced that the Honorable Judge Marvin H. Shoob of the United States District Court for the Northern District of Georgia has issued a Final Judgment of Permanent Injunction and Other Relief against Steven G. Thanhauser, a resident of Raleigh, North Carolina. The final judgment permaner permaner permaner from future violations of the antifraud provisions of the Securities Exchange Act of 1934 and orders him to disgorge ill-gotten gains in the amount of \$13,876 plus interest. The Court also ordered Thanhauser to pay a civil penalty of \$13,876.

The Commission's complaint alleges that on or before February 16, 1999, Thanhauser misappropriated confidential information regarding Public Service Company of North Carolina, Inc.'s ongoing merger negotiations with SCANA Corporation and other companies from his wife, who, at the time, was a director of Public Service Company. The complaint further alleges that on February 16, 1999, Thanhauser purchased 2,000 shares of Public Service Company common stock at \$22.50 per share based upon this misappropriated information. The next day, after Public Service Company announced its merger with SCANA, its stock price closed at \$29.438, resulting in an unrealized profit of \$13,876 for Thanhauser.

Without admitting or denying the Commission's allocations, Thanhauser consented to entry of a final judgment that permanently enjoins him from violating Section 10(b) of the Securities Exchange Act of 1934 and Rvle 10b-5 thereunder. In addition, Thanhauser agreed to disgorge \$16,513.70 in imputed profits (including prejudgment interest of \$2,637.70) and to pay a civil penalty of \$13,876.

The Commission acknowledges the assistance of the New York Stock Exchange in this matter. [SEC v. Steven G. Thanhauser, Civil Action No. Ol-CV-1921, United States District Court for the Northern District of Georgia] (LR-17077)

#### INVESTMENT COMPANY ACT RELEASES

#### MARKMAN MULTIFUND TRUST, ET AL.

A notice has been issued giving interested persons until August 16, 2001, to request a hearing on an application filed by Markman MultiFund Trust and Markman Capital Management, Inc. for an order under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and (B) of the Act and under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act. The order would

permit certain registered open-end management investment companies to acquire shares of other registered open-end management investment companies outside the same group of investment companies. (Rel. IC-25076 - July 24)

## PROFESSIONALLY MANAGED PORTFOLIOS, ET AL.

A notice has been issued giving interested persons until August 17, 2001 to request a hearing on an application filed by Professionally Managed Portfolios, et al. for an order to permit the implementation, without prior shareholder approval, of a new advisory agreement for a period beginning June 17, 2001, and ending on the earlier of the date the new advisory agreement is approved or disapproved by the shareholders, or October 17, 2001. (Rel. IC-25077 - July 24)

## BARCLAYS GLOBAL FUND ADVISORS, ET AL.

A notice has been issued giving interested persons until August 14, 2001 to request a hearing on an application filed by Barclays Global Fund Advisors, et al. for an order to permit an open-end management investment company, whose portfolios will consist of the component securities of certain foreign equity securities indices, to issue shares of limited redeemability that will trade in the secondary market at negotiated prices. The order also will permit certain affiliated persons of the portfolios to deposit securities into, and receive securities from, the portfolios and would permit certain portfolios to pay redemption proceeds more than seven days after the tender of shares for redemption under certain circumstances. (Rel. IC-25078 - July 24)

## SELF-REGULATORY ORGANIZATIONS

## APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial approval to a proposed amendment to the Plan for Reporting of Consolidated Options Last Sale Reports and Quotation Information (OPRA-2001-02) submitted by the Options Price Reporting Authority to permit the options exchanges to disseminate unconsolidated market information to their members under certain circumstances pursuant to Section 11A(a)(3) of the Securities Exchange Act of 1934. (Rel. 34-44580)

## SECURITIES ACT REGISTRATIONS

The following registration statements have 'een filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or 3 NEWS DIGEST, July 25, 2001

depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-3 STET HELLAS TELECOMMUNICATIONS SA, 60 KIFISSIAS AVE, 15125 MAROUSSI, ATHENS GREECE, J3 00000 (301) 618-6000 4,500,000 (\$35,190,000) FOREIGN COMMON STOCK. (FILE 333-13728 JUL. 17) (BR. 7)
- F-6 HDFC BANK LTD /ADR, C/O DEPOSITARY MANAGEMENT CORP,
  1330 AVENUE OF THE AMERICAS 11TH FLOOR, NEW YORK, NY 10019 (212) 3197600
   100,000,000 (\$5,000,000) DEPOSITARY RECEIPS FOR COMMON STOCK. (FILE 333-13730 JUL. 17) (BR. 99)
- F-6 STEEL FACTORIES OF MINAS GERAIS INC USIMINAS /ADR, C/O BANK OF NEW YORK,

  ONE WALL STREET, NEW YORK, NY 10286 (212) 495-1784 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13732 JUL. 17) (NEW ISSUE)
- S-4 APPLIED EXTRUSION TECHNOLOGIES INC /DE, 3 CENTENNIAL DRIVE, PEABODY, MA
  01960 (978) 538-1500 275,000,000 (\$275,000,000) STRAIGHT BONDS.

  (FILE
  333-65294 Jul. 18) (BR. 6)
  - S-8 FIRST BANCORP /NC/, 341 NORTH MAIN ST, PO BOX 508, TROY, NC 27371
    (910) 576-6171 18,656 (\$285,996.48) COMMON STOCK. (FILE 333-65296 JUL. 18) (BR. 7)
- S-8 NEWAGECITIES COM INC, 1181 SOUTH ROGERS CIRCLE, SUITE 5, BOCA RATON, FL 33487 (954) 766-7800 2,532,195 (\$101,288) COMMON STOCK. (FILE 333-65300 JUL. 18) (BR. 8)
  - S-8 VIISAGE TECHNOLOGY INC, VIISAGE TECHNOLOGY INC, 30 PORTER ROAD, LITTLETON, MA 01460 (617) 952-2200 - 200,000 (\$438,000) COMMON STOCK. (FILE 333-65312 - JUL. 18) (BR. 3)
  - S-8 VIISAGE TECHNOLOGY INC, VIISAGE TECHNOLOGY INC, 30 PORTER ROAD,
     LITTLETON, MA 01460 (617) 952-2200 800,000 (\$1,752,000) COMMON STOCK.
     (FILE 333-65314 JUL. 18) (BR. 3)
- S-8 VIISAGE TECHNOLOGY INC, VIISAGE TECHNOLOGY INC, 30 PORTER ROAD,
   LITTLETON, MA 01460 (617) 952-2200 1,294,966 (\$2,835,975) COMMON
  STOCK.
   (FILE 333-65316 JUL. 18) (BR. 3)
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- S-8 INTERSPACE ENTERPRISES INC, 7825 FAY AVENUE, #200, LA JOLLA, CA 92037

  (858) 456-3539 6,000,000 (\$480,000) COMMON STOCK. (FILE 333-65320 JUL. 18) (BR. 9)
  - S-8 INNOVEDA INC, 293 BOSTON POST ROAD WEST, MARLBORO, MA 01752 (508) 480-0881 2,000,000 (\$3,860,000) COMMON STOCK. (FILE 333-65322 JUL. 18) (BR. 3)
  - S-8 JAKKS PACIFIC INC, 22761 PACIFIC COAST HWY, #B202, MALIBU, CA 90265 (310) 456-7799 450,000 (\$6,898,500) COMMON STOCK. (FILE 333-65324 JUL. 18) (BR. 5)
- S-8 LITEWAVE CORP, 11300 W. OLYMPIC BOULEVARD, SUITE 800, LOS ANGELES, CA
  90064 (305) 805-0344 200,000 (\$50,000) COMMON STOCK. (FILE 333-65326

  JUL. 18) (BR. 7)
- S-8 FOODARAMA SUPERMARKETS INC, 922 HIGHWAY 33, BLDG 6, FREEHOLD, NJ 07728

  (732) 462-4700 150,000 (\$5,175,000) COMMON STOCK. (FILE 333-65328 JUL. 18) (BR. 2)
- S-8 GEORGIA GULF CORP /DE/, 400 PERIMETER CTR TERRACE, STE 595, ATLANTA, GA
  30346 (770) 395-4500 500,000 (\$7,940,000) COMMON STOCK. (FILE 333-65332
   JUL. 18) (BR. 2)
- S-8 TELEMETRIX INC, 1225 SAGE ST, GERING, NE 69341 (303) 383-7610 723,004 (\$592,863.20) COMMON STOCK. (FILE 333-65334 JUL. 18) (BR. 7)
  - S-8 MEDIA 100 INC, 290 DONALD LYNCH PLVD, MARLBOROUGH, MA 01752 (508) 460-1600 200,000 (\$306,000) JOMMON STOCK. (FILE 333-65336 JUL. 18) (BR. 3)
  - S-8 MEDIA 100 INC, 290 DONALD LYNCH BLVD, MARLBOROUGH, MA 01752 (508) 460-1600 500,000 (\$765,000) COMMON STOCK. (FILE 333-65338 JUL. 18) (BR. 3)
- S-8 INTUITIVE SURGICAL INC, 1340 W MIDDLEFIELD ROAD, MOUNTAIN VIEW, CA
  94043
  (650) 237-7000 2,273,232 (\$29,642,945) COMMON STOCK. (FILE 333-65342

  JUL. 18) (BR. 5)
  - S-8 AOL TIME WARNER INC, 75 ROCKEFELLER PLAZA, NEW YORK, NY 10019 (212) 484-8000 22,667 (\$782,484.01) COMMON STOCK. (FILE 333-65350 JUL. 18) (BR. 3)
  - S-8 OAO TECHNOLOGY SOLUTIONS INC, 7500 GREENWAY CENTER DR, GREENBELT, MD 20770 (301) 486-0400 1,000,000 (\$1 650,000) COMMON STOCK. (FILE
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333-65354 - JUL. 18) (BR. 3)

- S-8 WISCONSIN ENERGY CORP, 231 W MICHIGAN ST, P O BOX 2949, MILWAUKEE, WI
  53201 (414) 221-2345 159,270,000 (\$370,544,780.89) COMMON STOCK.
  (FILE
  333-65356 JUL. 18) (BR. 2)
- S-3 US BANCORP \DE\, FIRST BANK PL, 601 SECOND AVE S, MINNEAPOLIS, MN 55402 (612) 973-1111 (FILE 333-65358 JUL. 18) (BR. 7)
- S-3 AMERIQUEST MORTGAGE SECURITIES INC, 1100 TOWN & COUNTRY ROAD, ORANGE, CA
  92868 (714) 541-9960 1,875,000,000 (\$1,875,000,000)
  PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-65362 Jul. 18)
  (BR. 7)
- S-8 800AMERICA COM INC, 1929 S 21ST AVE, NASHVILLE, TN 37212 (800) 999-5048
   3,000,000 (\$9,450,000) COMMON STOCK. (FILE 333-65366 JUL. 18) (BR. 8)
- S-8 TALX CORP, 1850 BORMAN CT, ST LOUIS, MO 63146 (314) 434-0046 1,237,500 (\$42,367,050) COMMON STOCK. (FILE 333-65368 JUL. 18) (BR. 3)
- SB-2 EFFECTIVE SPORT NUTRITION CORP, 1928 OAKLAND DRIVE, BISMARCK, ND 58504 (949) 660-9700 12,184,000 (\$3,046,000) COMMON STOCK. (FILE 333-65372 JUL. 18)
  - S-8 CARRIER ACCESS CORP, 5395 PEARL PARKWAY, BOULDER, CO 80301 (303) 442-5455 2,625,000 (\$20,381,198) COMMON STOCK. (FILE 333-65374 JUL. 18) (BR. 7)
  - S-8 ACCENTURE LTD, CEDAR HOUSE, 41 CEDAR AVE., HAMILTON, A1 00000 450,000,000 COMMON STOCK. (FILE 333-65376 JUL. 18) (BR. 8)
  - S-8 CELERIS CORP, 1801 WEST END AVENUE, SUITE 750, NASHVILLE, TN 37203 (615) 341-0223 165,000 (\$57,750) COMMON STOCK. (FILE 333-65378 JUL. 18) (BR. 1)
  - S-8 FAIRCHILD INTERNATIONAL CORP, 595 HORNBY STREET STE 600,
    VANCOUVER BRITISH COLUMBIA, CANADA V6B 2W5, A1 00000 (604) 669-1040 1,500,000 (\$119,700) COMMON STOCK. (FILE 333-65380 JUL. 18) (BR. 9)
- S-8 AMERICAN ABSORBENTS NATURAL PRODUCTS INC, PO BOX 4404, LAGO VIST, TX 78645 (512, 266-2481 180,000 (\$180,000) COMMON STOCK. (FILE 333-65382 JUL. 18) (BR. 4)

- DYNAMIC I-T INC, 2504 ELEVENTH ST, SANTA MONICA, CA 90405 (310) 392-S-8 8179
  - 800,000 (\$96,000) COMMON STOCK. (FILE 333-65384 JUL. 19) (BR. 7)
- VIAVID BROADCASTING INC, 3955 GRAVELEY ST., V5C 3T4, BURNABY BC, A1 00000 (604) 669-0047 - 3,630,000 (\$651,000) COMMON STOCK. (FILE 333-65386
  - JUL. 19) (BR. 8)
- CLEAR CAPITAL HOLDINGS INC, 7665 SOUTH MAIN STREET, MIDVALE, UT SB-2 84047
  - (801) 208-5050 5,000,000 (\$5,000,000) COMMON STOCK. (FILE 333-65388
    - JUL. 19) (NEW ISSUE)
- SYNERGY TECHNOLOGIES CORP, 335 25TH ST. S.E., C/O SYNERGY TECHNOLOGIES CORP, CALGARY AB T2A 7H8, A0 00000 (403) 269-2274
  - 500,000 (\$450,000) COMMON STOCK. (FILE 333-65390 JUL. 19) (BR. 5)
- ONEOK INC /NEW/, 100 WEST 5TH ST, TULSA, OK 74103 (918) 588-7000 S-3 (FILE 333-65392 - JUL. 19) (BR. 2)
  - CORIXA CORP, 1124 COLUMBIA STREET SUIE 200, SEATTLE, WA 98104 (206) 667-5711 - 6,689,018 (\$93,077,685)OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-65394 - JUL. 19) (BR. 1)

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.

- Item 1. Changes in Control of Registrant.

  Item 2. Acquisition or Disposition of Assets.

  Item 3. Bankruptcy or Receivership.

  Item 4. Changes in Registrant's Certifying Accountant.

  Item 5. Other Materially Important Events.

  Item 6. Resignations of Registrant's Directors.

  Item 7. Financial Statements and Exhibits.

  Item 8. Change in Fiscal Year.

  Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: publicinfo@sec.gov. In most cases, this information is also available on the Commission's website: <www.sec.gov</pre>.

| NAME OF ISSUER | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | DATE | COMMENT |
|----------------|------|---|---|---|---|---|---|---|---|---|------|---------|
|----------------|------|---|---|---|---|---|---|---|---|---|------|---------|

| ACT TELECONFERENCING INC            | СО   |     |       | Х   | X 07/24/01       |
|-------------------------------------|------|-----|-------|-----|------------------|
| ACXIOM CORP                         | DE   |     | X     |     | 07/24/01         |
| ADAMS RITE AEROSPACE INC            | CA   |     |       | X   | 05/31/01 AMEND   |
| ADAPTEC INC                         | DE.  |     | 25    | X   | 07/02/01         |
| ADVANTAGE MARKETING SYSTEMS INC/OK  | OK   |     | X     | X   | 07/10/01 AMEND   |
| ALLEN ORGAN CO                      | PA   |     | X     |     | 07/24/01         |
| AMBAC FINANCIAL GROUP INC           | DE   |     | X     | X   | 07/18/01         |
| AMERICAN BANKNOTE CORP              | DE   |     | X     | X   | 07/18/01         |
| AMERICAN EXPRESS CO                 | NY   |     | X     | X   | 07/23/01         |
| AMERICAN EXPRESS CO                 | NY   |     | X     |     | 07/23/01         |
| AMERICAN FINANCIAL HOLDINGS INC     | DE   |     | X     | X   | 07/18/01         |
| AMERICAN PLASTICS & CHEMICALS INC   | DE   | X   |       |     | 08/31/01         |
| AMERICAN PLASTICS & CHEMICALS INC   | DE   | Χ   |       |     | 08/31/01         |
| AMERICAN RIVER HOLDINGS             | CA   |     | X     | Χ   | 07/19/01         |
| AMERICOMM RESOURCES CORP            | DE   | X   |       | X   | 06/05/01 AMEND   |
| AMERIGAS FINANCE CORP               | DE   |     | X     |     | 07/23/01         |
| AMERIGAS PARTNERS LP                | DE   |     | X     |     | 07/23/01         |
| AMERUS GROUP CO/IA                  | IA   |     |       | Χ   | 05/18/01 AMEND   |
| ANADARKO PETROLEUM CORP             | DE   |     | X     | X   | 07/24/01         |
| APARTMENT INVESTMENT & MANAGEMENT C | MD   |     | X     | · X | 07/20/01         |
| 0                                   |      |     |       |     |                  |
| APO HEALTH INC /NV/                 | NV   | X X | X X Z | XX  | X 06/13/01 AMEND |
| APPLEBEES INTERNATIONAL INC         | DE . |     | Λ     |     | 07/24/01         |
| APPLEBEES INTERNATIONAL INC         | DE   |     | X     |     | 07/24/01         |
| ARCH COAL INC                       | DE   |     | X     |     | 07/31/01         |
| AREA BANCSHARES CORP                | KY   |     | X     | X   | 07/13/01         |
| AREA BANCSHARES CORP                | KY   |     | X     | X   | 07/18/01         |
| ARGOSY GAMING CO                    | DE   |     | X     | X   | 07/24/01         |
| ASPI EUROPE INC                     |      | X   |       | X   | 07/09/01         |
| ASV INC /MN/                        | MN   |     | X     | X   | 07/24/01         |
| AT&T CORP                           | NY   | X   | X     | X   | 07/09/01         |
| AUDIBLE INC                         |      |     | X     | X   | 07/23/01         |
| AUXER GROUP INC                     | DE   | X   |       | X   | 09/27/00 AMEND   |
| AVISTA CORP                         | WA   |     | X     | X   | 07/18/01         |
| BANC ONE HELOC TRUST 1998-1         | OH   |     | X     | X   | 05/21/01         |
| BANC ONE HELOC 1999-1               | OH   |     | X     | X   | 05/21/01         |
| BANK OF AMERICA MORTGAGE SECURITIES | DE   |     | X     | X   | 07/23/01         |
| INC                                 |      |     |       |     |                  |
| BANK OF AMERICA MORTGAGE SECURITIES | DE   |     | X     | X   | 07/23/01         |
| INC                                 |      |     |       |     |                  |
| BARD C R INC /NJ/                   | NJ   |     | X     |     | 07/17/01         |
| BEAR STEARNS COMMERCIAL MORTGAGE SE | DE   | X   |       |     | 07/01/01         |
| CURITIES INC                        |      |     |       |     |                  |
| BEAR STEARNS HOME LN OWN TR 2001-A  | DE   |     | X     | X   | 07/16/01         |
| HOME LN BCKD NT SR 2001-A           |      |     |       |     |                  |
| BELCO OIL & GAS CORP                | NV   |     | X     | X   | 06/30/01         |
| BELLSOUTH CORP                      | GA   |     | X     |     | 07/23/01         |
| BRIGHTPOINT INC                     | DE   |     | X     | X   | 07/24/01         |
| BROOKS AUTOMATION INC               | DE   |     | X     | X   | 07/12/01         |
| CADMUS COMMUNICATIONS CORP/NEW      | VA   |     | X     | X   | 07/17/01         |
| CALPROP CORP                        | CA   |     | X     | X   | 07/23/01         |

|                                    | STATE      | . 8K  | ITEM  | NO. |   |         |            |
|------------------------------------|------------|-------|-------|-----|---|---------|------------|
| NAME OF ISSUER                     | CODE       | 1 2 3 | 4 5 6 | 7 8 | 9 | DATE    | COMMENT    |
|                                    |            |       |       |     |   |         |            |
|                                    |            |       |       |     |   | 07/00/0 | 1          |
| CAPITOL TRANSAMERICA CORP          | WI         |       | X     |     |   | 07/20/0 |            |
| CARAUSTAR INDUSTRIES INC           | NC         |       | X     | X   |   | 07/24/0 |            |
| CATERPILLAR INC                    | DE         |       | X     |     |   | 07/23/0 |            |
| CENDANT CORP                       | DE         |       | X     | X   |   | 07/19/0 |            |
| CENDANT CORP                       | DE         |       | X     |     |   | 07/20/0 |            |
| CHAMPION AEROSPACE INC             | CA         |       |       | X   |   | 05/31/0 | 1 AMEND    |
| CHEMFIRST INC                      | MS         | X     |       | X   |   | 07/06/0 | 1          |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | Х     |       |     |   | 06/15/0 | 1          |
| 1997-1                             |            |       |       |     |   |         |            |
|                                    | MD         | Х     |       |     |   | 06/15/0 | 1          |
| CHEVY CHASE AUTO RECEIVABLES TRUST | 1410       | 21    |       |     |   |         | -          |
| 1997-2                             | MD         | Х     |       |     |   | 06/15/0 | 1          |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | Λ     |       |     |   | 00/13/0 | _          |
| 1997-3                             | مند        | 37    |       |     |   | 06/15/0 | 1          |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | X     |       |     |   | 00/13/0 | 1          |
| 1997-4                             |            |       |       |     |   | 06/15/0 | 1          |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | X     |       |     |   | 06/15/0 | 1          |
| 1998-1                             |            |       |       |     |   | 05/25/0 | 1          |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | X     |       |     |   | 06/15/0 | 1          |
| 1998-2                             |            |       |       |     |   |         |            |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | X     |       |     |   | 06/15/0 | 1          |
| 2000-1                             |            |       |       |     |   |         |            |
| CHEVY CHASE AUTO RECEIVABLES TRUST | MD         | Х     |       |     |   | 06/15/0 | 1          |
| 2000-2                             |            |       |       |     |   |         |            |
| CHEVY CHASE AUTO RECEIVABLES TRUST |            | Х     |       |     |   | 05/15/0 | 1          |
|                                    |            |       |       |     |   |         |            |
| 2001-1                             | MD         | Х     |       |     |   | 06/15/0 | 1          |
| CHEVY CHASE HOME LOAN TRUST 1996-1 | MD         | X     |       |     |   | 06/15/0 |            |
| CHEVY CHASE HOME LOAN TRUST 1997-1 | MD         | Λ     | v     |     |   | 07/18/0 |            |
| CIT EQUIPMENT COLLATERAL 2001-1    |            |       | X     |     |   | 07/23/0 |            |
| CITIZENS COMMUNICATIONS CO         | DE         |       | X     |     |   |         |            |
| CMI INDUSTRIES INC                 | DE         |       | X     | X   |   | 06/22/0 |            |
| COACTIVE MARKETING GROUP INC       | DE         |       | X     |     |   | 07/19/0 |            |
| COBIZ INC                          | CO         |       | X     |     |   | 07/10/0 |            |
| COCA COLA ENTERPRISES INC          | DE         |       | X     | X   |   | 07/17/0 |            |
| COMMERCIAL MORTGAGE PASS THROUGH C | E DE       | X     |       |     |   | 07/17/0 | )1         |
| RTIFICATES SERIES 1999 C2          |            |       |       |     |   |         |            |
| COMMSCOPE INC                      | DE         |       | X     | X   | Χ | 07/24/0 | )1         |
| COMPASS KNOWLEDGE HOLDINGS INC     | NV         |       | X     |     |   |         | 1 AMEND    |
| COMPUCOM SYSTEMS INC               | DE         |       | Х     | X   |   | 07/16/0 | )1         |
|                                    | DE         |       | X     |     |   | 07/17/0 | )1         |
| CONDUCTUS INC                      | DE         |       | X     | Х   |   | 07/16/0 |            |
| CONSECO FINANCE CORP               |            |       | X     | X   |   | 07/16/0 |            |
| CONSECO FINANCE CORP               | DE         |       |       | X   |   | 07/16/0 |            |
| CONSECO FINANCE CORP               | DE         |       | X     |     |   | 07/16/0 |            |
| CONSECO FINANCE SECURITIZATIONS CO | R DE       |       | X     | X   |   | 0//16/( | ) 1        |
| P                                  |            |       |       |     |   | 07/26/  | <b>1</b> 1 |
| CONSECO FINANCE SECURITIZATIONS CO | R DE       |       | X     | X   |   | 07/16/0 | ) 1        |
| P                                  |            |       |       |     |   |         |            |
| CONSECO FINANCE SECURITIZATIONS CO | R DE       |       | X     | X   |   | 07/16/0 | 10         |
| P                                  |            |       |       |     |   |         |            |
| COOPER COMPANIES INC               | DE         |       | X     | Х   |   | 07/20/0 | 01         |
| COOPER INDUSTRIES INC              | OH         |       | X     | X   | Χ | 07/24/  | 01         |
| COVEST BANCSHARES INC              | DE         |       | Х     | Х   |   | 06/30/0 | 10         |
| COAPUL DURACOITATION THO           | ~ <b>-</b> |       |       |     |   |         |            |

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| CDUDIN GUIGGE BID DO MO SEC COD END                              |          |     |        | Х   | 05/21/01                                |
|--|----------|-----|--------|-----|---|
| CREDIT SUISSE FIR BO MO SEC COR FNT MO BK PA TH CE SE 2001-1     |          |     |        | Δ   | 03/21/01                                |
| CREDIT SUISSE FIR BOS MOR SEC CORP                               | DE       |     | v      | X   | 05/21/01                                |
| MO BK PA TH CE SE 01-WM2   |          |     |        |     |   |
| CREDIT SUISSE FIRST BOSTON MORTGAGE                              | DE       |     | X      | X   | 07/19/01                                |
| SECURITIES CORP CREDIT SUISSE FIRST BOSTON MORTGAGE              | DE       |     | х      | Х   | 07/20/01                                |
| SECURITIES CORP  | םם       |     |        | 71  | 07720701                                |
| CREDIT SUISSE FIRST BOSTON USA INC                               | DE       |     | · X    |     | 07/12/01                                |
| CSB BANCORP INC /OH  | ОН       |     | X      | X   | 07/23/01                                |
| CSFB ABS TRUST SERIES 2001 HE12                                  | DE       |     | X      |     | 06/25/01                                |
| CSFB ABS TRUST SERIES 2001-S13                                   | DE       |     | X      |     | 06/25/01                                |
| CYGNUS INC /DE/  | DE       |     | X      |     | 07/19/01                                |
| DATA CRITICAL CORP   | DE       |     | Х      |     | · ·                                     |
| DATA RACE INC  | TX       |     | X      | X   | 03/02/01                                |
| DATARAM CORP   | NJ       | X   | **     | .,  | 03/23/01 AMEND                          |
| DATAWATCH CORP   | DE       | v v | X      |     | • |
| DECORIZE INC   | TX<br>DE | ХХ  | X<br>X |     | 06/29/01 AMEND<br>06/04/01 AMEND        |
| DENBURY RESOURCES INC DENBURY RESOURCES INC                      | DE       |     | X      |     |   |
| DEUTSCHE FLOORPLAN RECEIVABLES L P                               | DE       |     | X      |     |   |
| DICOM IMAGING SYSTEMS INC  | NV       | Х   |        |     |   |
| DIGIMARC CORP  | DE       | 21  | X      |     | 07/18/01                                |
| DISCAS INC   |          |     | Х      | ••  | 02/01/00 AMEND                          |
| DISTRIBUTION FINANCIAL SERVICES MAR                              | NY       |     | Х      | Х   | 06/30/01                                |
| INE TRUST 1999-2   |          |     |        |     |   |
| DISTRIBUTION FINANCIAL SERVICES RV<br>TRUST 1999-1               | NY       |     | X      | X   | 06/30/01                                |
| DISTRIBUTION FINANCIAL SERVICES RV<br>TRUST 1999-3               | NY       |     | X      | . X | 06/30/01                                |
| DISTRIBUTION MANAGEMENT SERVICES IN                              |          |     | Х      | Х   | 07/08/01                                |
| DLJ MORTGAGE ACCEPT CORP CSFB MTG P<br>ASS THRU CERT SER 2001 S3 |          |     | X      | Х   | 02/26/01                                |
| DLJ MORTGAGE ACCEPT CORP CSFB MTG P<br>ASS THRU CERT SER 2001 S3 |          |     | X      | X   | 03/26/01                                |
| DYNAMIC I-T INC  | CO       |     | Х      | Х   | 07/19/01                                |
| E TRADE GROUP INC  | DE       |     | Х      |     | 06/30/01                                |
| EARTHGRAINS CO /DE/  | DE       |     | X      |     | 07/20/01                                |
| EASYLINK SERVICES CORP   | DE       |     | X      | X   | 07/17/01                                |
| EAUTOCLAIMS COM INC  | NV       |     | X      |     | X 07/31/01                              |
| EB2B COMMERCE INC /NY/   | NJ       |     | Х      | X   | 07/23/01                                |
| ENERGY WEST INC  | MT       |     |        |     | X 07/24/01                              |
| ENTHEOS TECHNOLOGIES INC   | ИV       |     | X      |     | 09/30/01                                |
| FARMER MAC MORTGAGE SECURITIES CORP                              | DE       |     | Х      | X   | 07/18/01                                |
| FC BANC CORP   | OH       |     | хх     | , X | 07/17/01                                |
| FINANCIAL ASSET SE CP SOUNDVIEW HM<br>EQ LN AS BK CER SE 2001-1  | DE       | Х   |        |     | 07/17/01                                |
| FINDWHAT COM INC   | NV       |     | X      | X   | 07/23/01                                |
| FIRST ECOM COM INC   | NV       | X   | _      | X   | 07/19/01                                |
| FIRST HORIZON ASSET SECURITIES INC                               | DE       |     | Х      | Х   | 07/24/01                                |

CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT NAME OF ISSUER FIRST UNION COM MOR SEC INC COM MOR NY 07/17/01 Χ PASS THR CER SER 2001-C1 FIRST UNION COMM MORT TRUST COMM MO NY 07/17/01 X R PAS THR CER SER 1999-C4 07/17/01 FIRST UNION COMM MORT TRUST COMM MO NY R PAS THR CER SER 2000-C1 07/17/01 FIRST UNION COMM MORT TRUST COMM MO NY R PAS THR CER SER 2000-C2 FIRST UNION COMMERCIAL MORTGAGE PAS NC X 07/25/01 S THR CER SER 2001-C2 X X 07/20/01 X X 07/01/01 X X 07/23/01 X X 05/29/01 NC FIRST UNION CORP  ${ t FL}$ FLORIDA POWER CORP / DE GALILEO INTERNATIONAL INC DE GENERAL BEARING CORP 07/18/01 X  $^{\cdot}X$ GEOALERT INC NV x x 07/10/01 GERALD STEVENS INC/ FL07/20/01 X X GERON CORPORATION DE X X 07/20/01 X X 07/24/01 X X MD GETTY REALTY CORP /MD/ GLOBAL SPORTS INC DE X X 07/23/01 NY GOODRICH CORP X 09/30/01 DE GRAPHON CORP/DE GREEN TREE FLOORPLAN RECIEVABLES MA MN X X 07/16/01 STER TRUST x x 07/16/01 GREEN TREE FLOORPLAN RECIEVABLES MA MN STER TRUST X X 07/16/01 GREEN TREE FLOORPLAN RECIEVABLES MA MN STER TRUST X 05/11/01 AMEND DE GROUP 1 SOFTWARE INC х х GUMTECH INTERNATIONAL INC \UT\ 07/20/01 UT X 07/24/01 DE GWL&A FINANCIAL INC X X 06/29/01 DE HARKEN ENERGY CORP X X X 07/23/01 HAWAIIAN ELECTRIC CO INC  $_{ t HI}$ 07/23/01 ΗI HAWAIIAN ELECTRIC INDUSTRIES INC X 07/23/01 Χ HCA INC/TN DE CA 07/23/01 X HERITAGE COMMERCE CORP 07/23/01 HERITAGE PROPANE PARTNERS L P DE X X X X 06/30/U1 06/30/01 HFC REVOLVING CORP DE HONDA AUTO LEASE TRUST 1999A DΕ HONDA AUTO RECEIVABLES 1999-1 OWNER CA TRUST X , X 06/30/01 HONDA AUTO RECEIVABLES 2000-1 OWNER CA 06/30/01 X HONDA AUTO RECEIVABLES 2001-1 OWNER Χ TRUST X X 0.7/23/01 DΕ HORIZON OFFSHORE INC HOUSEHOLD AUTOMOTIVE TRUST III SERI NV 07/20/01 ES 1999-1 07/20/01 HOUSEHOLD AUTOMOTIVE TRUST IV SERIE DE s 2000-1 HOUSEHOLD AUTOMOTIVE TRUST V SERIES DE X 07/20/01 2000 2

STATE 8K ITEM NO.

#### 11 NEWS DIGEST, July 25, 2001

| NAME OF ISSUER  | ~~~      | 1 0 0 | ITEM NO.<br>4 5 6 7 8 |                      |
|---|----------|-------|-----------------------|----------------------|
| HOUSEHOLD AUTOMOTIVE TRUST V SERIES                       |          | •     |                       | 07/20/01             |
| HOUSEHOLD AUTOMOTIVE TRUST 2001-1                         | DE       | X     |                       | 07/20/01             |
| HOUSEHOLD CONSUMER LOAN TRUST 1996-                       |          | X     |                       | 07/13/01             |
| HOUSEHOLD CONSUMER LOAN TRUST 1996-                       | - DE     | X     |                       | 07/13/01             |
| HOUSEHOLD CONSUMER LOAN TRUST 1997-                       | - DE     | Χ     |                       | 06/20/01             |
| HOUSEHOLD CONSUMER LOAN TRUST 1997-                       | - DE     | Х     |                       | 07/13/01             |
| HOUSEHOLD CREDIT CARD MASTER NOTE TRUST I                 | r de     | X     |                       | 07/20/01             |
| HOUSEHOLD FINANCE CORP HOUSEHOLD AF<br>F CRE CAR MAS TR I | F DE     | Х.    |                       | 07/16/01             |
| HOUSEHOLD FINANCE CORP HOUSEHOLD CO                       | D DE     | X     |                       | 07/13/01             |
| HOUSEHOLD HOME EQUITY LOAN TRUST 19                       | 9 DE     | X     |                       | 07/20/01             |
| HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-2         | A DE     | Χ     |                       | 07/20/01             |
| HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-1         | A DE     | Χ     |                       | 07/20/01             |
| HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-2         | A DE     | X     |                       | 07/20/01             |
| HUDSON RIVER BANCORP INC                                  | DE       |       |                       | X 07/24/01           |
| HUDSONS GRILL INTERNATIONAL INC                           | TX       | X     |                       | 06/05/01             |
| HUFFY CORP  | OH       |       | х х                   | 07/17/01             |
| I T TECHNOLOGY INC  |          | X     | XXX                   | 06/18/01             |
| IASIS HEALTHCARE CORP                                     | DE       |       |                       | X 07/24/01           |
| IMPAC ASSETS SECURED CORP MORT PASS                       | S CA     | X     |                       | 06/25/01             |
| THR CERT SER 2001-4                                       | D.E.     | .,    |                       | 06/05/01             |
| IMPAC CMB TRUST SERIES 2001-1 IMSCO INC /MA/              |          | X     |                       | • •                  |
| INSTINET GROUP INC  | DE<br>DE | Λ     |                       | 07/11/01             |
| INTERCEPT GROUP INC                                       | GA.      |       | XX                    | 07/24/01<br>07/25/01 |
| INTERNATIONAL BANCSHARES CORP                             | TX       |       | XXX                   | 07/24/01             |
| INTERPORE INTERNATIONAL INC /DE/                          | DE       | Х     | X                     | 07/24/01             |
| JDS UNIPHASE CORP /CA/                                    | DE       | Λ     | X                     | X 07/24/01           |
| J2 GLOBAL COMMUNICATIONS INC                              | DE       |       | X X                   | 07/23/01             |
| KANKAKEE BANCORP INC                                      | DE       |       | X X                   | 07/23/01             |
| KING PHARMACEUTICALS INC                                  | TN       |       | Δ. Δ.                 | X 07/19/01           |
| KING PHARMACEUTICALS INC                                  | TN       |       |                       | X 07/23/01           |
| KRAUSES FURNITURE INC                                     | DE       | Х     | X                     | 07/20/01             |
| LABONE INC/   | MO       | 21    | X                     | 07/23/01             |
| LABORATORY CORP OF AMERICA HOLDINGS                       |          |       | 23                    | X 07/23/01           |
| LABORATORY CORP OF AMERICA HOLDINGS                       |          |       |                       | X 07/24/01           |
| LEINER HEALTH PRODUCTS INC                                | DE       |       | х х                   | 07/24/01             |
| LEXINGTON CORPORATE PROPERTIES TRUS                       |          |       | X X                   | 07/20/01             |
| LIBBEY INC  | DE       |       | Х                     | 07/23/01             |

| LITE KING CORP                      | NY    |   | Х  |    | 07/15/01       |
|-------------------------------------|-------|---|----|----|----------------|
| LUCENT TECHNOLOGIES INC             | DE    |   |    |    | X 07/24/01     |
| LUNA MEDICAL TECHNOLOGIES INC       | NV    | X |    |    | 07/17/01       |
| MACDERMID INC                       | CT    | X |    |    | 06/30/01       |
| MACROCHEM CORP                      | DE    |   | X  |    | 07/12/01       |
| MAF BANCORP INC                     | DE    |   |    | X  | X 07/23/01     |
| MARATHON POWER TECHNOLOGIES CO      | DE    |   |    | X  | 05/31/01 AMEND |
| MARINEMAX INC                       | DE    |   |    |    | X 07/24/01     |
| MATTEL INC /DE/                     | DE    |   | X  | X  | 07/24/01       |
| MAYNARD OIL CO                      | DE    |   | X  | X  | 07/23/01       |
| MDI ENTERTAINMENT INC               | DE    |   | X  | X  | 07/23/01       |
| MEDIA COMMUNICATIONS GROUP INC      | DE    |   |    | X  | 05/22/01 AMEND |
| MEDITECH PHARMACEUTICALS INC        | NV    |   | X  |    | 07/16/01       |
| MERRILL LYNCH & CO INC              | DE    |   | X  |    | 07/24/01       |
| MICREL INC                          | CA    |   | Х  |    | 07/23/01       |
| MINNESOTA CORN PROCESSORS LLC       | CO    |   | X  | Х  | 07/24/01       |
| MNB BANCSHARES INC                  | DE    |   | Х  |    | 07/24/01       |
| MOBILE PET SYSTEMS INC              | DE    |   |    | Х  | 05/30/01       |
| MONTGOMERY FINANCIAL CORP           |       |   | Х  | X  | 07/23/01       |
| MORGAN STANLEY DEAN WIT CAP I INC M | NY    | Х |    |    | 07/17/01       |
| OR PAS-THR CER SER 2001-1           |       |   |    |    | - , - ,        |
| MPHASE TECHNOLOGIES INC             | NJ    |   | х  | Х  | 07/23/01       |
| MULTILINK TECHNOLOGY CORP           | CA    |   | •• | X  | X 07/24/01     |
| NANOGEN INC                         | DE    |   | Х  |    |                |
| NATIONAL CITY BANCORPORATION        | IA    |   |    |    | 06/28/01       |
| NATIONAL MERCANTILE BANCORP         | CA    |   | X  |    | 07/18/01       |
| NB&T FINANCIAL GROUP INC            | OH    | Х | 71 | 71 | 07/24/01       |
| NCO GROUP INC                       | PA    | Λ | X  | Х  | 07/24/01       |
| NEON COMMUNICATIONS INC             | DE    |   | X  |    | 06/15/01       |
| NEON COMMONICATIONS INC             | DE    |   | X  |    | 07/20/01       |
| NET 2 L P                           | DE    |   | X  |    | 07/20/01       |
| NET 2 L P<br>NETOPIA INC            | DE    |   | X  |    | 07/24/01       |
| NETRO CORP                          | CA    |   | X  |    | 07/19/01       |
| NETSMART TECHNOLOGIES INC           | DE    |   | Λ  | Х  | 06/30/01 AMEND |
|                                     |       |   | Х  |    | 07/19/01       |
| NETWORK SIX INC                     | RI    |   | X  |    | 07/19/01       |
| NEW COMMERCE ONE HOLDING INC        | DE    |   | Λ  | хх | 07/23/01 AMEND |
| NEW ERA TECHNOLOGIES                | ייירם |   | v  |    |                |
| NEXTEL COMMUNICATIONS INC           | DE    |   |    | X  |                |
| NEXTEL INTERNATIONAL INC            | DE    |   | X  |    | 07/24/01       |
| NORTEL NETWORKS CORP                |       |   | X  |    | 07/19/01       |
| NORTEL NETWORKS LTD                 | ~-    |   | X  |    | 07/19/01       |
| NORTH VALLEY BANCORP                | CA    |   | X  |    | 07/16/01       |
| NOTIFY TECHNOLOGY CORP              | CA    |   | X  |    | 07/20/01       |
| NOVELL INC                          | DE    | X |    | X  | 07/10/01       |
| NX NETWORKS INC                     | DE    |   | X  | X  | 07/16/01       |
| OAK HILL FINANCIAL INC              | ОН    |   | Х  |    | 07/23/01       |
| OAK TECHNOLOGY INC                  | DE    |   | Х  |    | 07/23/01       |
| ONYX ACCEPTANCE FINANCIAL CORP      | DE    |   | X  |    | 07/23/01       |
| PALADYNE CORP                       | DE    |   | X  | Х  | 07/17/01       |
| PATTERSON UTI ENERGY INC            | DE    |   |    | X  | 05/08/01       |
| PC MALL INC                         | DE    |   | X  | X  | 07/23/01       |

| PEOPLEPC INC                        | DE |   | X  | Х | · ·            |
|-------------------------------------|----|---|----|---|----------------|
| PEOPLES FINANCIAL SERVICES CORP/    | PA |   | X  |   | 07/23/01       |
| PEOPLES FINANCIAL SERVICES CORP/    | PA |   | X  |   | 07/24/01       |
| PEOPLES SIDNEY FINANCIAL CORP       | DE |   | X  | X | 07/19/01       |
| PHOENIX WASTE SERVICES CO INC       | NJ |   | X  |   | 06/30/01       |
| PILGRIMS PRIDE CORP                 | DE |   | Х  |   | 07/23/01       |
| PIONEER NATURAL RESOURCES CO        | DE |   | X  | X | 07/19/01       |
| PIRANHA INC                         | DE |   | X  |   | 07/19/01       |
| PLANET POLYMER TECHNOLOGIES INC     | CA |   | X  |   | 07/24/01       |
| PLAY BY PLAY TOYS & NOVELTIES INC   | TX |   | X  |   |                |
| PLAY BY PLAY TOYS & NOVELTIES INC   | TX |   | X  | Χ |                |
| PLAYBOY ENTERPRISES INC             | DE | X |    | X | 07/06/01       |
| PRAXAIR INC                         | DE |   | X  |   | 07/24/01       |
| PRIME RECEIVABLES CORP              | DE |   |    | X | 07/16/01       |
| PRIVATEBANCORP INC                  | DE |   | X  | X | 07/23/01       |
| PS BUSINESS PARKS INC/CA            | CA |   |    | X | X 07/20/01     |
| PUBLIC SERVICE CO OF NEW MEXICO     | MN |   |    |   | X 07/23/01     |
| QUAD SYSTEMS CORP /DE/              | DE |   | X  | X | 07/23/01       |
| QUALMARK CORP                       | CO |   | X  | X | 07/18/01       |
| RECONDITIONED SYSTEMS INC           | ΑZ | X |    |   | 06/08/01 AMEND |
| RECONDITIONED SYSTEMS INC           | AZ | X |    |   | 06/28/01 AMEND |
| RELIABLE POWER SYSTEMS INC          | CO |   | ×X | X | 07/12/01       |
| RENTECH INC /CO/                    | CO |   | X  |   | 07/19/01       |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS | DE |   |    | X | 07/18/01       |
| INC                                 |    |   |    |   |                |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS | DE |   |    | X | 07/18/01       |
| INC                                 |    |   |    |   |                |
| RESIDENTIAL FUNDING MORTGAGE SECURI | DE |   |    | X | 07/23/01       |
| TIES I INC                          |    |   |    |   |                |
| REVOLVING HOME EQUITY LOAN ASSET BA | DE |   | X  | X | 07/15/01       |
| CKED NOTES SERIES 2001-A            |    |   |    |   |                |
| RIVIERA HOLDINGS CORP               | NV |   | X  | X | 07/24/01       |
| SCOTIA PACIFIC CO LLC               | DE |   |    |   | X 07/20/01     |
| SCP POOL CORP                       | DE |   | X  |   | 06/30/01       |
| SCREAMING MEDIA COM INC             | DE |   | X  | Х | 07/24/01       |
| SHIRE PHARMACEUTICALS GROUP PLC     |    |   | X  | Х | 07/23/01       |
| SHIRE PHARMACEUTICALS GROUP PLC     |    |   | X  | X | 07/23/01 AMEND |
| SL GREEN REALTY CORP                | MD |   |    | X | X 07/24/01     |
| SPRINT CORP                         | KS |   | X  |   | 07/19/01       |
| STANDARD MANAGEMENT CORP            | IN |   | Х  | Х | 07/23/01       |
| STRUCTURED PRODUCTS CORP            | DE |   | Х  | Х | 07/23/01       |
| STRUTHERS INC/SC                    | NV |   | X  |   | 05/24/01       |
| SUN INTERNATIONAL NORTH AMERICA INC | DE |   | X  |   | 07/24/01       |
| SUSSEX BANCORP                      | NJ |   |    | Х | 07/19/01       |
| SYS                                 | CA |   | Х  |   | 07/23/01       |
| SYSTEMONE TECHNOLOGIES INC          | FL |   | Х  | Х | 07/16/01       |
| TAM RESTAURANTS INC                 | DE |   | X  |   | 07/17/01       |
| TANGIBLE ASSET GALLERIES INC        | NV | Х |    | Х | 07/06/01 AMEND |
| TENNECO AUTOMOTIVE INC              | DE |   | Х  | Х | 07/24/01       |
| TICKETMASTER                        | DE |   | X  | Х | X 07/24/01     |
| TICKETS COM INC                     | DE |   | X  | X | 07/23/01       |
|                                     |    |   |    |   |                |

| NAME OF ISSUER CODE 1 2 3 4 5 6 7 8 9 DATE COMME   | TNC |
|--|-----|
|  |     |
|  |     |
| TITAN PHARMACEUTICALS INC DE X X 07/24/01          |     |
| TIVO INC DE X X 07/24/01                           |     |
| TOYS R US INC DE X 07/24/01                        |     |
| TRANSDIGM HOLDING CO DE X 05/31/01 AM              |     |
| TRANSDIGM INC DE X 05/31/01 AME                    | ND  |
| UGI CORP /PA/ PA X 07/23/01                        |     |
| ULTRALIFE BATTERIES INC DE X 07/20/01              |     |
| UNION BANKSHARES INC VT X 07/05/01                 |     |
| UNION COMMUNITY BANCORP IN X X 07/23/01            |     |
| UNIROYAL TECHNOLOGY CORP DE X 07/23/01             |     |
| UNITED AUTO GROUP INC DE X X 07/23/01              |     |
| UNITED AUTO GROUP INC DE X X 07/24/01              |     |
| UNITED BANCSHARES INC/OH OH X X 07/24/01           |     |
| UNITED FILM PARTNERS INC TX X X X X X 09/30/01     |     |
| UNIVERSAL COMPRESSION HOLDINGS INC DE X X 07/23/01 |     |
| UNIVERSAL COMPRESSION INC TX X X 07/23/01          |     |
| US BANCORP \DE\ X 07/24/01                         |     |
| USA NETWORKS INC DE X X 07/16/01                   |     |
| VANGUARD AIRLINES INC \DE\ DE X X 07/12/01         |     |
| VIZARIO INC NV X X 07/23/01                        |     |
| VMIC INC DE X 07/23/01                             |     |
| VOICE MOBILITY INTERNATIONAL INC NV X 07/16/01     |     |
| VOLKSWAGEN DEALER FINANCE LLC DE X X 07/20/01      |     |
| WACHOVIA CORP/ NC NC X 07/20/01                    |     |
| WARWICK COMMUNITY BANCORP INC DE X X 07/24/01      |     |
| WAUSAU MOSINEE PAPER MILLS CORP WI X X 07/23/01    |     |
| WELLS FARGO ASSET SECURITIES CORP DE Y X 07/23/01  |     |
| WELLS FARGO ASSET SECURITIES CORP DE X X 07/23/01  |     |
| WELLS FARGO ASSET SECURITIES CORP DE X X 07/23/01  |     |
| WESBANCO INC WV X X 07/23/01                       |     |
| WESTERN RESOURCES INC /KS KS X X 07/24/01          |     |
| WESTPAC SECURITISATION MANAGEMENT P X 07/19/01     |     |
| TY LTD   |     |
| WFS FINANCIAL 2001-A OWNER TRUST X X 07/20/01      |     |
| WINSLOEW FURNITURE INC FL X 07/23/01 AM            | END |
| ZETA CORP /CA FL X 09/30/01                        |     |
| ZMP INC CA X 05/31/01 AM                           | END |