

### **CIVIL PROCEEDINGS**

U.S. SECURITIES AND EXCHANGE COMMISSION

COMPLAINTS NAME SIX; THREE CONSENT TO ORDERS TO FILE TIMELY FORMS 4

> The Commission filed six civil actions on July 23 in the U.S. District Court for the District of Columbia requesting Final Orders requiring John Keogh, Mark H. Lee, John E. Wertin, Benjamin N. Woodson, one bank trustee, Bank of America N.T. & S.A., and one employee profit sharing fund, The Savings and Profit Sharing Fund of Sears Employees, to file timely Form 4 Statements of Changes in Beneficial Ownership of Securities as required by Section 16(a) of the Securities Exchange Act of 1934. Final Orders were entered in three of the actions based upon the consents of the bank trustee, the employee profit sharing fund, and Woodson. These three defendants consented to the Final Orders without admitting or denying the allegations in the Complaints. (SEC v. Bank of America N.T. & S.A., Trustee for Carter Hawley Hale Stores, Inc., Profit Sharing and Retirement Plan; John Keogh; Mark H. Lee; The Savings and Profit Sharing Fund of Sears Employees; John E. Wertin; and Benjamin N. Woodson, USDC DC, Civil Action Nos. 84-2254; 84-2255; 84-2256; 84-2257; 84-2258; and 84-2259). (LR-10469)

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until August 9 to comment on the applications of the Boston Stock Exchange, Inc. for unlisted trading privileges in 12 and 15 issues, respectively, which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. (Rel. 34-21160; 34-21161)

DELISTING GRANTED

An order has been issued granting the application of the <u>New York Stock Exchange</u>, <u>Inc</u>. to strike the common stock (\$1 par value) of United States & Foreign Securities Corporation from listing and registration thereon. (Rel. 34-21163)

# **SELF-REGULATORY ORGANIZATIONS**

NOTICE OF PROPOSED RULE CHANGE

The Depository Trust Company filed a proposed rule change (SR-DTC-84-3) to allow municipal securities brokers and dealers to use its communications facilities to submit trade data to, and receive comparison output from, National Securities Clearing Corporation's Municipal Bond Processing System. The proposal is being implemented as a pilot project pending final Commission determination. Publication of the proposal is expected to be made in the <u>Federal Register</u> during the week of July 23. (Rel. 34-21164)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 NORWOOD CAPITAL CORP, C/O GARY B WOLFF PC, 40 EXCHANGE PL, NEW YORK, NY 10005 (212) 269-1009 - 4,000,000 (\$1,000,000) COMMON STOCK. 4,000,000 (\$1,500,000) COMMON STOCK. (FILE 2-92161-NY - JUL. 16) (BR. 5 - NEW ISSUE)
- S-14 SER INVESTMENT CORP, 6301 STEVENS FOREST RD, COLUMBIA, ND 21045 (301) 997-9650 -320,387 (\$4,828,232) COMMON STOCK. (FILE 2-92215 - JUL. 16) (BR. 12 - NEW ISSUE)
- N-1A ELOREGON MUNICIPAL BOND FUND INC, 1001 SW FIFTH AVE, STE 1717, PORTLAND, OR 97204 (503) 223-2086 - INDEFINITE SHARES. (FILE 2-92218 - JUL. 16) (BR. 17 - NEW ISSUE)
- S-8 WOODS PETROLEUM CORP, ONE LAKEVIEW ENERGY CENTER, 3817 N W EXPRESSWAY STE 700, OKLAHOMA CITY, OK 73112 (405) 947-7811 - 325 (\$1,200,000) OTHER SECURITIES INCLUDING VOTING TRUST. 54,240 COMMON STOCK. (FILE 2-92219 -JUL. 16) (BR. 3)
- S-8 INFORMATICS GENERAL CORP, 21031 VENTURA BLVD, WOODLAND HILLS, CA 91364 (213) 887-9040 - 369,699 (\$7,763,679) COMMON STOCK. (FILE 2-92220 - JUL. 16) (BR. 9)
- S-14 FACTORY POINT BANCORP INC, MANCHESTER CENTER, VT 05255 (802) 362-2424 60,000 (\$3,300,000) COMMON STOCK. (FILE 2-92234 - JUL. 16) (BR. 2 - NEW ISSUE)
- S-8 TERADYNE INC, 321 HARRISON AVE, BOSTON, MA 02118 (617) 367-7500 1,600,000 (\$40,000,000) COMMON STOCK. (FILE 2-92236 - JUL. 16) (BR. 8)
- S-8 CALIFORNIA AMPLIFIER INC, 3481 OLD CONEJO RD, NEWBURY PARK, CA 91320 (805) 499-8535 - 334,800 (\$3,389,850) COMMON STOCK. (FILE 2-92237 - JUL. 16) (BR. 8)
- N-1A PORT WASHINGTON FUND INC, 403 MAIN ST, PORT WASHINGTON, NY 11050 (516) 944-7850 (FILE 811-4067 JUL. 16) (BR. 16)

#### **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

	FORM	EVENT DATE	SHRS (000) / XOWNED	CUSIP/ PRIOR%	FILING STATUS
BIOTECH RESH LABS INC COM GOTTESMAN MORTON TRUSTEE ET AL	13D	4/ 6/84	481 9.4	09090510 0.0	
FLORIDA GULF RLTY TR SH BEI KEMPNER THOMAS L GROUP ET AL	N INT 13D	7/ 2/84	237	34073410 4.7	UPDATE
GREAT WESTN FED SVGS BK WASH COM Abramson Albert et Al	13D	7/13/84	115 5.2		UPDATE
AIA INDS INC COM K B EQUITIES INC	13D	7/ 9/84	5,716 44.0	00132010 0.0	
ACTON CORP COM PHILLIPS GEORGE M	13D	6/ 7/84	364 6.5	00505510 0.0	
BELL NATL CORP COM BROOKS BRYANT J JR	13D	6/18/84	26 0.4	07814210 0.4	UPDATE
BELL NATL CORP COM ENEMARK FRED F	13D	6/18/84	16 0.3	07814210 0.3	UPDATE

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BINNEY & SMITH INC HALLMARK CARDS INC	CDM	13D	7/18/84	1,759 48.4	09054510 47.1 UPDATE
BLUE RIDGE REAL ESTATE CO REA BROTHERS PLC	UN: SHS	IN 2 CO 13D	IS 1-1 7/13/84	174 7.9	09600510 7.5 UPDATE
BLUE RIDGE REAL ESTATE CO REA BROTHERS LTD	UN: SHS	IN 2 CD 13D	IS 1-1 7/13/84	243 11.0	09600510 5.3 UPDATE
CLARION CAP CORP FIRST CITY DEVEL CORP ET	COM AL	13D	7/ 6/84	631 66.8	18051810 65.3 UPDATE
CONDEC CORP FARLEY WILLIAM F ET AL	COM	13D	7/11/84	4,460 100.0	20674110 33.5 UPDATE
DACOTAH BANK HOLDING CO FOUBERG RODNEY W	COM	13D	7/17/84	74 7.3	23342210 7.3 UPDATE
DACOTAH BANK HOLDING CO LAMONT ROBERT B	COM	13D	7/17/84	55 5.5	23342210 5.5 UPDATE
DACOTAH BANK HOLDING CO LAMONT WILLIAM S	COM	13D	7/17/84	81 8.0	23342210 8.0 UPDATE
DACOTAH BANK HOLDING CO RHODES E C	COM	13D	7/17/84	173 17.1	23342210 17.1 UPDATE
DACOTAH BANK HOLDING CO RUSSO ARTHUR	COM	13D	7/17/84	22 2.2	
DACDTAH BANK HOLDING CO Smith Warren F et Al	COM	13D	7/17/84	294 28.9	23342210 15.6 UPDATE
DISNEY WALT PRODINS JACOBS IRWIN L ET AL	COM	13D	7/13/84	2,002 5,8	25468710 0.0 NEW
EDGCOMB STL NEW ENGLAND INC GREENBERG STEVEN A	COM	13D	7/ 9/84	192 14.8	27983940 13.4 UPDATE
ESPRIT SYS INC DIGILOG INC	COM	13D	7/ 9/84	232 6.3	29665610 0.0 NEW
FIRSTGULF BANCGROUP AMSOUTH BANCORP	COM	13D	7/12/84	842 34.4	33790410 33.4 UPDATE
GAMEX INDS INC CHARLTON LIADHAN JOSEPHIN	COM IE	13D	7/12/84	1,580 6.0	36465210 0.0 NEW
GAMEX INDS INC CHARLION NESSA ALICE MARY	COM	13D	7/12/84		36465210 0.0 NEW
GAMEX INDS INC CHARLTON ORLA BERNADETTE	COM	13D	7/12/84		36465210 0.0 NEW
GAMEX INDS INC LERDY H GUTKNECHT	COM	13D	7/12/84		36465210 0.0 NEW
GAMEX INDS INC DDONNELL WILLIAM T SR	COM	13D	7/12/84		36465210 0.0 NEW
GAMEX INDS INC TSAD VICTOR	COM	13D	7/12/84		36465210 0.0 NEW
GAMEX INDS INC WICHINSKY MICHAEL	COM	13D	7/12/84		36465210 0.0 NEW
GIBRALTAR SVGS ASSN HOUSTON ALPINE ASSOC & ECKERT VIC	COM CTORIA	13D	6/29/84		37476110 4.2 UPDATE

INTELLIGENT BUS COMM ALLEN & CO INC ET AL	COM	13D	6/27/84	2,805 26.1	45815420 0.0	NEW
JAMES RIV CORP VA AMERICAN CAN COMPANY	CDM	13D	7/11/84	5,790 17.6	47034910 17.6	UPDATE
JEWEL COS INC BOESKY IVAN F.ET AL	COM	13D	7/13/84	286 2.4	47719610 10.0	UPDATE
LOUISIANA LD OFFSHORE EXPL LOUISIANA LAND & EXPL	CL B	14D-1	7/18/84	7,948 82.0	54627210 81.1	
MALIBU GRAND PRIX CORP PRAXIS GROUP LTD ET AL	COM	13D	6/ 4/84	9,000 71.1	56118410 55.3	UPDATE
MALIBU GRAND PRIX CORP SKALBANIA N M LTD ET AL	COM	13D	6/ 4/84	8,951 70.7	56118410 55.3	UPDATE
MARINE CORP ZILBER JOSEPH J ET AL	COM	13D	7/13/84	104 5.1		NEW
MASCO INDUSTRIES INC MANDOGIAN RICHARD A	COM	13D	7/13/84	<b>45</b> 6 6.7	57460110 0.0	NEW
MINSTAR INC STEPHENS INC	COM	13D	7/10/84	710 8.4		UPDATE
DPELIKA MF6 CORP TECH EQ LEASING CORP ET		R \$5.00 13D	7/17/84	281 45.5	68357420 36.3	UPDATE
PARK OHIO INDS INC DEL LOUSAR LTD PARTNERSHIP	COM	13D	7/10/84	339 6.1	70067610 0.0	
PARK DHID INDS INC DEL MORDAYE CORP	COM	13D	7/10/84	339 6.1	70067610 0.0	NEW
PETROL INDS INC TOWER GENEVIEVE G	COM	13D	5/22/84	99 4.9	71650210 5.3	UPDATE
PRESSURE PIPING COMP INC WINGATE DAVID A	COM	13D	6/20/84	1,375 29.8	74111410 0.0	NEW
SUNSTATES CORP HICKORY FURNITURE CO ET	SH BEN AL	INT 13D	7/10/84	975 44.5	86787210 42.6	UPDATE
TRANSCANADA PIPELINE LTD BELL CANADA ENTERPRISES	COM	13D	7/ 9/84	43,708 47.2	89352610 0.0	NEW
TRECD INC DEL WISCONSIN R. E. INVT TR	COM ET AL	13D	6/21/84	3,168 56.2	89464410 54.1	UPDATE
VOLUME MERCHANDISE INC VM ACQUISITION CORP	COM	13D	7/16/84	614 54.3		UPDATE
WOLVERINE ALUM CORP RHOADS D DEAN ET AL	COM	13D	7/19/84	501 17.6	97787810 18.1	UPDATE

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