# SEC NEWS DIGEST

Issue 2001-160

August 17, 2001

### RULES AND RELATED MATTERS

## NOTICE OF THE FILING AND TEMPORARY EFFECTIVENESS OF AMENDMENT TO JOINT-SRO PLAN ESTABLISHING PROCEDURES UNDER RULE 11Ac1-5

The Commission has issued a release giving notice and granting temporary effectiveness of an amendment filed by the <u>Chicago Board Options Exchange</u> to join the national market system plan establishing procedures for market centers to follow in making reports available under Rule 11Ac1-5. Publication of the amendment is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44703)

#### ENFORCEMENT PROCEEDINGS

### FORMER CFO NAMED IN RULE 102(e) ORDER

Leslie D. Crone, 47, of Dallas, Texas, cannot practice before the Commission as an accountant for three years, according to a Rule 102(e) order entered by the Commission on August 16. Crone consented to the order and a federal court injunction entered August 1, 2001 in related proceedings.

In the federal court proceedings, the Commission alleged that Crone, former Chief Financial Officer of MAX Internet Communications, Inc., and others, caused MAX to overstate its sales by 98% in filings with the Commission. These inflated sales figures prompted a 600% spike in MAX's stock price. According to the Commission, Crone and others knew these sales were illegitimate under generally accepted accounting principles, but recorded them to protect MAX's stock price. The Complaint further alleges that Crone and others misled MAX's auditors, and issued a false press release responding to a March 2000 WALL STREET JOURNAL article questioning MAX's rapid sales growth. According to the Complaint, Crone and others also fabricated the sale of MAX's Brazilian subsidiary to justify recording inventory parked there as a "sale" to a "third party."

The United States District Court for the Northern District of Texas, Dallas Division, has permanently enjoined Crone from violating or aiding and abetting violations of Sections 10(b), 13(a), 13(b)(2) and 13(b)(5) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13b2-1 and 13b2-2 thereunder; and ordered Crone to pay a civil penalty of \$25,000. For further information see SEC v. Larry Biggs, Jr., Donald McLellan and Leslie D. Crone, Civil Action No. 3:01-CV-1474-D, USDC, NDTX (Dallas Div.); LR-17084. (Rel. 34-44711; AAE Rel. 1434; File No. 3-10559)

### COMMISSION FILES SETTLED INSIDER TRADING ACTION AGAINST JAMES ANDERSON

The Commission announced that the Honorable Judge Richard W. Story, United States District Judge for the Northern District of Georgia, has issued a Final Judgment of Permanent Injunction and Other Relief against James A. Anderson, a resident of Dahlonega, Georgia. The final judgment permanently enjoins Anderson from further violations of the antifraud provisions of the Securities Exchange Act of 1934 and orders him to disgorge ill-gotten gains in the amount of \$14,148.16, to pay prejudgment interest, and to pay a civil penalty of \$14,148.16.

The Commission's complaint alleges that on or about November 29, 2000 and December 1, 2000, Anderson misappropriated confidential information regarding Century South Bank, Inc.'s ongoing merger negotiations with BB&T Corporation from an individual, who, at the time, was an officer of Century South. The complaint further alleges that on November 29, 2000, Anderson purchased 400 shares of Century South stock at \$21.72 per share based upon this misappropriated information. Later on that same date, Anderson purchased an additional 450 shares of Century South stock at \$21.75 per share. On December 1, 2000, Anderson purchased another 1,000 shares of Century South stock at \$21.95. On December 5, 2000, after the announcement of the acquisition, Anderson sold all 1,850 shares of Century South stock in his portfolio at \$29.50 per share, resulting in a realized a profit of \$14,148.16.

Without admitting or denying the Commission's allegations, Anderson consented to the entry of a final judgment that permanently enjoins him from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. In addition, Anderson agreed to disgorge \$14,148.16, to pay prejudgment interest of \$106.11 and to pay a civil penalty of \$14,148.16. [SEC v. James A. Anderson, Civil Action No. 01-CV-1992, NDGA] (LR-17103)

### SEC SUES AMERICAN HEALTHCARE PROVIDERS, INC. AND FIVE INDIVIDUALS FOR ROLES IN INTERNET PUMP-AND-DUMP SCHEME

The Commission announced that it filed a civil injunctive action charging American Healthcare Providers, Inc. and five individuals for their roles in an Internet market manipulation scheme. The Commission's complaint alleges that American Healthcare was a start-up company with "headquarters" in the New York City apartment of one of

the defendants, and had virtually no business operations. The Commission charges that after pumping up the price of American Healthcare stock through an extensive campaign of false statements in press releases, Internet message board postings, and the company's website, certain of the defendants sold their American Healthcare stock to the public, reaping at least \$1.47 million in illicit gains.

Charged in the Commission's complaint filed in the U.S. District Court for the Southern District of New York, were the following: Angel L. Lorie, age 58, of Miami, Florida, a recidivist securities law violator and a purported "consultant" to American Healthcare; Luis F. Lorie, age 35, of Miami, Florida, the son of Angel Lorie; Arthur W. Wheeler, age 53, of New York City, the Chairman and Chief Executive Officer of American Healthcare; Lars M. Kram, age 63, of New York City, President of American Healthcare and a member of its Board of Directors until September 2000; Michael Anthony Lester, age 41, of Huntsville, Alabama, an "investor relations" and Internet consultant and, after September 2000, President of American Healthcare; and American Healthcare, a Delaware corporation headquartered in Wheeler's apartment.

The Commission seeks the following relief: (i) permanent injunctions from further violations of the antifraud, registration, and antitouting provisions of the federal securities laws (Sections 5(a) and (c) and 17(a) and (b) of the Securities Act of 1933, and Section 10(b) of the Exchange Act, and Rule 10(b)(5) thereunder); (ii) a permanent injunction against Angel Lorie against further violations of a Commission Order prohibiting Angel Lorie from participation in a penny stock offering and from Section 15(b) of the Exchange Act; (iii) disgorgement from Wheeler, Kram, Angel Lorie, and Luis Lorie; (iv) civil monetary penalties against Wheeler, Kram, Angel Lorie, Luis Lorie, and Lester; and (v) an order barring Wheeler, Kram, Angel Lorie, Luis Lorie, and Lester from serving as officers or directors of a public company.

On June 15, 2000, the SEC issued a ten-day suspension of trading in the securities of American Healthcare because of questions raised about the accuracy of publicly disseminated information concerning American Healthcare. The trading suspension followed a press release by American Healthcare in May 2000 in which American Healthcare announced an exclusive multi-million dollar contract with the New York City Link program, a service that seeks to ensure that mentally ill patients from New York City prisons receive necessary treatment and medication. The Commission's complaint alleges that the press release was false and that no such contract ever existed. [SEC v. American Healthcare Providers, Inc., Arthur W. Wheeler, Lars M. Kram, Angel L. Lorie Jr., Luis F. Lorie and Michael Anthony Lester, 01 CV 7649, BSJ, SDNY] (LR-17104)

### JUDGE APPOINTS RECEIVER OVER FRAUDULENT INTERNET TECHNOLOGY COMPANY SUED BY SEC

The Commission announced that on August 14 the Honorable Ronald M. Whyte, United States District Judge for the Northern District of California, appointed a receiver over PacketSwitch.Com, Inc., a San Jose, California company that is alleged to have raised

more than \$3.7 million by fraudulently claiming that the company had a proprietary technology for broadcasting movies wirelessly over the Internet. The Court's order, which granted preliminary injunctive relief, found that the Commission had demonstrated a probability of success on the merits of the action against PacketSwitch.Com and appointed Jerome E. Robertson as Receiver over the company.

The Commission's complaint, filed on July 9, 2001, alleges that from at least February 1999 through September 2000, PacketSwitch.Com and its founder and former CEO, Steven A. Ristau, fraudulently raised funds from at least 700 investors. According to the complaint, Ristau knew many of the investors through his relationships with large churches in the San Jose area. Among other things, the defendants told investors that PacketSwitch.Com:

- had a new, proprietary technology that allowed it to broadcast movies wirelessly over the Internet;
- either had or was in the process of obtaining patents for its purported Internet technology;
- had substantial operations in Africa and Asia, including a billion dollar contract with the Republic of Korea; and
- had strategic partnerships and alliances with various large, publicly traded telecommunications companies.

According to the complaint, each of these claims was false. In particular, the complaint alleges, PacketSwitch.Com was a start-up company with no revenue and no real product and its purported technology was simply an off-the-shelf commercial product that did not have the capability of broadcasting movies wirelessly over the Internet.

The complaint further alleges that the defendants failed to disclose that a significant portion of the funds raised went to finance Ristau's lavish lifestyle. This includes more than \$550,000 that went towards the purchase of a \$1.8 million home in San Jose, as well as funds used to pay for Ristau's family vacation to Hawaii, his delinquent child support, his personal bodyguards, Lexus automobile, and other personal items. The complaint also alleges that Ristau improperly received sales commissions based on a percentage of the proceeds raised by the company.

The complaint alleges that Ristau and PacketSwitch.Com violated the registration and antifraud provisions of the federal securities laws, Sections 5 and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder. In addition, the complaint alleges that Ristau violated the broker-dealer registration provisions of Section 15(a) of the Exchange Act. The Commission seeks a permanent injunction against defendants' future violations of these provisions, disgorgement of all ill-gotten gains, civil money penalties against Ristau, and

an order prohibiting Ristau from acting as a director or officer of any public company. [SEC v. PacketSwitch.Com, Inc. and Steven A. Ristau, USDC, NDCA, Civil Action No. 01-20626 (RMW)] (LR-17105)

### INVESTMENT ADVISERS ACT RELEASES

## ARTISAN PARTNERS LIMITED PARTNERSHIP AND HIRTLE CALLAGHAN TRUST

A notice has been issued giving interested persons until September 10, 2001, to request a hearing on an application filed by Artisan Partners Limited Partnership (APLP) and the Hirtle Callaghan Trust for an order under Section 206A of the Investment Advisers Act. The order would permit APLP to charge a performance fee based on the performance of that portion of a Trust portfolio managed by APLP (APLP Account). The order further would permit applicants to compute the performance-related portion of the fee using changes in the APLP Account's gross asset value rather than net asset value. (Rel. IA-1969 – August 16)

#### SELF-REGULATORY ORGANIZATIONS

#### PROPOSED RULE CHANGE

A proposed rule change and Amendment No. 1 thereto has been filed by the <u>Chicago</u> <u>Board Options Exchange</u> relating to changes to its delisting criteria (SR-CBOE-2001-29). Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44693)

#### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-PCX-2001-32) filed by the <u>Pacific Exchange</u> relating to order service firms has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44695)

A proposed rule change filed by the <u>Pacific Exchange</u> regarding Options Floor Member examinations (SR-PCX-2001-29) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal</u> Register during the week of August 20. (Rel. 34-44697)

A proposed rule change filed by the <u>American Stock Exchange</u> (SR-Amex-2001-57) to suspend transaction charges for certain Exchange Traded Funds has become effective under Section 19(b)(3)(A)(ii) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44698)

5 NEWS DIGEST, August 17, 2001

A proposed rule change (SR-Amex-2001-62) submitted by the <u>American Stock Exchange</u> to extend the eQPriority pilot program for an additional six months has been filed and become immediately effective pursuant to Rule 19b-4 under the Securities Exchange Act of 1934. (Rel. 34-44702)

A proposed rule change filed by the <u>National Association of Securities Dealers</u> relating to the opening of Nasdaq's SelectNet service at 8:00 a.m. Eastern Time (SR-NASD-2001-51) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44704)

A proposed rule change filed by the <u>National Association of Securities Dealers</u> relating to trade reporting of listed securities (SR-NASD-2001-50) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44706)

### APPROVAL OF A PROPOSED RULE CHANGES

The Commission granted approval of a proposed rule change (SR-DTC-2001-07) filed by The Depository Trust Company under Section 19(b)(1) of the Exchange Act. The order allows DTC to move all securities issues which are in DRS, but which are not in Profile, into Profile on November 1, 2001. The order also allows DTC on November 1, 2001, to set its system to default to a DRS book-entry position on the books of the issuer or its transfer agent when a broker-dealer's request for a withdrawal by transfer for a DRS eligible issue does not specifically request a certificate. Publication of the order is expected in the Federal Register during the week of August 20. (Rel. 34-44696)

The Commission approved a proposed rule change (SR-CHX-2001-14) submitted under Rule 19b-4 by the <u>Chicago Stock Exchange</u> to eliminate the "E-Session" after-hours trading session. Publication of the order in the <u>Federal Register</u> is expected during the week of August 20. (Rel. 34-44705)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-Amex-2001-34) and Amendment Nos. 1, 2, 3, and 4 submitted under Rule 19b-4 of the Securities Exchange Act of 1934 by the <u>American Stock Exchange</u> to list and trade 15 series of the iShares Trust based on foreign stock indexes. Publication of the order is expected in the Federal Register during the week of August 20. (Rel. 34-44700)

### APPROVAL OF REQUEST FOR AN EXTENSION OF TEMPORARY REGISTRATION AS A CLEARING AGENCY

The Emerging Markets Clearing Corporation filed an application (File No. 600-30) pursuant to Section 19(a) of the Securities Exchange Act of 1934 requesting that the

6 NEWS DIGEST, August 17, 2001

Commission extend EMCC's temporary registration as a clearing agency. The Commission is publishing this notice and order to solicit comments from interested persons and to extend EMCC's temporary registration as a clearing agency through March 31, 2002. Publication of the proposal is expected in the <u>Federal Register</u> during the week of August 20. (Rel. 34-44707)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 ANCHOR BANCORP WISCONSIN INC, 25 WEST MAIN ST, MADISON, WI 53703 (608) 252-8700 2,936,254 (\$47,362,089) COMMON STOCK. (FILE 333-67228 AUG. 10) (BR. 7)
- S-8 CORPORATE EXECUTIVE BOARD CO, 2000 PENNSYLVANIA AVE NW, WASHINGTON, DC
  20006 (202) 672-5600 2,700,000 (\$109,755,000) COMMON STOCK. (FILE 333-67238 AUG. 10) (BR. 8)
- S-8 SWIFT ENERGY CO, 16825 NORTHCHASE DR STE 400, HOUSTON, TX 77060 (281) 874-2700 1,525,000 (\$45,010,781.25) COMMON STOCK. (FILE 333-67242 AUG. 10) (BR. 4)
- S-8 ADVANCED MARKETING SERVICES INC, 5880 OBERLIN DR STE 400, SAN DIEGO, CA
  92121 (858) 457-2500 500,000 (\$9,246,000) COMMON STOCK. (FILE 333-67244
   AUG. 10) (BR. 2)
- S-3 SOHU COM INC, 7 JIANGGUOMEN NEI AVE #1519 TOWER TWO,
  BEIJING CHINA CHANG AN BLDG, BEIJING CHINA 100005, F4 00000 (011) 8610651
   8,805,141 (\$12,195,120) COMMON STOCK. (FILE 333-67246 AUG. 10)
  (BR. 3)
- S-8 AUGUST TECHNOLOGY CORP, 4900 W 78TH ST, BLOOMINGTON, MN 55435 (952) 820-0080 400,000 (\$5,210,000) COMMON STOCK. (FILE 333-67248 7 NEWS DIGEST, August 17, 2001

- S-3 NORTHROP GRUMMAN CORP /DE/, 1840 CENTURY PK E, C/O NORTHROP GRUMMAN CORP, LOS ANGELES, CA 90067 (310) 553-6262 (FILE 333-67254 - AUG. 10) (BR. 5)
- S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL AIR,

  MD 21014 (410) 893-0134 20,000,000 (\$600,000) COMMON STOCK. (FILE 333-67256 AUG. 10) (BR. 9)
- S-4 HEALTH NET INC, 21650 OXNARD ST, WOODLAND HILLS, CA 91367 (818) 676-6000
   \$398,621,796 STRAIGHT BONDS. (FILE 333-67258 AUG. 10) (BR. 1)
- S-8 RUNCORP INC, 540 5TH AVENUE S.W., SUITE 930, CALGARY, ALBERTA, NV
  T2P 0
  (403) 264-7356 250,000 (\$7,500) COMMON STOCK. (FILE 333-67262 AUG.
  10)
  (BR. 9)
- S-8 RUNCORP INC, 540 5TH AVENUE S.W., SUITE 930, CALGARY, ALBERTA, NV T2P 0

  (403) 264-7356 1,000,000 (\$20,000) COMMON STOCK. (FILE 333-67264 AUG. 10) (BR. 9)
- S-8 NORTHROP GRUMMAN CORP /DE/, 1840 CENTURY PK E,
  C/O NORTHROP GRUMMAN CORP, LOS ANGELES, CA 90067 (310) 553-6262 8,000,000 (\$642,280,000) COMMON STOCK. (FILE 333-67266 AUG. 10) (BR. 5)
- S-3 ORIENT EXPRESS HOTELS LTD, 41 CEDAR AVE, PO BOX HM 1179, HAMILTON HM EX BERMU, DO 00000 (212) 732-3200 - 5,000,000 (\$102,125,000) COMMON STOCK. (FILE 333-67268 - AUG. 10) (BR. 8)
- S-8 LOCKWAVE TECHNOLOGIES INC, 47 MALL DR., SUITE 5, COMMACK, NJ 11725 ((63) 1) -864- 250,000 (\$7,500) COMMON STOCK. (FILE 333-67270 AUG. 10) (BR. 4)
  - S-8 LOCKWAVE TECHNOLOGIES INC, 47 MALL DR., SUITE 5, COMMACK, NJ 11725 ((63) 1) -864- 1,000,000 (\$20,000) COMMON STOCK. (FILE 333-67272 AUG. 10) (BR. 4)
- S-8 LATTICE SEMICONDUCTOR CORP, 5555 NE MOORE COURT, HILLSBORO, OR 97124 (503) 268-8000 10,000,000 (\$242,865,911) COMMON STOCK. (FILE 333-67274 AUG. 10) (BR. 5)
- S-8 LIBERTY MEDIA CORP /DE/, 9197 SOUTH PEORIA STREET, ENGLEWOOD, CO 80112 (720) 875-5400 - 10,000,000 (\$132,000,000) COMMON STOCK. (FILE 333-67276 -AUG. 10) (BR. 7)
- 8 NEWS DIGEST, August 17, 2001

- S-4 LIN TELEVISION CORP, ONE RICHMOND SQUARE, STE 230 E, PROVIDENCE, RI 02906 (401) 454-2880 310,000,000 (\$276,054,800) STRAIGHT BONDS.
  - 333-67278 AUG. 10) (BR. 7)
- S-8 SONICBLUE INC, 2841 MISSION COLLEGE BLVD, SANTA CLARA, CA 95054
  (408) 588-8000 5,053,968 (\$5,167,778) COMMON STOCK. (FILE 333-67280

  AUG. 10) (BR. 3)
- S-3 ROSLYN BANCORP INC, ONE JERICHO PLZ, JERICHO, NY 11753 (516) 621-6000 (FILE 333-67282 - AUG. 10) (BR. 7)
  - S-8 BALL CORP, 10 LONGS PEAK DRIVE, P O BOX 5000, BROOMFIELD, CO 80021 (303) 469-5511 2,000,000 (\$98,420,000) COMMON STOCK. (FILE 333-67284 AUG. 10) (BR. 6)
  - S-3 CERUS CORP, 2411 STANWELL DR, CONCORD, CA 94520 (925) 288-6000 (FILE 333-67286 AUG. 10) (BR. 1)
  - S-4 CATAWBA VALLEY BANCSHARES INC, 1039 SECOND STREET N.E., HICKORY, NC 28601 (828) 431-2300 1,127,756 (\$14,942,767) COMMON STOCK. (FILE 333-67290 AUG. 10) (BR. 7)
- S-8 PTEK HOLDINGS INC, 3399 PEACHTREE RD NE, LENOX BLDG STE 400, ATLANTA, GA
  30326 (404) 262-8400 1,650,000 (\$4,867,500) COMMON STOCK. (FILE 333-67292 AUG. 10) (BR. 7)
  - S-3 LEXICON GENETICS INC/TX, 4000 RESEARCH FOREST DRIVE, 281-364-0100, THE WOODLANDS, TX 77381 (281) 364-0100 3,527,991 (\$31,487,319) STRAIGHT BONDS. (FILE 333-67294 AUG. 10) (BR. 1)
  - S-8 CORNERSTONE BANCORP INC, 550 SUMMER STREET, STEMFORD, CT 06901 (203) 356-0111 37,000 (\$642,875) COMMON STOCK. (FILE 333-67298 AUG. 10) (BR. 9)
- S-4 AIRTRAN AIRWAYS INC, 6280 HAZELTINE NATIONAL DRIVE, ORLANDO, FL 32822

  (407) 859-1579 166,400,000 (\$166,400,000) STRAIGHT BONDS. (FILE 333-67300 AUG. 10)
  - S-8 CORNERSTONE BANCORP INC, 550 SUMMER STREET, STEMFORD, CT 06901 (203) 356-0111 76,415 (\$1,327,711) COMMON STOCK. (FILE 333-67302 AUG. 10) (BR. 9)
- S-8 TAKE TWO INTERACTIVE SOFTWARE INC, 575 BROADWAY, 6TH FLOOR, NEW YORK, NY
  10012 (212) 334-6633 1,500,000 (\$27,675,000) COMMON STOCK. (FILE 333-67304 AUG. 10) (BR. 3)
- S-8 TAKE TWO INTERACTIVE SOFTWARE INC, 575 BROADWAY, 6TH FLOOR, NEW YORK, NY
- 9 NEWS DIGEST, August 17, 2001

- 10012 (212) 334-6633 439,388 (\$4,306,002) COMMON STOCK. (FILE 333-67306 AUG. 10) (BR. 3)
- S-4 COREL CORP, 1600 CARLING AVE, OTTAWA ONTARIO K1Z 8R7, CANADA, A6 00000 (613) 728-8200 16,068,083 (\$25,226,890) COMMON STOCK. (FILE 333-67310 AUG. 10) (BR. 3)
  - S-8 INKTOMI CORP, 4100 E 3RD AVE, FOSTER CITY, CA 94404 (650) 653-2800 39,755 (\$199,968) COMMON STOCK. (FILE 333-67312 AUG. 10) (BR. 3)
- S-8 ENCAD INC, 6059 CORNERSTONE COURT W, SAN DIEGO, CA 92121 (619) 677-5179
   695,000 (\$1,028,600) COMMON STOCK. (FILE 333-67314 AUG. 10) (BR. 3)
- S-4 ALLIANT TECHSYSTEMS INC, 600 2ND ST NE, HOPKINS, MN 55343 (952) 931-6000
   400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-67316 AUG.

  10)
  (BR. 6)
  - S-3 SMURFIT STONE CONTAINER CORP, 150 NORTH MICHIGAN AVENUE, CHICAGO, IL 60601 (312) 346-6600 7,500,000 (\$124,387,500) COMMON STOCK. (FILE 333-67320 AUG. 10) (BR. 4)
- S-3 POGO PRODUCING CO, 5 GREENWAY PLAZA STE 2700, P O BOX 2504, HOUSTON, TX

  77252 (713) 297-5000 12,615,816 (\$301,644,160) COMMON STOCK. (FILE 333-67324 AUG. 10) (BR. 4)
- S-4 SANMINA CORP/DE, 2700 N FIRST ST, SAN JOSE, CA 95134 (408) 954-5500 198,605,240 (\$5,581,800,270) COMMON STOCK. (FILE 333-67326 - AUG. 10) (BR. 5)
- S-3 SATCON TECHNOLOGY CORP, 161 FIRST STREET, CAMBRIDGE, MA 02142 (617) 661-0540 905,050 (\$11,664,335) COMMON STOCK. (FILE 333-67328 AUG. 10) (BR. 5)
- S-8 SCHLUMBERGER LTD /NY/, 42 RUE ST DOMINIQUE, PARIS FRANCE 75007, IO (212) 350-9400 25,000 (\$1,227,500) COMMON STOCK. (FILE 333-67330 AUG. 10) (BR. 4)
- S-8 SEQUENOM INC, 3595 JOHN HOPKINS CT, SAN DIEGO, CA 92121 (858) 202-9000 - 1,215,592 (\$13,674,835) COMMON STOCK. (FILE 333-67332 - AUG. 10) (BR. 1)
- S-8 ACT MANUFACTURING INC, 2 CABOT ROAD, HUDSON, MA 01749 (978) 568-0105
  1,000,000 (\$9,930,000) COMMON STOCK. (FILE 333-67334 AUG. 10) (BR.
  5)
- 10 NEWS DIGEST, August 17, 2001

- UNIVERSAL COMPRESSION HOLDINGS INC, 4440 BRITTMOORE RD, HOUSTON, TX 77041 (713) 466-4103 - 694,927 (\$18,825,572) COMMON STOCK. 333-67338 - AUG. 10) (BR. 6)
- INHALE THERAPEUTIC SYSTEMS INC, 150 INDUSTRIAL RD., SAN CARLOS, CA S-3 94070 (650) 631-3100 - 3,112,603 (\$45,444,003) COMMON STOCK. (FILE 333-67340 AUG. 10) (BR. 1)
- INHALE THERAPEUTIC SYSTEMS INC, 150 INDUSTRIAL RD., SAN CARLOS, CA S-8 94070 (650) 631-3100 - 887,343 (\$35,493) COMMON STOCK. (FILE 333-67342 -AUG. 10) (BR. 1)
  - GREENVOLT POWER CORP, 4055 DIGBY DR, RURAL ROUTE 2 L3V 6H2, ORILLA ONTARIO CANAD, UT 84123 (703) 327-8331 - 700,000 (\$119,000) (FILE 333-67346 - AUG. 10) (BR. 9) COMMON STOCK.
- CREDIT ACCEPTANCE CORPORATION, 25505 W TWELVE MILE RD, STE 3000, SOUTHFIELD, MI 48034 (810) 353-2700 - 4,000,000 (\$37,080,000) COMMON STOCK. (FILE 333-67348 - AUG. 10) (BR. 7)

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
  Item 4. Changes in Registrant's Certifying Accountant.
  Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits. Item 8. Change in Fiscal Year. Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE		8K ITEM NO 3 4 5 6 7		E COMMENT
AAMES MORTGAGE TRUST 2001-2 MORT P.	A DE	Х		07/25	5/01
ACCEPTANCE INSURANCE COMPANIES INC	DE		X	08/10	0/01
ACETO CORP	NY		X	08/10	0/01
ADVANTA BUSINESS SERVICES CORP	DE		X	08/10	0/01
11 NEWS DIGEST, August 17, 2001					

	STATE	_	_		_			NO.		D. 1111	~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
NAME OF ISSUER	CODE	1 	2 	3 	4 	5 	6 	7 8		DATE	C	OMMENT 
ADVANTA BUSINESS SERVICES CORP	DE							Х		08/10/		
ADVANTA EQUIPMENT LEASING RECEIVABL	NV							Χ		08/10/	01	1000
ES SERIES 2000-1 LLC												
ADVANTA LEASING RECEIVABLES CORP IV	NV							X		08/10/		
ADVANTA LEASING RECEIVABLES CORP IX	NV							X		08/10/		
ADVANTA LEASING RECEIVABLES CORP V	NV							X		08/10/		
ADVANTA LEASING RECEIVABLES CORP VI	NV							X		08/10/		
AF BANKSHARES INC					X			X		07/31/		AMEND
AGILENT TECHNOLOGIES INC	DE		X					X		08/15/		
AIR PRODUCTS & CHEMICALS INC /DE/	DE					X				08/16/		
AIRCRAFT FINANCE TRUST	DE					X				08/15/		
ALLOY ONLINE INC	DE		X					X		08/02/		er e
ALLOY STEEL INTERNATIONAL INC	<b></b>	X				37				08/14/		
ALLTEL CORP	DE					X				06/30/ 08/09/		
ALPINE AIR EXPRESS INC/DE	DE					X		37	v	08/09/		
AMERICAN ACCESS TECHNOLOGIES INC	FL					X		X	Λ	08/02/		
AMERICAN BANKNOTE CORP	DE UT					X		Х		08/15/		
AMERICAN EXPRESS CENTURION BANK						X		X		08/15/		
AMERICAN EXPRESS MASTER TRUST	DE					X		X		08/15/		
AMERICAN EXPRESS RECEIVABLES FINANC	DE .					Λ						7 MEND
AMERICAN HEALTHWAYS INC	DE					37		X X		06/01/ 08/15/		AMEND
AMERICAN MEDICAL ALERT CORP	NY					X				08/15/		
AMERICREDIT AUTOMOBILE RECEIVABLES	DE					X		X		08/08/	ΟŢ	
TRUST 2000-D	DE					Х		Х		08/08/	<b>01</b>	
AMERICREDIT AUTOMOBILE RECEIVABLES	DE					Λ		Λ		00/00/	01	
TRUST 2000-1 AMERICREDIT AUTOMOBILE RECEIVABLES	DE					Х		Х		08/08/	01	
TRUST 2001-A	υп											
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-B						Х		Х		08/08/		
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-1	DE					Х		Х		08/08/	01	
AMYLIN PHARMACEUTICALS INC	DE					X				08/14/		
ANGELES PARTNERS IX	CA		X					X		07/30/		
APERIAN INC	DE					X		X		07/26/		
ASSET BACKED SECURITIES CORP HOME E QUITY LN TR SER 2001-HE2	DE					X		Х		08/15/	01	
AUTOBYTEL COM INC	DE		Х			Х		Х		08/14/	01	
AUTOMATIC SYSTEMS INC	MO					Х		Х		08/16/	01	
AVNET INC	NY							Х	X	08/15/	01	* -
BAIL CORP	CO					Х				08/10/	01	
BANC ONE CREDIT CARD MASTER TRUST	NY					Х		Х		08/15/	01	
BANK OF AMERICA CORP /DE/	DE					Х		X	X	08/15/	01	
BANKATLANTIC BANCORP INC	$\mathtt{FL}$					Х				08/15/	01	
BEAUTYMERCHANT COM INC	NV					Х				08/14/	01	
BICO INC/PA	PA					Х				08/14/		
BIO SOLUTIONS INTERNATIONAL INC	NV							Х		05/31/		AMEND
BIO SOLUTIONS INTERNATIONAL INC	NV							Х		05/31/		
BIOMARIN PHARMACEUTICAL INC	DE					Х				08/16/		
BIORELEASE CORP	DE		Х			Х				08/15/		

	STATE		8 K	( ITE	M NO	).			
NAME OF ISSUER	CODE	1 2	3	4 5	67	8	9	DATE	COMMENT
BRAINWORKS VENTURES INC	NV				Х			05/08/0	
CACI INTERNATIONAL INC /DE/	DE	X		X	X			08/16/0	
CALIFORNIA COMMUNITY BANCSHARES INC	DE			X	X			08/16/0	
CARRIER1 INTERNATIONAL S A	N4			X				08/14/0	
CATEGORY 5 TECHNOLOGIES INC		X			X			05/29/0	
CC MASTER CREDIT CARD TRUST II	MD				X			08/15/0	
CELLEGY PHARMACEUTICALS INC	CA			X	X			08/10/0	
CELOX LABORATORIES INC	MN			X	X			08/10/0	
CENDANT CORP	DE			X	X			08/13/0	
CENTRAL VERMONT PUBLIC SERVICE CORP	VT			X				08/15/0	
CENTURYTEL INC	LA			X				08/15/0	1
CERUS CORP	DE			X	X			08/17/0	1 -
CETALON CORP	NV			X	X			03/15/0	1 AMEND
CHAPARRAL RESOURCES INC	DE			X	X			08/09/0	1.
CHASE FUNDING INC	NY			X	X			08/13/0	1
CHEAP TICKETS INC	DE			Х	X			08/13/0	1
CHIEFTAIN INTERNATIONAL FUNDING COR	NV	X :		Х	Х			08/03/0	1
CIRCUIT CITY CREDIT CARD MASTER TRU	DE			Х	X			07/31/0	1
CITIBANK CREDIT CARD ISSUANCE TRUST	DE			Х				07/26/0	1
CITIBANK CREDIT CARD MASTER TRUST I	DE			Х				07/26/0	1.
CITIBANK NEVADA NATIONAL ASSOCIATIO	DE			X				07/26/0	1 .
CITIBANK SOUTH DAKOTA N A	DE			х				07/26/0	1
CITIBANK SOUTH DAKOTA N A	DE			X				07/26/0	
CITIZENS COMMUNICATIONS CO	DE			X	Х			08/14/0	
CLAIMSNET COM INC	DE				Х		Х	08/14/0	
CLAYTON HOMES INC	DE			х	Х			08/14/0	
CNA SURETY CORP	DE			Х	Х			08/15/0	
CNF INC	DE			Х				08/14/0	
COLUMBUS MCKINNON CORP	NY			X	Х			08/16/0	
COMMERCIAL MORTGAGE PASS-THROUGH CE RTIFICATES SERIES 2000-C2	MO			X	Х			08/13/0	
COMMONWEALTH EDISON CO	IL						x	08/15/0	1
	SC			Х	Х		21	07/18/0	
COMMUNITY CAPITAL CORP /SC/	IL	X		25	X			04/20/0	
COMMUNITY FINANCIAL CORP /IL/	FL	X		Х	X			08/03/0	
CONTINUCARE CORP	VA	Λ		Λ	X			03/03/0	
CORNERSTONE REALTY INCOME TRUST INC COUNTRYWIDE HOME LOAN TRUST HOME LO	NY	X ·			Λ			08/10/0	
AN BAC NO SER 2001-HLV1 COUNTRYWIDE HOME LOAN TRUST HOME LO	NY	X						08/10/0	1
AN BAC NO SER 2001-HLV1					7.7			06/15/0	1 7 MENT
COVANCE INC	DE	X			X				
COVANTA ENERGY CORP	DE			X	X			08/14/0	
CVEO CORP	MA			X	Х			07/31/0	
CWABS INC	DE			X	X			08/10/0	
CWABS INC REVOLVING HOME EQ LOAN AS SET BKD NOTES SER 2001-B	DE			Х	X			08/15/0	
CYBER MERCHANTS EXCHANGE INC	CA			X	X			08/15/0	
CYFIT WELLNESS SOLUTIONS INC	NV			X				08/09/0	
DANZER CORP	NY	X			Х			07/31/0	1

NAME OF ISSUER	STATE CODE	1	2			7	9	DATE (	COMMENT
DEALER AUTO RECEIVABLES CO LLC	DE			2	X	Х		08/15/0	
DECORIZE INC	TX		X	.4	K	X		07/31/0	
DEERE & CO	DE					X		08/14/0	
DEERE JOHN CAPITAL CORP	DE				X	X		08/14/0	
DEERE JOHN CAPITAL CORP	DE				X	X		08/14/0	
DISCOVER BANK	DE				X	X		08/15/0	
DISCOVER CARD MASTER TRUST I	DE				X	X		08/15/0	
DT INDUSTRIES INC	DE			2	X			08/14/0	
DTE ENERGY CO	ΜI		Х			X		05/31/0	
EMS TECHNOLOGIES INC	GA	X						09/30/0	
EMS TECHNOLOGIES INC	GA			3	X			09/30/0	
ENSCO INTERNATIONAL INC	DE							08/15/0	
ENTERGY CORP /DE/	DE				X	X		08/15/0	
EQUISTAR CHEMICALS LP							Х	08/15/0	
EQUITY ONE ABS INC	DE				X	X		08/14/0	
ESTEE LAUDER COMPANIES INC	DE				X			08/16/0	
EUROPEAN MICRO HOLDINGS INC	ИV				X	X		08/15/0	
EUROTELECOM COMMUNICATIONS INC	DE				X	X		08/15/0	
EXCHANGE BANCSHARES INC	OH	X						06/30/0	
EXELON CORP	PA			_	_		Х	08/15/0	
EXOLON ESK CO	DE				X	Х		08/16/0	
EXPORT FUNDING CORP	DE			-	X	Х		08/10/0	
FARMER MAC MORTGAGE SECURITIES CORP	DE					Х		08/27/0	
FARMER MAC MORTGAGE SECURITIES CORP	DE					X		08/27/0	
FARMER MAC MORTGAGE SECURITIES CORP	DE					X		08/27/0	
FARMER MAC MORTGAGE SECURITIES CORP	DE				_	Х		08/27/0	
FASHIONMALL COM INC	DE				X	X		08/15/0	
FEDERATED DEPARTMENT STORES INC /DE /	DE 					X		08/04/0	
FEDERATED DEPARTMENT STORES INC /DE /	DE 					X		08/04/0	
FEDERATED DEPARTMENT STORES INC /DE /	DE					Х		08/04/0	
FIDELITY NATIONAL FINANCIAL INC /DE /	DE			-	X	Х		08/13/0	
FINGERHUT RECEIVABLES INC	DE					X		07/31/0	
FIRST ALLIANCE CORP /DE/	DE				X	X		08/13/0	
FIRST AVIATION SERVICES INC	DE				X			08/14/0	
FIRST CHICAGO MASTER TRUST II	DE				X	X		08/15/0	
FIRST DEARBORN INCOME PROPERTIES LP	DE	X						05/03/0	
FIRST MIDWEST BANCORP INC	DE					Х		08/16/0	
FIRST NBC CREDIT CARD MASTER TRUST						X		08/10/0	
FIRST SECURITY BANCORP INC /KY/	KY				X			06/30/0	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC				X	Х		08/16/0	
FIRST USA BANK NA AS SERV ON BEHALF PART CREDIT CARD MAS TR	DE				X	Х		08/15/0	
FIRST USA BANK NA AS SERVIC ON BEHA LF WACH CRED CARD MAS TR					X	Х		08/15/0	1
FIRST USA BANK NATIONAL ASSOCIATION					X	Х		08/15/0	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X		08/10/0	
FIRSTFED FINANCIAL CORP	DE					X	X	07/31/0	1

	STATE			81	ζ.	ITI	EM	NO.				
NAME OF ISSUER	CODE	1	2	3	4	5	6	7 8	9	DATE C	OMMENT	
FIRSTFED FINANCIAL CORP	DE					Х				08/16/01		
FLEET CREDIT CARD MASTER TRUST II	NY		Х							07/16/01		
FNANB CREDIT CARD MASTER TRUST						Χ		X		07/31/01		
FORD CREDIT FLOORPLAN LLC	DE					Χ		Χ		08/01/01		
FOX ENTERTAINMENT GROUP INC	DE		Х			Χ		Χ		07/26/01		
FRONTLINE CAPITAL GROUP	DE							Χ	X	08/14/01		
FULTON FINANCIAL CORP	PA					X				08/13/01		
FUTURELINK CORP	DE			Х						08/14/01		
F2 BROADCAST NETWORK INC	NV		Х							07/31/01		
G&L REALTY CORP	MD					Χ				08/14/01		
GENERAL MAGIC INC	DE					Χ		X		08/07/01		
GENEREX BIOTECHNOLOGY CORP	DE					Χ		Χ		08/07/01		
GENUS INC	CA					Χ		X		08/16/01		
GEO SPECIALTY CHEMICALS INC	OH		Х			Χ		Χ		05/31/01	AMEND	
GEORGIA PACIFIC CORP	GA					Χ		X		08/15/01		
GERBER SCIENTIFIC INC	CT					X				08/13/01		
GOLD BANC CORP INC	KS					Χ		X		08/14/01		
GREEN MOUNTAIN POWER CORP	VT	X								08/15/01		
GSE SYSTEMS INC	DE					Χ				08/15/01		
GYRODYNE COMPANY OF AMERICA INC	NY					Χ				08/06/01		
HANOVER DIRECT INC	DE								X	08/14/01		
HARRYS FARMERS MARKET INC	GA.					Χ		X		08/09/01		
HARVARD BIOSCIENCE INC	DE							X		05/31/01		
HEADWATERS INC	DE					Χ		X		08/27/99		
HEARME	DE					X		X		08/13/01		
HERITAGE PROPANE PARTNERS L P	DE		Х			X		Х		08/15/01		
HEWLETT PACKARD CO	DE					Χ		X		08/16/01		
HILTON HOTELS CORP	DE					X		X		08/14/01		
HNC SOFTWARE INC/DE	DE					X		X		08/14/01		
HOLLEY PERFORMANCE PRODUCTS INC					X					08/06/01		
HONDA AUTO LEASE TRUST 1999A	DE					X		X		07/31/01		
HONDA AUTO RECEIVABLES 1999-1 OWNER	CA					X		Х		07/31/01		
TRUST										/ /		
HONDA AUTO RECEIVABLES 2000-1 OWNER	CA					X		X		07/31/01		
TRUST	MD					х		х		08/16/01		
HUNTINGTON BANCSHARES INC/MD	DE					1		X		05/31/01		
HYPERDYNAMICS CORP	A1					Х		X		07/24/01		
IMAGIS TECHNOLOGIES INC	CA					21		X		07/30/01		
IMPAC SECURED ASSETS CORP	DE					Х		21		08/15/01		
INDUSTRIAL DISTRIBUTION GROUP INC	DE					X				08/15/01		
INKTOMI CORP	DE					X		Х		08/15/01		
INSILCO HOLDING CO	DE					X		X		08/15/01		
INSILCO TECHNOLOGIES INC	DE					X		X		08/15/01		
INTERMEDIA COMMUNICATIONS INC				v	X			X		07/31/01		
INTERNET COMMERCE & COMMUNICATIONS INC	DE			Λ	_^	•		Λ				
INVESTMENT TECHNOLOGY INC	NV				X			X		08/15/01		
IPI INC	MN		X							07/26/01		
IRVING CAPITAL CORP	DE	Х					Х	Х		07/30/01		
ISTAR FINANCIAL INC	MD	Х								06/30/01		
ITEX CORPORATION	NV		Х					X		03/01/01		
IVAX CORP /DE	${ t FL}$					X				08/14/01		

NAME OF ISSUER	STATE		2		TEM 5 6		9	DATE	CC	MMENT
JORE CORP	MT			:	X			07/31/0		
JP MORGAN CHASE COMMERCIAL MORTGAGE	DE				X	Χ		07/31/0	01	
SECURITIES CORP								( (		
JP MORGAN CHASE COMMERCIAL MORTGAGE	DΕ			,	X	X		07/31/	01	
SECURITIES CORP								/ /-		
KENILWORTH SYSTEMS CORP	NY				X			08/16/		
KERR MCGEE CORP /DE	DE				X	X		08/15/		
KFX INC	DE		X			X		07/31/		
KONOVER PROPERTY TRUST INC	MD						Х	08/14/		
KROGER CO	OH				X	X		08/16/		
LA-Z-BOY INC	MI				X	7.7		08/15/		
LANDSTAR SYSTEM INC	DE				X	X		08/10/		
LASER MORTGAGE MANAGEMENT INC	MD				X	X		07/31/08/09/0		
LASER VISION CENTERS INC	DE				X	X		08/09/		
LB UBS COMMERCIAL MORTGAGE TRUST 20 01 C3	DE				X	Х				
LECROY CORP	DE		X					08/15/		
LEGG MASON INC	MD		X			X		08/01/		
LIBERTY MEDIA CORP /DE/	DE				X	X		08/10/		
LICO STEEL INC	MO				X	X		08/16/		
LOTUS PACIFIC INC	DE				X			08/15/		
LUCENT TECHNOLOGIES INC	DE				X			08/16/		
MALLON RESOURCES CORP	CO	X			.,	7.7		08/13/		
MANPOWER INC /WI/	WI				X	X		08/13/		A MERKEN
MARINA CAPITAL INC	UT		77		37	X		06/30/ 07/18/		AMEND
MARKETING SERVICES GROUP INC	NV		X		X	X		08/16/		
MARVELL TECHNOLOGY GROUP LTD	D0				X X	X X		08/15/		
MASSACHUSETTS FINCORP INC	DE					Λ		07/31/		
MBNA CORP	MD				X X			08/16/		
MBT FINANCIAL CORP	MI				л Х	Х		08/14/		
MCSI INC	OH				X	Λ		09/30/		
MEDCARE TECHNOLOGIES INC	DE		Х		Λ		v	08/15/		
MICROSEMI CORP	DE DE		Λ		Х	Х	Λ	08/13/		
MIDWAY AIRLINES CORP	DE		Х		21	X		07/31/		
MONSTERDAATA COM INC MORGAN STANLEY DEAN WITTER CAPITAL	DE		X			X		08/16/		AMEND
I INC			21				v	08/14/		
MOTOR CLUB OF AMERICA	NJ				7.7	X	Λ	08/14/		
MULTILINK TECHNOLOGY CORP	CA		3.5		X	X X		07/31/		
MURDOCK COMMUNICATIONS CORP	IA		X		77			07/31/		
MURDOCK COMMUNICATIONS CORP	IA		37		X	X X		06/13/		V WENT
NAVIGANT INTERNATIONAL INC	DE		X		v	Λ		06/14/		AMEND
NAVISITE INC	DE				X X	v		08/21/		
NEOTHERAPEUTICS INC	DE		v		Λ	X X		07/23/		<b>VMEND</b>
NET2PHONE INC	DE		X		v	X		07/23/		MICHAE
NEW YORK COMMUNITY BANCORP INC	DE	v	X		X	Λ		08/15/		
NEWAGECITIES COM INC	ID	X			37	v		08/13/		
NORTEL NETWORKS CORP					X X	X X		08/13/		
NORTEL NETWORKS LTD	D.77					Λ		08/13/		
NOVEON INC	DE				X	v		08/14/		VMEND
NUVEEN JOHN COMPANY	DE				X X	X X		08/15/		MITTIND
NUWAY ENERGY INC	DE				Λ	Λ		00/01/	JТ	

<sup>16</sup> NEWS DIGEST, August 17, 2001

NAME OF ISSUER	STATE CODE			3 4 !		NO. 78	9	DATE	CO	MMENT
ODDY MADVET ING	DE				 X	. <b></b> Х		08/16/0	 1	
OPEN MARKET INC	MD				Λ. X	X		08/14/0		
PACIFIC GULF PROPERTIES INC	DE				X	21		08/14/0		
PANAMSAT CORP /NEW/	DE	хх			X	Х		07/31/0		
PANGAEA COMMUNICATIONS INC		ΛΛ		•	Λ.	X		06/01/0		AMEND
PARK PHARMACY CORP	CO				X	X		08/15/0		MILIND
PARK PLACE ENTERTAINMENT CORP	DE DA			•	Λ	Λ	v	08/15/0		
PECO ENERGY CO	PA							08/13/0		
PENN AMERICA GROUP INC	PA				X		Λ	08/14/0		
PENN AMERICA GROUP INC	PA				Λ		v	08/15/0		
PERCEPTRON INC/MI	MI	7.7				v	Λ	04/30/0		
PLANET EARTH RECYCLING INC	NV	Х			·	X X		08/10/0		
PNC MORT ACCEPT CORP COMMERC MORT P ASS THR CERT SER 1999 CM1	МО			•	X	Λ		08/10/0	1	
PNC MORT SEC CORP COM MORT PASS THR	MO				X	X		08/15/0	1	
CERT SER 2000-C1	110			•				00, 20, 1	_	
PNC MORTGAGE ACCEPTANCE CORP	MO				X	X		08/13/0	1	
POINT WEST CAPITAL CORP	DE				X			04/27/0		
POINT WEST CAPITAL CORP	DE				X			08/15/0		
	DE				X	Х		08/10/0		
POLAROID CORP	DE				X	21		08/16/0		AMEND
PRIMEDIA INC	OH				X			08/15/0		
PROCTER & GAMBLE CO	DE				X			08/13/0		
PROFESSIONAL DETAILING INC	NM				Λ		v	08/16/0		
PUBLIC SERVICE CO OF NEW MEXICO	NV				X	Х	Λ	02/11/0		AMEND
QUEPASA COM INC	NV		٠,	X	Λ.	X		08/06/0		Andre
QUEPASA COM INC	NV		4		X	X		08/05/0		
QUEPASA COM INC					Λ X	X		08/06/0		
RAVISENT TECHNOLOGIES INC	DE		,			Λ		08/02/0		
RENCO METALS INC	DE		4		X X	v		08/02/0		
REPUBLIC SERVICES INC	DE					X		08/09/0		
REVOLVING HOME EQUITY LOAN ASSET BA CKED NOTES SERIES 2001-A	DE			•	X	X		00/15/0	΄ Τ	
RHINO ENTERPRISES GROUP INC	NV	X						08/14/0	1	
RHYTHMS NET CONNECTIONS INC	DE				X	X		08/15/0	1	
ROBBINS & MYERS INC	OH				X			08/13/0	1	
ROHN INDUSTRIES INC	DE				X	X		08/13/0	1	
RYLAND GROUP INC	MD				X	X		08/13/0	1	
SAFETY KLEEN CORP/	DE				X			08/14/0	1	
SAKS CREDIT CARD MASTER TRUST	NV				X	X		08/15/0	1	
SALOMON BROTHERS MORTGAGE SECURITIE	DE	X	7			X		07/31/0	1	
S VII INC SCOVILL FASTENERS INC	DE	х				Х		08/03/0	1	
SCOVILL HOLDINGS INC	DE	Х				X		08/03/0		
SEARS CREDIT ACCOUNT MASTER TRUST I	IL				X	X		08/15/0		
I										
SEARS ROEBUCK ACCEPTANCE CORP	DE				X	X		08/08/0		
SHIRE PHARMACEUTICALS GROUP PLC					X	X		08/15/0		
SILICON VALLEY BANCSHARES	DE				X	X		08/16/0		
SIX FLAGS INC	DE				X			08/13/0		
SNAP ON INC	DE				X	X		08/04/0		
SOFTECH INC	MA			X				08/09/0		
SONICBLUE INC	DE	Σ	7			X		08/01/0		
SOURCE INFORMATION MANAGEMENT CO	MI					X		05/31/0	1	AMEND

· ·	STATE	1 2	8K IT			ידינ
NAME OF ISSUER						
STAGE STORES INC	DE		X X		08/08/01	
STAR TELECOMMUNICATIONS INC	DE		Х		08/13/01	
STEWART ENTERPRISES INC	LA		Х		08/15/01	
STOKELY VAN CAMP INC	IN	X			08/14/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		Х	Х	07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		X	Х	07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		Х	Х	07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		Х	X	07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		Х	Х	07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN	DE		Х	X	07/25/01	
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE		X	Х	07/25/01	
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE		Х	Х	07/25/01	
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE		Х	Х	07/25/01	
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE		Х	Х	07/25/01	
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE		Х	Х	07/25/01	
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN			Х		07/25/01	
TS INC			x		07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC						
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC			Х		07/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE			Х	07/31/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		X	Х	08/02/01	
STRUCTURED ASSET SECURITIES CORP	DE		Х	Х	08/13/01	
STRUCTURED PRODUCTS CORP	DE			X	08/01/01	
STRUCTURED PRODUCTS CORP	DE			X	08/01/01	
STRUCTURED PRODUCTS CORP	DE			X	08/01/01	
STRUCTURED PRODUCTS CORP	DE			Х	08/01/01	
STRUCTURED PRODUCTS CORP	DE			Х	08/01/01	
STRUCTURED PRODUCTS CORP	DE			Х	08/01/01	
STRUCTURED PRODUCTS CORP	DE			Х	08/01/01	
SYNAVANT INC	DE		Х	Х	08/16/01	
TECHSYS INC	NJ	Х		Х	08/02/01	
THCG INC	DE	X		X	08/16/01	÷
THINKA WEIGHT LOSS CORP	NV		Х		X 08/06/01	
TIDEWATER INC	DE				X 08/16/01	
TIFFANY & CO	DE		Х		08/16/01	
TIME WARNER TELECOM INC	DĒ			х	X 08/14/01	
TOWER BANCORP INC	PA	Х			08/15/01	

	STATE		. 8	3K	ITI	EΜ	NO.			
NAME OF ISSUER	CODE	1 2	2 3	3 4	5	6	7 8	3 9	DATE C	OMMENT
							·			
TRANSMONTAIGNE INC	DE		ζ.				X		07/31/01	
TRAVEL DYNAMICS INC	NV	Σ	ζ.			Х	X		08/03/01	
TRAVELBYUS INC	TX			X					08/15/01	
TRINITY INDUSTRIES INC	DE				X		X		08/13/01	
TROY GROUP INC	DE	Σ	7				X		05/31/01	
TURBODYNE TECHNOLGIES INC	DE			X			X		08/16/01	
TURBOSONIC TECHNOLOGIES INC	DE				X				08/06/01	
TYCO INTERNATIONAL LTD /BER/	D0				X		X		08/16/01	
TYSON FOODS INC	DE	Σ	ζ.				X		08/04/01	
ULTRADATA SYSTEMS INC	DE				Χ				08/13/01	
UNICAPITAL CORP	DE				X		X		08/14/01	
UNITED STATIONERS INC	DE				Χ		X		08/14/01	
UNITED STATIONERS SUPPLY CO	IL				Χ		X		08/14/01	
UNITED THERAPEUTICS CORP	DE				X		X		08/09/01	
US AIRWAYS GROUP INC	DE				Х		X		08/15/01	
US AIRWAYS INC	DE				Х		Х		08/15/01	
USX CORP	DE						Х	X	08/14/01	
VANDERBILT ABS CORP	DE				X		Х		08/13/01	
VANDERBILT ABS CORP	DE				Х		X		08/14/01	
VANDERBILT MORTGAGE & FINANCE INC	TN				X		X		08/14/01	
VELOCITYHSI INC	DE		2	ζ	Х		X		08/14/01	
VENDINGDATA CORP	NV				Х				08/13/01	
VIKING EXPLORATION INC	NV	X							06/30/01	
VINTENDO CORP/WA	NV	X							07/25/01	AMEND
VITECH AMERICA INC	FL				X				08/15/01	
VOICE MOBILITY INTERNATIONAL INC	NV				Х				08/15/01	
WASHINGTON MUTUAL MOR SEC CORP MOR	DE						X		07/30/01	
PASS THRU CERT SER 2001-8										
WHISTLER INC	DE				Х		Х		08/13/01	
WILEY JOHN & SONS INC	NY				Х				08/12/01	
WINCO PETROLEUM CORP	CO	ΧУ	ζ	Х	Х		Х	X	07/31/01	
WINTRUST FINANCIAL CORP	${ t IL}$				Х		Х		08/15/01	
WIT SOUNDVIEW GROUP INC	DE				Х				08/01/01	
WSN GROUP INC	NV			Х					08/08/01	
WSN GROUP INC	NV			X					08/08/01	AMEND
XIN NET CORP	FL				Х		Х		08/17/01	
YALE INDUSTRIAL PRODUCTS INC	MO				Х		Х		08/16/01	
YAMAHA MOTOR RECEIVABLES CORP	DE				X				08/15/01	
YOUR BANK ONLINE COM INC	CO	3	ζ						08/15/01	
5 G WIRELESS COMMUNICATIONS INC	NV	4	-		х				03/31/01	
5 G WIRELESS COMMUNICATIONS INC	IN A				Λ				03/31/01	