SEC NEWS DIGEST

Issue 2001-235

December 7, 2001

ENFORCEMENT PROCEEDINGS

MOTION FOR SUMMARY AFFIRMANCE DENIED

The Commission has denied a motion of the Division of Enforcement for summary affirmance of the initial decision of an administrative law judge in Christopher A. Lowry, Initial Decision Release No. 190. The law judge found, among other things, that Lowry, the president of Lowry Investors Services, Inc., a registered investment adviser, violated antifraud provisions of the securities laws in connection with his misappropriation of \$156,500 from a corporation he controlled. The law judge barred Lowry from association with an investment adviser, and Lowry has sought Commission review of that decision.

In denying the Division's motion, the Commission stated that it already had determined to grant review and established a briefing schedule for Lowry's appeal, and it wished to have the benefit of the parties' views on, among other matters, the appropriate sanctions in the public interest. (Rel. 34-45131)

SEC HALTS ALLEGED FRAUDULENT SECURITIES OFFERING BY WORLD CLASS LIMOUSINES, INC AND 1-800-GET-LIMO, INC OPERATING IN POMPANO BEACH, FLORIDA

The Commission announced that on Decmber 5 it filed an emergency federal civil action against World Class Limousines, Inc. (WCL), 1-800-GET-LIMO, Inc. (GET-LIMO) and Anthony P. Caliendo, Jr. (Caliendo), WCL and GET-LIMO's founder, president, chief executive officer and chairman (collectively, defendants). WCL and GET-LIMO are companies providing limousine services and purportedly developing a nationwide Internet limousine reservation network are headquartered in Pompano Beach, Florida. On the same day, the Honorable Adalberto Jordan, United States District Judge for the Southern District of Florida entered, among other things, a temporary restraining order and an asset freeze to halt the alleged on-going unregistered offering of securities by WCL, GET-LIMO and Caliendo. The Court also entered an order appointing a Receiver over WCL and GET-LIMO.

According to the SEC's complaint, WCL, GET-LIMO and Caliendo have been offering securities to investors both across the country and internationally. The complaint alleges that WCL and GET-LIMO have raised approximately \$1.9 million dollars from at least \$9 investors to develop its limousine businesses but that 32% of these funds were actually used

for risky stock trading by Caliendo. Moreover, the complaint alleges that Caliendo has misappropriated at least \$55,350 in investor funds for his own personal use. In addition, the complaint alleges that, unbeknownst to investors, WCL and GET-LIMO paid its sales representatives commissions totaling at least \$160,000. The SEC further alleged that WCL and GET-LIMO, among other things, misled the public about the security and safety of the investment, the rate of return on the investment, the time frame in which it expected to engage in an initial public offering and about the existence of a purported joint venture which was critical to their Internet strategy.

As a result, the Commission charges WCL, GET-LIMO and Caliendo with violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The SEC is also seeking in its lawsuit preliminary and permanent injunctions, disgorgement of ill-gotten profits and a civil money penalty against Caliendo. [SEC v. World Class Limousines, Inc., et al., Case No. 01-7834-CIV-JORDAN, S.D. Fla.] (LR-17261)

SEC SETTLES CASE AGAINST FORMER CEO OF DIGITAL LIGHTWAVE, INC.

The Commission announced that the United States District Court for the Middle District of Florida issued an order enjoining Bryan J. Zwan, founder and former Chief Executive Officer of Tampa, Florida based Digital Lightwave, Inc. (Digital), from violating or aiding and abetting violations of certain of the federal securities laws. Among other things, the order enjoins Zwan from violating the provision of the securities laws prohibiting making materially false statements to auditors, and from aiding or abetting books and records violations by public companies. Zwan consented to the entry of the injunction without admitting or denying the allegations of the Commission's complaint. In conjunction with the entry of the injunction, the Court ordered Zwan to pay a \$10,000 civil money penalty.

The Commission's settlement with Zwan was the last outstanding action of several matters related to a fraudulent earnings management scheme, books and records violations, and other violations, that the Commission's complaint alleged had taken place at Digital during two quarters in 1997. Without admitting or denying the Commission's allegations, Digital previously consented to an injunction against violating the antifraud, books and records, and other provisions of the federal securities laws. Other individuals who are or had been officers of Digital previously settled administrative proceedings brought by the Commission, without admitting or denying the Commission's findings.

On October 23, 2001, the United States District Court entered a Final Judgment of Permanent Injunction and other Relief as to Bryan J. Zwan (Final Judgment). The Final Judgment enjoins Zwan from aiding and abetting violations of Sections 13(a), 13(b)(2)(A), and 13(b)(2)(B) of the Exchange Act and Rules 12b-20 and 13a-13 thereunder, and from violating Rule 13b2-2 promulgated under the Exchange Act. The Final Judgment also orders Zwan to pay a \$10,000 civil money penalty. [SEC v. Digital

Lightwave, Inc. and Bryan J. Zwan, Civil Action No. 8:00-CV-614-T-26F, M.D. Florida] (LR-17262; AAE Rel. 1477)

SUMMARY JUDGMENT ENTERED AGAINST WILLIAM BRANSTON, PRESIDENT OF INVESTMENT ADVISER TANDEM MANAGEMENT, INC.

The Commission announced that, on November 13, 2001, the Honorable John G. Koeltl, United States District Judge for the Southern District of New York, entered summary judgment against William F. Branston (Branston) of Tandem Management Inc. (Tandem) in a civil injunctive action filed by the Commission on October 2, 1995 against Tandem, and its principals, Branston, Eugene B. Deveney (Deveney), and Peter S. Alsop (Alsop). At the time the Commission filed its complaint, Tandem was a registered investment adviser and Branston was Tandem's President and Chief Investment Officer. The Commission alleged that Branston misappropriated over \$1 million in client assets, principally in the form of "soft dollar" credits and commission rebates; distributed false information concerning Branston's past performance returns and Tandem's performance returns and assets under management to clients, investors in a limited partnership fund advised by Tandem, and a national money management ranking publication; filed false Forms ADV; and failed to keep required books and records. The judgment permanently enjoined Branston from violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, Sections 204, 206(1), 206(2), 206(4), and 207 of the Investment Advisers Act of 1940, and Rules 204-2, 206(4)-1, and 206(4)-4 thereunder. On September 24, 1999, Branston was criminally convicted of a 16 count indictment based upon the same facts in the Commission's civil injunctive action and is currently serving a term of 37 months imprisonment. The Court previously entered a final judgment on consent against defendant Alsop on August 18, 1997 and a default judgment against defendant Tandem on May 11, 2001. This case, which had been suspended without prejudice due to Branston's criminal proceedings, was restored to the active docket in January 2001 and is pending against remaining defendant Deveney. For further informatioin see LR-14670, LR-15447 and LR-17006. [SEC v. Tandem Management Inc., et al., Civil Action No. 95-CV-8411, SDNY] (LR-17263)

INVESTMENT COMPANY ACT RELEASES

JACKSON NATIONAL LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until December 27 to request a hearing on an application filed by Jackson National Life Insurance Company (Jackson National), Jackson National Separate Account - I, Jackson National Life Insurance Company of New York (JNL New York), JNLNY Separate Account I, and Jackson National Life Distributors, Inc. (collectively, Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from Sections 2(a)(32) and

27(i)(2)(A) of the Act and Rule 22c-1 thereunder to permit the recapture of certain contract enhancements applied to purchase payments made under certain variable annuity contracts issued by Jackson National and JNL New York. (Rel. IC-25310 – December 5)

HOLDING COMPANY ACT RELEASES

HOLYOKE WATER POWER COMPANY

An order has been issued authorizing Holyoke Water Power Company (HWP), an electric utility company subsidiary of Northeast Utilities, a registered public utility holding company, and Holyoke Power and Electric Company, a wholly owned subsidiary of HWP, to sell certain generation, distribution, and related assets as part of a litigation settlement agreement with the City of Holyoke Gas and Electric Department. (Rel. 35-27472)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-ISE-2001-31) filed by the <u>International Securities Exchange</u> on November 16, 2001, and amended on November 28, 2001, to establish a ceiling of \$750,000 for each of ten payment-for-order-flow funds that the ISE maintains, has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication is expected in the <u>Federal Register</u> during the week of December 10. (Rel. 34-45128)

PARTIAL ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial accelerated approval to a proposed rule change (SR-Phlx-99-41) and Amendments Nos. 1, 2, and 3 thereto submitted by the <u>Philadelphia Stock Exchange</u> relating to the trading of Trust Shares. Publication of the proposal is expected in the Federal Register during the week of December 10. (Rel. 34-45129)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule submitted by the <u>American Stock Exchange</u> (SR-Amex-2001-17) increasing the number of seats on the Exchange and creating two-year trading permits. (Rel. 34-45130)

The Commission approved a proposed rule change (SR-NASD-2001-34) filed by the National Association of Securities Dealers amending the Nasdaq Restated Certificate of Incorporation to afford holders of 4.0% Convertible Subordinated Notes due 2006 the right to vote with Nasdaq stockholders. (Rel. 34-45134)

PROPOSED RULE CHANGES

A proposed rule change (SR-Phlx-2001-107) has been filed by the <u>Philadelphia Stock</u> <u>Exchange</u> seeking to extend the pilot program which established an interim linkage plan. Publication of the notice in the <u>Federal Register</u> is expected during the week of December 10. (Rel. 34-45132)

The New York Stock Exchange has filed a proposed rule change (SR-NYSE-2001-43) related to changes to Paragraph (l) of the Guidelines to Exchange Rule 105 to permit an approved person of a specialist to act as a specialist with respect to an option on a specialty stock so long as NYSE Rule 98 information barriers are established and approved. Publication of the proposal is expected in the Federal Register during the week of December 10. (Rel. 34-45136)

IMMEDIATE EFFECTIVENESS OF PROPOSED AMENDMENT

An amendment to the National Market System Plan for Reporting of Consolidated Options Last Sale Reports and Quotation Information (Plan), (SR-OPRA-2001-04) has been filed by the Options Price Reporting Authority to make technical corrections to Section V(c) of the Plan. Publication of the proposal is expected in the Federal Register during the week of December 10. (Rel. 34-45133)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 UAL CORP /DE/, 1200 ALGONQUIN ROAD, ELK GROVE TOWNSHIP, IL 60007 (847) 700-4000 2,200,000 (\$36,190,000) COMMON STOCK. (FILE 333-74206 NOV. 30) (BR. 5)
- S-8 UAL CORP /DE/, 1200 ALGONQUIN ROAD, ELK GROVE TOWNSHIP, IL 60007 (847) 700-4000 40,000 (\$658,000) COMMON STOCK. (FILE 333-74208 NOV. 30) (BR. 5)
- S-8 TASTY FRIES INC, 650 SENTRY PKWY STE ONE, BLUE BELL, PA 19422 (610) 941-2109 1,559,091 (\$171,500) COMMON STOCK. (FILE 333-74210 NOV. 30) (BR. 4)
- S-8 PENN VIRGINIA RESOURCE PARTNERS L P, ONE RADNOR CORP CTR, 100 MATSONFORD RD STE 200, RADNOR, PA 19087 (610) 687-8900 - 300,000 (\$6,894,000) COMMON STOCK. (FILE 333-74212 - NOV. 29) (BR. 4)
- S-8 APA OPTICS INC /MN/, 2950 NE 84TH LANE, BLAINE, MN 55434 (612) 784-4995
 250,000 (\$712,500) COMMON STOCK. (FILE 333-74214 NOV. 30) (BR. 5)
 - S-8 TENET HEALTHCARE CORP, 3820 STATE STREET, SANTA BARBARA, CA 93105 (805) 563-7000 40,000,000 (\$2,362,000,000) COMMON STOCK. (FILE 333-74216 NOV. 30) (BR. 1)
 - S-8 CITIZENS FIRST BANCORP INC, 525 WATER ST, PORT HURON, MI 48060 (810) 987-8300 128,085 (\$2,023,749) COMMON STOCK. (FILE 333-74218 NOV. 30) (BR. 7)
- S-8 ABBOTT LABORATORIES, 100 ABBOTT PARK ROAD, D-322 AP6D, ABBOTT PARK, IL
 60064 (847) 937-6100 20,000,000 (\$20,000,000) COMMON STOCK. (FILE 333-74220 NOV. 30) (BR. 1)
- S-8 ABBOTT LABORATORIES, 100 ABBOTT PARK ROAD, D-322 AP6D, ABBOTT PARK, IL
 60064 (847) 937-6100 75,000 (\$4,003,500) COMMON STOCK. (FILE 333-74222 NOV. 30) (BR. 1)
- S-8 ABBOTT LABORATORIES, 100 ABBOTT PARK ROAD, D-322 AP6D, ABBOTT PARK, IL
 60064 (847) 937-6100 11,163,831 (\$600,390,831) COMMON STOCK. (FILE 333-74224 NOV. 30) (BR. 1)
- S-8 JDS UNIPHASE CORP /CA/, 210 BAYPOINTE PKWY, SAN JOSE, CA 95134 (408) 434-1800 80,755,782 (\$854,396,174) COMMON STOCK. (FILE 333-74226 NOV. 30) (BR. 5)
- S-8 ABBOTT LABORATORIES, 100 ABBOTT PARK ROAD, D-322 AP6D, ABBOTT PARK, IL
 60064 (847) 937-6100 23,189,012 (\$1,247,105,065) COMMON STOCK. (FILE 333-74228 NOV. 30) (BR. 1)
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- S-8 RF MICRO DEVICES INC, 7628 THORNDIKE ROAD, GREENSBORO, NC 27409 (336) 678-5555 52,119 (\$604,385) COMMON STOCK. (FILE 333-74230 NOV. 30) (BR. 5)
- S-8 IMATRON INC, 389 OYSTER POINT BLVD, S SAN FRANCISCO, CA 94080 (415) 583-9964 6,000,000 (\$11,100,000) COMMON STOCK. (FILE 333-74232 NOV. 30) (BR. 5)
- S-8 DALEEN TECHNOLOGIES INC, 1750 CLINT MOORE RD, BOCA RATON, FL 33487 (561) 999-8000 2,165,784 (\$1,082,892) COMMON STOCK. (FILE 333-74234 NOV. 30) (BR. 3)
- S-8 KANAKARIS WIRELESS, 65 ENTERPRISE, SUITE 365, ALISO VIEJO, CA 92626-32,727,182 (\$425,453) COMMON STOCK. (FILE 333-74238 - NOV. 30) (BR. 3)
- S-8 EDISON INTERNATIONAL, 2244 WALNUT GROVE AVE, STE 374, P O BOX 800, ROSEMEAD, CA 91770 (626) 302-2222 20,000,000 (\$291,400,000) COMMON STOCK.

 (FILE 333-74240 NOV. 30) (BR. 2)
 - S-8 ACUITY BRANDS INC, 1420 PEACHTREE ST N.E., ATLANTA, GA 30309 (404) 859-1000 1,625,000 (\$19,922,250) COMMON STOCK. (FILE 333-74242 NOV. 30) (BR. 2)
- S-8 BURLINGTON COAT FACTORY WAREHOUSE CORP, 1830 RTE 130, BURLINGTON, NJ 08016 (609) 387-7800 100,000 (\$1,607,000) COMMON STOCK. (FILE 333-74244 NOV. 30) (BR. 2)
- S-8 ACUITY BRANDS INC, 1420 PEACHTREE ST N.E., ATLANTA, GA 30309 (404) 859-1000 9,900,000 (\$121,374,000) COMMON STOCK. (FILE 333-74246 NOV. 30) (BR. 2)
- S-8 EYE DYNAMICS INC, 2301 W 205TH ST, TORRANCE, CA 90501 (310) 328-0477

 1,300,000 (\$182,000) COMMON STOCK. (FILE 333-74248 NOV. 30) (BR. 5)
 - S-8 APPLERA CORP, 301 MERRITT 7, NORWALK, CT 06851 (203) 840-2000 10,000,000 (\$332,500,000) COMMON STOCK. (FILE 333-74252 NOV. 30) (BR. 5)
- S-8 APPLERA CORP, 301 MERRITT 7, NORWALK, CT 06851 (203) 840-2000 3,000,000 (\$83,025,000) COMMON STOCK. (FILE 333-74254 NOV. 30) (BR. 5)
 - S-8 VERSO TECHNOLOGIES INC, 400 GALLERIA PARKWAY, SUITE 300, ATLANTA, GA 30326 (770) 612-3500 5,420,206 (\$4,309,064) COMMON STOCK. (FILE 333-74258 NOV. 30) (BR. 3)

- S-8 PRINTRONIX INC, 14600 MYFORD ROAD, P O BOX 19559, IRVINE, CA 92606 (714) 368-2300 500,000 (\$4,700,000) COMMON STOCK. (FILE 333-74260 NOV. 30) (BR. 3)
- SB-2 ISECURETRAC CORP, 3345 N 107 ST, OMAHA, NE 68154 (402) 498-2734 17,377,456 (\$17,377,456) COMMON STOCK. (FILE 333-74262 NOV. 30) (BR. 4)
 - S-8 VERSO TECHNOLOGIES INC, 400 GALLERIA PARKWAY, SUITE 300, ATLANTA, GA 30326 (770) 612-3500 5,000,000 (\$3,975,000) COMMON STOCK. (FILE 333-74264 NOV. 30) (BR. 3)
- S-4 ZOOM TELEPHONICS INC, 207 SOUTH ST, BOSTON, MA 02111 (617) 423-1072 10,556,812 (\$13,090,447) COMMON STOCK. (FILE 333-74266 NOV. 30) (BR. 7)
 - S-8 BROWN TOM INC /DE, 555 SEVENTEENTH ST SUITE 1850, DENVER, CO 80202 (915) 682-9715 100,000 (\$2,321,000) COMMON STOCK. (FILE 333-74268 NOV. 30) (BR. 4)
- S-8 PUBLICIS GROUPE SA, 133 AVENUE DES CHAMPS ELYSEES, PARIS, FRANCE 75008,

 10 00000 (011) 331-4443 251,432 (\$1,670,135) FOREIGN COMMON STOCK.

 (FILE 333-74270 NOV. 30) (BR. 2)
 - S-8 ROLLTECH INC, 938 HOWE STREET SUITE 811, 2ND FLOOR VIVA TOWER, VANCOUVER B.C. V6Z2P, A1 1,000,000 (\$325,000) COMMON STOCK. (FILE 333-74272 NOV. 30) (BR. 9)
- SB-2 NORTHWEST ETHANOL LLC, PO BOX 4505, SHERWOOD, OH 43556 (888) 437-8233 \$22,000,000 LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-74274 NOV. 30)

 (NEW ISSUE)
 - S-3 VIASAT INC, 6155 EL CAMINO REAL, CARLSBAD, CA 92009 (760) -47-6-22 538,217 (\$7,104,464) COMMON STOCK. (FILE 333-74276 NOV. 30) (BR. 7)
- S-8 MAGMA DESIGN AUTOMATION INC, 2 RESULTS WAY, CUPERTINO, CA 95014 (408) 864-2000 7,127,655 (\$130,863,747) COMMON STOCK. (FILE 333-74278 NOV. 30) (BR. 3)
- S-3 COVENTRY HEALTH CARE INC, 6705 ROCKLEDGE DR STE 900, BETHESDA, MD 20817

 (301) 581-0600 8,050,000 (\$164,542,000) COMMON STOCK. (FILE 333-74280 NOV. 30) (BR. 1)
- S-8 AMERICAN FINANCIAL GROUP INC, ONE EAST FOURTH STREET, SUITE 919, CINCINNATI, OH 45202 (513) 579-2121 250,000 (\$6,050,000) COMMON STOCK.

- S-8 AMERICAN DIVERSIFIED GROUP INC, 110 NORTH CENTER ST SUITE 202, SUITE 202, HICKORY, NC 28601 (828) 322-0204 16,000,000 (\$320,000) COMMON STOCK. (FILE 333-74284 NOV. 30) (BR. 5)
- S-3 TCTM L P, 2929 ALLARE PARKWAY, P O BOX 2521, HOUSTON, TX 77252 (713) 759-5939 \$450,000,000 STRAIGHT BONDS. (FILE 333-74286-03 NOV. 30)
- S-2 AMERICAN MORTGAGE ACCEPTANCE CO, 625 MADISON AVE, NEW YORK, NY 10022 (212) 421-5333 4,025,000 (\$56,350,000) COMMON STOCK. (FILE 333-74288 NOV. 30) (BR. 8)
- S-8 WILLBROS GROUP INC, DRESDNER BANK BUILDING,
 50TH STREET 8TH FLOOR PO BOX 850048, PANAMA 5 REPUBLIC OF PANAMA, R1
 00000
 (507) 263-9282 1,000,000 (\$14,900,000) COMMON STOCK. (FILE 333-74290
 NOV. 30) (BR. 4)
 - S-8 SPEIZMAN INDUSTRIES INC, 508 W. 5TH STREET, CHARLOTTE, NC 28231 (704) 372-3751 155,000 (\$110,812.50) COMMON STOCK. (FILE 333-74292 NOV. 30) (BR. 6)
- S-8 BRUSH ENGINEERED MATERIALS INC, 17876 ST. CLAIR AVENUE, CLEVELAND, OH
 44110 (216) 486-4200 60,000 (\$644,400) COMMON STOCK. (FILE 333-74296
 NOV. 30) (BR. 6)
- S-3 AMLI RESIDENTIAL PROPERTIES TRUST, 125 S WACKER DR, STE 3100, CHICAGO,
 IL 60606 (312) 443-1477 800,000 (\$20,000,000) COMMON STOCK. (FILE 333-74300 NOV. 30) (BR. 8)
- S-4 SALIX PHARMACEUTICALS LTD, 8540 COLONNADE CENTER DR, SUITE 501, RALEIGH,

 NC 27615 (919) 862-1000 16,750,000 (\$283,075,000) COMMON STOCK.

 (FILE 333-74302 NOV. 30) (BR. 1)
 - S-8 TRIMERIS INC, 3518 WESTGATE DRIVE, SUITE 300, DURHAM, NC 27707 (919) 419-6050 750,000 (\$24,697,500) COMMON STOCK. (FILE 333-74304 NOV. 30) (BR. 1)
 - S-4 SMITHFIELD FOODS INC, 200 COMMERCE STREET, 999 WATERSIDE DRIVE, SMITHFIELD, VA 23430 (757) 365-3000 300,000,000 (\$300,000,000) COMMON STOCK. (FILE 333-74306 NOV. 30) (BR. 4)
- S-3 WELLS FARGO ASSET SECURITIES CORP, 7485 NEW HORIZON WAY, FREDERICK, MD
 21703 (301) 846-8881 1,000,000 (\$1,000,000)
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- PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-74308 NOV. 30) (BR. 8)
- S-8 CONSYGEN INC, 125 SOUTH 52ND STREET, SUITE 140, TEMPE, AZ 85281 (602) 394-9100 3,800,000 (\$11,400) COMMON STOCK. (FILE 333-74310 NOV. 30) (BR. 3)
- S-8 BERKSHIRE HATHAWAY INC, 1440 KIEWIT PLZ, OMAHA, NE 68131 (402) 346-1400 400 (\$892,000) COMMON STOCK. (FILE 333-74312 NOV. 30) (BR. 1)
- S-8 BAM ENTERTAINMENT INC, 333 WEST SANTA CLARA BLVD STE 930, SAN JOSE, CA
 95113 (408) 298-7500 1,527,500 (\$11,883,950) COMMON STOCK. (FILE 333-74318 NOV. 30) (BR. 3)
 - S-8 ACT TELECONFERENCING INC, 1658 COLE BLVD, STE 130, GOLDEN, CO 80401 (303) 235-9000 400,000 (\$4,020,000) COMMON STOCK. (FILE 333-74320 NOV. 30) (BR. 7)
- S-8 BAM ENTERTAINMENT INC, 333 WEST SANTA CLARA BLVD STE 930, SAN JOSE, CA
 95113 (408) 298-7500 705,000 (\$5,484,900) COMMON STOCK. (FILE 333-74322
 NOV. 30) (BR. 3)
- S-3 NVIDIA CORP/CA, 2701 SAN TOMAS EXPRESSWAY, SANTA CLARA, CA 95051 (408) 615-2500 20,000,000 (\$986,000,000) COMMON STOCK. (FILE 333-74326 NOV. 30) (BR. 5)
- S-8 CLEAR CHANNEL COMMUNICATIONS INC, 200 E BASSE RD, SAN ANTONIO, TX 78209

 (210) 822-2828 30,000,000 (\$1,377,000,000) COMMON STOCK. (FILE 333-74330 NOV. 30) (BR. 2)
- S-8 CLEAR CHANNEL COMMUNICATIONS INC, 200 E BASSE RD, SAN ANTONIO, TX 78209

 (210) 822-2828 75,000,000 (\$75,000,000)

 OTHER SECURITIES INCLUDING VOTING TRUST. 15,000,000 (\$688,500,000)

 COMMON STOCK. (FILE 333-74332 NOV. 30) (BR. 2)
 - S-1 INTRA ASIA ENTERTAINMENT CORP, 725 S FIGUEROA STREET, SUITE 1650, LOS ANGELES, CA 90017 (213) 622-8558 \$14,224,000 COMMON STOCK. (FILE 333-74334 NOV. 30) (NEW ISSUE)
 - S-8 LOGICVISION INC, 101 METRO DRIVE 3RD FL, SAN JOSE, CA 95110 (408) 453-0146 3,760,718 (\$34,955,875) COMMON STOCK. (FILE 333-74336 NOV. 30) (BR. 3)
 - S-3 DTE ENERGY TRUST I, 2000 2ND AVE, DETROIT, MI 48226 (313) 235-4000 650,000,000 (\$650,000,000) COMMON STOCK. (FILE 333-74338-02 NOV. 30) (NEW ISSUE)
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- S-8 HILB ROGAL & HAMILTON CO /VA/, THE HILB, ROGAL AND HAMILTON BUILDING,
 - 4951 LAKE BROOK DRIVE, SUITE 500, GLEN ALLEN, VA 23060 (804) 747-6500 5,000,000 (\$5,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-74340 NOV. 30) (BR. 1)
 - S-4 UNIVERSAL COMPRESSION INC, 4440 BRITTMOORE RD, HOUSTON, TX 77041 (713) 466-4103 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-74342-03 NOV. 30) (BR. 6)
- S-8 HILB ROGAL & HAMILTON CO /VA/, THE HILB, ROGAL AND HAMILTON BUILDING.
 - 4951 LAKE BROOK DRIVE, SUITE 500, GLEN ALLEN, VA 23060 (804) 747-6500 5,000,000 (\$5,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-74344 NOV. 30) (BR. 1)
- S-8 CREDENCE SYSTEMS CORP, 215 FOURIER AVE, FREMONT, CA 94539 (510) 657-7400
 2,618,424 (\$43,752,801.85) COMMON STOCK. (FILE 333-74346 NOV. 30) (BR. 5)
- S-8 CETALON CORP, 1801 AVENUE OF THE STARS, STE 1830, LOS ANGLES, CA 90067

 (310) 777-8889 1,550,000 (\$1,317,500) COMMON STOCK. (FILE 333-74348 NOV. 30) (BR. 9)
- S-4 MOTOROLA INC, 1303 E ALGONQUIN RD, SCHAUMBURG, IL 60196 (847) 576-5000
 600,000,000 (\$6,000,000,000) STRAIGHT BONDS. (FILE 333-74350 NOV. 30)
 (BR. 7)
- SB-2 AMERICAN AMMUNITION INC /CA, 3545 NW 71ST STREET, NONE, MIAMI, FL 33147
 (305) 835-7400 7,000,000 (\$7,000,000) CONVERTIBLE DEBENTURES AND NOTES.
 (FILE 333-74352 NOV. 30) (BR. 5)
- S-8 NET2PHONE INC, 520 BROAD STREET, NEWARK, NJ 07102 (973) 412-2800 2,000,000 (\$11,220,000) COMMON STOCK. (FILE 333-74354 DEC. 03) (BR. 7)
- S-8 DIVINE INC, 1301 N ELSTON AVENUE, CHICAGO, IL 60622 (773) 394-6600 8,333,328 (\$55,219,599) COMMON STOCK. (FILE 333-74356 NOV. 30) (BR. 8)
- S-8 INTERNET GOLF ASSOCIATION INC, 24921 DANA POINT HARBOR DR, SUITE B-200,
 DANA POINT, CA 92629 (949) 443-2350 150,000 (\$45,750) COMMON STOCK.
 (FILE 333-74358 DEC. 03) (BR. 8)
 - S-4 NORTHWEST ENERGY CORP, ONE PACIFIC SQUARE, 220 N.W. SECOND AVE.,

PORTLAND, OR 97209 (503) 226-4211 - 26,000,000 (\$620,620,000) COMMON STOCK.

(FILE 333-74360 - DEC. 03) (NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant. Item 2. Acquisition or Disposition of Assets.

Item 2. Acquisition of Disposition of Assets.

Item 3. Bankruptcy or Receivership.

Item 4. Changes in Registrant's Certifying Accountant.

Item 5. Other Materially Important Events.

Item 6. Resignations of Registrant's Directors.

Item 7. Financial Statements and Exhibits.

Item 8. Change in Fiscal Year.

Item 9. Regulation FD Disclosure.

	STATE		8K IT	EM I	NO.			
NAME OF ISSUER	CODE	1 2	3 4 5	6	7 8	9	DATE	COMMENT
AAMES MORTGAGE TRUST 2001 1 MORTGAG E PASS THR CERTS SE 01 1	DE		Χ				11/25/	01
AAMES MORTGAGE TRUST 2001-2 MORT PA SS THR CERT SER 2001-2	DE		X				11/26/	01
ABC FAMILY WORLDWIDE INC	DE		X		X		12/06/	01
ABIOMED INC	DE		X				12/06/	01
ADAM INC	GΑ	Χ			X		12/03/	01
AEP INDUSTRIES INC	DE	Χ			Χ		11/20/	01
AIMCO PROPERTIES LP	DE		X		X		12/03/	01
AIRTRAX INC	NJ			X	X		11/14/	01 AMEND
AIRTRAX INC	NJ		X		X		12/03/	01
ALCOA INC	PA		X		X		12/06/	01
ALICO INC	FL		X				08/31/	02
AMEREN CORP	MO		X				12/05/	01
AMERICAN EXPRESS CO	NY					Χ	12/05/	01
AMERICAN FINANCIAL HOLDINGS INC	DE		X				11/19/	01
AMERICAN MEDICAL ALERT CORP	NY		X		X		12/05/	01
AMERIGAS PARTNERS LP	DE		X		X		12/05/	01
AMERIQUEST MORT SEC INC FLOAT RATE MORT PA TH CER SER 2001-1	DE		X	•			11/26/	01
APARTMENT INVESTMENT & MANAGEMENT C	MD		X		X		12/03/	01

NAME OF ISSUER		1	2	3 4	1 5 6	7 8		DATE	
ASSET BACKED CERTIFICATES SERIES 20 01-FF2						X ·		11/19/0	
ASSET BACKED FUND CORP MORT LN ASSE T BCKD CERT SER 2001-AQ1	DE				X			11/20/0)1
ATG INC	CA			Х	X	X		12/04/0)1
ATLANTIC TECHNOLOGY VENTURES INC	DE				Χ	Χ		12/06/0)1
AUTOBYTEL INC	DE				X			12/05/0)1
AUTOZONE INC	NV			•	Χ	Χ		12/04/0)1
	DE				X	X		12/03/0)1
AVICI SYSTEMS INC	DE				X	Χ		12/06/0)1
A1 INTERNET COM INC	NV	Χ	Χ	ΧУ				12/06/0)1
BANYAN STRATEGIC REALTY TRUST	MA				X	X		11/30/0)1
BIOENVISION INC	DE	Χ						06/15/0	1 AMEND
BIOPURE CORP	DE				X	Χ		12/06/0)1
BOOTS & COOTS INTERNATIONAL WELL CO	DE				Х	X		11/27/0)1
BOOTS & COOTS INTERNATIONAL WELL CO	DE					X	Χ	11/29/0)1 .
CABOT INDUSTRIAL PROPERTIES LP	DE	Χ				Χ		12/05/0)1
CABOT INDUSTRIAL TRUST	MD	Χ				Χ		12/05/0)1
CALIFORNIA MICRO DEVICES CORP	CA				Χ			12/03/0)1
CAMINUS CORP	DE		Χ			Χ		11/20/0)1
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1	MD				Χ			11/20/0)1
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD				Χ			11/20/0)1
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD				Χ			11/20/0)1
CARRIER1 INTERNATIONAL S A	N4				Χ	Χ		12/06/0)1
CCC GLOBALCOM CORP	NV		Χ			Χ		09/11/0	1 AMEND
CENDANT CORP	DE				X .	Χ		11/27/0)1
CENTEX CORP	NV					Χ	Χ	12/05/0)1
CENTEX HOME EQUITY LOAN TRUST 2001-C					Χ	Χ		11/25/0)1
CEPHALON INC	DE				Χ	Χ		12/06/0)1
CHANGE TECHNOLOGY PARTNERS INC	DE				X	Χ		12/05/0)1
CHASE FUNDING INC	NY				X	X		12/04/0)1
CHELL GROUP CORP	NY		Χ			Χ		11/21/0)1
CHESAPEAKE ENERGY CORP	OK				Χ		Χ	12/04/0)1
CHEVY CHASE BANK FSB	MD					Χ		12/04/0)1
CHL MORTGAGE PASS THROUGH CERTIFICA TES SERIES 2001-21	DE	Χ						11/25/0)1
CHL MORTGAGE PASS THROUGH TRUST 200 1 22	DE	Χ						11/25/0)1
CHL MORTGAGE PASS-THROUGH TRUST 200 1-23	DE	Χ						11/25/0)1
CITICORP MORTGAGE SECURITIES INC	DE		Χ					12/06/0)1
CNA FINANCIAL CORP	DE				X			12/05/0	
CNA SURETY CORP	DE				Χ	Χ		12/05/0	

NAME OF ISSUER	STATE	1 2	2	3 4	5 6	7 8	9		COMMENT
COLORADO MEDTECH INC	СО				X	X		12/06/01	_
CONESTOGA ENTERPRISES INC	PA				Χ	Χ		12/03/01	
CONSECO FINANCE CORP	DE					Χ		12/04/01	
CONSECO FINANCE SECURITIZATIONS COR	DE					X		12/04/01	
CONVERA CORP	DE				Χ			11/30/01	
COR THERAPEUTICS INC / DE	DE					X		12/05/01	
CORPORATE BOND BACKED CERT TRUST SE RIES 1996-DHC-1					Χ			12/03/01	
CPI CORP	DE				Х			12/04/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	Х		11/30/03	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	Х		12/06/01	L
CSFB ABS TRUST SERIES 2001-HE22	DE				Х	Χ		10/25/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC	DE				X	X		10/25/01	
ATES SERIES 2001 AR14 CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001 AR14	DE				X	X		11/26/01	Ł
CSX TRADE RECEIVABLES CORP	DE				Х	Х		11/26/03	
CVEO CORP	MA				X	X		12/03/03	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-4					X	X		11/30/0	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-4	DE				Χ	Χ		11/30/0	L
DATAMETRICS CORP	DE	3	Κ			Х		11/19/03] .
DEERE & CO	DE	2	7			X		12/05/01	
DELTA CAPITAL TECHNOLOGIES INC	DE			Х		X		11/03/03	
DOW JONES & CO INC	DE					Х	Х	12/06/01	
DUKE REALTY CORP	IN				Х		••	12/06/03	
ECHO BAY MINES LTD	A0				X	Χ		12/04/03	
EME HOMER CITY GENERATION LP					X	X		12/05/03	
EME HOMER CITY GENERATION LP					X			12/05/03	
ENCOMPASS SERVICES CORP	TX					Χ		08/21/03	
ENDO PHARMACEUTICALS HOLDINGS INC	DE					Χ	Х	12/06/03	
FAB INDUSTRIES INC	DE				Χ	Χ		12/05/03	
FAUQUIER BANKSHARES INC	VA				Χ	Х		12/06/03	
FEDERAL REALTY INVESTMENT TRUST	MD				X			09/30/03	
FIGHTON SUCCESSION CORP	CA	Χ		Х		Χ		08/31/03	
FIRST CAPITAL INCOME PROPERTIES LTD SERIES IX	FL	7.7			Х			12/05/03	
FIRST CAPITAL INCOME PROPERTIES LTD SERIES X	FL				X			12/05/03	l
FIRST FEDERAL OF OLATHE BANCORP INC	KS				Х	Х		12/04/03	1
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-7	DE	X			21	71		11/25/0	
FIRST REAL ESTATE INVESTMENT TRUST OF NEW JERSEY	NJ				X			12/04/01	l.
FLEETWOOD ENTERPRISES INC/DE/	DE				X	X		12/05/03	l
14 NEWS DIGEST, December 7, 2001									

	STATE 8K				< 1	TE	1 M	10.				
NAME OF ISSUER	CODE	1	2	3	4	5	6 7	7 8	9	DATE	COMMENT	
FLEETWOOD ENTERPRISES INC/DE/	DE					X	Σ			12/06/0		
FLORIDA GAMING CORP	DE					Χ	Σ	ζ		10/31/0		
FOODARAMA SUPERMARKETS INC	NJ					Χ				11/03/0		
FORD MOTOR CO	DE					Χ	Σ			12/05/0		
FORD MOTOR CREDIT CO	$_{ m DE}$					Χ		ζ		12/05/0		
FRANKLIN CAPITAL CORP	DE					X		ζ		11/30/0		
FREEREALTIME COM INC	DE					X		ζ		11/21/0		
G/O INTERNATIONAL INC	CO	Χ	Χ					ζ			1 AMEND	
GENERAL GROWTH PROPERTIES INC	DE					Χ		ζ		12/05/0		
GENERAL MAGIC INC	DE					Χ		ζ		11/30/0		
GENERAL MOTORS CORP	DE							ζ		12/04/0		
GENESEE & WYOMING INC	DE		Χ				Σ	ζ			1 AMEND	
GOVERNMENT BACKED TRUST T 4	NY					X				11/15/0		
GOVERNMENT BACKED TRUST T-1	NY					X				11/15/0		
GOVERNMENT BACKED TRUST T-3	NY					Χ				11/15/0	1	
GOVERNMENT SECURITIES TRUST J 1	NY					Χ				11/15/0	1	
GOVERNMENT TRUST G1	NY					Χ				11/15/0		
GREENPOINT MORTGAGE SECURITIES INC/	DE		Χ							11/06/0	1	
HADRO RESOURCES INC	NV	Χ								12/05/0	1	
HALLIBURTON CO	DE					Χ	Σ	ζ		12/05/0	1	
HANCOCK JOHN FINANCIAL SERVICES INC						Χ	>	ζ		11/29/0	1	
HANOVER DIRECT INC	DE					Χ				12/05/0	1	
HAWAIIAN ELECTRIC CO INC	ΗI					Χ				11/27/0	1	
HAWAIIAN ELECTRIC INDUSTRIES INC	ΗI					Χ				11/27/0	1	
HAYES LEMMERZ INTERNATIONAL INC	DE			Χ			}	ζ		12/05/0	1	
HAYES LEMMERZ INTERNATIONAL INC	DE			Χ			}	ζ		12/05/0	1 AMEND	
HOST MARRIOTT CORP/	MD					Χ	>	ζ		12/05/0	1	
HOST MARRIOTT L P	DE					Χ	>	ζ		12/05/0	1	
HOT PRODUCTS INC COM	AZ						X			11/17/0	1	
IASIS HEALTHCARE CORP	DE						}	ζ	Χ	12/05/0	1	
IMAGÍS TECHNOLOGIES INC	A1						}	ζ	Χ	11/29/0	1	
INNOVATIVE MEDICAL SERVICES	CA					Χ				12/04/0	1	
INTEGRATED SPATIAL INFORMATION SOLU TIONS INC /CO/	CO					Χ	Σ	ζ		11/30/0	1	
INTEL CORP	DE		Χ							12/06/0	1	
INTELIDATA TECHNOLOGIES CORP	DE					Χ	>	ζ		11/28/0	1 AMEND	
INTERNETSTUDIOS COM INC	NV					Χ				12/05/0	1	
IPCS INC	DE	Χ			Χ		}	ζ		11/30/0	1 AMEND	
ISOTOPE SOLUTIONS GROUP INC	NY						}	ζ	Χ	11/27/0	1	
JP MORGAN CHASE COMMERCIAL MORT SEC CORP SERIES 2001-CIBCI	DE					Χ				04/15/0	1	
JP MORGAN CHASE COMMERCIAL MORT SEC CORP SERIÉS 2001-CIBCI	DE					Χ				05/15/0	1	
JP MORGAN CHASE COMMERCIAL MORTGAGE SECURITIES CORP	DE					Χ	Σ	ζ		12/04/0	1	
KEYSPAN CORP	NY					Х	3	ζ	Х	12/06/0	1	
KFX INC	DE					X		ζ .		12/06/0		
KRUPP REALTY LTD PARTNERSHIP IV	MA		Х			21		ζ.		11/20/0		
KRUPP REALTY LTD PARTNERSHIP VII	MA		X					ζ.		11/20/0		

	STATE				IT						
NAME OF ISSUER	CODE	1	2	3 	4 5	.6	7 8	3 9	DATE	COMME	NT
LABORATORY CORP OF AMERICA HOLDINGS	DE				Х		Х		12/06/0		
LASON INC	DE			Χ			Χ		12/05/0		
LEARN2 CORP	DE		Χ				X		09/25/0	1 AME	ND
LEXINGTON PRECISION CORP	DE				X		X		12/04/0	1	
LIBERTY MEDIA CORP /DE/	DE				X		Χ		12/04/0	1	
LIBERTY MEDIA CORP /DE/	DE				X		X		12/04/0	1	
LOEWS CORP	DE				X		X		12/05/0	1	
MANCHESTER TECHNOLOGIES INC	NY				X				12/06/0	1	
MATTHEWS STUDIO EQUIPMENT GROUP	CA			Χ					10/31/0	1	
MEDIACOM COMMUNICATIONS CORP	DE				X		Χ		12/04/0	1	
MEDICAL DISCOVERIES INC	UT				X		Χ		11/29/0	1	
MELLON FINANCIAL CORP	PA				X		X		11/29/0	1	
MEREDITH CORP	ΙA				X				12/06/0	1	
MFC DEVELOPMENT CORP	DE	Χ							11/30/0	1	
MICRON ENVIRO SYSTEMS INC			Χ					Χ	11/30/0	1	
MICRON ENVIRO SYSTEMS INC			Χ					Χ	11/30/0	1	
MICROTUNE INC	TX		Х				X		11/15/0	1 AME	ND
MILLENNIUM CELL INC	DE				Χ		Χ		12/05/0		
MILLENNIUM PHARMACEUTICALS INC	DE				Х		Х		12/06/0		
MILLER INDUSTRIES INC /TN/	TN				Χ				11/30/0		
MIRANT CORP	DE							Х	11/28/0		
ML LEE ACQUISITION FUND II L P	DE				X				12/04/0		
ML LEE ACQUISITION FUND RETIREMENT ACCOUNTS II L P	DE				X				12/04/0		
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-1	DE				X		Χ		12/01/0)1	
MORTGAGE PASS- THROUGH CERTIFICATES SERIES 2001-9	DE				Χ		X		12/01/0)1	
MULTINET INTERNATIONAL CORP INC	NV				Χ		Х		11/07/0	1 AME	ND
MURDOCK COMMUNICATIONS CORP	ΙA				X		X		12/05/0		
NATION ENERGY INC	DE				Х				12/04/0		
NETWORK COMMERCE INC	WA				Х				11/15/0		
NET2000 COMMUNICATIONS INC	DE				Х		Χ		12/05/0		
NEW ULM TELECOM INC	MN				Х				11/27/0		
NEW UNITEDGLOBALCOM INC	DE				X		Χ		12/03/0		
NEXTEL PARTNERS INC	DE				X		X		11/27/0		
NORTHWEST BANCORP INC	PA				X		21		11/30/0		
OBIE MEDIA CORP	OR				X		Χ		12/06/0		
ON LINE PRODUCTION SERVICES INC	NV				X		X		11/29/0		
ORA ELECTRONICS INC	DE				X		21		12/06/0		
PACIFIC GAS & ELECTRIC CO	CA				21		Х		12/06/0		MD
PASS THROUGH CERTIFICATES SERIES 2 001-MS10	DE				Х		X		12/01/0		ND
	011				37				10/04/6	١٦	
PEOPLES BANCORP INC	OH .				X		3.7		12/04/0		NID
PET QUARTERS INC	AR				X		X		11/08/0		
PG&E CORP	CA						X		12/06/0		
PINNACLE PROPERTY GROUP INC					Χ				12/05/0		ND
PLANETCAD INC	DE				Х		Χ		12/04/0		
PLUG POWER INC	DE				Χ				12/03/0)1	

	STATE			81	K I	TE	M	NO.			
NAME OF ISSUER	CODE	1	2	3	4	5	6	7 8	9	DATE (COMMENT
PNC MORTGAGE SEC CORP MORT PASS THR	DE					X		Х		12/01/01	1
CERT SER 2001-2											
POLAROID CORP	DE					X		X		12/03/03	
PORTLAND GENERAL ELECTRIC CO /OR/	OR ·					Χ		X		12/04/01	
PROFESSIONAL DETAILING INC	DE					X				12/06/03	
PROTECTION ONE ALARM MONITORING INC	DE									12/05/03	
PROTECTION ONE INC	DE								Х	12/05/03	
QUIZNOS CORP	CO					X				12/05/03	
RAM ENERGY INC/OK	DE		Χ					**		12/04/01	
RCN CORP /DE/	DE					X		X		12/04/03	
RELIANT INTERACTIVE MEDIA CORP	NV					X		X		12/06/03	
RESIDENTIAL ACCREDIT LOANS INC	DE					Χ		X		11/26/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					Χ		X		11/26/0	
RESIDENTIAL ASSET SECURITIES CORP	DE					Χ		X		11/26/0	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					Χ		X		11/26/0	1
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					Χ		X .		11/26/0	1
RIDGEWOOD POWER GROWTH FUND /NJ	DE					Χ				12/31/0	1
RIDGEWOOD POWER GROWTH FUND /NJ	DE					Χ				12/31/0	1
RIDGEWOOD POWER GROWTH FUND /NJ	DE					Χ				12/31/0	1
RIDGEWOOD POWER GROWTH FUND /NJ	DE					Χ				12/31/0	1
RIDGEWOOD POWER GROWTH FUND /NJ	DE					Χ				12/31/0	1
RIDGEWOOD POWER GROWTH FUND /NJ	DE					Χ				12/31/0	1
ROUGE INDUSTRIES INC	DE					Χ		Χ		11/21/0	1
ROXIO INC	DE					X ·		Χ		12/03/0	1
SABRE HOLDINGS CORP	DE								Χ	12/06/0	1
SAGENT TECHNOLOGY INC	DE					Χ		Χ		11/15/0	1
SAGENT TECHNOLOGY INC	DĒ					Χ		X		11/28/0	1
SELECT THERAPEUTICS INC	DE								Χ	12/03/0	1
SELIGMAN NEW TECHNOLOGIES FUND II I	MD							Χ	Χ	12/31/0	1
SELIGMAN NEW TECHNOLOGIES FUND INC								Χ	Χ	12/31/0	1
SENIOR HOUSING PROPERTIES TRUST	MD					Χ		Χ		12/06/0	1
SHELBOURNE PROPERTIES II INC	DE		Χ							12/06/0	1
SILICON IMAGE INC	DE							Χ		06/07/0	1 AMEND
SOUNDVIEW TECHNOLOGY GROUP INC	DE					Χ		Χ		12/04/0	1
SOUTHERN COMMUNITY FINANCIAL CORP	NC					Χ		Χ		11/28/0	1
SPARTAN MOTORS INC	MI							Χ	Χ	12/06/0	1
SPARTAN STORES INC	MΙ							Χ		12/06/0	
STARDRIVE SOLUTIONS INC	WA					Χ		Χ		11/23/0	1
STORAGE USA INC	TN					Χ		Χ		12/05/0	
STRAYER EDUCATION INC	MD					Χ		Χ		12/05/0	
SUSA PARTNERSHIP LP	TN					Х		Χ		12/05/0	
SYNOPSYS INC	DE					X		X		12/03/0	
TEREX CORP	DE					Х		Χ		12/05/0	
TESSERACT GROUP INC	MN					Χ		X		11/28/0	
TGC INDUSTRIES INC	TX					Χ		X		12/04/0	
TGC INDUSTRIES INC	TX					Χ		Λ		12/04/0	Τ

NAME OF ISSUER	STATE	1	2	8K ITE	4 NO. 5 7 8	9	DATE (COMMENT
THAON COMMUNICATIONS INC TII INDUSTRIES INC TOLL BROTHERS INC TROY FINANCIAL CORP TTI HOLDINGS OF AMERICA CORP UNION ACCEPTANCE CORP	NV		Х		Х		12/29/0) AMEND
TII INDUSTRIES INC	DE			X	X		12/05/03	l
TOLL BROTHERS INC	DE			Χ	X		11/27/0	L
TROY FINANCIAL CORP	DE			X	Х		12/05/0	l
TTI HOLDINGS OF AMERICA CORP	DE	Х					11/01/0	- I
UNION ACCEPTANCE CORP	TN			Х	X		11/13/0	- 1
UNIVERSAL BROADBAND COMMUNICATIONS INC	NV		Χ	2.	••		11/30/03	1
UNIVERSAL MEDIA HOLDINGS INC		Χ		Х			08/01/03	l
URBANFIND INC	DE			X			12/05/03	l AMEND
URSTADT BIDDLE PROPERTIES INC	MD			X			01/31/0	2
US BANCORP \DE\	DE			X	X		01/31/02 11/29/03 12/05/03	- 1
US BANCORP \DE\ USA NETWORKS INC	DE.				X	X	12/05/0	- 1
VALENTIS INC	DE			Х	X		12/03/03	. 1
VERILINK CORP	DE			X				
VIB CORP							11/28/01	
VIDAMED INC				X	y Y		12/05/03	1
WAMU MOR PASS THRU CERT SER 2001-AR					X		12/01/03	
1								
WAMU MORTGAGE PASS THROUGH CERT SER IES 2001-S8	DE			X	X		12/01/01	L
WAMU MORTGAGE PASS THROUGH CERT SER IES 2001-5	DE			X	X		12/01/01	1
WAMU MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-AR2	DE			X	Х		12/01/0	l
WAMU MORTGAGE PASS-THROUGH CERTIFIC	DE			X	X		12/01/03	1
ATES SERIES 2001-S10 WASHINGTON MUTUAL MOR SEC CORP MOR	DE			X	Χ		12/01/0:	l
PAS THR SER 2001-6 WASHINGTON MUTUAL MOR SEC CORP MOR	DE			X	Х		12/01/01	1
PASS THRU CERT SER 2001-3 WASHINGTON MUTUAL MOR SEC CORP MOR	DE			Х	Х		12/01/01	
PASS THRU CERT SER 2001-4								
WASHINGTON MUTUAL MOR SEC CORP MOR PASS THRU CERT SER 2001-8				X			12/01/0	
WASHINGTON MUTUAL MORTGAGE SEC CORP MOR PAS THRU CERT 2001-7	DE			X	Χ		12/01/01	L
WASHINGTON MUTUAL MSC MORT PASS THR OUGH CERT SER 2001-MS11	DE			X	X		12/01/01	l
WASHINGTON MUTUAL MSC MORT PASS-THR OUGH CERT SER 2001-MS12	DE			X	X		12/01/01	L
WASHINGTON REAL ESTATE INVESTMENT T	MD		Χ		Х		11/01/03	1
WESTERN RESOURCES INC /KS	KS				Χ	Х	12/06/03	Ì
WESTPORT RESOURCES CORP /NV/	NV			Х	X	2.5	12/06/03	
WILEY JOHN & SONS INC	NY		Х	21	21		09/21/03	
WMAU MORTGAGE PASS THROUGH CERTIFIC	DE		21	, X	Χ		12/01/01	
ATES SERIES 2001-S9 WORLDPORT COMMUNICATIONS INC	DE			X	Х		11/30/0	1

	STATE	8K ITEM	NO.	
NAME OF ISSUER	CODE 1	2 3 4 5 6	7 8 9	DATE COMMENT
XM SATELLITE RADIO HOLDINGS INC	DE	X	X	12/05/01
XM SATELLITE RADIO HOLDINGS INC	DE	X	X	12/06/01
XM SATELLITE RADIO INC	DE	X	X	12/05/01
XTO ENERGY INC	DE	X	Χ	12/03/01
ZENITH NATIONAL INSURANCE CORP	DE	X	X	12/05/01
24 HOUR AUCTION INC	DE	X		12/05/01
4NETWORLD COM INC	DE	X	X	12/04/01